Analysis of ATOFMS datasets for apportionment of PM_{2.5} in California

Final Report to California Air Resources Board Contract No. 07-357 Prepared for the California Air Resources Board and the California Environmental Protection Agency

May 15, 2010

Principal Investigator Kimberly Prather

Contributors Andrew P. Ault Cassandra Gaston Kerri A. Pratt Steve M. Toner

Department of Chemistry & Biochemistry University of California San Diego 9500 Gilman Drive MC 0314 La Jolla, CA 92093-0314

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9	ACKNOWLEDGEMENTS
10	
11	We thank Drs. William Vance and Eileen McCauley (CARB) for their assistance and
12	advice during this contract.
13	
14	This Report was submitted in fulfillment of Contract No. 07-357, "Analysis of ATOFMS
15	datasets for apportionment of PM2.5 in California" under the sponsorship of the
16	California Air Resources Board. Work was completed as of May 15, 2010.
17	
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30	acid (MSA) is formed from the oxidation of dimethyl sulfide (DMS) and since it does not have an
31	anthropogenic source it is an ideal marker for ocean-derived biogenic sulfur. MSA was observed at an
32	inland location (Riverside) and the high levels observed may have been due, in part, to vanadium in
33	mixed particles catalyzing MSA formation. In Riverside organic carbon often is a significant fraction of
34	the particles observed by ATOFMS measurements and secondary species such as ammonium nitrate,
35	ammonium sulfate, and amines account for >50% by of these organic carbon particles. Analysis of
36	thermal denuder – ATOFMS measurements shows that at 230 °C particle spectra resemble those of
37	freshly emitted particles as secondary species are vaporized. This allows sources to be determined even
38	in highly aged environments. Using the ATOFMS sources library shows that in 2 urban areas outside of
39	California (Athens, Greece and Mexico City, Mexico) dust and biomass burning are significant sources
40	of atmospheric particles. In the larger Mexico City the particles are observed to be more aged with
41	secondary species. The research shown in this report displays the broad applicability of ATOFMS
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ABSTRACT

174 The development of aerosol time-of-flight mass spectrometry (ATOFMS) as a 175 source apportionment tool for atmospheric particulate matter using California Air 176 Resources Board funding has focused on the differentiation of sources by identifying the 177 unique mass spectra of single particles produced in source tests and the atmosphere. By 178 acquiring both the positive and negative spectra of each particle, significant progress has 179 been made in quantifying the contributions from both natural and anthropogenic particles 180 that impact California air quality. Specifically, studies have shown how heavy duty diesel vehicles, gasoline vehicles, biomass burning, ships, sea spray, and dust impact air 181 182 quality in many regions. This report details efforts to expand the boundaries for on-line 183 chemical analysis methods, such as ATOFMS, that will allow their general 184 implementation in many areas in an effort to increase our understanding of particle 185 sources and processes in the atmosphere. A major focus of one project in this report 186 involves investigating the sources of sulfate and the interplay between anthropogenic and 187 biogenic sources of SO₂, sulfate, and particle mass concentrations. To investigate the 188 primary sources of PM, the results of tandem thermal denuder-ATOFMS measurements 189 are described that give information on the volatility and fractions of secondary 190 components within the aerosol including sulfate, nitrate, and organic carbon species. 191 Lastly, the application of the source library that has been developed to match studies to 192 specific sources is tested in two urban areas outside of California to show the broad 193 applicability of this method for source apportionment. Taken together the results of this 194 report demonstrate the level of new information on particle sources that on-line mass 195 spectrometry can provide when applied in new and innovative ways.

196

EXECUTIVE SUMMARY

198 Background:

199 Aerosol time-of-flight mass spectrometry data provide a complex picture of 200 particle mixing state providing new insights into the major sources and processes 201 impacting particulate matter concentrations. Herein, we focus on unraveling the impact of 202 biogenic contributions to California's sulfate loading that has been difficult to isolate 203 from anthropogenic contributions. One unique finding involves the detection of 204 methanesulfonic acid (MSA), sulfate, and the highlighted the catalytic role of metals on 205 enhanced sulfate formation. Surprisingly, MSA was shown to be a dominant component 206 of aerosols at an inland urban location in Riverside, CA, showing biogenic sources of 207 sulfate can contribute significantly to PM mass concentrations particularly during periods 208 with large oceanic blooms. The relative contributions from secondary species, such as 209 sulfate, are difficult to study due to their transient nature, but results presented herein 210 explore secondary species such as ammonium sulfate, ammonium nitrate, and organic 211 carbon. This was achieved through thermal denuder/ATOFMS measurements. Lastly, 212 improving our ability to accurately apportion ATOFMS data is an ongoing goal of our 213 research and study data from Mexico City, Mexico and Athens, Greece were used to 214 validate source matching software developed in source and ambient studies conducted in 215 California.

216 *Methods:*

ATOFMS was the primary instrument utilized in the studies discussed below. Additional techniques utilized in these studies include gas phase instrumentation (SO₂, NO_x, CO, O₃) and particle phase instrumentation (SMPS, APS, CPC, aethalometer, and TEOM). In addition, data were used from CARB monitoring stations, mostly from the Rubidoux site. One portion of this report focuses on results from tandem measurements with a thermal denuder interfaced with an ATOFMS.

223 **Results and Conclusions:**

224 Applying the ATOFMS technique to new research topics was a focus of this project. 225 Methanesulfonic acid (MSA) is formed from the oxidation of dimethylsulfide (DMS). 226 DMS is an ideal tracer for ocean-derived biogenic sulfur. MSA was observed at an inland 227 location (Riverside) and the high levels observed may have been due, in part, to 228 vanadium in mixed particles emitted from ships burning bunker oil catalyzing MSA 229 formation. In Riverside, organic carbon often represents a significant fraction of the 230 particles observed by ATOFMS measurements and secondary species such as ammonium 231 nitrate, ammonium sulfate, and amines account for >50% by of these particles. Analysis 232 of thermal denuder - ATOFMS measurements shows that at 230 °C particle spectra 233 resemble those of freshly emitted primary particles as secondary species are vaporized. 234 This thermal denuder approach allows sources to be determined even in highly aged 235 environments. Using the ATOFMS source library shows that in two urban areas outside 236 of California (Athens, Greece and Mexico City, Mexico), dust and biomass burning 237 represent significant sources of atmospheric particles. In Mexico City, the particles are 238 observed to be more aged, containing large fractions of secondary species. The research

shown in this report displays the broad applicability of ATOFMS studies to address many different areas of interest in air quality and climate studies. Given the recent emphasis on long range transport of pollutants through our atmosphere, understanding different sources on a more global scale is an area of increasing importance.

243

BODY OF REPORT

246 A. Introduction

247 **1. Research Objectives**

248 This project focused on a number of data analysis tasks that were identified as 249 ways to gain as much value out of the investment in aerosol time-of-flight mass 250 spectrometer (ATOFMS) field study data that the Air Resources Board has made over the 251 past decade. One task involved investigating methanesulfonic acid (MSA), sulfate, and 252 the potential catalytic effects of metals on formation processes. A second objective was to 253 explore secondary species such as ammonium sulfate, ammonium nitrate, and organic 254 carbon. This was achieved through thermal denuder/ATOFMS measurements. Lastly, to 255 test the general applicability of the source data, field data from Mexico City, Mexico and 256 Athens, Greece were used to validate the source matching script to allow for quick and 257 accurate apportionment of field study data in a non-California location.

258 2. Summary of Chapters

259 i. Introduction

Chapter 1 introduces the goals of the project and motivation behind them, as well as the objectives and deliverables provided within the report. The aerosol time-of-flight mass spectrometer is then introduced as well as the trailer that was developed to transport it during this project. Previously developed as well as novel data analysis techniques are then described. Lastly, a brief summary of each chapter of the report is included.

265 **ii. Materials and Methods**

The aerosol time-of-flight mass spectrometer is introduced. The application of previously developed data analysis techniques is described.

268 iii. Results and Conclusions

Chapter 1 discusses methanesulfonic acid (MSA). Dimethyl sulfide (DMS), 269 270 produced by oceanic phytoplankton, is oxidized to form methanesulfonic acid (MSA) and 271 sulfate, which influence particle chemistry and hygroscopicity. Unlike sulfate, MSA has 272 no known anthropogenic sources making it a useful tracer for ocean-derived biogenic 273 sulfur. Despite numerous observations of MSA, predominately in marine environments, 274 the production pathways of MSA have remained elusive highlighting the need for 275 additional measurements, particularly at inland locations. During the Study of Organic 276 Aerosols in Riverside, CA from July-August 2005 (SOAR-1), MSA was detected in 277 submicron and supermicron particles using real-time, single-particle mass spectrometry. 278 MSA was detected due to blooms of DMS-producing organisms along the California 279 coast. The detection of MSA depended on both the origin of the sampled air mass as well 280 as the concentration of oceanic chlorophyll present. MSA was mainly mixed with 281 coastally emitted particle types implying that partitioning of MSA occurred before 282 transport to Riverside. Importantly, particles containing vanadium had elevated levels of 283 MSA compared to particles not containing vanadium, suggesting a possible catalytic role

of vanadium in MSA formation. This study demonstrates how anthropogenic, metal containing aerosols can enhance the atmospheric processing of biogenic emissions, which
 need to be considered when modeling coastal as well as urban locations.

287 Chapter 2 described how aerosol particles undergo significant atmospheric 288 processing within the Los Angeles basin. To assess the major sources and extent of aging, ambient particle volatility, size, and chemical composition were measured 289 290 concurrently in real-time during the Study of Organic Aerosols conducted in Riverside, 291 CA in November 2005. A thermal denuder (TD) was coupled to an aerosol time-of-flight 292 mass spectrometer (ATOFMS) to characterize the chemistry of the individual submicron 293 particle cores remaining after heating. At 230°C, aged organic carbon (OC) particles had 294 smaller particle cores (mode <100 nm) compared to biomass burning particles (~180 295 Aged OC particles contained >50% by volume secondary species, primarily nm). 296 ammonium nitrate, ammonium sulfate, and amines. At 230°C, the chemistry of the 297 remaining cores at 100-150 nm were elemental carbon (29% by number), OC (27%), and 298 biomass burning (15%). Sea salt (47%) and dust (15%) were the major contributors at 299 the larger sizes (750-800 nm). Many particle cores at 230°C possessed similar signatures 300 to fresh vehicle emissions, biomass burning, sea salt, and dust particles, showing that the 301 TD-ATOFMS method can be used to apportion particles in highly aged environments to 302 their original sources, while providing insight into the relative contributions of primary 303 and secondary species.

304 Chapter 3 discusses how using a variation of the ART-2a algorithm along with an 305 aerosol time-of-flight mass spectrometry (ATOFMS) derived mass spectral source library, source apportionment of ambient aerosols for two major global cities (Athens, 306 307 Greece and Mexico City, Mexico) was carried out. From these results, it was found that 308 the ambient primary aerosols at both locations show a strong influence from biomass 309 burning and dust. The Athens site also shows strong contributions from both diesel and 310 gasoline powered vehicle emissions, sea salt, and a combination of elemental carbon and 311 vanadium particles that could be due to ship emissions. While the aerosols at both sites 312 show signs of aging and associations with secondary organic carbon, nitrate, sulfate, and 313 ammonium, the Mexico City site was found to have more aged aerosols than Athens, and 314 (along with biomass burning and dust) shows contributions from diesel and gasoline 315 vehicle emissions, industrial emissions, meat cooking, and non-source specific amines, 316 PAH's, aged organic and elemental carbon. The results obtained with the source 317 signature matching technique are compared to general particle classification results and 318 show that the source signature matching technique is applicable to worldwide ambient 319 ATOFMS data.

320

321 B. Materials and Methods

322 **1. Instrumentation**

The principal sampling technique for ambient particles used throughout this dissertation is aerosol time-of-flight mass spectrometry (ATOFMS). ATOFMS simultaneously acquires positive and negative ion spectra, as well as size information, for single particles in real-time. A detailed description of operation and performance of the transportable version of this instrument has been provided previously (1), though a brief 328 explanation is included here. A schematic diagram of the standard inlet ATOFMS is 329 given in Figure 1. The inlet region consists of a converging nozzle, followed by two skimmers. Similar to the APS, the particles undergo supersonic expansion upon 330 331 introduction into vacuum and are accelerated to velocities dependent on their 332 aerodynamic sizes. The different regions separated by skimmers fulfill two primary 333 functions: to permit differential pumping from atmospheric pressures to the pressures 334 necessary to operate the mass spectrometer and to collimate the particle beam by 335 removing those particles which do not follow a straight trajectory. The particle beam next 336 enters the light-scattering region, which includes two continuous-wave 532 nm diode 337 pumped Nd:YAG lasers. These lasers are positioned orthogonally to the particle beam, so 338 that when a particle passes through the laser beam, its scattered light is focused onto 339 PMTs by means of ellipsoidal mirrors. The PMTs send pulses to an electronic timing 340 circuit that measures the time the particle takes to travel the known distance (6 cm) 341 between the two laser beams. The velocity of the particle is calculated with the particle 342 time of flight and the distance and is converted to a physical aerodynamic diameter via an 343 external size calibration with particles of known size. With the determined particle 344 velocity, the timing circuit counts down to when the tracked particle will reach the center 345 of the ion source region of the mass spectrometer and sends a signal to a pulsed Nd:YAG 346 laser (frequency quadrupled to 266 nm) to fire. Through direct laser desorption/ionization 347 (LDI), the laser pulse produces ions, which are then mass analyzed in a dual-ion 348 reflectron time-of-flight mass spectrometer. The dual polarity permits simultaneous 349 acquisition of positive and negative ion spectra for an individual particle, which is unique 350 as most SPMS techniques can only obtain spectra of single polarity at a given time. The 351 standard ATOFMS instrument can analyze single particles with aerodynamic diameters 352 over a broad size range from approximately 200 to 3000 nm.



353

354 Figure 1: Instrument schematic diagrams of the (a) ATOFMS and (b) UF-ATOFMS.

355 Ultrafine aerosol time-of-flight mass spectrometry (UF-ATOFMS) has improved 356 detection efficiency for small particles (< 300 nm) over the standard ATOFMS by 357 replacing the converging nozzle inlet with an aerodynamic lens inlet (2). Figure 1b 358 shows the schematic diagram of the UF-ATOFMS instrument. The lens system tightly 359 collimates the particle beam, so that smaller ultrafine particles will be more efficiently 360 transmitted in the instrument (3-4). Upon exiting the aerodynamic lens, the gas 361 molecules undergo supersonic expansion, accelerating the particles to terminal velocities 362 based on their aerodynamic diameter – just as with standard inlet ATOFMS. UF-363 ATOFMS also has enhanced light-scattering detection by incorporating a focusing lens to 364 tighten the continuous laser beams, increasing the laser beam power density, and by 365 employing a fast amplifier to improve the signal-to-noise ratio. All of these enhancements, in addition to the aerodynamic lens systems, are necessary to improve the
minimum optical detection size of ~100 nm down to 50 nm. Together, standard
ATOFMS and UF-ATOFMS cover an aerodynamic size range of ~50 to 3000 nm when
sampling side-by-side.

370 To gain further insight into the size-resolved chemistry of individual atmospheric 371 particles, a smaller aerosol time-of-flight mass spectrometer (ATOFMS) with increased 372 data acquisition capabilities was developed for aircraft-based studies (5). Compared to 373 previous ATOFMS systems, the new instrument has a faster data acquisition rate with improved ion transmission and mass resolution, as well as reduced physical size and 374 375 power consumption (Figure 2). In addition, real-time source apportionment software allows the immediate identification and classification of individual particles to guide 376 377 sampling decisions while in the field. The aircraft (A)-ATOFMS was field-tested on the 378 ground during the Study of Organic Aerosols in Riverside, CA (SOAR).



379DetectorExtractorReflectron380Figure 2: (a) Schematic of the A-ATOFMS illustrating the dometop alignment interface, aerodynamic381sizing region, desorption/ ionization laser alignment, and dual-polarity Z-TOF mass spectrometer. (b)382Schematic of dual polarity Z-TOF mass spectrometer illustrating ion extraction region and ion trajectory.

383 **3. Data Analysis Methods**

384 ATOFMS generates large quantities of data; the instrumentation is capable of 385 collecting size and chemical information on greater than 500 individual particles per minute, depending upon the atmospheric concentrations. 386 While simple laboratory 387 experiments may run for only a few hours, ambient monitoring studies with ATOFMS may operate for weeks. Therefore, continuous sampling during a single ambient study 388 389 can yield tens of millions of individual spectra – far too many to analyze by hand. For 390 efficient analysis of such a volume of data, an ideal data analysis technique must perform automatic sorting and classification of individual particles. There are a number of 391 392 available mathematical algorithms that have been adapted to cluster mass spectral data, 393 such as fuzzy *c*-means clustering, *k*-means clustering, hierarchical clustering, and 394 artificial neural networks (6-10). The two main data analysis methods used in this 395 dissertation are described in the next sections. Any adjustments to these methods or 396 alternative approaches will be discussed in subsequent chapters. The adaptive resonance 397 theory-based neural network algorithm, ART-2a, has been used to analyze ATOFMS data 398 for several years. In a benchmark test against other clustering methods, ART-2a has been 399 shown to yield comparable results (9). A modification of ART-2a analysis involves 400 matching to predefined seeds, such as a source signature library described below.

401 Though more detailed descriptions of the ART-2a algorithm have been provided 402 elsewhere (11-13), a brief description is included here. Using the mass spectral ion 403 patterns and peak intensities, ART-2a separates particles into distinct classes (clusters) of 404 chemically similar particles within large ATOFMS data sets and generates new clusters 405 whenever a data point (mass spectrum) falls outside the proximity to all existing classes. 406 Thereby, ART-2a provides the advantage of determining the contributions from 407 previously detected particle classes while also introducing information on new particle 408 types. For each particle, ART-2a combines all of the ion peak patterns and intensities in 409 the positive and negative spectra to form an n-dimensional weight vector (normally 350 410 m/z units for each polarity, making 700 units total), in which the ion intensity at each m/z411 ratio is normalized with respect to the maximum peak intensity present in the vector. In 412 the classification process, particles are selected randomly and their spectral information is 413 compared to each particle cluster (weight vector) by calculating the dot product of the 414 particle vector and cluster weight vector. The dot product value ranges from 0 to 1, where 1 represents identical vectors. If the dot product value between the particle vector 415 416 and any of the existing weight vectors is above the user-defined threshold (vigilance 417 factor - VF), that particle is added to the cluster with the highest dot product value. If a 418 learning rate is defined, that cluster will slightly weight its vector toward the newly added 419 particle. If the dot product value is below the VF, the particle defines a new cluster. 420 Once all of the particles have been assigned, ART-2a then compares each particle against 421 the entire set of created clusters to ensure proper placement. This final step is repeated 422 for a number of set iterations (usually 20).

423 Upon completion of the ART-2a analysis, there may be hundreds to thousands of 424 resulting clusters based on the complexity of the data and the VF used. For example, a 425 low VF (≤ 0.5) will yield a low number of clusters with limited homogeneity, whereas a 426 high VF ≥ 0.7) will yield a large number of clusters with high homogeneity. The user 427 then visually inspects the ART-2a clusters to manually classify and label them based on 428 their spectral characteristics. Some clusters may be combined by hand if they have 429 similar spectral characteristics or key class features, in order to reduce the total number of 430 clusters to a more manageable size.

One of the features of ART-2a is that it can compare the ambient particle vectors to a set of predefined weight vectors, known as seeds. In direct analogy to the procedure described in the previous section, the dot product of each particle vector is crossed with each seed weight vector. The particle is placed into the seed cluster that produces the highest dot product, assuming it is above the user-defined VF. The main difference between this matching method and the normal ART-2a procedure is that if no dot product value exceeds the VF, the particle is placed into an "unmatched" category, rather than initiating a new particle class. In addition, this method has no learning rate parameter, sothe vectors of the seeds remain constant as particles are matched to them.

440 The matching function is ideal for apportioning individual ambient particles to 441 specific sources using a source signature library. The recently developed source 442 signature library is described in detail elsewhere (14), but a brief description is given 443 here. The size-segregated library combines the carefully identified mass spectral source 444 signatures from a series of source (such as vehicle dynamometer studies) and ambient 445 characterization studies to serve as the predefined cluster seeds. Designed to expand as 446 the ATOFMS signatures for particles from new sources are obtained, the library presently 447 contains source fingerprints for heavy duty diesel vehicle (HDDV) and light duty 448 gasoline vehicle (LDV) exhaust emissions, dust, sea salt, biomass, and meat cooking. It 449 also has non-source specific signatures acquired in ambient studies, including aged 450 elemental carbon (EC), aged organic carbon (OC), amine-containing particles, 451 ammonium-rich particles, vanadium-rich particles, EC, and polycyclic aromatic 452 hydrocarbon (PAH)-containing particles. The major advantages of using this data 453 analysis technique are the elimination of user bias in labeling and the speed in which it 454 can apportion particles.

C. Results 456

1. Real-time detection and mixing state of methanesulfonate in single particles at an inland urban location during a phytoplankton bloom 457 458

i. Introduction 459

scattering Riverside, CA, sulfate comprises up to 13-20% of the mass of particles ranging in size from 0.1-2.5 µm (17). Sulfate derives from sulfur dioxide (SO₂) oxidation forming anthropogenic (18) and biogenic sources with the most important biogenic sulfate source DMS is produced from the enzymatic cleavage of dimethylsulphoniopropionate (DMSP), a compound produced by oceanic phytoplankton (19-20). A simplified reaction scheme of DMS oxidation adopted Aerosols contribute significantly to climate change by directly scattering and sulfuric acid (H₂SO₄), which condenses onto particles; sources of sulfate include both absorbing incoming solar radiation and acting as cloud condensation nuclei (CCN) (15). from Hopkins et al. (2008) and von Glasow and Crutzen (2004) is shown below (21-22). perspective in addition to enhancing the cloud forming potential of aerosols (16). climatic importance from a being the oxidation of dimethyl sulfide (DMS) (19). Sulfate is an aerosol species of particular 470 460 462 463 464 465 466 467 468 469 461 471



Sulfuric Acid

Sulfur Dioxide

Sulfate formation from DMS primarily derives from the OH-abstraction path (18,21) eading to sulfate formation on pre-existing particles or the homogeneous nucleation of particles, which act as a new source of CCN potentially increasing cloud droplet number Organosulfur compounds such as dimethyl sulfoxide (DMSO), methanesulfinic acid (MSIA), and methanesulfonic acid (MSA), as well as other products, are also intermediate MSIA and the more stable product, MSA, takes place; aqueous phase MSA can also be oxidized in the condensed phase leading to the formation of additional sulfate; however, this is slower and less efficient than the abstraction pathway (18,24). Since condensation and aqueous phase processing is favored over nucleation, organosulfur compounds are not known to act as a new source of CCN (18,21,23). Because of the opposing influence that different sulfur compounds can have on cloud droplet number, it DMSO primarily form the condenses onto pre-existing particles and droplets where oxidation to processing enhances the kinetics of these oxidation processes (18,21,24). produced from DMS oxidation via the OH-addition pathway. (21, 23).480 472 473 474 475 476 477 478 479 482 483 485 486 481 484

487 is important to distinguish between these species to understand their formation and488 evolution in atmospheric aerosols.

489 Previous measurements of DMS oxidation products, primarily sulfate and MSA, 490 typically used off-line bulk analysis techniques. These measurements revealed that 491 particle mass concentrations of both sulfate and MSA peak during the summer, and the 492 ratio of the two species depend on factors such as temperature, presence of clouds, 493 presence of NO_x , and contribution of anthropogenic sulfate (21,25-27). Because sulfate 494 has anthropogenic and biogenic sources, MSA is also measured alone as an indicator of 495 biogenic sulfur. Using on-line instrumentation, Phinney et al. (2006) and Zorn et al. 496 (2008) quantified MSA at sea using an aerosol mass spectrometer (AMS) showing 497 diurnal trends in particulate MSA concentrations and correlations with oceanic biological 498 activity demonstrating the importance of real-time measurements (28-29). Single particle 499 observations of the mixing state of MSA-containing particles have primarily shown MSA 500 to be in the form of sodium and ammonium salts (21,30). While each of these studies has 501 contributed significantly to our understanding of the conditions when MSA formation 502 occurs, the impacts of intense oceanic blooms on MSA and sulfate concentrations at 503 inland locations remains unexplored. A number of important questions exist with regards 504 to MSA in inland urban locations: (i) how much of a contribution does biogenic sulfur 505 make to urban aerosols during periods of high biological oceanic activity?, (ii) what 506 degree of interaction occurs between ocean-derived biogenic emissions and 507 anthropogenic aerosols?, and (iii) how is MSA distributed within individual particles? 508 The goal of this study is to shed further light on these questions by performing real-time, 509 single-particle measurements of MSA-containing aerosols at an inland, urban 510 environment.

511 During the summer of 2005 as part of the Study of Organic Aerosols in Riverside, 512 CA (SOAR-1), real-time mass spectrometry measurements detected individual ambient 513 aerosols with MSA. Furthermore, co-located AMS measurements corroborated the 514 presence of organosulfur species (MSA) during SOAR-1 (31). The summer Riverside 515 aerosol showed the largest impacts from the ocean when daily westerly winds transported 516 coastal emissions across the Los Angeles (LA) Basin to Riverside (32). In the summer of 517 2005, intense blooms of *L. polyedrum* prevailed off the coast of southern California (33). 518 Because dinoflagellate species of phytoplankton such as L. polyedrum are known to 519 produce high concentrations of DMSP and DMSO (34), SOAR-1 was influenced by 520 anomalously high concentrations of ocean-derived biogenic sulfur. Single-particle, size-521 resolved chemistry and diurnal trends of MSA are used herein to elucidate the influence 522 of elevated ocean-derived biological activity on aerosol chemistry at an inland urban 523 location. 524

525 **ii. Experimental**

526 During SOAR-1, ambient measurements were made on the University of California, Riverside campus, approximately 60 miles inland from the Pacific Ocean 527 528 from Julv 30-August 15. 2005 (http://cires.colorado.edu/iimenez-529 group/Field/Riverside05/). Meteorological parameters including wind direction, wind 530 speed, and relative humidity (RH) were measured at the site. Chlorophyll data, which 531 serves as a proxy for oceanic biological activity, was obtained from the Southern 532 California Coastal Ocean Observing System (SCCOOS) (www.sccoos.org) from the 533 Newport Beach station at approximately 33.6°N, 117.9°W. Data was also obtained from 534 the Scripps Institution of Oceanography (SIO) Pier at 32.87°N, 117.3°W to supplement 535 data from the Newport Beach station by illustrating the high levels of biological activity 536 off the California coast from a historical perspective since this station has measured 537 chlorophyll for roughly 20 years. Data from the Newport Beach station is used for direct 538 comparison of chlorophyll concentrations with the detection of MSA based on the air 539 mass back trajectories, which indicate that the air masses traveled closer to this station 540 than the SIO Pier station before reaching Riverside, thus providing a more accurate proxy 541 of biological activity. Surface chlorophyll concentrations were measured twice a week at 542 the SIO Pier, and concentrations at ~ 3 m depth were measured every 4 minutes using 543 automated sensors at both the SIO Pier and the Newport Beach station. All data are 544 presented in Pacific Standard Time (PST), one hour behind local time.

545 The size-resolved chemical composition of individual aerosols was obtained in 546 real-time using an aerosol time-of-flight mass spectrometer (ATOFMS) with a size range 547 of 0.2-3.0 µm. The ATOFMS has been described in detail elsewhere (35). Briefly, 548 particles are sampled through a converging nozzle where they enter a differentially 549 pumped vacuum region causing the particles to be accelerated to their terminal velocity. 550 The particles next enter a light scattering region consisting of two continuous-wave lasers (532 nm) located at a fixed distance from one another. The time required to traverse 551 552 these two lasers is correlated to the terminal velocity of the particle; the velocity is converted to an aerodynamic diameter by calibrating with polystyrene latex spheres of a 553 554 known size. A 266 nm Nd:YAG laser desorbs and ionizes species from individual 555 particles producing both positive and negative ions that are analyzed in a dual-polarity time-of-flight mass spectrometer. 556

557 A software toolkit, YAADA, was used to import ion peak lists into MATLAB 558 (The MathWorks) allowing for the analysis of ATOFMS data (36). Searches for MSA-559 containing particles, characterized by an intense peak at m/z -95 (CH₃SO₃⁻) (37-38), were 560 performed by selecting a peak area of 300 or above for m/z -95. Fresh sea salt particles produce NaCl₂⁻ cluster ions at m/z -93, -95, and -97 (39), which could interfere with the 561 assignment of m/z -95 to MSA. However, almost all of the detected sea salt particles 562 (>99%) were aged as indicated by the strong presence of nitrate and sulfate that 563 heterogeneously displaced chloride (40) allowing for the unambiguous assignment of m/z564 565 -95 to MSA. The measured particle mass spectra were then analyzed using a clustering algorithm (ART-2a), which groups particles together based on mass spectral similarities 566 (41). ART-2a was run separately for submicron (0.2-1.0 µm) and supermicron (1.0-3.0 567 Using ART-2a with a vigilance factor of 0.8, over 90% of MSA-568 um) particles. 569 containing particles and over 80% of non-MSA-containing particles were classified into 50 distinct clusters, providing a representative view of the aerosol composition during the 570 571 study. Naming schemes for the particle types presented in this paper are based on 572 previous work (32,42-43) and discussed in detail in the Supporting Information. Peak 573 identifications within this paper correspond to the most probable ions for a given m/z574 ratio. The particle types observed were aged organic carbon (Aged OC), aged sea salt, 575 amines, Ca-containing, dust, elemental carbon (EC), elemental carbon mixed with 576 organic carbon (ECOC), ECOC and EC mixed with inorganic species (Inorganic ECOC 577 and Inorganic EC, respectively), biomass burning (K-combustion), NH₄-containing,

578 vanadium from combustion sources (OC-V-sulfate), and polycyclic aromatic 579 hydrocarbons (PAH).

580 iii. Results and Discussion

581 a. Temporal Trends of MSA-containing Particles and Biological Activity

582 ATOFMS measurements during SOAR-1 indicate that up to ~67% of the 583 submicron (0.2-1.0 μ m) and up to ~33% of supermicron (1.0-3.0 μ m) particles by number 584 contained MSA. The average negative ion mass spectrum for a representative MSA-585 containing particle type, shown in Figure S1, clearly shows a distinct ion marker at m/z -586 95 indicative of MSA.

Representative Mass Spectra of MSA-containing Particles and Naming Schemes of Particle Types

589 MSA-containing particles are characterized by an intense peak at m/z -95 590 (CH_3SO_3) (37-38). The average spectra of an OC-V-sulfate particle type mixed with 591 MSA is shown in Figure 3. MSA was found on nearly every particle type typical for the 592 Riverside area including local and transported particle types. Most of the particle types 593 observed in Riverside have undergone significant aging and have acquired secondary 594 species such as ammonium $(m/z + 18 (\text{NH}_4^+))$, nitrate $(m/z - 62 (\text{NO}_3^-))$, and sulfate $(m/z - 62 (\text{NO}_3^-))$ 595 97 (HSO₄)) (32,42). Aged organic carbon (aged OC) particles are characterized by intense peaks at m/z + 27 (C₂H₃⁺), +29 (C₂H₅⁺), +37 (C₃H⁺), and +43 (C₂H₃O⁺) (38,42). 596 597 Elemental carbon (EC) is characterized by carbon cluster ions such as m/z + 12 (C₁⁺), +24 598 (C_2^+) , +36 (C_3^+) ,... C_n^+ (44). Elemental carbon organic carbon (ECOC) particles show 599 markers for both EC and OC (44). Aged sea salt particles are characterized by m/z +23 (Na^+) , +62 (Na_2O^+) , +63 (Na_2OH^+) , (+81, +83 (Na_2Cl^+)), and +165 $(Na_3SO_4^+)$ in addition 600 601 to markers of acquired secondary species such as nitrate $(m/z - 62 (NO_3))$ and sulfate $(m/z - 62 (NO_3))$ 602 -97 (HSO₄) in place of chloride (m/z -35, -37 (Cl⁻)) (39). The amine particle type is characterized by intense peaks at m/z +86 ((C₂H₅)₂NCH₂⁺) and +118 ((C₂H₅)₃NOH⁺) 603 (42,45). Dust particles are characterized by inorganic species such as ${}^{23}Na^+$, ${}^{24}Mg^+$, 604 ²⁷Al⁺, ³⁹K⁺, ⁴⁰Ca⁺, ⁵⁶Fe⁺, and silicates (46). Inorganic EC and inorganic ECOC particles 605 are EC or ECOC, respectively, associated with an intense inorganic (e.g. ${}^{39}K^+$ or ${}^{40}Ca^+$) 606 ion peak. Ca-containing particles contain an intense peak at m/z +40 (Ca⁺), but are most 607 likely associated with combustion processes rather than dust due to their smaller size and 608 their association with carbonaceous ion markers (42,47). K-combustion particles are 609 610 characterized by an intense peak at m/z +39 (K⁺) and lower intensity OC peaks (48). 611 NH₄-containing particles contain an intense peak at m/z + 18 (NH₄⁺) and lower intensity 612 OC peaks (32,42). OC-V-sulfate particles are characterized by intense ion markers at m/z. 613 +51 (V⁺) and +67 (VO⁺), lower intensity OC peaks, and sulfate $(m/z - 97 (HSO_4))$ (42,49-614 50). PAH particles contain intense peaks at m/z + 39 (C₃H₃⁺) and +43 (C₂H₃O⁺) and 615 repetitive OC peaks of lower intensity (51).



616

617 Figure 3: Average positive and negative ion mass spectra for the OC-V-sulfate particle type

618 containing MSA (*m/z* -95) during SOAR-1.





620 Figure 4: (a) Time series showing the fraction of MSA-containing submicron (red line) and 621 supermicron (blue line) particles during SOAR-1 and chlorophyll concentrations (green line) taken 622 from the Newport Beach station (33.6°N, 117.9°W) at 3 m depth. Gaps in chlorophyll data occur 623 from August 2-4. Inset shows typical HYSPLIT 48 hour back-trajectories for air masses arriving to 624 the sampling site during different time periods in addition to the locations of the automated 625 chlorophyll stations. Each trajectory is taken at 500 m altitude, and each point on the trajectory 626 corresponds to a 12-hour increment. (b) Time series showing the corresponding wind speed (black 627 line) and direction (pink line).

In Figure 4a, the fractions of all submicron and supermicron particles containing MSA and chlorophyll concentrations taken from the Newport Beach station at 3 m depth from July 30-August 15, 2005 are shown. The inset in Figure 4a shows 48-hour HYSPLIT air mass back-trajectories (52) representative of those occurring over the 632 duration of the study in addition to the locations of the two automated stations collecting 633 chlorophyll data. A comparison of the data collected at the two automated stations can be 634 found in the Supporting Information (see Figure 5). Three main air mass trajectory 635 patterns were observed with transport times estimated from HYSPLIT ranging from ~8 636 hours to longer than a day (32,52): (i) "Coastal" occurred between July 30-August 9 and 637 resumed August 12-15 with sampled air masses originating from the Pacific Ocean 638 northwest of LA traversing near the Newport Beach station before arriving to Riverside, 639 (ii) "Open Ocean" occurred August 9-10 and originated further from the coast toward the 640 open ocean, and (iii) "Inland/Stagnant" occurred August 10-12 with limited oceanic 641 transport resulting in an observed decrease in the fraction of MSA-containing particles on 642 August 10 as shown in Figure 4a. Additionally, Figure 4b shows the corresponding wind 643 speed and direction during this time period. Comparison of the time series in Figure 4a 644 and 1b shows a strong diurnal trend with fractions of MSA-containing particles 645 increasing ~6-8 hours following the onset of westerly winds and increased wind speed during coastal transport conditions, as verified by HYSPLIT air mass back trajectories 646 647 (e.g. 7/30-8/9 as shown in Figure 4a). Day-to-day variations can be explained, in part, by 648 changes in meteorological conditions.





Figure 5: Chlorophyll data at 3 m depth from the Newport Beach (33.6°N, 117.9°W) and SIO Pier
(32.87°N, 117.3°W) automated stations. Inset is chlorophyll data measured at the surface of the SIO
Pier.

653 2. Chlorophyll Data from the SIO Pier and the Newport Beach Stations

The SIO Pier and Newport Beach automated stations record chlorophyll data at approximately 3 m depth every 4 minutes. Additionally, surface chlorophyll concentrations are measured twice per week at the SIO Pier. As seen in Figure 5, the 657 chlorophyll concentrations taken at 3 m depth for both stations are comparable showing 658 similarities in diurnal trends and similar magnitudes of chlorophyll concentrations. Inset 659 in this figure is the surface chlorophyll data taken at the SIO Pier. The chlorophyll 660 measurements show elevated concentrations and a diurnal pattern consistent with the presence of a phytoplankton bloom. Although the SIO Pier station has two depths of 661 662 chlorophyll data and a longer continuous data set, the data from the Newport Beach 663 station was primarily used in this paper due to the fact that the air masses traveled closer 664 to this station than the SIO Pier station before reaching the sampling site as seen in Figure 4. Chlorophyll data from the SIO Pier station provided a historical context to base our 665 666 assertion that high levels of biological oceanic activity occurred during SOAR-1.

667 In addition to meteorology, oceanic biological activity also influenced the observed fractions of MSA-containing particles. On August 12, the air masses follow the 668 669 "Coastal" trajectories; however, in contrast to July 30-August 9, the fractions of MSA 670 remained low (Figure 4a). This is attributed to an observed decrease in oceanic chlorophyll concentrations to $\leq 5 \mu g/L$ (Figure 4a), which followed the end of the major 671 phytoplankton bloom on August 11 (17). Prior to this, diurnal spikes in both the fraction 672 673 of MSA-containing particles and chlorophyll concentrations were observed with daily chlorophyll concentrations typically reaching up to ~25-30 µg/L. Similar spikes in 674 chlorophyll were observed from the same depth at the SIO Pier in La Jolla, CA. At the 675 same time, surface chlorophyll concentrations measured twice per week at the SIO Pier 676 677 reached as high as $\sim 200 \ \mu g/L$. Analysis of 18 years of surface chlorophyll measured at 678 the SIO Pier yields an average of 2.5 μ g/L with a maximum of 218.95 μ g/L (53) indicating that anomalously high levels of biological activity were occurring off the coast 679 680 of California during SOAR-1 resulting in the detection of large number fractions of 681 MSA-containing particles, as shown herein. Furthermore, we speculate that some of the MSA detected in Riverside could oxidize completely impacting sulfate levels at locations 682 683 further inland; however, no measurements were made at these locations. The 684 contribution of biogenic sulfur during summer has been established for several coastal 685 locations (25-27); however, these are the first real-time, single-particle measurements of MSA at an inland location during a period of intense biological activity establishing how 686 687 oceanic biological activity could impact both MSA and, potentially, sulfate levels at an 688 inland urban location in California under the proper meteorological conditions. This is significant due to the interest in sorting out the major sources of sulfate in California and 689 690 determining the relative proportions from anthropogenic sources (i.e. ships, heavy duty 691 diesel vehicles) versus biogenic sources (i.e. oceanic biological activity).

692 b. Single-Particle Mixing State of MSA-containing Particles

693 Riverside is impacted by local sources including vehicle exhaust and nearby 694 Chino dairy farms, which contribute to ammonium, nitrate, carbonaceous, and amine concentrations, in addition to transported particle types from the LA coast, which provide 695 a source of ocean-derived aerosol species and additional sources of combustion aerosols 696 697 (42-43,54). To gain further insight into the sources and processes contributing to the presence of MSA, we examined the mixing state of MSA-containing particles. The size-698 699 resolved, single-particle mixing state of MSA-containing particles is illustrated in Figure 700 6 for submicron and supermicron particles. While Figure 6 classifies MSA-containing 701 particles into general particle types based on the most prevalent ion peaks, it is important 702 to note that \sim 76% and \sim 45% of MSA-containing submicron particles, by number, were 703 internally mixed with ammonium and sodium, respectively, and $\sim 83\%$ and $\sim 71\%$ of 704 MSA-containing supermicron particles, by number, were internally mixed with 705 ammonium and sodium, respectively. The prevalence of these two species with MSA is 706 expected based on previous measurements of the mixing state of MSA-containing 707 particles (21,30). Additionally, ~87% of MSA-containing submicron and ~82% of MSA-708 containing supermicron particles contained sulfate $(m/z - 97 (HSO_4))$, which is expected 709 since DMS and, to a lesser extent, MSA oxidation also produces sulfate (18,24). In sum, 710 $\sim 25\%$ of all submicron and $\sim 22\%$ of all supermicron particles, by number, contained 711 However, since ATOFMS measurements cannot distinguish biogenic and sulfate. 712 anthropogenic sulfate contributions to m/z -97, the relative contribution of biogenic 713 sulfate cannot be inferred herein. MSA was mixed primarily with transported and aged 714 particle types, and a higher percentage of submicron particles contained MSA (up to 715 67%) in comparison to the supermicron particles (up to 33%) likely due to the enhanced 716 particle surface area in this size range (see Figure S3, which is discussed in further detail 717 in the Supporting Information).



718

Figure 6: MSA-containing particle types plotted as a function of size. Submicron (0.2-1.0 μm)
 particles are plotted in 0.05 μm bins while supermicron (1.0-3.0 μm) particles are plotted in 0.1 μm
 bins.

722 **1. Enhancement of MSA-containing Particles in the Submicron Mode**

ATOFMS provides data of both single-particle chemical composition as well as particle size in real-time. Because of this, the size distribution of MSA-containing particles for SOAR-1 can be compared to all sampled particles. MSA and its organosulfur precursors are condensable species that contribute to particle growth rather than nucleation (18,23). MSA has typically been measured as sodium or ammonium salts associated with smaller aerosol particles ($d>2 \mu m$) (30). Because our measurements have shown a wide variation in the mixing-state of particles containing MSA, the size 730 distribution of MSA-containing particles was examined and compared to the size 731 distribution of the total hit particles during SOAR-1 (Figure 7). The particle detection 732 efficiency of the ATOFMS depends on particle size. This is namely due to the 733 transmission efficiency of the nozzle inlet, which creates a sharp peak at 1.7 μ m (55-56). 734 Ambient number concentrations of aerosols; however, display an opposing trend with 735 higher number concentrations at smaller particle sizes (57). These two opposing factors 736 result in a bimodal size distribution as seen in Figure 7, which is a representative size distribution of hit particles obtained from the ATOFMS. Comparison of the size 737 738 distribution of the total hit particles and the size distribution of MSA-containing particles 739 shows that a higher fraction of the submicron $(0.2-1.0 \text{ }\mu\text{m})$ particles contained MSA (up 740 to 67%) than the supermicron particles (up to 33%) showing a relative enrichment of 741 MSA on submicron particles.



Figure 7: Size distributions of all hit particles (black line) during SOAR-1 and only MSA-containing
 particles (blue line).

742

745 Comparison of MSA-containing particles with all observed particle types for 746 SOAR-1 revealed that some particle types contained little to no MSA while large 747 fractions of other particle types contained MSA. No MSA was detected on submicron 748 dust, and only ~3% of the total observed supermicron dust contained MSA, which is 749 expected since dust is locally produced unlike MSA (54). Interestingly, only small 750 fractions of the measured carbonaceous particle types (e.g. Aged OC, EC, and ECOC) 751 were found to contain MSA. It is possible that the accumulation of secondary species 752 particularly OC, which was the most commonly observed carbonaceous particle type, on 753 pre-existing particles during transport from the LA coast to Riverside could potentially 754 mask the detection of MSA (43,54). Certain particle types, however, were found to be enriched in MSA: approximately 37% and ~20% of the total observed OC-V-sulfate 755 756 submicron and supermicron particles, respectively, and ~33% of aged sea salt submicron 757 particles contained MSA. The OC-V-sulfate particle type is associated with residual fuel combustion primarily from ships (49-50,58). OC-V-sulfate particles and aged sea salt are 758

both coastally emitted along with DMS, which suggests that DMS oxidation products
primarily partitioned onto coastal particle types that then underwent aging as they were
transported inland. Therefore, MSA is a useful marker for segregating transported versus
locally generated particles.

763 c. Correlation of MSA with Other Species

764 During SOAR-1, MSA-containing particles were typically associated with fog 765 processing markers (see Figure 3) at m/z -81 (HSO₃⁻) and -111 (HOCH₂SO₃⁻), which are 766 the ion markers for the organosulfur compound hydroxymethanesulfonate (HMS) 767 (37,51,59). Figure 8 illustrates the temporal trends observed for submicron MSA, V, 768 sulfate, and HMS-containing particles and RH. The correlation between V and MSAcontaining particles ($R^2=0.57$) can be attributed to the fact that they were both coastally 769 770 emitted as well as the potential catalytic role of vanadium in enhancing MSA on particles 771 described in the next section. Submicron particles containing MSA and sulfate were also correlated (R^2 =0.68) potentially implying a common source for both species. 772 The correlation between MSA and HMS was very strong ($R^2=0.84$), which suggests the 773 774 important role of aqueous phase chemistry in MSA formation (24) as well as the 775 hygroscopic nature of MSA (18). Previous studies have shown HMS tracking RH during 776 stagnant fog events (59); however, HMS was not correlated with RH during this study 777 suggesting that the formation of HMS was not due to local increases in RH. HMS was 778 instead correlated with MSA suggesting that MSA-containing particles had undergone 779 aqueous phase processing either coastally or during transport to Riverside.



780

Figure 8: Temporal profile of ATOFMS counts of submicron MSA- (red line), HMS- (green line),
sulfate- (black line) and V- (brown line) containing particles. Relative humidity (RH) is also shown
(dashed blue line).

784 **d. Role of Vanadium in MSA Formation**

Vanadium is one of the most common metals observed in Riverside, particularly during coastal transport conditions (17,43). Vanadium is used to catalyze the oxidation of sulfur species such as S(IV) (60) and DMS (61) under industrial conditions. To better

788 understand the observed correlation between vanadium and MSA-containing particles 789 described above, the relationship between MSA and vanadium was further investigated. 790 MSA-containing particles internally mixed with vanadium were separated from those not 791 mixed with vanadium (see Figure 10 in the Supporting Information), and the amount of 792 MSA on each particle type was compared by averaging ion peak areas. The peak area of 793 a particular m/z can be related to the relative amount of a specific chemical species on 794 each particle type (62-63); Figure 9 shows a comparison for the major particle types 795 detected during the study. During the laser desorption/ionization process, variations in 796 ion intensity can occur depending on the chemical matrix of the particle (62). It is 797 important to note that the particles shown in Figure 9 have been separated into different 798 matrices, based on general particle type, and the MSA ion intensities are only compared 799 for the same chemical matrix. Furthermore, vanadium represents a small mass fraction of 800 the total particle mass (50) so its presence or absence has a negligible effect on the 801 overall chemical matrix. As shown in Figure 9, the peak area of MSA found on particles 802 types that contained vanadium was, in general, ~3 times larger when compared to similar 803 matrix particles not containing vanadium adding strong support that vanadium is acting 804 as a catalyst for the formation of MSA. Another factor that must be considered is that the 805 increased amount of MSA on particles internally mixed with vanadium could be solely 806 due to the fact that both species were emitted along the coast leading to increased time for 807 MSA formation to occur on these particles during transport to Riverside. However, if 808 this were the case, then it would be expected that other coastally emitted particle types 809 such as aged sea salt would also have higher amounts of MSA present regardless of 810 whether it was internally mixed with vanadium or not. As shown in Figure 9, only the 811 particles types, including aged sea salt, internally mixed with vanadium had higher 812 amounts of MSA. This shows that transport time is not the only factor leading to enriched MSA in particles. Residual fuel also contains high levels of iron (64), which is 813 814 known to catalyze sulfur oxidation in the aqueous phase (65). Because the ionization 815 potential for vanadium is much lower (6.75 eV for V vs. 7.90 eV for Fe) (66) and hence 816 the ATOFMS sensitivity for vanadium is higher than for iron, the possibility that iron is 817 actually present and playing a role in catalyzing MSA formation rather than vanadium 818 cannot be ruled out. However, these results show that different particle types emitted by 819 specific anthropogenic sources, in this case residual fuel burning from ships, enhance 820 MSA and, potentially, sulfate production in atmospheric particles.

821

822Figure 9: Average peak area of MSA (m/z -95) for MSA-containing submicron particle types mixed823with V (light blue triangles) and submicron particles containing no V (orange triangles) are shown in824the top panel. The average peak area of MSA on supermicron particles containing V (dark blue825diamonds) and containing no V (red diamonds) are shown in the bottom panel. The vertical bars826correspond to 95% confidence intervals associated with the peak areas.

827 1. Comparison of MSA-containing Particles Mixed with V and without V

828 MSA-containing particles were grouped by particle type and subdivided into 829 particles with and without V by segregating particles containing m/z +51 (V⁺) and +67 830 (VO^{+}) . ATOFMS utilizes laser desorption/ionization corresponding to an energy input of 831 4.7eV/photon (at 266nm) (66) producing ~9.4eV for a two photon ionization. This 832 energy input makes this technique very sensitive to trace metals since the ionization 833 potential of metals is low (63,66-67). Because of this sensitivity, a true distinction 834 between particles mixed with V and those without V can be made. Figure 10 shows 835 representative spectra from one particular particle type (aged sea salt) mixed with 836 vanadium (Figure 10a) and without vanadium (Figure 10b). From Figure 10a and 10b, 837 one can see a qualitative increase in the ion peak intensity of MSA (m/z -95). This was 838 further investigated in Figure 9 for each particle type revealing a consistent increase in 839 the ion peak intensity of MSA for particles mixed with V. One factor that must be 840 considered is that during the laser desorption/ionization process, variations in ion 841 intensity can occur depending on the chemical matrix of the particle (63). However, 842 comparing the ion peak intensity of MSA for the same particle type with and without V 843 reduces complications associated with matrix effects.

844

Figure 10: Representative average aged sea salt positive and negative ion mass spectra of particles (a)
 mixed with and (b) without V.

847 e. Atmospheric Implications

848 These measurements reveal how high biological oceanic activity can impact 849 aerosol chemistry in an inland, urban environment. Periods when high levels of oceanic 850 biological activity were observed correlated with high levels of MSA at an inland location, particularly on particles containing vanadium. 851 Past measurements have 852 primarily examined the influence of MSA on aerosols in clean marine environments. Our 853 measurements, however, show that MSA can also condense onto anthropogenic particle 854 types as well as sea salt. Future studies at multiple sampling locations spaced along a 855 trajectory from the LA coast to inland locations should be conducted to further 856 investigate how the mixing-state of MSA-containing particles evolves in urban locations. 857 Previous ATOFMS studies have demonstrated the evolution of particle mixing-state 858 within the LA Basin; however, time periods influenced by high levels of oceanic 859 biological activity were not investigated (54).

This study shows how anthropogenic aerosols can influence the atmospheric 860 861 processing of biogenically emitted sulfur species. Enhanced production of MSA has been observed for reactions between MSIA(aq) and Fe(III) (68); however, little is known 862 863 about the ability of anthropogenic emissions to influence the processing of ambient 864 biogenic sulfur emissions. This study demonstrates the catalytic abilities of vanadium to 865 enhance MSA formation; vanadium has also been shown to enhance the conversion of 866 anthropogenically produced SO_2 to sulfate in a recent single-particle study (49). 867 Typically, biogenic and anthropogenic sources are considered separately when describing 868 aerosol and air pollution chemistry; however, this study highlights the importance of 869 including anthropogenic aerosols from sources such as ships when estimating the 870 production of MSA and sulfate in coastal and urban environments. Finally, both MSA 871 and sulfate strongly influence particle hygroscopicity meaning that the enhanced 872 production of either of these species by anthropogenic particle types could have 873 significant implications for cloud droplet formation in both marine and inland 874 environments.

875 **iv. Acknowledgements**

876 The authors would like to acknowledge Paul Ziemann (UC Riverside), Ken 877 Docherty (CU, Boulder), the UC Riverside Air Pollution Research Center, and the entire Prather group, particularly Laura Shields, for assistance during the SOAR-1 field 878 879 The authors also thank Meagan McKay and the Goldstein group (UC campaign. 880 Berkeley) for providing wind direction, wind speed, and relative humidity data. This 881 work was supported by the California Air Resources Board. K. Pratt has been funded in 882 part by an NSF Graduate Research Fellowship (2006-2009) and an EPA STAR Graduate 883 Fellowship (2005-2006). The EPA has not officially endorsed this publication, and the 884 views expressed herein may not reflect the views of the EPA. The authors gratefully 885 acknowledge the NOAA Air Resources Laboratory (ARL) for the provision of the 886 HYSPLIT transport and dispersion model and/or READY website 887 (http://www.arl.noaa.gov/ready.html) used in this publication. The authors also gratefully 888 acknowledge the Southern California Coastal Ocean Observing System (SCCOOS) 889 (www.sccoos.org/) for the provision of chlorophyll data used in this publication.

892 2. Real-time, single-particle volatility, size, and chemical composition measurements 893 of aged urban aerosols

894 i. Introduction

895 Atmospheric aerosol particles impact global climate, regional air pollution, and 896 human health (15). Originating from a variety of sources, such as biomass burning, fossil 897 fuel combustion, and dust suspension, primary aerosol particles undergo physical and 898 chemical transformations (atmospheric aging) during transport (15). Heterogeneous 899 reactions of particles with trace gases and gas-particle partitioning of semivolatile 900 species, such as ammonium nitrate and oxidized organics, contribute to changes in 901 particle size, structure, and chemical composition (15). Thus, atmospheric aging 902 provides challenges in the identification of the major particle sources connected with 903 human health risks, making source-specific regulations difficult (69).

904 To examine the sources and chemical aging pathways of different particle types, a 905 thermodenuder (TD) can be used to heat ambient particles, inducing the vaporization of 906 semivolatile species and leaving behind the non-volatile particle cores (70-71). Size-907 resolved volatility data, provided by measuring the size distributions of particles before 908 and after heating, is often used to infer the mixing state of the particle core, which is most 909 commonly assumed to be primarily black carbon (71). Volatilization and humidification 910 tandem differential mobility analyzer (VH-TDMA) provided an increased understanding 911 of ambient particle mixing state through hygroscopic behavior measurement (72). 912 However, it is challenging using conventional techniques to interpret the size-resolved 913 volatility data for particles containing multiple chemical components and externally 914 mixed aerosol ensembles that are typically observed in the atmosphere (70). Further, few 915 studies have measured the chemistry of heated atmospheric particles to directly determine 916 their mixing state. Frey et al. (73) found that black carbon (BC) mass concentrations 917 tracked mass concentrations of less-volatile particles during periods of local traffic 918 influence; increased disagreement between BC mass and less-volatile mass was found 919 during periods of long-range transport. An aerosol mass spectrometer, measuring the 920 chemical composition of non-refractory particulate species, was used to examine bulk 921 ambient particle residuals in real-time in Tokyo, Japan (74) and Mexico City (75). 922 However, it is important to measure the complete chemistry including refractory species, 923 such as black carbon, mineral dust, and sea salt, as these species could contribute 924 significantly to the low volatility particle core.

925 Herein, an automated TD was coupled to an aerosol time-of-flight mass 926 spectrometer (ATOFMS) to provide the first real-time, individual-particle size and 927 volatility-resolved chemistry measurements. Using laser desorption-ionization, both the 928 refractory and non-refractory particulate species are measured, providing the first on-line 929 measurements of the chemistry of heated individual particle residuals. Aerosol particles 930 undergo significant atmospheric processing within the Los Angeles (LA) basin, and thus, 931 the vast majority of unheated particles show evidence of organic carbon, ammonium, 932 amines, and nitrate accumulated during transport (76-77). A comparison of the chemistry 933 of the unheated aged particles with the heated particle cores is presented, to help provide 934 an improved understanding of particle volatility and ambient aerosol sources.

935 ii. Experimental

936 ATOFMS measurements of single ambient aerosol particles were conducted 937 during the Study of Organic Aerosols field campaign in Riverside, California from 938 November 2-13. 2005 (SOAR-2) (http://cires.colorado.edu/jimenez-939 group/Field/Riverside05/). Ambient temperature and atmospheric water content were 940 measured using a shielded Vaisala HMP 45AC temperature and RH probe. The ground-941 based prototype of the aircraft (A)-ATOFMS, described in Chapter 2, measured the 942 vacuum aerodynamic diameter (d_{va}) and dual-polarity mass spectra of individual particles 943 from ~100-1000 nm in real-time. For simplicity, A-ATOFMS will be referred to 944 throughout this chapter as ATOFMS. During SOAR-2, particles were desorbed and 945 ionized using 266 nm radiation from a Q-switched Nd:YAG laser operating at ~0.4-0.8 946 mJ. Polystyrene latex spheres of known physical diameter from 95-1400 nm were used 947 to complete the single-particle size calibration.

948 To examine the volatilities of the ambient particles, an automated valve-949 controlled TD system (75) was utilized in series with the ATOFMS. A nation dryer was 950 used to dry the particles before the TD. With continuous aerosol flow through the TD 951 (heated) and bypass line (unheated), the particles sampled by the ATOFMS switched 952 between heated and unheated ambient aerosol every 10 minutes. The heated portion of 953 the TD stepped through 8 temperatures: 171°C, 230°C, 201°C, 171°C, 142°C, 113°C, 954 83°C, 54°C. Since each portion of the schedule was maintained for 10 minutes, one full 955 cycle took 160 minutes before repeating. An activated carbon diffusion denuder 956 prevented volatilized species from condensing back onto the particles. With a flow rate 957 of 0.6 lpm, the residence time of the aerosol in the heating portion of the TD was approximately 9 seconds. For further details and characterization of the TD, refer to 958 959 Huffman et al. (75).

960 Every 10 minutes, the ATOFMS switched between sampling either unheated and 961 heated (54-230°C) ambient particles. In this work, particle residues remaining at 230°C 962 are referred to as cores, although a specific morphology is not defined herein. A total of 963 1,390,199 size-resolved dual-polarity mass spectra were collected with the ATOFMS 964 from Nov. 2-13, 2005. Data collection times were adjusted for delays in transport lines 965 between the TD and ATOFMS. Data collected up to 20 seconds after the TD valve 966 switch were eliminated to reduce error caused by possible aerosol mixing. Overall, dual-967 polarity mass spectra from 717,705 unheated and 462,982 heated particles were utilized 968 Single-particle mass spectra were imported into YAADA in this analysis. (www.yaada.org), a software toolkit for Matlab (The MathWorks, Inc.). An adaptive 969 970 resonance theory-based clustering method (ART-2a) (78) was used to classify single-971 particle mass spectra with a vigilance factor of 0.80, learning rate of 0.05, and 20 972 iterations. ART-2a classifies particles into separate clusters based on the presence and 973 intensity of ion peaks in individual single-particle mass spectra. Peak identifications 974 correspond to the most probable ions for a given m/z ratio based on previous lab and field 975 studies; the peak area of a specific m/z is related the amount of a specific species on each 976 particle (62). General particle classes are defined by characteristic chemical species or 977 possible source; these labels do not reflect all of the species present within a particular 978 particle class.

For comparison of SOAR particles to particulate source emissions, particle signatures from heavy duty diesel vehicles (HDDV) and gasoline-powered light duty 981 vehicles (LDV) were acquired during dynamometer source studies (79). Fresh ship 982 emissions were acquired during sampling at the Port of Los Angeles (80). The fresh 983 wildfire plume particle mass spectral signature was acquired during a flight over a 984 prescribed burn in Wyoming. The mass spectral signature of unreacted sea salt was 985 acquired during sampling along the California coast, and the unreacted dust signature was 986 acquired in the lab from suspended soil (81).

Size-resolved number concentrations of ATOFMS particle classes were calculated using a method described previously by Reinard et al. (82). A scanning mobility particle sizer (SMPS, model 3081, TSI, Inc.) in series with the TD provided size-resolved number concentrations of unheated and heated particles during SOAR-2. Particle mobility diameters (d_m) measured by the SMPS must be converted to d_{va} using the following equation, discussed in detail by DeCarlo et al. (83):

993
$$d_{va} = \frac{\rho_{eff}}{\rho_o} d_m \tag{1}$$

994 where ρ_{eff} is the effective density and ρ_o is the standard density (1.0 g/cm³). Average 995 size-resolved number concentrations were calculated for 103-995 nm (d_{va}) particles, 996 using an ρ_{eff} of 1.4 g/cm³, which was representative of most submicron SOAR-2 particles, 997 which were spherical due to the condensation of water, organics, and ammonium nitrate 998 (84). Using average fractions of ATOFMS particle classes for different size bins, sizeresolved number concentrations were calculated for unheated and heated particle classes.

1000 iii. Results and Discussion

1001 a. Ambient Aerosol Chemistry

1002 From Nov. 2-13, westerly winds were observed from ~9:00-16:00 each day with 1003 diurnal trends in RH and ozone; most trajectories showed transport times of up to 12 hours from LA, Irvine, or San Diego to Riverside (76). An average PM_{2.5} (particulate 1004 matter < 2.5 μ m) mass concentration of 42 μ g/m³ was observed with build-up and 1005 stagnation periods leading to an observed maximum of 106 μ g/m³ (76). Individual 1006 1007 ambient submicron (100-1000 nm) unheated and heated particles were classified into 1008 thirteen general particle classes: aged organic carbon (OC), aromatic, amine, ammonium-1009 rich, elemental carbon-organic carbon (ECOC), inorganic-ECOC, elemental carbon (EC), 1010 vanadium, biomass, aged sea salt, dust, metals, and nitrate-sulfate particles with no 1011 positive ions (NoPos). The vast majority (~85%) of unheated particles showed evidence 1012 of organic carbon, ammonium, and nitrate accumulated during transport to Riverside (76-1013 77); sulfate was also present in most (\sim 70%) particles. The relative contributions of these 1014 particle classes with respect to size are shown in Figure 11. Mass spectral signatures and 1015 size-resolved number concentrations of the aged OC, EC, vanadium, and biomass 1016 burning particles classes at ambient and 230°C are shown in Figure 12.

1017 The particles in the aged OC class, which comprised ~60% by number of all 1018 unheated particles from d_{va} ~100-1000 nm, contained oxidized organic carbon species,


1019

1020 Figure 11: Size-resolved chemical composition of a) unheated and b) 230°C heated particles.

1021 Size resolution is 10 nm and 50 nm for the ranges of 100-350 nm and 350-1000 nm, respectively. 1022 Relative fractions of 230°C particle cores are illustrated for c) 100-150 nm, d) 200-250 nm, and 1023 e) 750-800 nm.



1025 Figure 12: Average ATOFMS representative mass spectra and size-resolved number 1026 concentrations of unheated and 230°C heated particles for a) aged OC, b) EC, c) vanadium, and 1027 d) biomass burning particle classes.

amines, ammonium, nitrate, and sulfate. The mass spectra were dominated by carbonaceous marker ions at m/z 12(C⁺), 27(C₂H₃⁺/CHN⁺), 36(C₃⁺), 37(C₃H⁺), 43(CH₃CO⁺/CHNO⁺), and 86((C₂H₅)₂N=CH₂⁺), an alkylamine fragment (38,45). Other notable ions include ammonium (m/z 18, NH₄⁺), nitrate (m/z -62, NO₃⁻, and -125, H(NO₃)₂⁻), and sulfate (m/z -97, HSO₄⁻) due to the aged nature of the Riverside particles (54). Previously, secondary organic carbon has been shown to comprise 50% of the total 1034 $PM_{2.5}$ (particulate matter < 2.5µm) organic carbon mass in Riverside County in 1035 November (85).

Mass spectral signatures for the EC particles, attributed to vehicle emissions, were 1036 characterized by intense carbon cluster positive and negative ions from $C^{+/-}$ to $C_n^{+/-}$ with 1037 less intense nitrate and sulfate markers (86-87). EC particles comprised $17 \pm 1\%$ by 1038 number in the unheated 103-153 nm d_{va} size bin, with reduced contribution (3-10%) at 1039 1040 greater diameters. With heating, nitrate was vaporized from the particles, concurrent 1041 with a decrease in particle size. Vanadium-containing particles, attributed to ship, 1042 automobile, and industrial emissions (76), were characterized by intense positive ions at 1043 m/z 51(V⁺) and 67(VO⁺) with less intense carbonaceous ion peaks (76). The negative 1044 ions are characterized by nitrate, sulfate, and phosphate. Ammonium, OC, and nitrate 1045 volatilized upon heating. The biomass burning particle class, which comprised ~10-24% 1046 by number of all unheated particles from d_{va} 100-400 nm, was characterized by an intense 1047 potassium ion with less intense carbonaceous positive ions; the negative ions are 1048 dominated by nitrate and sulfate (48,88). With heating, ammonium, amines, nitrate, and 1049 semi-volatile OC volatilized from the particles.

1050 Other particle types included: aromatic, amine, ammonium, ECOC, inorganic-1051 ECOC, aged sea salt, dust, and metals. The mass spectral signatures and size-resolved 1052 number concentrations of these particle classes are shown in Figure 13. The aromatic 1053 particles were characterized by carbonaceous marker ions, aromatic fragment ions (m/z1054 $51(C_4H_3^+)$, $63(C_5H_3^+)$, $77(C_6H_5^+)$, $115(C_9H_7^+)$, $165(C_{13}H_9^+)$, $189(C_{15}H_9^+)$), а 1055 monoaromatic molecular ion (m/z 139(4-nitrophenol)), and polycyclic aromatic 1056 hydrocarbon (PAH) molecular ions (m/z 128(naphthalene), 152(acenaphthylene), 1057 202(pyrene/fluoranthene), 276(benzo [ghi] perylene)) (38,86). Nitrate (m/z -46, NO₂, 1058 and -62, NO₃) and sulfate are present in the negative ion mass spectra. With heating, 1059 nitrate is removed from these particles. The presence of m/z -26(CN), -42(CNO), 1060 $-43(CH_3COH)$, and $-80(SO_3)$ become readily apparent in the 230°C spectra. The 1061 presence of m/z -26 and -46 is indicative of organonitrate and nitro-PAH compounds in 1062 diesel exhaust (89). The amine-rich particle class was dominated by m/z $86((C_2H_5)_2N=CH_2^+)$ with less intense amine marker ions at m/z, $58(C_2H_5NHCH_2^+)$, 1063 $102((C_2H_5)_3NH^+)$, and $118((C_2H_5)_3NOH^+)$ (45). 1064

1065 The ammonium-rich positive mass spectra are dominated by m/z 18(NH₄⁺) and 1066 30(NO⁺) with less intense OC and amine marker ions; the negative ions are characterized 1067 by intense nitrate markers: m/z -62(NO₃⁻), -125(H(NO₃)₂⁻), and -188((H₂(NO₃)₃⁻) (90). It 1068 is important to note that these nitrate clusters correspond to periods with very high 1069 ambient nitrate mass concentrations. Ammonium nitrate is formed when NO_x, emitted 1070 from vehicles, is oxidized to nitric acid (HNO₃) and reacts with gas-phase ammonia 1071 (NH₃), primarily from livestock emissions (91). At







1073

Figure 13: Average ATOFMS representative mass spectra and size-resolved number
concentrations of unheated and 230°C heated particles for the following particle types: a)
aromatic, b) amine, c) ammonium-rich, d) ECOC, e) inorganic-ECOC, f) aged sea salt, g) dust, h)
metals (Zn-rich shown). A size distribution is not shown for the ammonium-rich particle class
due to low ATOFMS particle counts.

1080 maximum, the ammonium-rich particle type contributed $2 \pm 1\%$ by number to the 1081 unheated d_{va} 892-995 nm size bin. The relatively large size of these unheated ammonium 1082 nitrate particles is likely due to the Kelvin effect, wherein ammonium nitrate deposits on 1083 larger particles where surface curvature effects on vapor pressure are minimal (92).

1084 ECOC particle mass spectra are dominated by carbon cluster ions at m/z 12(C⁺), $24(C_2^+)$, and $36(C_3^+)$ with less intense OC and amine ion peaks. The negative ion mass 1085 spectra are characterized by nitrate $(m/z - 62, NO_3, -63, HNO_3, and -125, H(NO_3)_2)$ and 1086 sulfate. Calcium (m/z 40, Ca⁺) and the carbon cluster ions at m/z 48(C₄⁺), 60(C₅⁺), 1087 1088 $-24(C_2)$, $-36(C_3)$, and $-48(C_4)$ became more apparent with heating due to the loss of a 1089 semi-volatile ammonium, OC, and nitrate. Further, the appearance of m/z -79(PO₃⁻) with 1090 heating indicates that m/z -97 can be attributed to both HSO₄ and H₂PO₄; the loss of 1091 nitrate with heating causes more electrons to be available in the LDI plume that can 1092 attach to neutral phosphate and form ions.

1093 The aged sea salt particles were characterized by intense sodium, potassium, 1094 nitrate, and sulfate ion markers with smaller ammonium and carbonaceous ion markers 1095 (76). With heating, ammonium, amines, and nitrate are volatilized from these aged sea 1096 salt particles. The mass spectra of a representative calcium-rich dust particle type are 1097 shown in Figure 13g and are characterized by inorganic peaks at m/z 23(Na⁺), 24(Mg⁺), 1098 $40(Ca^+)$, $56(CaO^+)$, $57(CaOH^+)$, $-46(NO_2^-)$, and $-62(NO_3^-)$ (93). With heating, CN^- (m/z -1099 26), silicates $(m/z - 44, SiO^2, and -60, SiO_2)$, and phosphate $(m/z - 63, PO_2, -79, PO_3, and$ 1100 -97, H_2PO_4) become prominent with the loss of nitrate. The mass spectra of a 1101 representative zinc-rich particle type are shown in Figure 13h and characterized by zinc (m/z 64, 66, 68), zinc chloride (m/z 99, 101, 103), nitrate, and phosphate with smaller 1102 1103 carbonaceous ion peaks. With heating, ammonium, OC, and nitrate are volatilized. 1104 Figure 14 shows representative average mass spectra of 230°C heated particles for four 1105 other metal-rich particle types: a) Ba-rich, b) Pb-rich, c) Mo-rich, and d) Sn-rich.

1106 With aging, secondary species can mask particles' primary source "fingerprints". Thus, heating with the TD can be used to volatilize non-refractory secondary species, 1107 1108 making the primary source signature more identifiable, as described below. Since gas-1109 particle partitioning occurs more commonly than nucleation in urban environments, an 1110 understanding of the primary particle population is important in understanding urban air 1111 pollution as gas/particle partitioning depends not only on surface area and volume, but 1112 also on the chemistry and resulting interactions on the surface of the available primary 1113 particles (15).

1114 b. Chemically-Resolved Volatility

Overall, a systematic decrease in particle size was observed with heating from ambient temperature (average 16°C, range 8-26°C) up to 230°C, as shown in Figure 15. However, the strength of the TD-ATOFMS system is that it directly measures the sizeresolved chemical composition of the individual particle residues following heating, providing an improved understanding of the original sources of the particle cores. For the aged OC particles, the most abundant submicron particle type in Riverside, the particles shifted to smaller diameters with heating from 54-230°C (Figures 11 and 16).





Figure 14: Representative average positive and negative mass spectra of 230°C heated particles 1124 for four metal-rich particle types: a) Ba-rich, b) Pb-rich, c) Mo-rich, and d) Sn-rich. 1125 1126





1129 nm) as measured by the SMPS from Nov. 2-13 for ambient temperature to 230°C. Variation over 1130 the course of the study is shown by standard error bars.





Figure 16: a) Size-resolved number concentrations of unheated and heated (54-230°C) aged OC particles. b) Fractions of ammonium, nitrate, and sulfate remaining at different TD temperatures (54-230°C) for aged OC particles with respect to the vaporization temperature ranges of ammonium nitrate (94) and ammonium sulfate (72).

1137 Parallel ATOFMS particle optical measurements showed that these aged OC particles 1138 were spherical (84), allowing average particle volume to be calculated, from which the 1139 approximate volume fraction of the average aged OC particle remaining at each TD 1140 temperature (54-230°C) was calculated. The volatilization of ammonium (m/z 18, NH_4^+), 1141 nitrate (m/z -62, NO_3^-), and sulfate (m/z -97, HSO_4^-) were then examined with respect to

1142 TD temperature (54-230°C) for all of the aged OC particles (Figure 16). For comparison,

1143 the vaporization temperature ranges of ammonium nitrate and ammonium sulfate are 48-1144 89°C (94) and 178-205°C (72), respectively. Through comparison of average volume 1145 and ion marker peak area fractions remaining at different TD temperatures, approximate 1146 contributions of secondary species to the average unheated particle may be calculated. 1147 These are likely conservative estimates as they are biased by the measurement of 1148 particles in the 100-1000 nm (d_{va}) size range, and thus, they cannot account for particles 1149 entering this range from larger sizes (>1.0 μ m) and leaving this size range as they shift to 1150 the ultrafine mode (<100 nm). For aged OC particles, ammonium nitrate contributes $22 \pm$ 1151 6% to the average particle volume, assuming that ammonium nitrate is the primary 1152 volatile component below 113° C. Further, ~90% of the nitrate was found to be in the form of ammonium nitrate; the remaining $\sim 10\%$ of the nitrate was found to be primarily 1153 1154 non-volatile aminium nitrate salts (Chapter 5). The decrease in sulfate peak area from 1155 201-230°C was correlated with the decrease in ammonium due to the loss of ammonium 1156 sulfate; considering the volume loss from 201-230°C, ammonium sulfate is estimated to 1157 contribute $19 \pm 9\%$ by volume to the average unheated aged OC particle. Volume loss 1158 from 113-171°C is attributed primarily to the loss of organic carbon species $(6 \pm 7\%)$; 1159 however, while organic carbon was observed to volatilize across the temperature range, 1160 some of the organic carbon in the form of oligomers (Chapter 3) remained at 230°C, as 1161 shown by the organic carbon markers in Figure 12. In addition to organic carbon and 1162 aminium nitrate salts, sulfate remaining at 230°C was in the form of sulfuric acid, aminium sulfate (Chapter 5), and likely organosulfates within these aged OC particles. 1163

The increase in sulfate intensity with heating to 201°C (Figures 17-19) is hypothesized to be caused by the loss of nitrate, whereby more electrons were available in the laser desorption-ionization plume to attach to the sulfate and form ions. Recent SOAR-1 results from Huffman et al. (95) found increased sulfate mass at 142°C compared to ambient temperature. There is also some evidence of possible recondensation of ammonium sulfate at 230°C prior to the activated carbon diffusion denuder (75).

1171 To further investigate the impacts of single-particle mixing state on volatility, 1172 secondary species on vanadium-containing, biomass burning, aged sea salt, and dust 1173 particles were examined (Figures 12, 17, 18, and 19). Similar to the aged OC class, 1174 nitrate was found to be primarily in the form of ammonium nitrate for the vanadium-1175 containing and biomass burning particle types. However, sulfate remaining at 230°C is 1176 hypothesized to be in the forms of VOSO₄ and K₂SO₄, which volatilize at 600°C and 1177 above 1000°C, respectively (96-97). For the aged sea salt and dust particle classes, the 1178 heated size distributions of these particle types included supermicron particles that shrank 1179 into the submicron size range with heating, resulting in higher number concentrations at 1180 230°C compared to ambient temperature (see Figure 19). For the aged sea salt class, a 1181 significant fraction of the nitrate and sulfate did not





Figure 17: a) Size-resolved number concentrations of unheated and heated (54-230°C) vanadium-1185 containing particles. b) Fractions of ammonium, nitrate, and sulfate at different TD temperatures 1186 (54-230°C) for vanadium-containing particles with respect to the vaporization temperature ranges 1187 of ammonium nitrate (94) and ammonium sulfate (72).



Figure 18: a) Size-resolved number concentrations of unheated and heated (54-230°C) biomass
burning particles. b) Fractions of ammonium, nitrate, and sulfate at different TD temperatures
(54-230°C) for biomass burning particles with respect to the vaporization temperature ranges of
ammonium nitrate (94) and ammonium sulfate (72).



Figure 19: Fractions of ammonium, nitrate, and sulfate at different TD temperatures (54-230°C) for a) aged sea salt and b) dust particles with respect to the vaporization temperature ranges of ammonium nitrate (94) and ammonium sulfate (72).

1200 volatilize at 230°C, suggesting nitrate and sulfate were in the forms of NaNO₃ and Na₂SO₄, which volatilize at $>300^{\circ}$ C, similar to NaCl (71). During transport across the 1201 1202 LA basin, sea salt particles undergo heterogeneous chemical reactions, wherein nitric acid and sulfuric acid react with NaCl (77). The average dust particle contained a 1203 1204 significant fraction of ammonium nitrate; however, nearly half of the nitrate was present 1205 in non-volatile forms, such as $Ca(NO_3)_2$. Thus, the volatilities of sulfate and nitrate were 1206 found to be dependent on particle mixing state. Therefore, the volatility of a particular 1207 species cannot be assumed to be the same for all particles, even in an aged urban environment, such as Riverside. Future publications will investigate the predicted versus
 measured gas-particle partitioning of various chemical species with respect to season and
 measured gas-particle partition of various chemical species with respect to season and

1210 particle class.

1211 c. Aerosol Core Chemistry at 230°C

1212 The TD-ATOFMS system allowed the complete chemistry of individual ambient 1213 particle cores at 230°C to be measured for the first time. As discussed above, secondary 1214 coatings of ammonium nitrate, organic carbon, and ammonium sulfate volatilized with heating. Figure 11 shows the relative fractions of particle types observed in Riverside 1215 1216 with respect to size for the 230°C heated particles; the evolution of the size-chemistry distribution from ambient temperature to 230°C is shown in Figure 20. Two secondary 1217 1218 semivolatile particle types, amine and ammonium-rich, volatilized prior to 230°C. The 1219 abundance of the amine particles, present from ambient temperatures up to 142°C from 1220 ~170-1000 nm, decreased by ~63% with heating above 54°C with only ~8% by number





1222

Vacuum aerodynamic diameter (nm)

1223 Figure 20: Size-resolved chemical composition for the 13 general particle types for: a) unheated, 1224 b) 54°C, c) 83°C, d) 113°C, e) 142°C, f) 171°C, g) 201°C, and h) 230°C. Size resolution is 10 1225 nm and 50 nm for the ranges of 100-350 nm and 350-1000 nm, respectively.

present above 113°C, suggesting these amine species are quite volatile. The ammoniumrich particle type, consisting of primarily ammonium nitrate, was not present at 54°C, consistent with laboratory studies showing a volatilization temperature range of 48-89°C for ammonium nitrate (72). As these two semivolatile particle types are internal mixtures of carbonaceous and inorganic species, it is likely that these heated particles shifted to <100 nm in diameter or became reclassified as aged OC particles following volatilization of the amines or ammonium nitrate, respectively.

1233 The aged OC and vanadium-containing particle types decreased significantly in 1234 size with increasing temperature, with the majority of remaining particles estimated to be 1235 less than 100 nm in diameter (Figure 11). Aged OC particles accounted for ~60% of the 1236 unheated submicron (100-1000 nm) particles by number; the relative contribution of 1237 these aged OC particles decreased with heating, particularly for the larger (>350 nm) 1238 particles, accounting for only $\sim 20\%$ by number of the 230°C particles across all sizes. 1239 For particles >100 nm, the average aged OC particle diameter decreased from 242 ± 2 nm 1240 to 177 ± 12 nm with heating to 230° C, showing the significant fraction of volatilized 1241 species. Considering the average particle volume, less than $53 \pm 7\%$ by volume of the 1242 average submicron aged OC particle was found to remain at 230°C; this represents an 1243 upper limit since most particles shifted to <100 nm with heating. For the vanadium-1244 containing particle type, the average particle diameter decreased from 334 ± 35 nm to 1245 201 ± 37 nm with heating to 230° C; this corresponds to a volatile fraction of at least $64 \pm$ 1246 15%. Previously, volatility measurements have shown a volume loss of ~80% with 1247 heating to 350°C for submicron urban particles (98).

1248 The size distributions of several particle classes (aromatic, ECOC, inorganic-1249 ECOC, EC, and biomass burning) did not show significant shifts with heating, as 1250 expected for fresh particles containing smaller fractions of secondary species. Previous 1251 thermodenuder studies of traffic-related particles showed that, prior to heating at 280°C, 1252 nearly 100% of particles with initial diameters of 150 nm were non-volatile (99). The 1253 biomass burning particle results agree with previous findings that biomass burning 1254 particles are less volatile with a greater fraction of refractory OC than pollution plume 1255 particles (100). In particular, biomass burning particles have relatively large cores due to 1256 the presence of refractory salts, such as KCl and K₂SO₄, which volatilize at temperatures above 700°C, as well as soot (97,100). The mode positioned at ~180 nm for 230°C is 1257 1258 consistent with that of fresh biomass burning emissions and was the largest of all 1259 combustion-type particles observed (101).

As expected, the aged sea salt and dust particles had the largest particle diameters at 230°C. As discussed in the above section, the fractional contributions of aged sea salt and dust particle types increased with heating primarily due to the volatilization of semivolatile coatings and water from supermicron particles (76). The increased contributions of sea salt and dust particles to the larger submicron particle cores can be seen in Figure 11.

With heating, the percentage of particles with metal signatures increased by a factor of 6 at 54°C, due to the volatilization of semivolatile species, causing these particles to be reclassified as metal-rich. The most abundant metals observed at 230°C included vanadium, zinc, barium, lead, molybdenum, and tin (Figures 11, 13, and 14). Posing negative human health effects, trace metals present in particulate matter are of considerable importance (69). However, small metal particles produced in combustion

1272 fumes quickly become coated in the atmosphere, making detection difficult. A detailed 1273 discussion of the mass spectral signatures, sources, and temporal patterns of metal-rich 1274 particles detected during SOAR is presented elsewhere (102); however, it is important to 1275 note that metals are not typically detected in aged environments by single particle mass 1276 spectrometry as they are masked by high levels of secondary coatings. Based on these 1277 thermodenuder results, it appears they must be masked by high levels of secondary 1278 coatings, suggesting that the overall fraction of metal-containing particles is greater than 1279 previously estimated (102). However, there is some evidence of toxicity related to the 1280 chemical composition of the particle surface (69), and, thus, studies are needed to 1281 determine the relative toxicity of coated (aged) versus uncoated metal-containing 1282 particles. Future laboratory studies will quantify the amount of secondary coatings 1283 necessary to mask these trace metal signals and examine corresponding health impacts. 1284 In summary, at 230°C, the main particle types present from 100-150 nm were elemental 1285 carbon (29% by number), OC (27%), and biomass burning (15%). Correspondingly, biomass burning (51%) and OC (25%) were the primary particle types at 200-250 nm, 1286 1287 and sea salt (47%) and dust (15%) were found at 750-800 nm. Future studies will size-1288 select particles prior to TD heating to better quantify the fractions of secondary species 1289 The TD-ATOFMS method has provided insight into the versus particle core. 1290 contributions of different forms of secondary species, such as ammonium nitrate vs. 1291 sodium nitrate, with respect to individual particle cores.

1292 d. Comparison of Particle Cores with Source Emissions

1293 If the semivolatile material volatilized from the particle phase at 230°C is 1294 composed of mostly secondary species, then the particle core should resemble nonvolatile primary particle-phase source emissions. To test this hypothesis, the mass 1295 1296 spectral signatures of the aged unheated and 230°C heated particles were each compared 1297 to freshly emitted particles from previous source studies, described in the supporting 1298 information, using a method similar to Toner et al. (79). Briefly, the mass spectral 1299 signatures of the SOAR-2 particle types were compared to the mass spectral signatures 1300 resulting from various source studies; dot products were calculated for the ion peaks in 1301 the compared spectra (Figure 21). Lower dot products (i.e. blue) indicate less similarity 1302 between the SOAR-2 and source particles; whereas high dot products (i.e. red) indicate more similarity. To examine the similarity of the unheated and 230°C heated aged OC 1303 1304 particles, the mass spectra were compared, giving a dot product of 0.62, illustrating the 1305 dramatic change in particle chemical composition with heating. The aged OC particles 1306 were likely primary combustion emission particles transformed by the accumulation of 1307 the secondary reaction products (103). It is likely that ammonium nitrate, ammonium 1308 sulfate, amines, and organic carbon were acquired due to condensation and aqueousphase processing during the night when lower temperatures and higher relative humidity 1309 1310 (average 72%, range of 16-96%) were encountered (104). Following removal of semivolatile species with heating to 230°C, concurrent with a decrease in particle size, 1311 1312 the heated OC particle mass spectral signature was nearly identical to that of fresh OC 1313 particles emitted from light duty vehicles (LDV)



Figure 21: Dot product comparisons of mass spectral signatures of freshly emitted source particles (light duty vehicles (LDV), heavy duty diesel vehicles (HDDV), wildfire, sea salt, and ust) with unheated and 230°C heated SOAR-2 particles.

1319

(86). The dot product between the LDV emissions (86) and Riverside particles increases
with heating from 0.67 for the unheated particles to 0.94 for the 230°C heated aged OC
particles.

1323 Other heated particle types also resembled fresh vehicle emissions (Figure 21). 1324 For the aromatic particle type (Figure 13), similar polycyclic aromatic hydrocarbon (PAH)-containing particles have been previously identified in heavy duty diesel vehicles 1325 1326 (HDDV) and LDV emissions (86-87). The dot product comparison between HDDV 1327 aromatic particle emissions and these Riverside particles improves with heating from 1328 0.55 to 0.93, showing a nearly identical signature to HDDV emissions at 230° C. 1329 Similarly, the mass spectral signature of the ECOC particle type resembles fresh HDDV 1330 emissions previously detected by ATOFMS (87) with the dot product increasing from 1331 0.68 to 0.92 with heating. The Ca-rich ECOC particles were likely residual oil particles 1332 from HDDV exhaust (105); Na/K-dominant ECOC particles were likely unburned fuel 1333 particles from LDV exhaust (106). The 230°C Ca-rich ECOC particles showed an 1334 improved match (0.59) to the HDDV Ca-ECOC (105) compared to the unheated particles 1335 (0.10); however, the relatively low dot product observed for the heated Ca-ECOC 1336 particles suggests that another unidentified source could also be contributing to these 1337 particles. The EC mass spectral signature resembles fresh HDDV emissions (87) with the dot product increasing from 0.20 to 0.91 with heating. The heated vanadium-containing particles were an excellent match to vanadium-containing LDV particles (86) (0.95) and vanadium-containing ship emissions (80) (0.94), increasing from 0.64 and 0.62 for the unheated particles, respectively.

1342 For unheated particles characterized by distinctive source signatures, the results 1343 were mixed. Comparison of the aged biomass particles with fresh biomass particles 1344 collected within a wildfire plume provides a good match with both the unheated (0.78) 1345 and 230°C heated particles (0.85) since potassium dominates the comparison. Unlike 1346 other particle types, the dot product between fresh sea salt and the aged sea salt does not 1347 increase with heating (0.54 vs. 0.46), due to the irreversibility of chloride replacement by 1348 nitrate and sulfate during atmospheric aging. The mass spectral signature of the heated 1349 aged dust particles is similar to that of fresh suspended dust particles (81) with a dot 1350 product of 0.71 compared to 0.39 for the unheated dust; however, similar to the sea salt, 1351 the lower observed dot product for the heated dust particles is due to irreversible 1352 heterogeneous chemical reactions.

Thus, the TD-ATOFMS method has been shown to apportion particles in highly aged environments to their original sources, while providing insight into the relative contributions of primary and secondary species. Future TD-ATOFMS studies in different environments are expected to further our understanding of primary particle sources and gas/particle partitioning.

1358 iv. Acknowledgements

1359 The authors acknowledge Paul Ziemann (UC-Riverside), Ken Docherty (CU-Boulder), and the UC-Riverside Air Pollution Research Center for support during SOAR-1360 1361 2 and Alex Huffman and Jose Jimenez (CU-Boulder) for use of the TD system. Mike Cubison (CU-Boulder) provided SMPS data. Megan McKay and the Goldstein group 1362 1363 (UC Berkeley) provided ambient temperature and relative humidity data. The authors 1364 thank the Prather group, particularly Laura Shields, Xueying Qin, and Stephen Toner, for 1365 support during SOAR-2. This work was supported by the California Air Resources 1366 Board. K. Pratt was funded in part by an NSF Graduate Research Fellowship (2006-1367 2009) and an EPA STAR Graduate Fellowship (2005-2006).

1369 3. Source apportionment of PM_{2.5} in Athens (Greece) and Mexico City using 1370 an ATOFMS derived mass spectral source library

1371

1372 **i. Introduction**

1373 Proper source apportionment of ambient particles is important with regards to 1374 understanding their origin, as well as determining the roles they may play in the 1375 environment and affecting human health. The ability to apportion ambient particles 1376 quickly and accurately will be very helpful for environmental and health agencies and for 1377 monitoring and enforcing emission standards. This kind of application is also useful for 1378 global climate and pollution modelers who desire to know the contribution of specific 1379 sources in a given region rather than using estimated numbers from emission inventories 1380 (107-111). Traditional methods of ambient aerosol classification and apportionment 1381 typically use filter or impactor based applications where aerosols are collected on a 1382 substrate and then analyzed with offline techniques (112-114). Other methods have 1383 apportioned particles to sources based strictly on the size distribution and concentration 1384 of ambient aerosols (115-117). More recent techniques using mass spectrometry on single particles, such as aerosol time-of-flight mass spectrometry (ATOFMS) (35,118), 1385 1386 have proven very useful for determining the size resolved chemical composition of 1387 aerosols (48,119-121). One of the issues with the single particle mass spectrometry 1388 techniques is that the classification, labeling, and apportionment of particles based on 1389 their mass spectrum is dependent upon user interpretation. This can result in 1390 inconsistencies with labeling of similar classes and apportionment.

The methods for ATOFMS single particle data analysis and classification have 1391 1392 been developing and progressing over the years. Some methods have included: sorting 1393 through individual spectra by hand, which can be extremely time consuming for large 1394 datasets; simple m/z peak searching using basic table database structures; and databases where mathematical algorithms can be used to cluster the data based on user defined 1395 1396 parameters. The major progress has come from incorporating data clustering methods 1397 with mathematical algorithms such as ART-2a, K-means, and Hierarchical Clustering (7-1398 9,122-123). These techniques have been shown to accurately cluster particle spectra 1399 within given similarity thresholds (8-9,119,124-126). These methods fail to label (or 1400 classify) the particle types they have been used to cluster. That process is still determined 1401 by the user which can succumb to biases or overly generalized classification. The use of 1402 a mass spectral source library for apportioning ATOFMS single particle data has been 1403 described and shown to work with minimal error in a fresh emission environment (127-1404 128). The next progressive step is to use the method on more aged environments and/or 1405 other global areas to see if a mass spectral library developed for one location is 1406 representative of the same sources around the world. The goal of this study is to test the 1407 mass spectral source library matching method on ATOFMS data collected in more 1408 polluted environments (Athens, Greece and Mexico City) to determine if the library 1409 signatures are applicable in other locations and with other ATOFMS instruments.

1410 ii. Experimental

1411 The use of a single mass spectral library for source apportionment of ATOFMS 1412 data, for any sampling location, is a very desirable commodity. To date, ATOFMS 1413 ambient particle mass spectral data are typically clustered using the ART-2a algorithm and then visually characterized (119,129). The inherent weakness of such a method is 1414 1415 that user bias can sway the classification results, and the homogeneity of an ART-2a 1416 cluster can vary depending on the parameters used for clustering. Using a mass spectral 1417 library, built from ART-2a generated clusters from various source characterization 1418 studies, is a novel approach to eliminating user bias when classifying single particle data. 1419 This approach can also help reduce misclassification of particles because the clusters 1420 within the source library do not change as particles are added to them during the The library matching method uses a variation of the ART-2a 1421 apportionment step. 1422 algorithm known as match-ART-2a (www.yaada.org) (130). This method is different 1423 than the standard ART-2a clustering method by having particle clusters (or seeds) already 1424 defined. These seeds are the particle source signatures from the source library which are 1425 described below. As particle spectra are compared mathematically, taking the dot 1426 product, to the seed spectra in the library, they either will match to specific source seeds 1427 above a defined vigilance (or similarity) factor (VF), or they may not match to any of the 1428 source seeds. If the particle matches to a particular source seed above a designated dot 1429 product VF threshold, then the particle is assigned to that type. If the particle matches to 1430 two or more different source seeds above the VF, then it will be assigned to the one that 1431 provided the highest dot product. The dot product values range between 0 and 1, where a 1432 dot product of 1 means the particle spectra are identical. Particles that do not match to 1433 any of the source seeds are grouped in an unclassified category.

1434 The current source library contains seeds for seven specific sources (gasoline 1435 powered light duty vehicles (LDV), heavy duty diesel vehicles (HDDV), biomass 1436 burning, dust, sea salt, meat cooking, and industrial emissions) and has seeds for seven 1437 other general particle types (elemental carbon (EC), aged organic carbon (aged OC), aged 1438 elemental carbon (aged EC), amines, PAH's, vanadium-containing, and NH_4 -containing) 1439 for particles that may not match into any of the seven specific sources. The source 1440 specific seeds were obtained from both laboratory and ambient field studies conducted 1441 with the ATOFMS. For example, the HDDV and LDV clusters were generated from data 1442 acquired from dynamometer studies as well as from a freeway-side study. Likewise, the 1443 dust source signatures were obtained from lab studies of resuspended dust and soil as 1444 well as from dust particle classes detected from various ATOFMS studies around the 1445 world. The sea salt, industrial, and non-source specific seeds were generated exclusively 1446 from particle classes detected from ATOFMS ambient studies. The non-source specific 1447 aged types were created from ATOFMS ambient studies where the particle types exhibit 1448 ion peaks attributed to aging (i.e. SOA, nitrate, sulfate, and ammonium) and are to 1449 convoluted to assign to a specific source. While there are not a vast amount of specific 1450 sources currently in the library, the current types represent major particle types found in 1451 urban, marine, and rural areas (112,131-139). The library is adaptive and can have more 1452 source signatures added to it as future source characterization and ambient studies are 1453 conducted. Such sources include a variety of industrial emissions, coal combustion, and 1454 cigarette smoking, as well as increasing the detail on the vehicle source seeds and with 1455 more aged source particle types.

1456 It is very important to test the source library on multiple ATOFMS instruments in 1457 order to insure that they can be universal for the ATOFMS community around the world. 1458 The library, as it currently stands, is not completely universal just yet. Since the library is 1459 open-source, it can always have new source spectra added to it or even have ones 1460 removed if they are found to interfere with proper apportionment. The idea is for the 1461 library to be openly available to the ATOFMS community and for it to evolve as users 1462 modify the library with their own source data.

1463 The source signature library matching technique was previously tested on 1464 ATOFMS ambient data obtained in a location dominated by "fresh" emissions near a 1465 freeway (Chapters 4 and 5) (127-128). To test whether particles can be apportioned in 1466 different environments, as well as with ATOFMS instruments used by different research 1467 groups, data from two different global locations were chosen. The first study is Athens, Greece (37°59'12.24"N 23°43'30.73"E), which was conducted in August of 2003 using a 1468 TSI 3800 ATOFMS owned and operated by the Harrison research group out of the 1469 1470 University of Birmingham (140). The second study is Mexico City, Mexico (19°29'23.60"N 99°08'55.60"W), which was conducted in March of 2006 with an in-1471 house built ATOFMS instrument that was also used for some of the original source 1472 1473 characterization studies used to build the source library (141). The experimental methods as well as the general classification of the particles are described for both studies in the 1474 literature (140-141). The ATOFMS data from both of these studies were analyzed 1475 1476 (separately) using the source library with match-ART-2a at a VF of 0.85 which represents a very high VF. This is the same VF used in Chapter 4 which showed a low error of 4% 1477 1478 for aerosol apportionment at VF = 0.85 (127). The same VF is used again for this study 1479 to test if such a high VF can be used to apportion particles in more polluted (and aged) 1480 regions. The effect of varying the VF are shown and discussed in the Appendix 3. The 1481 source apportionment results from the mass spectral library matching method are 1482 discussed and compared to the traditional ART-2a classified particles reported in the 1483 literature for both studies (140-141).

1484 iii. Results and Discussion

1485 a. Source apportionment of ambient particles in Athens, Greece

1486 The ambient particles detected with the ATOFMS used by Dall'Osto et al. in 1487 Athens, Greece were analyzed using the match-ART-2a technique with the mass spectral 1488 source signature library. As was previously mentioned, a VF of 0.85 was used for the 1489 library matching process. For this particular analysis, the submicron (200 – 1000 nm) 1490 and supermicron (1000 - 3000 nm) particles were analyzed separately in order to 1491 illustrate the chemical differences that typically distinguish the two modes. The 1492 submicron mode particles are typically due to combustion sources and/or agglomeration 1493 and condensation processes, while the supermicron mode aerosols are typically 1494 represented by mechanically driven processes. Figure 22 shows the temporal series of 1495 the submicron (Figure 2A) and supermicron (Figure 22B) apportioned particles along with their respective total ATOFMS particle counts (white trace). It is apparent by 1496 1497 looking at Figure 22 that there is a large number of particles that are not apportioned to 1498 any sources (i.e. unclassified) by this matching process at VF = 0.85. The reason for this 1499 is because the data collected for this study has many spectra with low signal to noise and 1500 a large fraction (~15% in the submicron and over 35% in the supermicron) of spectra 1501 with miscalibrated peaks (140). These noisy and miscalibrated spectra typically do not 1502 match to the source library spectra, especially at a high VF of 0.85, as their ion peaks 1503 simply do not match. For this reason, matching was also carried out at a lower VF and 1504 with a different technique using only the positive ions for the unclassified particles to see 1505 how these parameters affect the matching process.

1506 As shown in Figure 22A, biomass burning contributes to the majority (~33%) of 1507 ambient submicron particles. This result agrees with findings in Dall'Osto et al., 2006; however, the particles attributed to biomass by library source matching were more 1508 1509 generically labeled as secondary carbon by Dall'Osto et al. Despite being labeled as 1510 secondary carbon by Dall'Osto et al., inspection of these particles revealed that they

1511 contain a large peak due to potassium and exhibit very strong similarities to the biomass



1513 Figure 22: Temporal series of the mass spectral source library matching results for

1514 Athens, Greece ambient A) submicron particles; and B) supermicron particles.

1515

1516 signatures (matching with dot products > 0.9). The summer of 2003 produced record temperatures and an intense wildfire season throughout Europe. These wildfires have a 1517 1518 major impact on the air quality over the Mediterranean area (142), and explain why 1519 biomass aerosols appears as a consistent background during this study. Since these 1520 biomass particles have been transported to the Athens site, it is likely that much of the 1521 carbon they contain is due to the uptake of secondary organic carbon. Elemental carbon 1522 particles (EC Pos Only) make up the next largest fraction (14%) of particles for the 1523 submicron mode. This particle type has been shown before to correlate with vanadium 1524 particles in a coastal environment, and may be indicative of ship emissions (128,143).

The other major contributors to the submicron particles are dust, HDDV, and LDV at 7%, 9%, and 4% respectively. There are also more minor contributions from sea salt, aged OC, vanadium, amines, and industrial emissions. It is interesting to note that there is no strong diurnal variation for any of the source fractions, nor with the total ATOFMS submicron counts. This may be another indication of a fairly constant regional background as described for the biomass particles.

1531 As can be seen in Figure 22B for the supermicron mode, the majority of the 1532 classified particles are from dust (21%) and sea salt (11%). Both local and transported 1533 dust have been shown to be a major fraction to the particulate matter in Athens in prior 1534 studies (144-146), as well as by Dall'Osto et al. for this study (140). This is a good 1535 indication that the matching results for the classified particles are accurate. The particles 1536 apportioned to sea salt do show some temporal variations, which may a function of local 1537 winds. Since much of Greece is surrounded by the Mediterranean Sea, and the closest 1538 coastline to the sampling site is 6.5 km, it seems very reasonable that there be a contribution from sea salt to the supermicron mode. As shown in Figure 22B, almost 1539 1540 60% of the supermicron particles were not classified by the library matching technique though. As stated earlier, this is because over 35% of the supermicron spectra have 1541 1542 miscalibrated ion peaks for this study. Also contributing to the supermicron mode are 1543 particles from biomass burning, industrial emissions, diesel emissions, and some aged 1544 OC and amines. The matching percentages for each source are summarized in Figure 28.

1545 Figure 23 shows the size resolved source apportionment of the ATOFMS detected 1546 ambient particles for Athens, Greece. As can be seen in this figure, biomass particles 1547 represent the major fraction of the submicron particles, but only down to 250 nm. To note, the biomass particles are not 100% pure biomass particles since they have 1548 1549 transported to the Athens site and contain secondary species (organics, nitrate, and sulfate) on them. The influence from both HDDV and LDV emissions can be seen 1550 1551 throughout the full size range of the submicron particles, but below 250 nm the influence 1552 from diesel emissions is detected as the major particle type. As described by Dall'Osto et 1553 al., the sampling site was located alongside a road with moderate traffic, with more 1554 trafficked roads in relatively close proximity to the site. These findings agree with other 1555 roadside studies, even with high LDV to HDDV traffic ratios, that the smaller particles

Particle Source	Matching VF = 0.85		Matching VF = 0.85**		Matching VF = 0.75	
	Sub %	Super %	Sub %	Super %	Sub %	Super %
Industrial	0.29	0.54	0.72	1.37	0.48	1.23
Sea Salt	1.78	10.45	2.77	19.30	2.66	19.36
Dust	7.18	21.09	11.95	38.64	11.62	41.81
Biomass	32.56	5.11	34.41	6.30	36.57	7.06
LDV	4.30	0.12	5.02	0.18	8.33	0.28
HDDV	8.90	1.42	8.90	1.42	10.37	4.27
Meat Cooking	0.01	0.00	0.01	0.00	0.01	0.00
EC (Positive only)	14.03	0.35	14.03	0.35	13.44	0.37
Vanadium Rich	1.82	0.15	2.04	0.26	2.60	0.29
Aged EC	0.07	0.00	0.07	0.00	0.09	0.00
Aged OC	2.34	0.36	2.34	0.36	4.73	1.02
Amine Containing	0.39	0.07	0.60	0.14	1.36	0.14
PAH Containing	0.62	0.07	0.62	0.07	0.06	0.04
NH4 Containing	0.00	0.00	0.00	0.00	0.00	0.00
Unclassified	25.72	60.26	16.51	31.60	7.69	24.13

Sub = Submicron particles (200-1000nm)

Super = Supermicron particles (1000-3000nm)

** Matching at VF = 0.85 including rematching unclassified particles using positive ion only matching

1556

1557 Figure 23: Percent of Athens, Greece particles matched to the mass spectral source library at

- 1558 different match-ART-2a Vigilance Factors (VF)
- 1559



1560 1561

Figure 24: Size resolved source apportionment of the ATOFMS detected ambient particles for Athens, Greece.

1563 will be dominated by vehicle traffic of which diesel emission can be the largest 1564 contributor (117,127,147-149). The EC positive ion only type as well as vanadium particles are shown to peak between 300 and 1000 nm, just as in a previous coastal 1565 1566 ATOFMS study (128). As was discussed in Chapters 4 and 5, these two classes combined in this size range may be due to ship emissions, however their temporal R^2 1567 1568 correlation for Athens is 0.5, which may indicate separate sources for this site. The 1569 analysis of a current ATOFMS study is underway to determine if these signatures are 1570 ship emissions (143). As discussed for Figure 22B, it can be seen that both dust and sea 1571 salt make up the majority of the classified particles in Figure 23.

1572 b. Source Signature Matching for Athens, Greece

While the ATOFMS mass spectral library contains many spectra for each source, it is interesting to examine the top spectra to which the particles are matched for each study. Figure 24 shows the top library spectra that the ambient Athens particles matched to for each specific source, while Figure 24 shows the top non-source specific source spectra that were matched. The particle types are listed in order (as in Figures 22 and 23) across Figures 24 and 25.

1579 Despite not being one of the major particle types in the Athens ambient aerosol, it 1580 is interesting to note that the particles which match to the industrial spectrum (Figure 24) 1581 are matching to a library cluster that was produced from the Mexico City dataset. This industrial particle type is characterized by the large ion signals due to sodium and 1582 1583 potassium, as well as the presence of aluminum, iron, zinc, and lead, as described in the 1584 literature (141). Such particles, described by Moffet et al., are consistent with those 1585 associated with high temperature combustion sources such as waste incinerators 1586 (141,150). Particles containing these metals have also been detected in prior Athens 1587 aerosol characterization studies (151-155).

1588 The top sea salt, dust, biomass, LDV, and HDDV library clusters that were 1589 matched are typical of those seen in other ATOFMS studies (81,105,140,156-159). The 1590 majority of the sea salt and biomass particles detected for this Athens study show signs of 1591 aging due to the uptake and oxidation of NO_x species. The biomass particles, as well as 1592 the HDDV and LDV particles, also show the presence of HSO_4^- (m/z -97) and SOA (m/z 1593 $^{+}43$). The top dust type for Athens is dominated by the presence of calcium which is 1594 consistent with the findings by Dall'Osto et al., and others for Athens PM_{25} (140,144-1595 145). This dust type has been shown to be transported from the Saharan desert in 1596 previous studies and is commonly detected in the Mediterranean area (145-146,160-161). 1597 The presence of the large nitrate ion peak (m/z - 62) is also an indication that this dust 1598 type has been transported and aged.





Figure 25: The top matching mass spectral source signatures for each source for Athens, Greece ATOFMS data.



1604 602 Athens, Greece ATOFMS data. Figure 26: The top matching non-source specific mass spectral signatures for each type for

1615 1613 617 1616 1614 1612 611 1610 609 8091 1607 906 nitrate species on these particles indicating correlation for the Athens data may suggest different sources for this site. very similar to the ones described in Chapter 5 (128). both show the presence of nitrate, could be produced from a number of different sources. analysis is underway to confirm this, may be due 36, 48, and 60, and the lack of negative ions) and the vanadium (V-rich) particle types are $[H(NO_3)_2]$, which is also on the biomass particles, is an indication of a large amount of for the Athens dataset. Figure 25 shows the top non-source specific library clusters that were matched to secondary species are to ship exhaust emissions as indicated in Chapter 5, but their The EC pos only type (characterized by EC peaks at m/z 12, prevalent in ammonium, as these are relatively general particle types that Athens. SOA (m/z The aged EC and aged OC types As noted earlier, these particles The presence of m/z -125 ⁺43), and sulfate species, low R^2 Further , 24,

1618 : Source apportionment of ambient particles in Mexico City

1619 As was done for the Athens data, the ambient particles detected with the ATOFMS used 1620 by Moffet et al. in Mexico City were analyzed using the match-ART-2a technique with 1621 the mass spectral source signature library. For this particular analysis, the submicron 1622 (180 – 1000 nm) and supermicron (1000 – 3000 nm) particles were analyzed separately, 1623 as done by Moffet et al. for direct comparison of the results. Figure 26A and 26B shows 1624 the temporal series of the submicron and supermicron apportioned particles in the same 1625 manner as in Moffet et al. For submicron particles apportioned with the source library 1626 matching method in Figure 26A, it was found that the majority (34%) of the submicron 1627 particles were attributed to biomass burning. It has been noted in the



1628 1629

1629 Figure 27: Temporal series of the mass spectral source library matching results for Mexico City

ambient A) submicron particles; and B) supermicron particles.

1632 literature that there were many visible brush and agricultural fires around Mexico City 1633 during this study (141,162). Vehicle emissions and dust make up the next largest fractions with 11% LDV, 10% HDDV, and 6% dust. Aged OC (5%), aged EC (3%), 1634 1635 vanadium particles (4%), meat cooking (2%), and PAH containing particles (2%) also make notable contributions to the submicron matched particles. Upon further evaluation 1636 1637 of the apportionment results, it was found that 44% of the particles apportioned to LDV 1638 are similar (with dot products above 0.85) to the aged OC seeds as well. As studies on 1639 Mexico City particulate matter have indicated that secondary organic species dominate 1640 the particle mass (163-168), this could be an indication that some of the aged OC 1641 particles have been incorrectly apportioned as LDV. However, it has been shown that 1642 LDVs produce a large number of OC particles above 100 nm (157). Therefore, a large 1643 amount of these particles can actually be from primary LDV emissions but have 1644 undergone aging and have become coated with secondary organic species. The biomass 1645 and vehicle particles show diurnal trends which agree with the findings in Moffet et al., 1646 2007. The particles matched to the meat cooking library signatures generally peak during 1647 the morning and early afternoon hours, which is when local street vendors were observed Additionally, the particles that matched to the industrial seeds 1648 to be cooking. 1649 (representing 2% of the submicron matched particles) have episodal occurrences, which 1650 also agrees with the findings by Moffet et al. (141). Another 2% of the submicron particles, labeled as "Salt", were matched to sea salt clusters in the source library. Since 1651 Mexico City is a considerable distance (about 250 km) from the ocean, the presence of 1652 1653 such particles could be from the dry lake bed of Lake Texcoco (located ~15 km east) which has regions of salt flats (141,169-172). Due to the fact that these particles are in 1654 the submicron mode, they could also be from combustion processes originating from 1655 1656 industry or from paper refuse incineration. The submicron salt particles closely resemble 1657 the supermicron salt particles; however, about 55% of the submicron salt particles show 1658 the presence of elemental and organic carbon.

1659 As can be seen in Figure 26B for the supermicron mode, the majority of matched 1660 particles are from dust (34%), salt (15%), and biomass (11%) particles. The salt particles show a diurnal pattern, and spike during time periods when the winds are coming from 1661 the east and north-east (141). As mentioned for the submicron salt, with the Texcoco dry 1662 1663 lake bed being located east/northeast of the sampling site, it makes it the likely candidate 1664 for the source of these salt particles. The particles matched to the industrial library seeds 1665 occur in early morning episodes, at the same times as in the submicron mode, and make 1666 up 5% of the particles in the supermicron mode. The matching percentages for each 1667 source are summarized in Figure 28.

1668 It is also apparent from Figure 26 that the amount of unclassified particles for the 1669 Mexico City ATOFMS data (submicron = 16% & supermicron = 25%) is much less than 1670 that for the Athens dataset. This is primarily because there are fewer miscalibrated 1671 spectra for the Mexico City dataset. As with the Athens dataset though, there is a larger 1672 fraction of miscalibrated spectra in for the supermicron particles (~10%) than in the 1673 submicron particles (~3%) in the Mexico City dataset. It is hypothesized that the

Particle Source	Matching VF = 0.85		Matching VF = 0.85**		Matching VF = 0.75	
	Sub %	Super %	Sub %	Super %	Sub %	Super %
Industrial	2.19	4.56	2.42	4.82	3.23	5.53
Salt	1.99	15.19	2.52	18.16	2.38	17.04
Dust	6.26	34.01	7.63	39.32	7.76	40.20
Biomass	34.07	10.99	35.59	12.02	36.48	12.68
LDV	11.19	0.96	11.71	1.03	14.79	1.65
HDDV	9.84	1.43	9.81	1.42	10.55	2.41
Meat Cooking	1.77	2.17	1.77	2.16	1.74	2.15
EC (Positive only)	2.19	0.21	2.19	0.21	2.26	0.22
Vanadium Rich	4.03	0.69	4.40	0.95	5.00	0.95
Aged EC	2.78	0.30	2.77	0.30	2.84	0.35
Aged OC	5.32	1.00	5.32	1.00	6.25	2.29
Amine Containing	0.37	0.17	0.74	0.42	0.85	0.28
PAH Containing	2.17	3.06	1.57	2.73	1.35	2.43
NH4 Containing	0.00	0.03	0.00	0.03	0.00	0.10
Unclassified	15.83	25.23	11.56	15.44	4.51	11.72

Sub = Submicron particles (180-1000nm)

Super = Supermicron particles (1000-3000nm)

** Matching at VF = 0.85 including rematching unclassified particles using positive ion only matching

1675

1676 Figure 28: Percent of Mexico City particles matched to the mass spectral source library at different match-ART-2a Vigilance Factors (VF).





extremely large ion signals (which often exceed acquisition board scale) produced from inorganic particle species, such as dust and salt, detected in the supermicron mode may be the reason for these miscalibrated spectra. Further experiments are needed to confirm this hypothesis though. The unmatched particles that are not miscalibrated are either from sources not in the current mass spectral library, or are particle types that are far more aged than their equivalent types in the library.

1687 Figure 27 shows the size resolved source apportionment of the ATOFMS detected 1688 ambient particles for Mexico City. Similar to what was seen for Athens, Greece; biomass 1689 particles represent the major fraction of the submicron particles down to 250 nm. As 1690 with Athens, the influence from both HDDV and LDV emissions can be seen throughout 1691 the submicron particles, and below 250 nm the influence from diesel emissions is 1692 detected as the major particle type. A major difference between the aerosol for the two 1693 locations is the near absence of the EC positive ion only type in Mexico City. There is a 1694 small amount detected (about 2%) in Mexico City, but, as will be shown below, the 1695 spectra for this type is different from the EC particles detected in Athens. Likewise, the 1696 top vanadium type detected in Mexico City is different than the one seen in Athens, and 1697 does not resemble the vanadium particles thought to be from ship emissions (128,143). 1698 When comparing Figure 27 to Figure 23, it can be seen that the presence of aged OC, 1699 aged EC, meat cooking, and PAH-containing particles are more prevalent at the Mexico City site than at the Athens, Greece site. 1700

1701 d. Source Signature Matching for Mexico City

1702 The top source library spectra that the ambient Mexico City particles matched to 1703 for each specific source are shown in Figure 28, while Figure 30 shows the top non-1704 source specific source spectra that were matched. Since the source signatures for 1705 industrial particles were generated from the Mexico City dataset, it is of no surprise that 1706 the top industrial type matched is the same as the top industrial type described by Moffet 1707 et al., 2007. As mentioned before, this industrial particle type is characterized by the 1708 large ion signals due to sodium and potassium, as well as the presence of aluminum, iron, 1709 and lead, and is consistent with particles associated with high temperature combustion 1710 sources such as waste incinerators (141,150).

The top salt type, which matched to sea salt signatures, is also described by Moffet et al. 1711 1712 as a Na-K class. As previously mentioned, these particles likely come from the Texcoco 1713 dry lake bed which has salt flat regions. This wind suspended salt (or dust) is very 1714 similar to aged sea salt in that it has ion peaks for sodium-water clusters, as well as 1715 sodium nitrate and sodium sulfate (as shown in Figure 28). The top dust type matched in 1716 Mexico City, while containing sodium and potassium, is different from the salt type. The dust contains ion markers for Al⁺, Li⁺, silicates and phosphate, and does not have sodium 1717 1718 nitrate or sodium sulfate as in the salt type.

1719 The top biomass type matched in Mexico City is very similar to that matched for 1720 the Athens dataset except that the Mexico City biomass type is more aged, as it has larger 1721 ion peaks for NO_3^- (m/z -62) and $H(NO_3)_2^-$ (m/z -125). As described by Moffet et al., the 1722 majority of the secondary species found on the particles in Mexico City is from NO_x , 1723 SOA and ammonium. The LDV top matched type is the same library signature that was

1725 1726







Mexico City ATOFMS data. Figure 31: The top matching non-source specific mass spectral signatures for each type for

1727 1728 1729

1738 1737 1736 1735 1733 1732 1731 1739 1734 1730 oleic acid (m/z -255), which is often used as a tracer for meat cooking emissions (173site was located in an industrial area, it has been reported that there was considerable particle phase nitrate). different from the Athens type and shows aging ion peaks due the top type for the Athens dataset, however the top HDDV matched type is The top meat cooking matched type detected in Mexico City exhibits the presence of traffic both from gasoline and diesel vehicles in the area around the sampling site (141). aged particles detected at a freeway-side study (127). sources species. (vehicles and industry) that release gas phase NO_x (which is the precursor for This is expected as Mexico City is more polluted than Athens, and has more This HDDV signature is one that was generated from slightly While the Mexico City sampling to the uptake of NO_x very

1740 174). As mentioned in Moffet et al., 2007, a busy roadway near the sampling site had 1741 street vendors who were often cooking meat during the morning through the afternoon.

1742 Figure 30 shows the top non-source specific library clusters that were matched to 1743 for the Mexico City dataset. As was mentioned in the discussion for the Athens data, the 1744 EC pos only and vanadium-containing particles matched in Mexico are different than 1745 those matched in Athens. These types are hypothesized to come from ship emissions in 1746 Athens, Greece, however, they are more likely from other sources in Mexico City since 1747 Mexico City is a considerable distance from the ocean. While EC is commonly emitted 1748 form a variety of combustion sources, vanadium is often used as a heavy oil marker 1749 which can also come from a variety of sources, including vehicle emissions (157,175), 1750 industrial emissions (176-177), and from oil fired power plants (178-179). The EC 1751 positive ion only type is different than the one detected in Athens in that the ion intensity 1752 for m/z $^+36$ is much greater than that of m/z $^+12$, and the ion peaks for m/z $^+48$ & $^+60$ are 1753 also more intense in Mexico City. These characteristics of the EC positive ion type are similar to those seen for diesel emissions and LDVs that emit large amounts of smoke 1754 1755 from their tailpipe (105,127,157). While this could also be an artifact due to "hot spots" and shot-to-shot variability in the LDI laser used for the ATOFMS (124), that is likely 1756 1757 not the case due. If the types between the two studies were due to the LDI process, there 1758 would be a more random generation of EC pos only types in both studies, and not the 1759 majorities seen for each. This is more of an indication of different particle sources (or 1760 atmospheric processes) with both locations having the majority of the EC pos only 1761 particles being different. Additionally, the vanadium type for Mexico City, shown in Figure 30, is very noticeably different than the type shown for Athens in that the 1762 vanadium in Mexico City has large peaks due to EC in the positive ions. 1763

1764 The top matched vanadium, aged EC, aged OC, and PAH-containing signatures matched in Mexico City show larger peaks for NO_x species in the negative ions than for 1765 Athens. This is likely due to the vastly larger amount of gas-phase and particulate 1766 pollution that is in Mexico City than in Athens. The presence of the NH₄ rich particle 1767 1768 type in Mexico City (shown in Figure 30) is also a strong indication of the amount of 1769 secondary species in the atmosphere there. While this type only accounted for 0.03% 1770 (29,381 of the 979,357 detected supermicron particles) of the matched particles in 1771 Mexico City, this type was not detected at all for the Athens dataset. This could be an 1772 indication that there is either less ammonium containing particles present in the 1773 atmosphere in Athens compared to Mexico City, or more of the ammonium is in the form 1774 of pure ammonium sulfate, or nitrate, in Athens which (in its pure form) is not ionized and detected by the mass spectrometer of the ATOFMS. This is typically a simple 1775 particle type to check for as it will appear in the ATOFMS data as a lot of scattered 1776 1777 particles that do not produce a mass spectrum. This has been referred to as a "missing 1778 type" in previous ATOFMS studies (180). Unfortunately, the data for scattered particles 1779 without mass spectra (or, missed particles) is not available for the Athens dataset to check 1780 for this type.

1781 *e. Future implementations*

1782 A major goal of the source library matching approach is to be able to perform on-1783 the-fly (or real-time) apportionment when running the ATOFMS instrument. 1784 Experimental modifications to the ATOFMS software have been made to include the
1785 particle source library along with a variation of the match-ART-2a algorithm in order to 1786 instantly compare the spectra of each particle to the source library as they are detected with the instrument. The results are then displayed in real time along with the other on-1787 1788 screen displays for the instrument. This kind of application of the source library can be particularly useful for mobile experiments or chase studies where the sampling specific 1789 1790 sources are desired. Since the analysis would be taking place in real-time, the sampling 1791 location could be adjusted accordingly by the user to detect the desired source (or 1792 sources).

1793 The results shown in this paper indicate that the source library matching method 1794 is accurate when apportioning ATOFMS detected ambient particles. Additionally, the 1795 method is much faster in its analysis than the traditional hand classification of ART-2a 1796 results. It can take weeks to months to classify an ATOFMS dataset of a million particles 1797 using the traditional ART-2a clustering followed by hand grouping and classification, 1798 where the match-ART-2a technique with the source signature library can classify the 1799 majority of the particles in same dataset in about 6 hours (using a 3-GHz Pentium 1800 processor computer with 4-Gb of RAM). While the current source library only contains 1801 seeds for seven specific sources (LDV, HDDV, biomass burning, dust, sea salt, industrial 1802 emissions, and meat cooking), these are seven of the largest contributors to ambient 1803 $PM_{2.5}$ in urban areas (112,131-139,176). As more ATOFMS source characterization 1804 studies are conducted, new source signatures can be added to the source library to 1805 increase its diversity and ability to distinguish individual sources of ambient aerosols. As 1806 particles become increasingly aged or agglomerated, identifying their original primary source becomes more difficult. In this case, the particles will be classified as secondary 1807 (or aged) particles since their signatures are now dominated by secondary species. As 1808 1809 many recent studies have shown that SOA can make up 75 - 90 % of the submicron OC particles (166,181-185), it is important to be able to distinguish between primary and 1810 1811 secondary particles. This is why the more general (non-source specific) seeds (EC pos 1812 only, aged OC, aged EC, amines, PAH's, vanadium containing, and NH₄ containing) are 1813 included in the library. Future ATOFMS studies where the particles from specific 1814 sources are put under controlled aging environments, such as in smog chamber or flow 1815 tube experiments, will help resolve the origin of these types of particles and help reduce 1816 the amount of unclassified particles when using the source library matching method. In 1817 addition, such experiments could yield the signatures for the aged version of the source 1818 particles and could allow for the determination of how much secondary species are on the 1819 primary particles.

1820 iv. Acknowledgements

The authors thank Laura Shields, Sharon Qin, Thomas Rebotier, and Kerri
Denkenberger for their help and input with testing the source signature library. Funding
for the development of the source library was provided by the California Air Resources
Board (CARB).

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1828 **D. References**

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