State of California AIR RESOURCES BOARD

EXECUTIVE ORDER A-13-127

Relating to Certification of New Heavy-Duty Engines and Vehicles

CATERPILLAR, INC.

Pursuant to the authority vested in the Air Resources Board at Sections 43100, 43101, and 43102 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned at Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9; and

Pursuant to the December 15, 1998 Settlement Agreement between the Air Resources Board and Caterpillar, Inc. and any modifications to the Settlement Agreement;

IT IS ORDERED AND RESOLVED: That the following 1999 model-year Caterpillar, Inc. diesel engines are certified for use in motor vehicles with a manufacturer's gross vehicle-weight-rating (GVWR) over 14,000 pounds:

Fuel Type: Diesel

		acement	Exhaust Emission Control
Engine Family	<u>Liters</u>	<u>Cubic Inches</u>	Systems and Special Features
XCPXH0729ERK	12.0	729	Turbocharger Charge Air Cooler Electronic Control Module

The engine models and codes are listed on attachments.

BE IT ORDERED AND RESOLVED: That the following are the certification exhaust emission standards for this engine family in grams per brake horsepower-hour under the Federal Test Procedure ("FTP") for Heavy-Duty Diesel Engines (Title 13, California Code of Regulations, Section 1956.8):

	Total	Carbon	Nitrogen	Particulate
	<u>Hydrocarbons</u>	<u>Monoxide</u>	<u>Oxides</u>	<u>Matter</u>
"FTP"	1.3	15.5	4.0	0.10

BE IT FURTHER RESOLVED: That pursuant to the Settlement Agreement and any modifications thereof, the aforementioned engine family is also subject to the following emission standards, in grams per brake horsepower-hour, under the EURO III tests in the Settlement Agreement, and a "Not-to-Exceed" nitrogen oxides emission standard of 7.0 grams per brake horsepower-hour:

	Total	Carbon	Nitrogen	Particulate		
	<u>Hydrocarbons</u>	<u>Monoxide</u>	Oxides	<u>Matter</u>		
"EURO III"	1.3	15.5	6.0	0.10		

BE IT FURTHER RESOLVED: That the following are the certification exhaust emission values for this engine family in grams per brake horsepower-hour:

	Total	Carbon	Nitrogen	Particulate
	<u>Hydrocarbons</u>	<u>Monoxide</u>	<u>Oxides</u>	<u>Matter</u>
"FTP"	0.2	1.0	3.6	0.07
"EURO III"	0.1		4.8	0.04

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the materials to demonstrate certification compliance with the Board's emission control system warranty provisions (Title 13, California Code of Regulations, Sections 2035 et seq.).

BE IT FURTHER RESOLVED: That the aforementioned engine family has been conditionally certified subject to the following conditions:

The Settlement Agreement is in effect. 1.

The Settlement Agreement has not become null and void under 2.

Settlement Agreement Paragraph 165

Caterpillar., Inc. is in compliance with all 3. applicable certification requirements of the Settlement Agreement.

Engines certified under this Executive Order must conform to all applicable California emission regulations and to all applicable terms and conditions of the Settlement Agreement.

The Bureau of Automotive Repair will be notified by copy of this order and attachments.

Executed at El Monte, California this Add day of December 1998.

B. Summerfield, Chief

Mobile Source Operations Division

LARGE ENGINE MODÈL SUMMARY

EO: A-13-127

Process Code: New Submission

Manufacturer: CATERPILLAR INC.

EPA Engine Family: XCPXH0729ERK

Manufacturer Family Name: 4.Fuel Rate:

mm/stroke @ peak HP (for diesel only)

3.BHP@RPM (SAE Gross)

5.Fuel Rate: (lbs/hr) @ peak HP (for dlesels only)

6.Torque @ RPM (SEA Gross)

7.Fuel Rate: mm/stroke@peak torque

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8.Fuel Rate: 9.Emission Control (Ibs/hr)@peak torque Device Per SAE J1930

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(ibs/ni)@peak knque Dewce Per SAE J 1830			EM, DI, IC, ECM,	EM, DI, TC, ECM,	EM OF TO FOM	LW, Cl. 10, EC.	EM, DI, TC, ECM, CATC	EM, DI, TC, ECM,	EM, DI, TC, ECM,	EM, DI, TC, ECM,	EM DI TC. ECM.	EM DI TO FOM	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	EM, DI, 10, ECM,	EM, DI, TC, ECM,	EM, DI, TC, ECM,	EM, DI, TC, ECM,	EM. DI. TC. ECM.								
(ibs/n/@bear radra	opodo nom	may change.	118.0	111.0	118.0	118.0	111.0	103.0	111.0	103.0	111.0	403.0	103.0	103.0	103.0	111.0	111.0	103.0	4440	0.11.	0.501	103.0	96.0	96.0	096	
torque	41 - 2 - 6 - 1 meter	mese mei rates	292	275	292	292	275	255	275	255	275	996	CC7	255	255	275	275	25.5	275	6/7	255	255	238	238	228	200
(SEA Gross)		ion engine avgs.	1650 @ 1200	1550 @ 1200	1650 @ 1200	1650 @ 1200	1550 @ 1200	1450 @ 1200	1550 @ 1200	1450 @ 1200	1550 @ 1200	1330 (1200	1450 @ 1200	1450 @ 1200	1450 @ 1200	1550 @ 1200	4550 @ 1200	1330 (6) 1200	1450 @ 1200	1550 (@ 1200	1450 @ 1200	1450 @ 1200	1350 @ 1200	1350 @ 1200	4250 @ 4200	1330 @ 1500
(for diesels only)		Due to product-	140.0	142.8	134.2	127.0	422.0	122.0	132.0	132.0	132.0	127.0	127.0	122.0	127.0	127.0	2010	0.721	127.0	126.0	122.0	117.0	1140	2004	2.00	0.801
(for diesel only)		nominal values.	231	236	224	177	210	017	017	210	017	OLZ	210	201	240	240	017	ULZ	210	208	201	103	400	200	001	- - - - - - - -
(SAE Gross)	***************************************	fire rates are	455 @ 1800	455 (6) 1000	455 @ 1000	430 @ 1000	430 @ 1800	425 @ 1800	425 @ 1800	425 @ 1800	425 @ 1800	410@1800	410 @ 1800	205 @ 1800	383 (6) 1000	410 @ 1000	410 @ 1000	410 @ 1800	410 @ 1800	405 @ 1800	395 @ 1800	200 @ 1000	300 @ 1000	3/0 @ 1000	355 @ 1800	355 @ 1800
2.Engine Model		Part Tord Page	מות רסמא וטיקטט	21-7	C-12	C-12	C1-12	3 50	C-12	C-12	C-12	C-12	C-12	C-12	15	21-0	C-12	C-12	C-12	C-12						
1.Engine Code		Minter Dealt Un	Note: Peak rip	1 - Cert Engine	2.	က	4	2	9	7	ဆ	o		2	11	12	13	14	15	7	2 .	1,	<u>0</u>	19	20	2