



# Air Resources Board



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**Edmund G. Brown Jr.**  
Governor

October 25, 2011

MAIL-OUT MSO # 2011-02

TO:           - All Passenger Car (PC), Light-Duty Truck (LDT), and Medium-Duty Vehicle (MDV) Manufacturers  
              - All Heavy-Duty Engine and Vehicle (HDE/V) Manufacturers  
              - All On-Road Motorcycle (ONMC) Manufacturers  
              - All Licensed Used-Direct-Import (UDI) Vehicle Emission Test Laboratories

SUBJECT: Reporting California Vehicle and Engine Production Numbers for Assessing Annual Certification Fees for Fiscal-Year 2011-2012 (FY11/12)

Health and Safety Code section 43019 authorizes the Air Resources Board (ARB) to collect annual fees to cover the costs of the certification of motor vehicles and engines for sale into California. Under Title 13, California Code of Regulations, (13 CCR) sections 1990-1994, manufacturers producing new on-road vehicles and engines for sale into California (hereinafter, California vehicles and engines) and laboratories licensed for the California certification of used direct import vehicles (hereinafter, California UDI vehicles) are required to pay their annual certification fees as determined by the Executive Officer. In this Mail-out, manufacturers and laboratories are requested to report the numbers of their California vehicles and engines or, as applicable, California UDI vehicles, so that the Executive Officer can determine the total number of vehicles and engines subject to the FY11/12 certification fees, the per-unit fee, and the assessed fees of each manufacturer and laboratory.

The annual certification fees are based on the numbers of California vehicles and engines produced during the previous calendar year (CY) that involve production in Quarters 5 through 8 (QTR 5-8) of the previous model year and Quarters 1 through 4 (QTR 1-4) of the current model year (MY). The FY11/12 certification fees are based on the numbers of California vehicles and engines produced in CY2010 (January 1 through December 31, 2010) that include the QTR 5-8 production of MY2010 vehicles and engines and the QTR 1-4 production of MY2011 vehicles and engines. The manufacturers needed to keep track of the production numbers for each quarter for two model years, MY2010 and MY2011. Due to marketing variations (e.g., dealer trades, changes in dealer distribution in response to local markets), such quarterly production numbers are usually adjusted before reported to ARB for purposes of the certification fees, passenger car and light-duty truck NMOG fleet average standard compliance, medium-duty vehicle VEC fleet average standard compliance, heavy-duty ABT compliance, and motorcycle HC+NOx corporate average standard compliance.

*The energy challenge facing California is real. Every Californian needs to take immediate action to reduce energy consumption. For a list of simple ways you can reduce demand and cut your energy costs, see our website: <http://www.arb.ca.gov>.*

California Environmental Protection Agency

At the request of manufacturers to reduce the reporting burdens involving two model years and to use the more accurate model-year production numbers that are reported for use in the various fleet average compliance programs, the ARB will allow manufacturers the option to have their FY11/12 certification fees assessed based on their complete MY2010 production number when a manufacturer's MY2010 production number is higher than the manufacturer's CY2010 production number. In subsequent years, these "opt-in" manufacturers will only have to report their model-year production numbers for assessing their certification fees. (The option described above was first allowed with the FY07/08 certification fees.)

In this Mail-out:

- (a) For manufacturers reporting their CY2010 production numbers for the MY2010 and MY2011 vehicles and engines that were produced from January 1 through December 31, 2010, fill in Tables 1A, 2A, and 3A with the appropriate production numbers.
- (b) For manufacturers with a higher MY2010 total production number than their CY2010 total production number and who wish to have their FY11/12 certification fees assessed based on their MY2010 production number, fill in Tables 1B, 2B, and 3B in addition to Tables 1A, 2A, and 3A. Henceforth for future annual certification fees assessments, these newly "opt-in" manufacturers will need to report their appropriate model-year production number only.
- (c) For manufacturers who had opted to pay their FY10/11 certification fees based on their higher MY2009 production number rather than on their CY2009 production, disregard Tables 1A, 2A, and 3A and fill in only Tables 1B, 2B, and 3B with the MY2010 total production numbers.
- (d) For laboratories, fill in Table 4 to report the number of UDI vehicles tested and certified by the laboratories during the January 1 through December 31, 2010 time period.
- (e) The total production number subject to the FY11/12 certification fees is determined from those reported according to paragraphs (a), (b), (c) and (d) above.
- (f) The production numbers for heavy-duty engines and vehicles, and diesel and incomplete medium-duty vehicles with a 8501-14000 pounds gross vehicle weight rating (GVWR) that were certified under separate Executive Orders are to be reported separately for their respective engine and vehicle certification in the column "Engine (E) or Vehicle (V) Certification" in Tables 2A and 2B.

- (g) Manufacturers are requested to modify the tables by adding any California-certified MY2010 or MY2011 test groups and engine families that are missing from the tables and provide their California production numbers.
- (h) Test groups and engine families with zero vehicle or engine production, or zero UDI vehicle certification, must be reported as "0", i.e., please do not leave the production field blank.
- (i) For ease and accuracy of calculating the total production number, the per-unit fee, and each manufacturer's or laboratory's fees, staff requests that manufacturers and laboratories download and use the Excel Tables 1A, 1B, 2A, 2B, 3A, 3B, and 4 as applicable that are attached to this Mail-out. The Mail-out and its attached tables are posted on the ARB web site at [http://www.arb.ca.gov/msprog/mailouts/mouts\\_11.htm#msod](http://www.arb.ca.gov/msprog/mailouts/mouts_11.htm#msod).
- (j) Staff requests that manufacturers save and submit the completed tables, in their Excel format, to the ARB's Document Management System (DMS). For uploading and work flow naming guidance see Attachment A. Manufacturers and consultants who do not have a DMS user account should contact their assigned certification staff at ARB to obtain a DMS account. For reference, Tables 5A and 5B (attached) are the current lists of On-Road Light-Duty Certification (ONRLDC) Section and Compression-Ignition and Heavy-Duty Certification (CI&HDC) Section staff, respectively, and their assigned manufacturers and laboratories.
- (k) All manufacturers and laboratories shall also provide their mailing addresses and contact information, which the ARB will use to prepare the FY11/12 certification fee invoices. Worksheet "Invoice\_Contact" within Tables 1A, 1B, 2A, 2B, 3A, 3B, and 4 is an Excel table with the format for providing the mailing and contact information for invoice purposes.
- (l) UDI vehicle emission test laboratories are requested to attach their filled-in Excel Table 4 to an e-mail sent to the laboratory's assigned ONRLDC section staff.
- (m) For manufacturers that submitted applications for certification for their MY2010 or MY2011 engine families through consultants or contract services, this Mail-out will be sent to both the manufacturer's last indicated contact and the consultant or contract service indicated in the applications for certification. Staff requests that the consultants and contract services also forward this Mail-out to their manufacturer clients and/or ensure that the required information for each of their manufacturers is provided to the ARB.
- (n) **Manufacturers are requested to provide their production numbers and invoice contact information by December 8, 2011.**

- (o) Because the per-unit fee and manufacturers' certification fees for FY11/12 all depend on the reported total number of California vehicles and engines, and California UDI vehicles, revisions to a manufacturer's or laboratory's reported production numbers will not be permitted.

Upon the finalization of the production numbers of the California vehicles and engines and California UDI vehicles, and the calculation of the per-unit fee, the FY11/12 fee assessment notices will be sent to each manufacturer and laboratory according to the mailing and contact information provided in worksheet "Invoice\_Contact". Payment is required within thirty (30) days after receipt of the notice, unless arrangements are made with ARB's Administrative Services Division for quarterly payments.

Under 13 CCR section 1993(f)(2) and 1994(c), **failure to comply with the annual certification fee requirements to report California production numbers and/or pay the assessed fees may result in a suspension of the processing of a manufacturer's applications for certification.**

Any questions concerning a manufacturer's MY2010 and MY2011 engine families or laboratory's CY2010 UDI vehicles subject to the FY11/12 certification fee should be directed to the assigned ONRLDC or CI&HDC section staff. For all other questions concerning this Mail-out, please contact Mr. Steven Hada, Air Resources Engineer, On-Road Light-Duty Certification Section, at (626) 575-6641 or at shada@arb.ca.gov.

Sincerely,

/s/

Annette Hebert, Chief  
Mobile Source Operations Division

Attachments: (see page 5)

Attachments to Mail-out MSO 2011-02

- Attachment A: Guidance for Submission into the Document Management System (DMS) of FY11/12 Certification Fees Production Numbers and Invoice Contact Information
- Table 1A: January 1-December 31, 2010 Production Numbers for MY2010 and MY2011 PC, LDT, and Chassis-Certified MDV Test Groups, and Invoice Contact Information
- Table 1B: Complete MY2010 Production Numbers for MY2010 PC, LDT, and Chassis-Certified MDV Test Groups, and Invoice Contact Information
- Table 2A: January 1-December 31, 2010 Production Numbers for MY2010 and MY2011 HD Engine and Vehicle Families, Including 8501 - 14000 #GVWR Diesel and Incomplete MDV Certified Under the Engine Test Procedures, and Invoice Contact Information
- Table 2B: Complete MY2010 Production Numbers for MY2010 HD Engine and Vehicle Families, Including 8501 - 14000 #GVWR Diesel and Incomplete MDV Certified Under the Engine Test Procedures, and Invoice Contact Information
- Table 3A: January 1-December 31, 2010 Production Numbers for MY2010 and MY2011 ONMC Families, and Invoice Contact Information
- Table 3B: Complete MY2010 Production Numbers for MY2010 ONMC Families, and Invoice Contact Information
- Table 4: Licensed Used Direct Import Vehicle Emissions Laboratories, and Invoice Contact Information
- Table 5A: On-Road Light-Duty Certification Section Manufacturer Assignments
- Table 5B: Compression Ignition and Heavy-Duty Certification Section Manufacturer Assignments