

## CATERPILLAR INC.

**EXECUTIVE ORDER A-013-0145** On-Road Heavy-Duty Engines

Pursuant to the authority vested in the Air Resources Board by Health and Safety Code (HSC) Division 26, Part 5, Chapter 2; and pursuant to the authority vested in the undersigned by HSC Sections 39515 and 39516 and Executive Order G-45-9; and

Pursuant to the December 15, 1998 Settlement Agreement between the Air Resources Board and the manufacturer, and any modifications thereof to the Settlement Agreement;

IT IS ORDERED AND RESOLVED: That the following engine and emission control systems produced by the manufacturer are certified as described below for use in on-road motor vehicles with a manufacturer's gross vehicle weight rating (GVWR) over 14,000 pounds. Production engines shall be in all material respects the same as those for which certification is granted.

| MODEL<br>YEAR   | ENGINE FAMILY  | ENGINE<br>SIZE<br>(liter)   |                             | FUEL TYPE  | STANDARDS<br>& TEST<br>PROCEDURE | INTENDED<br>SERVICE CLASS |  |  |  |  |
|---|--|---|-----------------------------|--|----------------------------------|---------------------------|--|--|--|--|
| 2002  | 2CPXH0442HAK   | 7.2   |                             | Diesel   | Diesel                           | Medium-Heavy<br>Duty      |  |  |  |  |
|   | SPECIAL FEATU<br>EMISSION CONTROL  |   |                             | ENGINE MODELS / CODES<br>(rated power in horsepower, hp) |                                  |                           |  |  |  |  |
|   | DDI, TC, CAC, E  | CM, OC  |                             |  |                                  |                           |  |  |  |  |
| HO2S=heate<br>fuel injection<br>injection To<br>EGR=exhaus<br>PAIR=pulsed<br>/powertrain of | ONS: OC=oxidizing catalyse O2S=o d2S=0 d2S=Distribute body fit of SFI=sequentialMFI DD C/SC=turbo/super charger t gas recirculation AR=s. AIR SP=smoke puff limite control module EM=engin till (2) (sufflx)=in series | exygen sensor uel injection MFI=n i/IDI=direct /indirect CAC=charge air c econdary air injectio er ECWPCM=engir | ultiport<br>diesel<br>poler | 3126 / 1 (230 hp), 3126 / 2 (216 hp), 3126 / 3 (207 hp)  |                                  |                           |  |  |  |  |

The following are the exhaust emission standards (STD), or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for this engine family for hydrocarbons (HC) or non-methane hydrocarbons (NMHC), oxides of nitrogen (NOx), or NMHC+NOx, carbon monoxide (CO), particulate matter (PM), and formaldehyde (HCHO) in grams per brake horsepower-hour (g/bhp-hr) under the "Federal Test Procedure" (FTP) (Title 13, California Code of Regulations, (13 CCR) Section 1956.1 (urban bus) or 1956.8 (other than urban bus)), and under the "Euro III Test Procedure" (EURO) in the Settlement Agreement, including a EURO's "Not-to-Exceed" NOx standard: (The emission standards and certification levels for default operations permitted under 13 CCR Section 1956.1 or 1956.8 are in parentheses.)

| * = not<br>applicable | HC  |      | NMHC |      | NOx |      | NMHC+NOx |      | со   |      | NOT-TO-EXCEED N |      | Ox STD 5.0 |          |
|-----------------------|-----|------|------|------|-----|------|----------|------|------|------|-----------------|------|------------|----------|
|                       | FTP | EURO | FTP  | EURO | FTP | EURO | FTP      | EURO | FTP  | EURO | FTP             | EURO | FTP        | EURO     |
| (DIRECT) STD          | 1.3 | 1.3  | *    | *    | 4.0 | 4.0  | *        | *    | 15.5 | 15.5 | 0.10            | 0.10 | *          | +        |
| AVERAGE STD           | *   | *    | *    |      | *   |      | *        | •    | •    |      | *               | *    | *          | <b>-</b> |
| FEL                   | *   | •    | *    | *    | *   | *    | *        |      | •    |      |                 | -    |            | <u> </u> |
| CERT                  | 0.4 | 0.2  | •    | •    | 3.2 | 3.0  | *        | •    | 1.2  | 0.7  | 0.08            | 0.09 | *          | •        |

BE IT FURTHER RESOLVED: That certification to the FEL(s) listed above, as applicable, is subject to the following terms, limitations and conditions. The FEL(s) is the emission level declared by the manufacturer and serves in lieu of an emission standard for certification purposes in any averaging, banking, or trading (ABT) programs. It will be used for determining compliance of any engine in this family and compliance with such ABT programs.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the materials to demonstrate certification compliance with 13 CCR Sections 1965 (emission control labeling), and 2035 et seq. (emission control system warranty).

BE IT FURTHER RESOLVED: That the listed engine models are conditionally certified subject to the following conditions: (1) The Settlement Agreement is in effect; and, (2) The manufacturer is in compliance with all applicable certification requirements of the Settlement Agreement and any modifications thereof.

Engines certified under this Executive Order shall conform to all applicable California emission regulations and all requirements under the Settlement Agreement and any modifications thereof.

The Bureau of Automotive Repair will be notified by copy of this Executive Order.

This Executive Order is not valid for engines produced on or after October 1, 2002.

Executed at El Monte, California on this

day of December 2001.

R.B. Summerfield, Chief Mobile Source Operations Division

mac