# **Final Report**

Analysis of Satellite Measurements to Improve California's Models for O<sub>3</sub> and PM CARB 06-328

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## Disclaimer

The statements and conclusions in this report are those of the authors from the University of California and not necessarily those of the California Air Resources Board. The mention of commercial products, their source, or their use in connection with the material reported herein is not to be construed as actual or implied endorsement of such products.

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Analysis of Satellite Measurements to Improve California's Models for  $O_3$  and PM

# Abstract

Satellite based UV-visible spectroscopy measuring NO<sub>2</sub> is now routine and prospects for continuing observations are excellent. We performed an extensive analysis of the application of satellite measurements to an understanding of spatial and temporal patterns of NO<sub>2</sub> in California. The analysis confirms that trends observed from space are extremely accurate—inter-annual variations as small as 1-2% are evident in a time series. We find that interpretation of the satellite record requires attention to both the spatial resolution of the primary observations and the model used to interpret those observations. We note that the spatial pattern of the satellite observations from the OMI instrument varies in a 16 day sequence, so that long term averages can be constructed that have higher resolution than the original observations. This spatial pattern is sensitive to emissions, meteorology and chemistry and is one of the most informative tests we have available of the accuracy of the components of current air quality models. We describe application and evaluation of the satellite observations with attention to trends in major air districts in California and with an eye to new strategies for integrating satellite observations into the air quality management system.

## **Executive Summary**

We describe a series of analyses of space based measurements of NO<sub>2</sub> columns over California. The evidence is compelling that the observations are an accurate reflection of trends in NO<sub>2</sub> by day-of-week and from year to year, and that seasonal and monthly averages of the measurements provide exquisitely detailed spatial maps and provide insight into the differences in emissions in different regions of the state. Individual column measurements are accurate to roughly 50%. While not yet sufficiently accurate to be used for regulatory purposes, the trends (comparing a time series in the same month/season over many years or comparing days of week in a single location) and the spatial patterns observed are quite accurate, making them better than any other data set we are aware for evaluation of models and emissions inventories, and for assessing the progress of existing regulatory initiatives. The satellite observations also provide unambiguous measurements of the location of plume edges. Locating the edges is not possible with the surface network.

In this report, we describe the Ozone Monitoring Instrument (OMI) and make brief mention of other instruments. We describe an extensive comparison to the CARB surface network and a preliminary comparison to aircraft observations both of which support the conclusion that the OMI measurements accurately capture trends in space and time. We provide an extended analysis (adapted from Russell et al. 2010), of ground and space-based measurements of spatial and temporal variation of NO<sub>2</sub> in four California metropolitan regions. The measurements of weekly cycles and trends over the years 2005-2008 observed both from the surface and from space are nearly identical to each other, with small differences that can be accounted for by OH feedback on NO<sub>2</sub>. As NO<sub>x</sub> emissions are decreased in these urban, high NO<sub>x</sub> regimes, OH concentrations increase, decreasing the lifetime of NO<sub>2</sub> and thereby compounding the observed decrease in NO<sub>2</sub> column. Satellite-observed decreases in Los Angeles and the surrounding cities are 46% on weekends and 9% per year from 2005-2008. Similar decreases are observed in the San Francisco Bay area and in Sacramento. In the San Joaquin Valley cities of Fresno and Bakersfield weekend decreases are much smaller, only 27%, and the decreasing trend is only 4% per year. We describe evidence that the satellite observations provide a uniquely complete view of changes in spatial patterns over time. For example, we observe variations in the spatial pattern of weekday-weekend concentrations within the Los Angeles basin with much steeper weekend decreases at the eastern edge of the basin than at the coast. We also observe that the spatial extent of high NO<sub>2</sub> in the San Joaquin Valley has not receded as much as it has for other regions in the state. Analysis of these measurements is used to describe observational constraints on temporal trends in emission sources in the different regions.

Interpretation of satellite columns remains a subject that requires more research. We describe the effects of spatial resolution on model predictions of  $NO_2$  column and thus on the inference of emissions based on those comparisons, concluding that resolution of 24 km is too coarse, 12 km is too coarse for certain source types, but that 4 km is adequate for comparison to the satellite observations over all sources with the possible exception of the largest point sources. We then describe a series of research topics that were explored during this contract.

- We find that reproducing time-of-day and day-of-week variations in satellite column NO<sub>2</sub> with WRF-CHEM is an especially stringent test of model performance and that both NO<sub>x</sub> and VOC emissions affect these variations. We recommend further research aimed at using both day-of-week and seasonal variations in NO<sub>2</sub> column as constraints on model performance.
- We describe the effects of meteorology, specifically wind speed, on the retrieved NO<sub>2</sub> column.
- We show that OMI observes changes in column NO<sub>2</sub> from 1:00-1:30 PM. We compared the measured change to changes predicted by WRF-CHEM.

• We describe elements of a strategy aimed at an improved retrieval of NO<sub>2</sub> column from the measured spectrum and we describe comparison of the NO<sub>2</sub> column using a standard analysis and improved retrievals to observations made during the ARCTAS-CARB experiments.

Analysis of Satellite Measurements to Improve California's Models for  $O_3$  and PM

# 1. Introduction

Nitrogen oxide ( $NO_x = NO + NO_2$ ) emissions contribute to production of  $O_3$ , inorganic and organic aerosol, and to violation of health-based ozone and aerosol standards in many communities. In some locations  $NO_2$  itself exceeds health-based standards. In California, efforts to reduce  $O_3$  and aerosol by reducing their chemical precursors ( $NO_x$ , volatile organics and directly emitted particles) have resulted in significant improvements in air quality (Board, 2009). However, concentrations of ozone and aerosol still remain higher than the regulatory limits over the most populous parts of the state. Current predictions suggest that new regulations will yield further decreases in these emissions and in  $O_3$  and aerosol.

Confirming that control strategies are reducing emissions as predicted remains difficult, however, because observing networks are sparse. Concentrations at any particular location are affected by multiple changes in human activity, including emission reductions, population changes, changes in miles driven, etc. Gaps in the density of surface networks make conclusions about the spatial extent of emission reductions subject to debate because changes in the spatial distribution of emissions over time are not uniformly recorded. Observations of nitrogen oxides from space offer a new perspective, one with complete spatial coverage that is not subject to the limitations of the sparse surface network.

This contract was aimed at evaluating the potential for using observations from the Ozone Monitoring Instrument (OMI), which achieves daily global coverage and has high spatial resolution ( $13 \text{ km} \times 24 \text{ km}$  at nadir;  $24 \times 128$  at the edge of the sampling swath), to study spatial and temporal variability in NO<sub>2</sub> concentrations in California. We evaluate the capacity of using satellite observations to act as a check on models and emissions inventories and for assessing the progress achieved by current regulatory efforts.

We completed the following objectives with support from this contract and also with contributions from a NASA ESSF to Luke Valin. This work also builds on parallel research aimed at other cities/locations performed for NASA during the time when this contract was suspended:

- 1) We completed development of code that produces an area weighted average of individual observations resulting in high resolution (~7 x 7 km) images at seasonal resolution (separately for weekdays and weekends).
- 2) We investigated whether the instrument is sensitive to variations in  $NO_2$  column at different times of day.
- 3) We investigated the spatial resolution of the sensor including a) the resolution of the area weighted image, b) the effects of model resolution on OH chemical feedback to  $NO_2$  column and c) the effects of resolution of a priori assumptions on column retrieved from the OMI spectrum. In parallel, we investigated the effects of model resolution on predictions of column  $NO_2$  for both point and area sources.
- 4) We investigated the effects of meteorology on  $NO_2$  columns using observations.
- 5) We used WRF-CHEM predictions of column  $NO_2$  to provide insight into the factors affecting variation in the column and to guide our understanding of which elements of a model can be constrained by the column  $NO_2$  observations.

6) We developed a comparison of surface network and satellite trends, and a comparison of satellite column to aircraft observations from ARCTAS-CA.

These analyses and the tools used to develop them are described in the following report.



# 2. Satellite observations of NO<sub>2</sub> Columns

**Figure 1.** (top left) Google earth image of South Coast Region of California. (center-left) Tropospheric column  $NO_2$  concentrations (molecules cm<sup>-2</sup>) from the Ozone Monitoring Instrument (OMI) over the South Coast region of California from one day, August 1, 2008. Overlap of coverage in the flight direction (North-South) produces thin stripes which arise from averaging of subsequent pixels. OMI tropospheric  $NO_2$  columns (molecules cm<sup>-2</sup>) averaged over summer months (Jun-Aug) for the years 2005-2008 at 0.025 degree resolution for (bottom left) weekdays and weekends, (top right) weekdays only, (center right) weekends only, and (bottom right) ratio of weekday to weekend.

The Ozone Monitoring Instrument (OMI) is a UV/Vis spectrometer orbiting sun-synchronously aboard the AURA satellite. The Aura satellite travels roughly 705 km above the surface of the Earth in a sun synchronous, polar orbit. It passes over North America at roughly 13:45 local time. The 114 degree viewing angle of the telescope yields a 2600 km swath on the ground, allowing the instrument to achieve near-global coverage each day in roughly 15 orbits (Levelt et al., 2006). The detector is an  $814 \times 578$  charge-coupled device (CCD) array that simultaneously records the spectral information in one dimension and spatial information in the second (Dobber et al., 2005; Levelt et al., 2006). Five individual 0.4-s exposures are integrated to achieve a 13 km spatial resolution in the flight direction at nadir. The spatial dimension is averaged on board to 60 pixels. Pixel sizes perpendicular to the flight direction vary from 24 km at nadir to 128 km

at the edges of the swath. Analyses of the spectra provide observations of the column abundances of NO<sub>2</sub>, O<sub>3</sub>, CH<sub>2</sub>O, CHOCHO, and cloud and aerosol properties.

In most of our work, we use column NO<sub>2</sub> data archived by NASA. The tropospheric NO<sub>2</sub> column from this source is known as the "Standard Product" (Level 2, Version 1.0.5, Collection 3) and it is available from the NASA Goddard Earth Sciences (GES) Data and Information Services Center (DISC) (http://disc.sci.gsfc.nasa.gov/Aura/data-holdings/OMI/omno2\_v003.shtml). In some analyses we compare to a different publicly available retrieval known as the Near-Real-Time product provided by the Netherlands Royal Meteorological Institute (KNMI) described in Boersma et al. (2007). The Near-Real-Time product makes different assumptions about how to produce an optimal retrieval. These variations lead to differences that have been significant for other analyses (Bucsela et al., 2008; Hains et al., 2010; Lamsal et al., 2010). We report results from the Standard Product here, highlighting differences from the KNMI product where relevant. We also developed our own retrieval algorithm as a means to investigate the assumptions used in the two publically available products.

The standard product retrieval uses a modified Differential Optical Absorption Spectroscopy (DOAS) procedure to determine slant column densities of NO<sub>2</sub> using a non-linear least squares fit on the ratio of measured earthshine radiance to solar irradiance spectrums in the 405-465 nm window. Details concerning the Standard Product retrieval of NO<sub>2</sub> vertical column densities from raw spectral measurements are provided in Boersma et al. (2002), Bucsela et al. (2006), and Celarier et al. (2008). Briefly, the slant column densities are converted into vertical column densities by applying an air mass factor (AMF); defined as the ratio of the slant column density to the vertical column density of NO<sub>2</sub>. AMFs are determined as a function of viewing geometry, the reflectivity and pressure of terrain and clouds, and the NO<sub>2</sub> profile shape. Surface reflectivity is derived from GOME (Global Ozone Monitoring Experiment) observations and averaged monthly to  $1^{\circ} \times 1^{\circ}$  degree resolution (Koelemeijer et al., 2003). AMFs are calculated using both unpolluted (stratospheric and upper tropospheric) and "polluted" (lower tropospheric) NO<sub>2</sub> profiles. "Polluted" NO<sub>2</sub> profiles are determined from a geographically gridded set of annual mean profiles from a GEOS-Chem simulation at  $2 \times 2.5$  degree resolution (Martin et al., 2003). Use of a single set of profiles in the standard product is an advantage because it ensures that trends in observations are not aliasing external information. However, the low resolution of the a priori information does introduce errors. An initial vertical column density is obtained using the unpolluted AMF. Unpolluted NO<sub>2</sub> column concentrations are then determined by masking areas of high tropospheric NO<sub>2</sub> and applying a zonal planetary wave smoothing. The stratospheric column is then subtracted and the remaining slant column is converted to a vertical column using the "polluted" AMF. The resulting "polluted" column represents NO<sub>2</sub> near the surface in regions where  $NO_2$  is elevated above background levels. The effect of clouds is accounted for by calculating AMFs for both clear and cloudy conditions and using a radiance-weighted sum of the cloudy and clear AMFs. Cloud information is acquired from the OMI O<sub>2</sub>-O<sub>2</sub> cloud algorithm (Acarreta et al., 2004). In order to minimize the influence of clouds on NO<sub>2</sub> columns, data chosen for analysis has been filtered to exclude pixels with a cloud fraction exceeding 0.2. Our analyses were repeated using a 0.1 cloud fraction threshold with no significant change in the results.

Significant errors and biases in the OMI polluted column are dominated by uncertainties in the AMF determination and to a lesser extent by errors in the slant column fitting and stratospheric subtraction (Martin et al., 2002; Martin et al., 2003; Boersma et al., 2004). Wenig et al. (2008) estimates that the uncertainty in the standard product retrieval of tropospheric NO<sub>2</sub> is on the order of 40-80% for an individual observation. This is similar to 40% error due to AMF and absolute error of  $1 \times 10^{15}$  molecules cm<sup>-2</sup> due to the stratospheric subtraction estimated by Martin

et al. (2006) for SCIAMACHY (SCanning Imaging Absorption spectroMeter for Atmospheric CHartographY) observations and the 35-60% error estimated by Boersma et al. (2004) for observations from GOME. Direct comparison to aircraft profiles suggests the upper range of these estimates are too high and that the true accuracy is more in the range of 30-50%.

OMI achieves complete global coverage each day with a repeat cycle of 16 days, such that for a given location, the NO<sub>2</sub> column is gathered by a different pixel within the 60 across track positions each day. Consequently, data are collected both at a different spatial resolution and with pixel centers at different locations each day. We take advantage of the shifting pattern of the pixels on the ground to produce a high resolution monthly average product. We use an areaweighted averaging, binning to  $0.025^{\circ} \times 0.025^{\circ}$ . We include the center 20 pixels from each swath to limit the measurements to those with a pixel size less than  $15 \times 27$  km<sup>2</sup>, eliminating pixels at the outer edges of the swath that have dimensions as large as  $24 \times 128$  km<sup>2</sup>. Although we omit two-thirds of the data, the maps we produce have much higher spatial resolution than maps that include the larger pixel size measurements. In Figure 1 (center left) we show OMI NO<sub>2</sub> columns for one summer day, August 1, 2008, and in Figure 1 (bottom left), the areaweighted average summer (June-August) concentration over four years (2005-2008). A thin, averaged region between two adjacent pixels is visible at fine resolution as shown in Figure (center left). These regions appear because the width of the OMI pixels in the flight direction vary from 13 km at the center of the scan line to about 24 km at the edges of the swath, producing an overlap along the flight direction for pixels at the outer part of the swath. Capturing these overlapping regions further increases the statistics in the analysis. Analysis shows that the higher resolution image (Figure 1 bottom left) has approximately 10 km spatial resolution and spatial precision of about 3 km (Valin et al., in preparation). As a rough guide to interpreting this figure, for a well-mixed 1 km boundary layer, a column of  $1 \times 10^{16}$  molecules cm<sup>-2</sup> NO<sub>2</sub> corresponds to  $3.7 \text{ ppb NO}_2$  at the surface.

## 3. Surface and aircraft measurements

## Surface network

The California Air Resources Board (CARB) manages an extensive ground based network of monitors, ninety-four of which were recording hourly NO<sub>2</sub> concentration from 2005-2008. Measurements of surface NO<sub>2</sub> at these sites are provided by chemiluminescence analyzers equipped with molybdenum converters (Fontijn et al., 1970). Laboratory and field studies have shown that conversion by a molybdenum converter is not specific to NO<sub>2</sub> (Dunlea et al., 2007; Winer et al., 1974; Steinbacher et al., 2007). Substantial interferences arise from conversion of higher NO<sub>x</sub> oxidation products including HNO<sub>3</sub>, alkyl and multifunctional nitrates, and peroxyacetylnitrates. The conversion of these species to NO yields an overestimation in measured NO<sub>2</sub> concentration.

In order to evaluate how interferences affect the  $NO_2$  concentration observed at surface monitoring sites in California, we compare measured surface level  $NO_2$  concentrations with  $NO_2$ determined using a photochemical steady-state calculation based on NO and  $O_3$  measurements made at the same sites. As expected, we find that  $NO_2$  concentrations determined using the photochemical steady-state calculation are lower than the  $NO_2$  measurements. For this data set we find a typical bias of about 20%. We find that the disagreement between observations of  $NO_2$ and the steady-state calculation is similar on weekdays and weekends and that there is no significant trend in the bias over the 2005 to 2008 period. Limiting ourselves to sites with detectable NO for photochemical steady-state calculation of NO<sub>2</sub> substantially reduces sampling statistics. Since our comparison of satellite observations and surface measurements is focused on trends and not on comparison of absolute mixing ratios, and the error induced by the molybdenum converter measurement should be roughly constant over time for individual monitoring stations, we report NO<sub>2</sub> concentrations measured directly by the commercial analyzers (with no correction applied) in the remainder of this report.

# Aircraft Observations

During the ARCTAS campaign (Jacob et al., 2010), in situ observations throughout the troposphere were obtained using the UC-Berkeley Laser-Induced Fluorescence (TD-LIF) instrument aboard NASA's DC-8 aircraft. Details concerning the instrument are provided in Thornton et al. (2000). Briefly, a tunable dye laser is pumped at 7 kHz by a O-switched, frequency doubled Nd<sup>3+</sup>:YAG laser. The incoming gas is cooled by supersonic expansion (Cleary et al., 2002) and the dye laser is tuned to an isolated rovibronic feature of jet-cooled NO<sub>2</sub> at 585 nm. The frequency is held for 9 s at the peak of this feature and then for 3 s at an offline position in the continuum absorption. The ratio of peak to background fluorescence of the chosen feature is 10 to 1 at 1 atm and the difference between the two signals is directly proportional to the NO<sub>2</sub> mixing ratio. The laser light is focused in series through two multi-pass cells and the red-shifted fluorescence is detected using a red-sensitive photomultiplier tube. Fluorescence counts are collected at 4 Hz, scattered light at wavelengths less than 700nm is rejected by bandpass filters and time-gated detection is used to eliminate noise resulting from scattered laser light in the cell. We observe a dependence of NO<sub>2</sub> fluorescence on the external pressure. We calibrate the NO<sub>2</sub> LIF vs. altitude by direct measurement of NO<sub>2</sub> from a standard addition during a testflight. Calibrations were performed at least once every two hours during a level flight leg using a 4.7 ppm NO<sub>2</sub> reference gas with a stated certainty of +/-5%. The precision of the mixing ratio observations at 1Hz is estimated to be  $\pm 23$  ppt at 1000 hPa and  $\pm 46$  ppt at 200 hPa (S/N=2) (Bucsela et al., 2008).

# 4. Emission inventories and WRF-CHEM

# Emissions Inventory

Estimated emissions are obtained from the California Air Resources Board website (http://arb.ca.gov) and are divided into stationary, off-road mobile, on-road mobile, natural, and area-wide categories. We consider basin-wide emissions from the San Francisco Bay Area, the San Joaquin Valley, and the South Coast air basins and county-wide emissions from Sacramento County. On-road vehicle activity and emission information is obtained using the CARB's (available EMissions FACtors model (EMFAC2007 V2.3 Nov 1, 2006) at: http://arb.ca.gov/msei/onroad/latest version.htm). The Emissions Factors (EMFAC) model accounts for activity-related information by utilizing vehicle registration data from the California Department of Motor Vehicles as well as other vehicle information from regional transportation agencies. Emissions are broken down into ten different vehicle classes, and within each of those classes, into non-catalyst equipped, catalyst equipped, and diesel subcategories. Exhaust and evaporative emissions are estimated and incorporated into the emission factors, along with county-specific activity data, including vehicle population, vehicle miles traveled, number of vehicle starts, ambient temperature and soak and activity distribution information.

# WRF-CHEM

We run WRF-CHEM v3.1, a state-of-the-art multi-scale regional chemical transport model (CTM) with chemical transport driven "on-line" such that aerosols and trace gases can provide

feedback onto physical processes (Grell et al., 2005). Emissions supplied for WRF-CHEM are made up by the National Emission Inventory (NEI) 2005 onroad and offroad transportation emissions for a typical July weekday and Continuous Emissions Monitoring (CEMS) averaged point source emissions for a typical August 2006 weekday. For more information, see ftp://aftp.fsl.noaa.gov/divisions/taq/emissions\_data\_2005/Weekday\_emissions/readme.txt. There are a variety of chemical mechanisms available for WRF-CHEM and a coupled Kinetic PreProcessor (KPP) (Sandu and Sander, 2006), which provides greater flexibility in modifying chemical mechanisms. Currently, we run simulations with chemistry driven by the mechanism developed for the Regional Acid Deposition Model, version 2 (Stockwell et al., 1990). Several biogenic emission modules exist for WRF-CHEM. We use a landuse-based online module for calculation of biogenic emissions as in (Grell et al., 2005). The model is driven by the North American Regional Reanalysis (NARR http://nomads.ncdc.noaa.gov/dods/NCEP NARR DAILY).

# 5. Space-based Constraints on Spatial and Temporal Patterns of NOx Emissions in California, 2005-2008



Note: the bulk of this section was presented in (Russell et al., 2010).

**Figure 2.** Average summertime OMI tropospheric NO<sub>2</sub> column concentrations (molecules cm<sup>-2</sup>) for (left) a weekday (Tuesday-Friday) in 2005, (center) a weekend day (Saturday-Sunday) in 2005 and (right) a weekday in 2008.

To demonstrate and evaluate the OMI observations, we examine the observations over CA from 2005-2008 concentrating on four regions in California with the highest NO<sub>x</sub> concentrations: Sacramento County, the San Francisco Bay Area air basin, the San Joaquin Valley air basin, and the South Coast air basin, shown as boxed regions in Figure 2. We focus primarily on summer months because the NO<sub>x</sub> photochemical lifetime is shorter (~4 hr at noon). Consequently, we can expect both minimal carryover from the previous day and minimal transport from sources to regions downwind, yielding a column that is especially representative of local surface emissions. Further, the number of available satellite measurements is maximized during summer months when cloud cover is lowest. We define the area of analysis as that region where the NO<sub>2</sub> column in summer 2005 exceeded  $6 \times 10^{15}$  molecules cm<sup>-2</sup> in the Sacramento, San Joaquin Valley, and South Coast regions. We use this threshold in order to specifically select urban regions where human activity makes a substantial impact on NO<sub>2</sub> concentrations while preventing the signal from being dampened by surrounding rural regions. In 2005, the San Francisco Bay Area urban plume extended east to blend with the plumes from other cities. To define the region of interest

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for San Francisco, we use summer 2008 measurements where a separation between the basins is evident (see Figure 2 right panel). Based on inter-annual trends reported below, we adjust the threshold to  $4.6 \times 10^{15}$  molecules cm<sup>-2</sup> for the 2008 measurements as we believe this is comparable to using the  $6 \times 10^{15}$  molecules cm<sup>-2</sup> threshold for 2005 data. In the San Joaquin Valley, the threshold we use focuses attention on Fresno and Bakersfield. Separate analysis of these two cities leads to essentially identical conclusions.

Day-of-week patterns in NO<sub>2</sub>



**Figure 3.** Average summertime weekday/weekend ratio for 2005-2008. Ratios for regions with weekday columns less than  $6 \times 10^{15}$  molecules cm<sup>-2</sup> in summer 2005 are omitted. Locations of CARB surface NO<sub>2</sub> monitoring sites used in this study are marked with white diamonds.

Variation in the magnitude of  $NO_x$  emissions and  $NO_2$  concentrations with day of week are well known and have been described using ground and spaced-based measurements (Cleveland et al., 1974; Gordon, 1994; Marr and Harley, 2002b, a; Harley et al., 2005; Murphy et al., 2007; Beirle et al., 2003; Kaynak et al., 2009; Kim et al., 2009). In the U.S. the effect is largely due to the decrease in heavy-duty diesel trucks on weekends, although changes in the timing of passenger vehicle traffic may contribute (Marr and Harley, 2002b; Murphy et al., 2007; Janssen and Carey, 1999). In Figure 2 (left and center) we show the difference in spatial patterns of the  $NO_2$  column for weekdays and weekends across the State of California for the summer of 2005. We define weekdays as Tuesday-Friday, weekends as Saturday-Sunday, and summer as June-August. Observations indicate there is significant memory of the previous day's emissions, thus Saturday and Monday behave as intermediate between weekends and weekdays (see (Murphy et al., 2007) for additional discussion of this effect). We omit Monday to reduce unnecessary bias in the weekday values but keep Saturday to enhance the statistics of our analysis of weekends. Retaining Saturdays has the effect of increasing the "weekend" values. On the other hand, cumulative emissions on Sunday prior to the satellite overpass time (roughly 1 PM) are only 40% of the daily total  $NO_x$  emissions while emissions are 55% prior to 1 PM for other days of the week (R. Harley, personal communication, October 23, 2009). These two factors are important to keep it in mind in the interpretation of the measurements.

Observations show elevated concentrations of  $NO_2$  in and around highly populated areas, including the major California cities of Sacramento, San Francisco, Fresno, Bakersfield, Los Angeles, and San Diego and Reno and Las Vegas in Nevada and Tijuana in Mexico (Figure 2). In all of these regions, the  $NO_2$  columns are higher on weekdays (Figure 2 left) than on weekends (Figure 2 center). Outside of these population hotspots, rural regions show no significant day-of-week variability in  $NO_2$  column. Furthermore, we find relatively smaller weekend effects directly above regions with the highest columns and larger effects downwind. This feature is clearest in the South Coast basin as shown in more detail in Figure 1. OMI observes almost exactly the same columns over the ports of Los Angeles and Long Beach and over downtown Los Angeles on weekdays and weekends (weekday to weekend ratios of ~1.2) but sees a large weekend effect (weekday-weekend ratio of 2.5) east of Los Angeles. The ratio of weekday to weekend  $NO_2$  columns exhibits much smaller variation in cities in the San Joaquin Valley (~1.3) than observed in the other urban regions of California or Nevada where ratios are typically greater than 1.5 (Figure 3)



**Figure 4.** Average weekly profiles of  $NO_2$  for summer months (June-August) from OMI (solid) and CARB monitoring sites (dashed) normalized to the average weekday (Tue-Fri) value. CARB data is for 2005-2007 while OMI data is for 2005-2008. Points show averages for individual years normalized to average weekday value for that year.

Figure 4 shows the summertime (Jun-Aug, 2005-2008) OMI NO<sub>2</sub> tropospheric columns and surface level NO<sub>2</sub> from the CARB air monitoring network (2005-2007) for each day of week, normalized to the weekday (Tuesday-Friday) average. We exclude major holidays (New Year's Eve & Day, Easter, July 4<sup>th</sup>, Veteran's Day, Thanksgiving and the day preceding, Christmas Eve & Day) from analysis as activity patterns tend to deviate from the average on these days. The CARB surface monitoring data for 2008 was not yet available at the time of analysis. Excluding

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2008 OMI data does not affect our conclusions. Saturday values are lower than weekday values and a Sunday minimum is observed in all four regions and in both datasets. Differences in Saturday versus Sunday emissions and/or the effects of carry-over from the previous day are likely responsible (Murphy et al., 2007). The analysis was repeated for rural regions across the state where no day-of-week difference was observed. Table 1 lists daily concentrations relative to average weekday concentrations for the four urban regions studied here. OMI observes a larger weekend effect than the CARB monitoring stations in all four regions. A larger weekend effect as observed via satellite was also reported by Kaynak et al. (2009) in their comparison of SCIAMACHY observations and emissions. This bias observed from two different satellite instruments suggests that the surface monitoring sites may be in too close proximity to sources and are therefore not representative of the spatial pattern of NO<sub>x</sub> in the domain. The 46% decrease observed by OMI in the South Coast Air Basin is an identical weekend effect as determined for Los Angeles by Beirle et al. (2003), Kaynak et al. (2009), and Kim et al. (2009) using observations from the GOME, SCIAMACHY, and OMI instruments.

		Sun	Mon	Tue	Wed	Thu	Fri	Sat	Weekday divided by Weekend
Sacramento	OMI	0.51	0.94	1.04	0.98	1.01	0.97	0.64	1.74
	CARB Surface	0.57	0.94	1.05	1.00	0.98	0.97	0.70	1.58
	CARB Inventory	-	-	-	-	-	-	-	1.54
San	OMI	0.51	0.82	1.02	1.02	1.05	0.91	0.64	1.74
Francisco	CARB Surface	0.53	0.91	1.00	0.99	1.04	0.97	0.67	1.68
	CARB Inventory	-	-	-	-	-	-	-	1.46
San Joaquin	OMI	0.63	0.95	0.97	0.96	1.03	1.04	0.83	1.37
Valley	CARB Surface	0.65	0.98	1.03	0.97	1.00	1.00	0.76	1.42
	CARB Inventory	-	-	-	-	-	-	-	1.70
South Coast	OMI	0.43	0.92	0.98	1.00	1.04	0.98	0.65	1.85
	CARB Surface	0.52	1.00	1.03	0.98	0.99	1.00	0.65	1.71
	CARB Inventory	-	-	-	-	-	-	-	1.53

**Table 1.** Daily concentrations relative to average weekday concentrations of  $NO_2$  for summers 2005-2008 for OMI and the CARB inventory, and for summers 2005-2007 for the CARB surface observations.

## Year-to-year trends in NO<sub>2</sub>

Figure 2 (right) shows average weekday concentrations for summer 2008, which, in populated regions across the state, are visibly lower than weekday concentrations from 2005 (Figure 2 left). Fire counts from the Moderate Resolution Imaging Spectroradiometer (MODIS) indicate that the two hotspots in 2008 that do not appear in the 2005 figure (labeled with asterisks in Figure 2 right) are the result of large wildfires that burned in these regions in the summer of 2008. Together, Figure 2, shows how observations from OMI characterize changes in the spatial extent of each urban plume over time, a feature we cannot observe using surface observations alone. Using a metric of 50% of the peak of concentration of each plume to define the area, we find that the spatial extent of the Sacramento, San Francisco, and South Coast urban plumes contracted by more than 40%, while the San Joaquin Valley plume contracted by only 2% between 2005 and 2008. The 50% metric provides a consistent way to define the regions where NO<sub>2</sub> is elevated above background levels within all four air basins and over four years when NO<sub>2</sub> concentrations change dramatically. We assume that over the seasonal averages studied here, meteorology does not vary significantly from year to year.

Figure 5 shows seasonally averaged tropospheric NO<sub>2</sub> columns from OMI and concentrations from CARB ground stations for weekdays and weekends for the Sacramento, San Francisco, San Joaquin Valley, and South Coast regions of California. We exclude major holidays and define winter as December-February, spring as March-May, summer as June-August, and fall as September-November. The number of satellite measurements available for determining the average concentration for each season is dependent on the size of the region chosen for analysis and the proportion of cloud-free pixels available. At the low end there were 85 (64% of the inner-swath pixels) OMI observations averaged for a summer-weekend in the San Joaquin Valley and at the high end 1275 (74% of the inner-swath pixels) for a summer-weekday in the South Coast Air Basin. NO<sub>2</sub> surface concentrations and columns are at a maximum in winter with a minimum in the summer in the South Coast, San Francisco, and San Joaquin Valley air basins. This pattern is consistent with near constant emissions and seasonally varying OH. Conversely, for the Sacramento region, a fall maximum and spring minimum in NO<sub>2</sub> columns are observed while the surface network still shows a winter maximum and summer minimum. We suspect that the difference in the satellite-observed seasonal cycle in Sacramento as compared to the other three basins is related to coupling of chemical loss rates to planetary boundary layer dynamics. Modeling of NO<sub>2</sub> profiles and boundary layer dynamics is needed to test this hypothesis.





**Figure 5** Average tropospheric NO<sub>2</sub> column concentrations (molecules cm<sup>-2</sup>) from OMI (solid) and CARB (dashed) for weekdays (dark) and weekends (light) for Sacramento County, the San Francisco Bay Area, the San Joaquin Valley, and the South Coast regions of California. The trend in summers is shown by the solid black lines which have a slope of  $-7.0 \times 10^{14} \pm 1.8 \times 10^{14}$  yr<sup>-1</sup> and  $-5.6 \times 10^{14} \pm 7.5 \times 10^{13}$  yr<sup>-1</sup> for Sacramento,  $-5.6 \times 10^{14} \pm 7.6 \times 10^{13}$ /yr and  $-3.3 \times 10^{14} \pm 1.6 \times 10^{14}$  yr<sup>-1</sup> for San Francisco,  $-1.5 \times 10^{14} \pm 2.1 \times 10^{14}$  yr<sup>-1</sup> and  $-2.5 \times 10^{14} \pm 2.0 \times 10^{14}$ 

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yr<sup>-1</sup> for San Joaquin, and  $-1.1 \times 10^{15} \pm 2.0 \times 10^{14}$  yr<sup>-1</sup> and  $-1.1 \times 10^{15} \pm 6.3 \times 10^{14}$  yr<sup>-1</sup> for South Coast for weekdays and weekends, respectively. Note that figures are on different scales to make seasonal cycles visible.

 $NO_x$  is decreasing in all regions and in all seasons as shown in Figure 6. Table 2 shows the seasonal trends in  $NO_2$  for weekdays and weekends for each of the four regions studied and for both observational datasets and for the emissions estimates. The satellite observations indicate that there is a more consistent trend independent of season than does the surface network. Fits representing the trends for OMI summer observations are shown as black lines in the Figure 4. The trends range from -14.3% per year on weekends in Sacramento to -3.5% per year on weekdays in the San Joaquin Valley. Averaging weekdays and weekends, absolute decreases of 30.0%, 22.6%, 9.3%, and 25.9% are observed from 2005 to 2008 in the Sacramento, San Francisco, San Joaquin Valley, and South Coast, respectively. These trends have the same sign but are greater in magnitude than those observed by Kim et al. (2009) in all regions except the San Francisco region where excellent agreement is found between these two studies. Although four years is a relatively short period for examining long-term trends, clear changes in spatial pattern of  $NO_2$  coupled with these rates of decrease observed by OMI give confidence that substantial reductions in  $NO_x$  emissions are occurring.



**Figure 6.** Percent change in emissions from CARB inventory versus percent change in OMI tropospheric  $NO_2$  relative to weekdays in 2005 for the Sacramento, San Francisco, San Joaquin Valley, and South Coast regions. Dots show weekdays and stars weekends for 2005-2008.

#### Estimates of Uncertainty in OMI Observations

Agreement between satellite and ground-based observations is one line of evidence supporting the qualitative measures of  $NO_2$  reduction derived from OMI. Observations from the surface and from space are not exactly the same, however, the extent to which the differences are due to incomplete sampling of the surface network is unknown and difficult to quantify. We propose that the complete spatial representation of urban plumes provided by satellite observation

eliminates a significant source of bias in the interpretation of trends observed by the surface network.

The robustness of each of the trends reported is also difficult to quantify directly, however, here we present several methods for indirect estimations. The smallest trends are for the San Joaquin Valley where we observe a weekday-weekend difference of  $2 \times 10^{15}$  molecules cm<sup>-2</sup> and a decrease over four years of  $5 \times 10^{14}$  molecules cm<sup>-2</sup>. The experimental precision of an individual OMI measurement is about  $1 \times 10^{15}$  molecules cm<sup>-2</sup> (Boersma et al., 2009;Martin et al., 2006). The effect of this source of uncertainty is reduced by averaging over 100-1000 pixels for each value reported such that the random uncertainty is negligible compared to the variation we report.

Based on this analysis of instrument performance, we identify the dominant source of precision uncertainty in our reported values as due to meteorological variability. We know of no method for characterizing this source of uncertainty a priori. To estimate the uncertainty due to meteorological variability we note that even in the San Joaquin Valley, the seasonal cycle is recognizable and has an approximate amplitude of  $2 \times 10^{15}$  molecules cm<sup>-2</sup>. In order to observe the seasonal cycle with the eye, we estimate that the random noise must be less than one-third the amplitude of the cycle. An alternative estimate would be to assume that trends for each season as shown in Table 1 are independent measures of the same quantity. Excluding the winter outlier, this gives a variance of approximately +/-20% yr<sup>-1</sup>. A third method is to use the uncertainty in the slopes of the linear regressions for the trends reported in Table 1 and Figure 4, which indicate that the decreasing inter-annual trends we observed in all regions is robust. Finally, we can look at year to year variation in ratios. For example, we show in Figure 3 the variance in the column NO<sub>2</sub> for each day of the week in a given summer, normalized to the average weekday signal of that summer. The variance is approximately 15%. Taken together, these metrics indicate an overall uncertainty in basin-wide temporal trends in NO<sub>2</sub> columns of less than 15-20%.

## Space-based constraints on Emission Inventories

CARB's emissions inventory includes seasonal and yearly changes but includes no day-of-week dependence. To compare to observations, we make the following assumptions concerning weekly emission patterns: 1) stationary, natural and area-wide sources have no day of week dependence, 2) off-road source activity are estimated using information from the NONROAD model (Janssen and Carey, 1999) and 3) on-road mobile source emissions for all four basins can be divided throughout the week using weekday/weekend traffic distribution information for the South Coast air basin (Chinkin et al., 2003). The first two assumptions are the same as those used in current emission inventories. According to Chinkin et al., the proportion of on-road total weekly activity for passenger vehicles is 15% on weekdays and 12.5% on weekends. For heavy duty trucks, the proportions are 18% on weekdays and 5.5% on weekends. Dreher and Harley (1998) found roughly the same weekday/weekend activity ratios in the San Francisco Bay Area.

The inventory (details in Figure 7) indicates that a majority of emissions in all four regions are from mobile sources. Non-mobile sources (stationary, area-wide and natural) make the largest contribution in the San Joaquin Valley where they approach 30% of the NO<sub>x</sub> emissions on the weekends. This dominance of mobile source emissions is consistent with satellite observations which show large differences between weekdays and weekends, indicating a significant presence of anthropogenic activity that operates on a weekly cycle. Weekend emissions in this estimate are, on average, 64% of weekday emissions in 2005 in all four regions.

Between 2005 and 2008, CARB estimates that there has been no significant change in emissions from stationary, area-wide, or natural sources in California, but that mobile source emissions

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have been decreasing. In the inventory, fractional decreases in gasoline and diesel NO<sub>x</sub> emissions are similar in the Sacramento, San Joaquin Valley, and South Coast regions, roughly 7% per year. In San Francisco, gasoline is decreasing at the same 7% per year while diesel is dropping at 4% per year. Ban-Weiss et al. (2008) report changes in emissions factors of NO<sub>x</sub> in the South Coast and San Francisco Bay areas showing that emissions factors for light duty vehicles have decreased by roughly 8% per year and those for heavy duty diesel vehicles have decreased by 3% per year. EMFAC model estimates of fuel sales from 2005 to 2008 show that sales of gasoline and diesel have not changed significantly over the time period.

		Winter		Spring		Summer		Fall	
		WD	WE	WD	WE	WD	WE	WD	WE
Sacramento	OMI	-10.7	-26.6	-9.7	-8.9	-11.8	-14.3	-8.9	-5.5
	CARB Surface	-1.3	-11.6	-3.3	6.2	-5.4	-4.0	-8.1	3.8
	CARB Inventory	-4.6	-4.3	-4.6	-4.0	-4.5	-3.7	-4.5	-4.1
San	OMI	-2.4	-16.8	-6.8	-6.3	-7.3	-7.3	-10.6	-4.6
Francisco	CARB Surface	-4.0	-18.2	-7.5	-3.5	-11.7	-16.5	-10.0	2.7
	CARB Inventory	-4.5	-4.4	-4.3	-4.1	-4.3	-3.8	-4.3	-4.1
San	OMI	1.9	16.8	0.1	-9.6	-3.5	-5.3	-1.5	-7.9
Joaquin	CARB Surface	6.5	-5.3	-5.0	-7.7	-6.3	-7.8	-7.9	-3.7
Valley	CARB Inventory	-5.6	-5.3	-5.8	-5.8	-6.1	-6.1	-5.8	-5.8
South	OMI	-2.2	7.8	-5.8	-20.0	-8.1	-9.7	-6.4	-10.6
Coast	CARB Surface	2.1	-13.2	-0.6	-1.3	-5.6	-5.8	-4.4	-8.8
	CARB Inventory	-8.1	-7.0	-7.9	-6.9	-7.6	-6.7	-7.8	-6.7

**Table 2.** Average percent change  $NO_2$  per year for each season from 2005-2008.

## Conclusions about CA emissions

We report spatially comprehensive satellite observations of NO<sub>2</sub> columns that establish trends (2005-2008) and day-of-week patterns that are strong constraints on emission patterns and photochemistry. As a further test of the connection between emissions and satellite column, Figure 6 shows the relationship between the percent change in estimated basin-wide emissions and the percent change in basin-wide tropospheric NO<sub>2</sub> burden observed by OMI relative to weekdays in 2005. A linear relationship between the changes in CARB estimates of emissions of NO<sub>x</sub> and satellite column NO<sub>2</sub> is observed in all four regions, and weekends and weekdays fall on the same line in each basin. This implies that long-term and weekday/weekend behavior is consistent between the emissions inventory and satellite observations. The linearity when integrated over the whole domain is a useful simplification of the overall processes at work, since decreases at specific locations within the domains are not linear (as shown in Figures 1 &

2). The four domains show different relationships between emissions and column. This is due to a combination of factors including different  $NO_2$  lifetimes associated with different OH concentrations, different planetary boundary layer heights and dynamics, and/or different wind patterns as well as the spatial patterns of emissions in each region. These factors make quantifying spatial patterns in emissions challenging, but nonetheless provide evidence that the  $NO_x$  emission inventories used in this manuscript are capturing the basin-wide trends on both daily and inter-annual timescales.

Space-based observation of the spatial distribution of  $NO_2$  near cities reveals a larger weekend effect downwind of cities than within the city center. This effect is particularly evident near Los Angeles where we observe a large weekend effect east of Los Angeles but no weekend effect around the ports of Los Angeles and Long Beach. In the Los Angeles case, we expect that the effect can be attributed to the overwhelming influence of port emissions (which apparently vary little with day of week). This serves as an example of how the presence or absence of a day-ofweek signature in a satellite observation at fine spatial resolution can be used to assess the influence of mobile versus non-mobile sources in a particular region.

Weekend reductions in NO<sub>2</sub> emissions inferred from the inventory are smaller than the decrease we observe from the surface and satellite measurements for all regions except the San Joaquin Valley (Table 1). Furthermore, satellite observations suggest a larger decrease over four years than estimated by the CARB inventory in Sacramento and the South Coast basin. These differences may partially be accounted for by OH feedbacks on NO<sub>2</sub>. In a high NO<sub>x</sub> regime, we expect OH concentrations to increase as we lower NO<sub>x</sub> emissions, decreasing the lifetime of NO<sub>2</sub> and thereby further decreasing the column (Murphy et al., 2007). More work needs to be done to quantify the OH effect, however a rough estimate can be made assuming that there is a linear negative correlation between OH and NO<sub>2</sub> (19) (Murphy et al., 2007). This suggests that emission decreases are responsible for approximately 50% of the column decreases while the remainder of the decrease is due to the shorter NO<sub>x</sub> lifetime associated with increased reaction with OH. However, it is possible that emissions are decreasing faster than the inventory suggests.

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**Figure 7.** Distribution of emissions for weekdays in 2005 for the Sacramento, San Francisco, San Joaquin Valley, and South Coast regions according to CARB emissions estimates. Weekend charts are scaled to show how total emissions on weekends compare to weekdays.

## 6. Effects of spatial resolution on model/satellite comparisons

Nonlinearities in photochemical models are known to cause resolution-dependent biases in calculations of  $O_3$ . While there is an extensive body of research on the effects of model resolution on predictions of urban ozone, and a separate body of research aimed at assessing  $NO_x$  emissions from an inversion of or comparison to column  $NO_2$  observations (Martin et al., 2003; Toenges-Schuller et al., 2006; Konovalov et al., 2006; Kim et al., 2006; Napelenok et al., 2008),

there has not to our knowledge been a systematic investigation of the combined effects of model resolution and NO<sub>x</sub> emissions on prediction of column NO<sub>2</sub>. Most of the inverse modeling studies conducted to date adjust NO<sub>x</sub> emissions with the assumption that model chemistry is accurate. However, extensive research has demonstrated the strong model-resolution dependence of ozone production (P<sub>O3</sub>), which has the same functional NO<sub>x</sub>-dependence as OH (i.e.,  $\tau_{NO2}$ ) (Kumar et al., 1994; Sillman et al., 1990; Wild and Prather, 2006). Such extensive research suggests that OH, NO<sub>2</sub> lifetime, and ultimately NO<sub>2</sub> column will vary strongly with model resolution. Consequently, emissions derived from an inverse model will have biases dependent on the resolution of the model employed. To gain an understanding of scaling relationships affecting the physics and chemistry involved, we examined the resolution required to accurately simulate NO<sub>2</sub> using a series of models from a simple 1-D model to WRF-CHEM.

## Illustration using a 1-D plume model

To begin the discussion, we use a 1D plume model that simulates  $NO_2$  outflow from a point source. In the 1-D model,  $NO_2$  is emitted into the first box and transported in a constant wind field (5 m/s). We run the model for  $NO_2$  emission rates of 0.78, 7.8, and 78 kmol hr<sup>-1</sup>, at resolutions from 0.5 to 512 km in powers of 2, with dimensions perpendicular to the flow fixed at 1 km.

The photochemistry is defined using equations from Murphy et al. (2007) where NO<sub>2</sub> is removed by OH, which is determined by a steady-state mechanism that is a function of NO<sub>2</sub> concentration,  $P_{HOx}$  (1.5 x 10<sup>7</sup> molecules cm<sup>-3</sup>), volatile organic compound (VOC) reactivity (4 s<sup>-1</sup>), alkyl-nitrate branching ratio (0 %) and [NO<sub>2</sub>]/[NO<sub>x</sub>] (0.7). Figure 8 shows OH as a function of NO<sub>2</sub>, also indicating the corresponding NO<sub>2</sub> lifetime. As is well-known, at high NO<sub>2</sub> (red) decreasing NO<sub>2</sub> results in an increase of OH and a shorter NO<sub>2</sub> lifetime. In the intermediate-NO<sub>2</sub> regime (green), there is a transition from the inverse relationship of OH to NO<sub>2</sub> through a peak to a low-NO<sub>2</sub> regime (blue) where OH is linearly proportional to NO<sub>2</sub>.



**Figure 8.** Steady state OH concentration (left axis) and corresponding NO<sub>2</sub> lifetime (1 /  $k_{NO2+OH}$  x OH, right axis) versus boundary layer column NO<sub>2</sub> (molecules cm<sup>-2</sup>) assuming a 1-km well-mixed boundary layer.

When 1-D simulations of large (78 kmol·hr<sup>-1</sup>, Figure 9a), intermediate (7.8 kmol·hr<sup>-1</sup>, 9b), and small sources (0.78 kmol·hr<sup>-1</sup>, 9c) are run to steady-state, NO<sub>2</sub> is removed by OH such that the spatial gradient reflects the applied NO<sub>2</sub>-OH feedback following the definition previously presented (Figure 8). Failure to accurately resolve NO<sub>2</sub> from these sources will result in simulated OH feedback, NO<sub>2</sub> lifetime, and biases in NO<sub>2</sub> concentration that vary with model resolution and source strength.



**Figure 9.** Predicted boundary layer  $VCD_{NO2}$  for a 1D plume model computed at model resolutions of 2 km (solid), 64 km (dashed), and 256 km (shaded) for **a**) a large (78 kmol·hr<sup>-1</sup>, red), **b**) an intermediate (7.8 kmol·hr<sup>-1</sup>, green), and **c**) a small (0.78 kmol·hr<sup>-1</sup>, blue) NO<sub>2</sub> point source and **d-f**) the corresponding NO<sub>2</sub>-OH feedback for each emission scenario as shown in Figure 8. Horizontal (N-S) and vertical layers are fixed at 1 km for all resolutions

Computed at convergent resolution (2 km), NO<sub>2</sub> emitted from a large source (78 kmol·hr<sup>-1</sup>, Figure 9a) suppresses OH (9d) so strongly that NO<sub>2</sub> decays by only one e-fold in 400 km, a lifetime of 22 hours. Computed at 64 km model resolution, the concentration of NO<sub>2</sub> in the first grid cell is numerically diluted such that OH is larger and the NO<sub>2</sub> lifetime shorter and results in large biases beyond 300 km (9a). Simulated at 256 km resolution, NO<sub>2</sub> is numerically diluted (9a) such that OH feedback is significantly larger (9d) and NO<sub>2</sub> lifetime shorter (~10 hours) with domain-wide biases exceeding 50 % (Figure 10, red).

In contrast, NO<sub>2</sub> emitted from a small source (0.78 kmol·hr<sup>-1</sup>, Figure 9c) decays rapidly when computed at 2 km resolution, a reflection of high OH feedback. At coarser model resolution (64 and 256 km), the numerical dilution results in less OH feedback (9f), a longer NO<sub>2</sub> lifetime, and a high-bias in NO<sub>2</sub> concentration (Figure 10, blue).

For an intermediate source of NO<sub>2</sub> computed at convergent resolution (7.8 kmol·hr<sup>-1</sup>, Figure 9b), the plume decays by an e-fold within 60 km, a chemical lifetime of about three hours due to maximal OH feedback (9e). Model calculations at 64 or 256 km are not capable of resolving this sharp feature with the NO<sub>2</sub> concentration in the first grid cell of the 64 km simulation resulting in maximum OH feedback and the largest negative bias in domain-total NO<sub>2</sub> (Figure 10, green). The first grid cell of the 256 km simulation is NO<sub>2</sub>-limited and results in a near-zero domain-averaged bias (Figure 10, green) with behavior converging towards that of the low emission scenario (blue).



**Figure 10.** Resolution-dependent bias in domain-averaged NO<sub>2</sub> concentration versus 1D model resolution for a small (0.78 kmol·hr<sup>-1</sup>, blue), moderate (7.8 kmol·hr<sup>-1</sup>, green), and a large (78 kmol·hr<sup>-1</sup>, red) NO<sub>2</sub> point source.

In a 1-D model, biases are less than 15% at model resolutions finer than 64 km and are only large (>50%) for the highest emission scenario (red) at the coarsest model resolution (Figure 10). The effects of OH on  $NO_2$  that are evident in the 1-D model calculations are expected to affect calculations using 2 and 3-D models; we explore these next.

## Illustration using a 2-D plume model

For the 2D plume model, we define a point source  $(2x2 \text{ km}^2)$  in the far southwest corner (x=y=0 km) of the domain with emission rates of 1750, 175, and 17.5 kmol·hr<sup>-1</sup>. NO<sub>2</sub> is transported with x and y wind speeds of 5 m s<sup>-1</sup>, a mean flow of  $5\sqrt{2}$  m s<sup>-1</sup> to the northeast, and diffused at 10 m<sup>2</sup> s<sup>-1</sup>. The model is computed at six grid resolutions (2x2, 4x4, 12x12, 24x24, 48x48, and 96x96 km<sup>2</sup>), with layer height fixed at 1 km. We run five model scenarios that include 1) a large source of NO<sub>2</sub> with OH fixed at zero to represent a conserved tracer 2) a large source of NO<sub>2</sub> with OH fixed at  $5x10^6$  molecules cm<sup>-3</sup> to simulate NO<sub>2</sub> behavior where there is no feedback between NO<sub>2</sub> concentration and OH, and 3) a large, 4) intermediate, and 5) small source of NO<sub>2</sub> with NO<sub>2</sub>-OH feedback applied, identical to the chemical mechanism employed in the 1D simulation (Figure 8).

In 2-dimensions, unlike 1-dimension,  $NO_2$  is allowed to diffuse horizontally. To assess the horizontal diffusion as a function of model resolution, we simulate the advection of a conserved, non-reactive tracer,  $NO_{2-conserved}$  (i.e., scenario 1, Figure 11a,c,e) and then compare to the analogous simulation with OH-feedback applied (i.e., scenario 3, Figure 11b,d,f). Particular emphasis is placed on the distribution of  $NO_2$  with regards to OH feedback, which follows the previous definition of red, OH inversely proportional to  $NO_2$ ; green, maximum-OH; and blue, OH linearly-proportional to  $NO_2$ .



**Figure 11.** Column NO<sub>2</sub> advected from a large point source (1750 kmol·hr<sup>-1</sup>), computed at 2 km resolution with **a**) OH set to 0 molecules cm<sup>-3</sup> and **b**) with OH= $f_{NO2}$  as in Figure 8. **c-d**) The same as in a-b but simulated at 12 km resolution and **e-f**) the same as in a-b but simulated at 48 km horizontal resolution. The vertical layer is fixed at 1 km for all simulations shown.

At convergent resolution (2 km),  $NO_{2-conserved}$  forms a coherent, plume with a concentrated core and a steep diffusive edge (Figure 11a); Simulated at 12 km (11c), the general features of the plume are preserved, but the concentrated core is more dilute and the diffusive edge more prominent. At 48 km resolution (11e), the plume is hardly recognizable and intermediate concentrations (green) dominate the domain. Coarsening model resolution significantly affects the diffusion of  $NO_{2-conserved}$ .

With OH-feedback applied, NO<sub>2</sub> computed at 2 km (Figure 11b), much like NO<sub>2-conserved</sub>, forms a coherent plume with a long-lived core (red) and a short-lived edge (green). At 12 km resolution (11d), the core becomes more diffuse and the short-lived edge more prominent. At 48 km resolution (11f), the plume is not recognizable, with regions of high OH-feedback (green) dominating, resulting in shorter NO<sub>2</sub> lifetimes and large biases.

We assess the behavior of these biases with respect to model resolution and source strength for locations including the entire domain, which is relevant to the scale of a coarse-resolution global model (Figure 12b, 384x384 km<sup>2</sup>), 192 km nearest the source, relevant to a moderate-resolution global model (12c, x=y=0-192 km), the 96 km nearest the source, relevant to a high-resolution global model (12d, x=y=0-96 km), and a 12 km pixel downwind from the source, relevant to the scale of a satellite observation or a regional model (12e, x=y=96-108 km). We compare simulations with OH-feedback applied (red, green, blue) to one with constant OH (black, OH = 5 x 10<sup>6</sup> molecules cm<sup>-3</sup>) to account for resolution dependent errors in transport that do not depend on non-linear NO<sub>2</sub>-OH feedback.



**Figure 12. a)** Convergent (2km) simulation of column NO<sub>2</sub> emitted from a large source with NO<sub>2</sub>-OH feedbacks applied as in Figure 8. Resolution-dependent bias in NO<sub>2</sub> mass over the **b**) entire domain (384x384 km<sup>2</sup>, e.g., coarse global model), **c**) the 192 km near-field (x=y=0-192 km, e.g, typical global model), **d**) the 96 km near-field (x=y=0-96 km, e.g., hi-resolution global model), and **e**) at a point downwind (x=y=96-108, e.g., a satellite observation or regional model) for NO<sub>2</sub> emitted from large (red), intermediate (green), and small (blue) point sources with OH =  $f_{NO2}$  as in Figure 8 and from a large point source with OH constant at 5x10<sup>6</sup> molecules cm<sup>-3</sup> (black).

The bias in the transport of NO<sub>2-constant-OH</sub> computed at 96 km is negligible over the entire domain (~0 %, 12b), significant over the 192 km nearest the source (-25%, 12c), large in the 96 km nearest the source (-45%, 12d), and dominating over a 12 x 12 km<sup>2</sup> pixel downwind from the source (-85 %, 12e). When subjected to NO<sub>2</sub>-OH chemical feedbacks (12b-e, red, green, and blue), biases diverge from that of transport alone (black) with behavior depending on the size of the emission source. For instance, at every location and spatial scale considered, simulation of a large source (red) is biased low versus transport alone (black) whereas the opposite is true for a small source (blue) with the magnitude of the bias for both increasing with coarsening resolution. These wide and varied biases result from the response of OH to the numerical dilution of NO<sub>2</sub> as was true in the 1-D simulations.

From this 2-D, idealized, steady-state model, we recommend that  $NO_2$  be simulated at grid resolution no coarser than 12x12 km<sup>2</sup> to achieve accuracy of 25%. We now assess resolution-dependent biases of column NO<sub>2</sub> in fully-coupled 3D chemical transport model, WRF-CHEM.

## NO<sub>2</sub> biases calculated with WRF-CHEM

Air quality control strategies often use 10-25%  $NO_x$  reductions as a realistic regulatory benchmark. Here, we examine the grid resolution necessary for emission inventories (12x12 km<sup>2</sup>, 24x24 km<sup>2</sup>, 48x48 km<sup>2</sup>, or 96x96 km<sup>2</sup>) for simulating column  $NO_2$  to 10% and 25% that of a 4 x 4 km<sup>2</sup> inventory.

We run WRF-CHEM, a state-of-the-art multi-scale regional 3D air quality CTM (Grell et al., 2005), over the Western United States at 4 km horizontal resolution for July 1-7, 2005. To quantify the effects of NO<sub>2</sub>-OH feedbacks on column NO<sub>2</sub>, we vary the resolution of the gridded emissions (4, 12, 24, 48, 96, and 192 km) but maintain the resolution of model dynamics and physics (4 km) ensuring that differences in simulated NO<sub>2</sub> are due to chemical feedbacks. We consider only the last five days of the simulation (July 3-7). Emissions supplied for WRF-CHEM are made up by the National Emission Inventory 2005 (NEI2005) onroad and offroad transportation emissions for a typical July weekday and Continuous Emissions Monitoring (CEMS) averaged point source emissions for a typical August, 2006, weekday (ftp://aftp.fsl.noaa.gov/divisions/taq/emissions data 2005/Weekday emissions/readme.txt). Chemistry is driven by the mechanism developed for the Regional Acid Deposition Model, version 2 (Stockwell et al., 1990). The domain covers 2304 x 2304 km<sup>2</sup>, of the Western US, Northern Mexico, Southern Canada and the Eastern Pacific. Biogenic emissions for all gridded emission resolutions were generated by an online module (Grell et al., 2005) at 4 km horizontal resolution for a single July day and kept constant throughout the 7-day simulation. The model

meteorology was driven by initial and boundary conditions derived from the North American Regional Reanalysis for July, 2005 (NARR – http://nomads.ncdc.noaa.gov/dods/NCEP\_NARR\_DAILY).



**Figure 13. a)** WRF-CHEM 4 km resolution simulated average 1:30 PM column NO<sub>2</sub> (molecules cm<sup>-2</sup>) over Southern California for July 3-7, 2005. Simulated 25% biases in column NO<sub>2</sub> (-25%, black; +25%, gray) versus 4 km case (**a**) with model resolution set to 4 km but emissions gridded to **b**) 12, **c**) 24, and **d**) 96 km and the same for  $\pm 10\%$  biases (**e**-**g**).

We assume that the resolution-dependence of chemical feedbacks is converged when emissions are gridded to 4 km and highlight where biases in predicted column NO<sub>2</sub> exceed 25% and 10% for emissions gridded to 12, 24, and 96 km resolution (Figures 13 and 14). These biases are most prominent where there are large gradients in the NO<sub>2</sub> field, particularly over the large urban centers of Southern California (Figure 13) and the coal-fired power plants in the Four Corners region in the southwest US (Figure 14). Over Southern California, a region dominated by mobile NO<sub>x</sub> sources, prediction of column NO<sub>2</sub> to better than 25% (13b-d) requires that emissions are gridded to 24 km or finer while prediction to better than 10% (13e-g) requires emissions gridded to finer than 12 km near urban centers of the San Joaquin Valley and Coastal Los Angeles.

Over the coal-fired power plants of the Four Corners region in the Southwest US (Figure 14), biases exceed 25% near the sources for all gridded emission resolutions (b-d), the spatial extent of which grows with coarsening resolution. Biases greater than 10% dominate much of the plume no matter the emissions resolution (e-g); consequently accurate prediction of column  $NO_2$  near point sources requires resolution finer than 12 km, and possibly finer than 4 km.



Figure 14. As in Figure 13, but for the Four Corners region of the United States.

Averaged to 96 km, biases in predicted NO<sub>2</sub> diverge with coarsening resolution of gridded emissions and depend on the NO<sub>2</sub> concentration regime (Figure 15). For regions of high NO<sub>2</sub> (15a-red), 30% less NO<sub>2</sub> is computed when emissions are gridded to 96x96 km<sup>2</sup> compared to that of the convergent simulation (15b, red) with individual 96x96 km<sup>2</sup> grid cells biased any where from 0 to 70 % lower (15b, red dots). For regions of low NO<sub>2</sub> (15a, blue), the average bias is less than 10 % at 96 km gridded resolution (15b, blue), but individual scenes are biased any where from -35% to +75% (15b, blue dots). For regions of intermediate NO<sub>2</sub> (15b, green) the bias is about -5%, while individual scenes are biased anywhere from -50% to +50% (15b, green dots).



**Figure 15. a)**  $4 \times 4 \text{ km}^2$  WRF-CHEM column NO<sub>2</sub> (molecules cm<sup>-2</sup>) averaged to 96 x 96 km<sup>2</sup> for high (red, > 10<sup>15.5</sup>), moderate (blue,  $10^{15} < x < 10^{15.5}$ ) and low (green,  $< 10^{15}$ ) NO<sub>2</sub> concentration regimes. **b)** Percent biases in predicted column NO<sub>2</sub> for the concentration regimes as determined versus horizontal resolution of gridded emissions.

These wide and varied biases (Figure 15b) indicate that  $NO_2$ -OH feedbacks are responsible for a large degree of bias in model predictions of column  $NO_2$  and that the bias has a dependence on resolution. Emission inventories inferred from this model will suffer large biases that depend on concentration regime and model resolution due to the associated errors in chemical feedback. Accurate prediction of column  $NO_2$  to 10% at a local scale requires emissions gridded to 4 km (13e, 14e). If comparison is made at a coarser scale (96 km), 12 km resolution is sufficient for 10% accuracy (15b). Inversion to 25% accuracy would require 12-24 km resolution at a local scale (13b-c, 14b-c) and 24 km or finer if comparison is made at 96 km scale (15b).

Summary of effects of model resolution on comparison of satellite and model NO<sub>2</sub> columns

We quantify the impact of NO<sub>2</sub>-OH chemical feedbacks on NO<sub>2</sub> simulated by a 1D plume model, a 2D plume model, and a 3D chemical transport model, WRF-CHEM. We demonstrate that NO<sub>2</sub>-OH chemical feedback on predicted NO<sub>2</sub> depends on model horizontal resolution and the magnitude of the emission source. We quantified the effect of NO<sub>2</sub>-OH feedback on predicted column NO<sub>2</sub> with emissions gridded to 4, 12, 24, 48, and 96 km over the entire western US. We find that prediction of column NO<sub>2</sub> to 25% requires model resolution of 12 km or finer over point sources and 24 km or finer over more diffuse sources, such as a city. In this study, we find that emissions gridded to 4 km are sufficient to predict column NO<sub>2</sub> to 10% accuracy for all locations and sources with the possible exception of the largest point sources.

# 7. Emerging uses of Satellite NO<sub>2</sub> columns

In the course of the research pursued during this contract, we identified several other phenomena that are likely interesting constraints on models and emission inventories. We describe these below. Although our analyses are as of yet too incomplete to justify publication in the scientific literature, we expect to follow up many of these lines of inquiry with funding from other sources over the next few years and to complete manuscripts that build on these initial findings.

# Spatial patterns in the "weekend" effect and model chemistry

Day of week patterns in space-based observations of column NO<sub>2</sub> have been used to assess the magnitude of emission sources over entire air basins with satellites (Beirle et al., 2003; Kim et al., 2009; Kaynak et al., 2009; Russell et al., 2010), and now, with the enhanced spatial resolution of OMI, we are capable of assessing the spatial variability of these day-of-week patterns within a single air basin. OMI observes that column NO<sub>2</sub> does not change uniformly across the Los Angles basin from weekdays to weekends (Figure 16, bottom-left); large decreases are observed along the northern and eastern edges of the basin far downwind from the largest sources while there is little observed change directly over the largest sources. One explanation for such heterogeneity is that the emissions sources themselves have a spatially heterogeneous day-of-week pattern. Another possible explanation is that decreased emissions of NO<sub>x</sub> on weekends result in a nonlinear chemical response and a spatially heterogeneous response of column NO<sub>2</sub> (Figure 8). To test whether uniform decreases of NO<sub>x</sub> emissions can lead to spatially non-uniform feedbacks in column NO<sub>2</sub>, we run WRF-CHEM over the Los Angeles Basin for "weekday" (100% x NEI 2005) and "weekend" (62.5% x NEI2005) NO<sub>x</sub> emissions.

Over Los Angeles, a uniform 37.5 % decrease of  $NO_x$  emissions (100 : 62.5 %) in WRF-CHEM results in a 50-60% decrease of column  $NO_2$  downwind from the heart of the source that depends on the rate of VOC emissions (Figure 16, center and right). We are able to show that the agreement between the predicted and observed feedback patterns can be improved by modifying model chemistry. For instance, when VOC emissions are increased by a factor of two, the feedback patterns predicted by WRF-CHEM are more similar to those observed by OMI (Figure 16, bottom row). This is not to say that VOC emissions are inaccurate by a factor of two; we only use this as an example of how chemical feedbacks can affect the ratio of weekday to weekend column  $NO_2$ . Satellite-based observations of the weekend effect feedback patterns thus provide strong constraints on model chemistry.



**Figure 16.** Top row: OMI Standard Product MJJ 2005-2008 average  $VCD_{NO2}$  (molecules cm<sup>-2</sup>), WRF-CHEM  $VCD_{NO2}$  with  $E_{NOx} = 80\%$  and  $E_{VOC} = 100\%$  of NEI 2005, and WRF-CHEM  $VCD_{NO2}$  with  $E_{NOx} = 80\%$  and  $E_{VOC} = 200\%$  of NEI 2005 for a simulation run over June 10-24, 2006. Bottom row: ratio of weekday (i.e.  $E_{NOx} = 80\%$  NEI for WRF-CHEM simulations) to weekend (i.e.  $E_{NOx} = 50\%$  NEI for WRF-CHEM simulations)  $VCD_{NO2}$  of OMI standard product, WRF-CHEM with  $E_{VOC} = 100\%$  of NEI2005, and WRF-CHEM with  $E_{VOC} = 200\%$  of NEI2005.

## Effects of meteorology on model/satellite NO<sub>2</sub> comparisons

With daily global coverage and long-term observational record, OMI provides unparalleled potential to assess the impact of meteorology on column NO<sub>2</sub>. For the United States, the National Center for Environmental Prediction assimilates a suite of metrological observations in the North American Regional Reanalysis (NARR) at 3 hr temporal and 32 km horizontal resolution (Mesinger et al., 2006). Here, we average OMI column NO<sub>2</sub> observations over the Four Corners coal-fired power plant (Figure 17) and for the San Francisco Bay Area (Figure 18) for days when NARR-winds are greater or less than the median wind speed. We find that the amount of NO<sub>2</sub> observed is strongly correlated with NARR wind speed for both the Bay Area and Four Corners Power Plant.



**Figure 17.** OMI Standard Product MJJ 2005-2008 average VCD<sub>NO2</sub> (molecules cm<sup>-2</sup>) of the Four Corners Power Plant region **top**) for days with wind speeds greater than the median and **bottom**) less than the median wind speed, and CEMS-observed NO<sub>x</sub> emissions **left**) 40-75 % and **right**) 75-100% of the observed maximum. The title of each figure includes the domain-average (molecules cm<sup>-2</sup>) minus a 1 x 10<sup>15</sup> background, the mass of NO<sub>x</sub> emitted (lbs) between 12AM and 1PM LST, the number of nadir observations, and the average NARR planetary boundary layer height (m). White arrows represent the NARR average wind field for days included in each average.

We use the Four Corners power plant as a test-bed for our NARR-based filtering method because it is a large source of  $NO_x$ , is required by law to report hourly  $NO_x$  emissions, and has simple chemistry compared to an urban plume. OMI-observed column  $NO_2$  on days of low  $NO_x$ emissions ( $E_{NOx} = 7.2$  tons hr<sup>-1</sup>, Figure 17, left) is clearly lower than that of high-emission days ( $E_{NOx} = 9.3$  tons hr<sup>-1</sup>, Figure 17, right), demonstrating that OMI is accurately capturing changes in emissions. Depending on whether OMI observed NO<sub>2</sub> column is very different. In fact, the column responds much more dramatically to the NARR-assimilated wind fields (Figure 17, top) v. bottom) than it does to a 30 % increase in emissions (Figure 17, left v. right).

The strong correlation of observed column  $NO_2$  with winds could be due to the effect of different mixing regimes on  $NO_2$ -OH feedbacks. At the top of a point source in the real atmosphere, a plume is on the order of meters, a power plant on the order of hundreds of meters, and concentrations of  $NO_x$  in the plume core on order of parts per thousand. Such high concentrations of  $NO_x$  will not only lead to complete titration of OH (Figure 8), but also the complete titration of ozone. Only when the plume is mixed sufficiently with the background atmosphere is steady-state  $O_3$ -NO<sub>x</sub> chemistry reached. Even further mixing is required before  $NO_x$  reaches sufficiently low concentrations that OH is not fully titrated. In other words, the rate at which a  $NO_x$  rich power plant plume mixes with background air may determine how fast the  $NO_x$  within that plume experiences large OH feedbacks, resulting in longer  $NO_2$  lifetimes at slower mixing rates. In that sense, the 32-km NARR wind speed product is acting as a proxy for the rates of smaller-scale mixing phenomena.



**Figure 18.** OMI Standard Product MJJ 2005-2008 average  $VCD_{NO2}$  (molecules cm<sup>-2</sup>) of the San Francisco Bay Area **left**) for days with wind speeds greater than the median and **right**) less than the median wind speed. Black arrows represent the NARR average wind field for days included in each average.

The 2005-2008 summer average of column NO<sub>2</sub> observed by OMI over the Bay Area is also strongly associated with NARR-assimilated wind speeds (Figure 18). Not only does the spatial distribution of observed NO<sub>2</sub> change with wind speed but the total mass also decreases dramatically, as it did in the case of the power plant. Spatially, on low wind speed days, OMI observes a much stronger NO<sub>2</sub> signal directly over the San Francisco Peninsula (Figure 18, left, label A) that is not observed on the higher wind speed days (Figure 18, right, label A). On high wind days, the gradient in the NO<sub>2</sub> signal to the southeast of San Jose (left, label B) reflects the strong NW to SE flowing wind field and that of the low wind-speed days (right, label B) reflects the stagnant conditions. The differences in the observed spatial distribution of NO<sub>2</sub> are notable and should enable better constraints on urban-scale emissions and model transport.

While a quantitative description of these differences is not immediately available, it is likely due to the role of enhanced mixing on NO<sub>2</sub>-OH feedbacks and the lifetime of NO<sub>2</sub>. Unlike the power plant example (Figure 17), a city is a large source of reactive VOCs, so the impact of mixing on NO<sub>x</sub>-VOC chemistry must be considered. To test the role of wind speed and mixing on VOC-NO<sub>x</sub> chemistry, in the future we plan calculations using WRF-CHEM driven by assimilated NARR fields.

## Variation of column NO<sub>2</sub> from 1 to 1:30 PM

Due to its fine spatial resolution, wide swath angle, and 16-day orbital repeat pattern, OMI offers unprecedented opportunity to observe the evolution of column  $NO_2$  over a 30-minute time period with impressive spatial detail (Figure 19, bottom row). The 30 minute temporal coverage is achieved by binning observations made on different halves of the OMI detector, which observe a given spot on the ground with approximately 30 minutes average difference in time.



**Figure 19.** Column NO<sub>2</sub> simulated by (**top-left**) WRF-CHEM over Los Angles basin averaged at 1 pm for June 10-24, 2006 and (**bottom-left**) observed by OMI for MJJ, 2005-2008 using an in-house custom retrieval algorithm. Changes in column NO<sub>2</sub> from 100 to 130 PM LST using the same time periods as above simulated by WRF-CHEM (**top-right**) and observed by OMI (**bottom-right**) using an in-house custom retrieval.

OMI observed column NO<sub>2</sub> (Figure 19, bottom-left) is retrieved using an in-house retrieval with general treatment following that of the Standard Product (Boersma et al., 2002; Bucsela et al., 2006; Celarier et al., 2008), but with finer scale a priori databases and correction of a small error in the sign of the relative azimuth angle, an error that has only a small effect on average columns (<5%), but that biases comparison of the two halves of the detector by ~ 30%. This error has been communicated and confirmed by the NASA Standard Product team and will be corrected in future releases.

The in-house retrieval (Figure 19, bottom-left) results in much lower 1PM column  $NO_2$  than that of the Standard Product and is therefore lower than the WRF-CHEM predictions (Figure 19, top-left), which are typically compared to the Standard Product. However, we are more interested in the spatial patterns observed than the absolute magnitude, so we can ignore this discrepancy for the purpose of this initial investigation.

Both observations and simulations show that column NO<sub>2</sub> is highly dynamic from 1 to 1:30 PM. From 1:00 to 1:30 PM LST, WRF-CHEM simulation shows that NO<sub>2</sub> mass is mostly conserved with 10-25% of two plumes being shifted from west to east (Figure 19, top-right) while OMI observations (Figure 19, bottom-right) show NO<sub>2</sub> mass to be decreasing significantly over most of the Los Angeles Basin with transport carrying NO<sub>2</sub> from south to north. This comparison of simulation and observations can be used as a constraint on model transport and chemistry.



**Figure 20.** (a) Regional map of the OMI Standard Product tropospheric NO<sub>2</sub> column over California for June 2008. White lines show flight tracks flown during the ARCTAS flight campaign. (b) Aircraft derived column NO<sub>2</sub> versus coincident OMI Standard Product tropospheric column for the ARCTAS California flight campaign. The fit is to observations over land only,  $y = 0.8939x + 5.4900 \times 10^{14}$ ,  $R^2 = 0.5355$ . (c) Aircraft derived column NO<sub>2</sub> versus the difference between the OMI Standard Product and aircraft in situ columns for ARCTAS.

## Comparison of OMI columns to aircraft observations during ARCTAS-CARB

The primary means of evaluation of current retrieval algorithms have been comparison to aircraft profiles (Boersma et al., 2008; Bucsela et al., 2008; Hains et al., 2010), model predictions (Lamsal et al., 2010; Ordonez et al., 2006; Lamsal et al., 2008), and surface networks (Ordonez et al., 2006; Lamsal et al., 2008). These data sets provide limited spatial extent, preventing a full evaluation of the retrieval algorithm because coverage by surface networks is sparse as are the full vertical profiles used in previous aircraft validation.

To expand analyses using data from previous flight campaigns, we present a new approach that utilizes all aircraft observations collected in the planetary boundary layer as opposed to requiring complete profiles for comparison with satellite observations. We find that a single point in the BL is characteristic of the profile because air within the BL is generally well-mixed and contribution from the upper troposphere is small—particularly over polluted regions—as evidenced by previous aircraft measurements. Utilizing all measurements allows us to greatly expand the statistics in the evaluation of the satellite retrieval algorithm.

We estimate NO<sub>2</sub> vertical column densities from boundary layer aircraft observations obtained during ARCTAS for comparison with satellite-observed NO<sub>2</sub> columns by first co-locating aircraft measurements with coincident satellite observations. We include aircraft observations collected between 12:00pm and 3:00pm local time to coincide with the 1:45 pm OMI overpass time. We require that at least 20 seconds of aircraft measurements were collected within the boundary layer and within the spatial extent of an OMI pixel. OMI pixels flagged during the retrieval process are excluded as well as pixels with a cloud fraction greater than 20%. The boundary layer (BL) height for each aircraft observation is user-defined by identifying large changes in aircraft measured NO<sub>2</sub> concentration with altitude over time to determine BL entrance and exit points. We assume that the BL height varies linearly between each entrance and exit. We further assume small horizontal variability of NO<sub>2</sub> in the free troposphere and that the boundary layer is well-mixed. The validity of this assumption is verified using observations spanning the troposphere. Aircraft measurements taken within a single satellite pixel are averaged and integrated to determine an inferred NO<sub>2</sub> vertical column density.

Figure 20b shows aircraft derived tropospheric column NO<sub>2</sub> versus OMI Standard Product tropospheric column NO<sub>2</sub> for the California segment of the ARCTAS campaign. Observations

over land are indicated by green dots while observations over the ocean are shown with blue triangles. Satellite observations over water are high compared to in situ derived columns; most observations over land fall within +/- 50%. A fit to observations over land is linear (y = 1.0860 x *in situ column* - 3.5459 ×10<sup>14</sup>, R<sup>2</sup> = 0.5554).

Disagreement between aircraft and satellite observations over water is likely the result of several contributing factors. First, satellite observations over water can largely be affected by enhanced reflection off the water surface (glint) which can erroneously enhance the signal observed by the satellite. This issue is addressed in OMI documentation and a glint flag is set for pixels that may be affected. Second, NO<sub>2</sub> concentrations over water tend to be lower than those over land and are thereby more sensitive to instrument noise and to our well-mixed boundary layer assumption.

	NASA OMI Standard Product	Dutch OMI DOMINO Product	This Work	
Terrain Reflectivity	GOME derived, 1° × 1°, Monthly	GOME derived, 1° × 1°, Monthly	MODIS, 0.05° × 0.05°, 16 day	
Terrain Pressure	SDP Toolkit 90 arcsec DEM map (pressure @ center of OMI footprint)	TM4 Model, 3° × 2° (pressure @ center of OMI footprint)	GLOBE 1km <sup>2</sup> topo. database avg'd to OMI	
NO₂ Profile	GEOS-Chem 2° × 2.5°, Annually	TM4 Model, 3° × 2°, Daily	WRF-Chem 4km × 4km, Monthly	

Table 3. Terrain reflectivity, pressure and NO<sub>2</sub> vertical profile inputs for satellite column NO<sub>2</sub> retrievals.

# Refined retrieval

Retrieval of NO<sub>2</sub> vertical column density from raw reflectance data observed from space strongly depends on viewing geometry, terrain height, terrain reflectivity (albedo), and the NO<sub>2</sub> vertical profile. The tropospheric column also depends on subtraction of the stratospheric component of the column. Current NO<sub>2</sub> column retrieval algorithms produced by the NASA Goddard Space Flight Center (GSFC) and the Netherlands Royal Meteorological Institute (KNMI) utilize terrain and profile shape databases with coarse spatial and temporal resolution (Table 3). Previous work has shown that the uncertainty introduced into the retrieved column is on the order of ~10-30% each for current terrain and profile inputs (Boersma et al., 2004; Zhou et al., 2009; Hains et al., 2010).

We have developed several new elements that are being tested and implemented into a revised retrieval for observations over CA. We have chosen to use the GLOBE (Global Land One-kilometer Base Elevation)  $1 \text{km}^2$  topographical database, MODIS (Moderate Resolution Imaging Spectroradiometer)  $0.05^\circ \times 0.05^\circ$  (5600 m), 16 day averaged terrain reflectivity, and WRF-Chem monthly-averaged,  $4 \times 4 \text{ km}^2$  simulated NO<sub>2</sub> profiles. The MODIS albedo provides an improved spatial and temporal resolution when compared with the monthly averaged  $1^\circ \times 1^\circ$  GOME product currently employed in retrievals (soon to be  $0.5^\circ \times 0.5^\circ$ ). For this dataset, we observe an average difference of +/- 54% between the terrain reflectivity in our product versus the standard product. The more spatially refined topographical database and NO<sub>2</sub> profiles are also expected to be more accurate than those utilized in previous retrievals (Zhou et al., 2009). We further

average each of the higher spatially-resolved datasets over the satellite pixel instead of using the value for the center of the pixel so that the parameters are more representative of the integrated pixel area. Monthly averaged WRF Chem profiles are used to eliminate the known seasonal bias in the Standard Product that exists from using yearly GEOS-Chem profiles (Lamsal et al., 2010). This work is still ongoing; however, thus far we have observed consistent improvement in the fits between satellite and in situ observations using our newly developed retrieval algorithm (Figure 21). To take this a step further, we are currently working on an improved cloud retrieval product that uses the MODIS reflectivity to determine cloud fraction and cloud height. Current cloud products rely on the coarser terrain reflectivity and are thereby less accurate than our revised product will be.



**Figure 21.** Aircraft derived column NO<sub>2</sub> versus coincident OMI tropospheric column derived over land by the Standard Product (left;  $y = 0.9142x + 2.3053 \times 10^{14}$ ,  $R^2 = 0.6735$ ) and by our revised retrieval (right;  $y = 0.9514x - 5.3871 \times 10^{14}$ ,  $R^2 = 0.7751$ ) for the ARCTAS California flight campaign.

## 8. Conclusions and Recommendations

In conclusion, we believe satellite  $NO_2$  observations are an extraordinary resource for constraining emissions and chemistry affecting air quality and ozone in California. We find that satellite observations are capable of capturing long-term trends in  $NO_2$  to allow evaluation of regulatory efforts, and of day-of-week patterns for improving model performance. For example, we find a linear relationship between changes in satellite column  $NO_2$  and CARB emissions estimates over time, which suggests that the emissions estimates are capturing basin-wide trends on inter-annual timescales. We propose that differences between the inventory and observations in relative reduction from weekdays to weekends and inter-annual trends may be at least partially accounted for by OH feedbacks on  $NO_2$ . We quantify the impact of the  $NO_2$ -OH feedback on  $NO_2$  using a variety of models that vary in complexity. We show that the chemical feedback is dependent on the magnitude of the emission source and on the resolution of the model. Finally, we discuss ongoing efforts to produce a new retrieval of  $NO_2$  from OMI that utilizes more resolved spatial and temporal, terrain and profile inputs. This retrieval has shown improved correlation with aircraft observations compared with the current Standard Product.

We offer the following recommendations for future work in this area of research:

1) Models used by the CARB should be compared against remote sensing observations as part of their evaluation. We recommend that any model used for regulatory purposes capture day-of-

week and seasonal trends in addition to the magnitude of the  $NO_2$  column. In this way, changes in emissions can be distinguished from the role of chemical feedbacks. The models should be tested against the satellite observations using monthly averages. In these averages the locations of edges will be an especially rigorous test of model performance.

2) For more quantitative analyses of the relationship between  $NO_x$  emissions and  $NO_2$  column, models must account for the resolution-dependence of OH feedback on column  $NO_2$ . Furthermore, utilizing regional scale terrain and  $NO_2$  profile inputs will improve the accuracy of retrieval from space-based observations.

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## 10. Glossary of Terms, Abbreviations, and Symbols

- AMF Air Mass Factor, the ratio between the retrieved slant column density and atmospheric vertical column density
- ARCTAS Arctic Research of the Composition of the Troposphere from Aircraft and Satellites
- CARB California Air Resources Board
- CCD Charge Coupled Device
- CEMS Continuous Emissions Monitoring System
- CTM Chemical Transport Model
- DISC Data and Information Services Center
- DOAS Differential Optical Absorption Spectroscopy
- EMFAC Emissions Factor Model
- GES Goddard Earth Sciences
- GLOBE Global Land One-kilometer Base Elevation
- GOME Global Ozone Monitoring Experiment
- GSFC Goddard Space Flight Center

 $HO_x - OH + HO_2$ 

- KNMI Netherlands Royal Meteorological Institute
- KPP Kinetic Preprocessor
- LST Local Standard Time
- MODIS Moderate Resolution Imaging Spectroradiometer
- NARR North American Regional Reanalysis
- NASA ESSF National Aeronautics and Space Administration Earth and Space Science Fellowship
- NEI National Emissions Inventory
- $NO_x NO + NO_2$

# OMI – Ozone Monitoring Instrument

 $P_{HOx} - HO_x$  production

P<sub>O3</sub> – Ozone production

SCIAMACHY – Scanning Imaging Absorption Spectrometer for Atmospheric Chartography

TD-LIF – Thermal Dissociation Laser Induced Flourescence

VOC – Volatile Organic Compound

WRF-CHEM – Weather Research and Forecasting Chemistry Model