

**VOUCHER INCENTIVE PROGRAM GUIDELINES
CARL MOYER MEMORIAL AIR QUALITY STANDARDS ATTAINMENT PROGRAM
FOR ON-ROAD HEAVY-DUTY VEHICLES**

These guidelines describe the minimum criteria and requirements for the Carl Moyer Memorial Air Quality Standards Attainment Program (CMP) on-road heavy-duty vehicles Voucher Incentive Program (VIP). The VIP provides a streamlined approach for participants, air quality management districts, and air pollution control districts to participate in replacing old, high-polluting vehicles with newer, lower-emission vehicles. The basis for the VIP is the current fleet modernization program that provides incentives to replace old high-polluting heavy-duty vehicles with newer, lower-emission replacement vehicles. The VIP source category provides real emission benefits by retiring the high-polluting vehicle earlier than would have been expected through normal attrition. Carl Moyer Program funds for voucher projects are used to offset part of the cost of the replacement vehicle.

California air pollution control/air quality management districts (districts) shall utilize these guidelines as a stand-alone document and need not refer to the current Carl Moyer Program guidelines in implementing this program. Districts must follow all VIP guidelines in order to offer vouchers as a funding option. Districts may not set more stringent requirements based upon local priorities. These guidelines provide implementation documents in the appendices section that must be used for funding voucher projects and may not be modified (unless otherwise noted).

1. Project Criteria

The project criteria listed below for VIP projects provides districts, applicants, and dealerships with the minimum requirements for participating in the VIP.

2. Projects Eligible for Funding

The following heavy-duty vehicle VIP projects are eligible for funding:

New Replacement Vehicle Purchase: The purchase of a new 2007 model year or later vehicle with an engine certified to an FEL level of 1.20 g/bhp-hr Oxides of Nitrogen (NO_x) and 0.01 g/bhp-hr Particulate Matter (PM) or cleaner to replace the existing vehicle that is to be scrapped.

Used Replacement Vehicle Purchase: The purchase of a used 2007 model year or later vehicle with an engine certified to an FEL level of 1.20 g/bhp-hr NO_x and 0.01 g/bhp-hr PM or cleaner to replace the existing vehicle that is to be scrapped.

3. Project Funding Amounts

The maximum total project funding amounts associated with reducing the eligible costs of a VIP project are pre-determined and shown in Table 1.

Table 1: Voucher Program Funding Amounts

Declared Gross/Combined Gross Vehicle Weight Range	In Operation by 12/31 of Calendar Year	Model Year of Old-vehicle Engine	Project Amount
Heavy-Heavy Duty Vehicle (greater than 60,000 pounds)*	2009	1990 and older	\$35,000
		1991-1993	\$30,000
	2010	1990 and older	\$25,000
		1991-1993	\$20,000

* Historical operation of 30,000 miles per year or consumed 4,700 gallons per year for two years is required to participate (gallons TBD)

4. Program Requirements

(a) General Program Criteria

- (1) Small Fleet Size Limitations: Fleets that have one, two, or three vehicles that are 14,001 or greater Gross Vehicle Weight Rating (GVWR) are eligible to participate in the VIP (see definitions for an explanation on weight in Section 5). Fleets that have more than three heavy-duty vehicles are not eligible to participate in the VIP. The GVWR is only to be considered to determine the fleet size and not to determine if a specific vehicle meets all other VIP requirements.
- (2) Emission Reduction Technologies: Emission reduction technologies must be certified/verified by the ARB and must comply with durability and warranty requirements. For the purposes of the VIP, a technology granted a conditional certification/verification by ARB is considered certified/verified.
- (3) Vehicle Leasing is Not Allowed: If financing is necessary, the vehicle purchase must be financed with a conventional purchase loan.
- (4) Obtaining Financing: The participant may obtain public and/or private financing to assist in the purchase of the replacement vehicle, but cannot seek additional public funded grants.

- (5) Drayage Truck Regulation: Vehicles that fall under the authority of ARB's Drayage Truck Regulation are not eligible to participate in the VIP.
- (6) Emission reductions obtained through VIP projects must not be required by any federal, State or local regulation, memorandum of agreement/understanding with a regulatory agency, settlement agreement, mitigation requirement, or other legally binding document.
- (7) No emission reductions generated by the VIP shall be used as marketable emission reduction credits, or to offset any emission reduction obligation of any person or entity.
- (8) No project funded by the VIP shall be used for credit under any federal or State emission averaging banking and trading program.
- (9) Engines operating under a regulatory compliance extension granted by the ARB, a local district, or the U.S. EPA are not eligible for funding.
- (10) Projects funded by the VIP may not be used to generate a compliance extension or extra credit for determining regulatory compliance.

(b) Participant Requirements

- (1) Participants must meet the following requirements to be eligible for funding:
 - a. Ownership Requirements: The participant must currently own and operate the old-vehicle, documented through providing a copy of the current old-vehicle title.
 - b. Prior Minimum Usage Requirement: The old-vehicle must have operated a minimum of 30,000 miles per year or consume 4,700 gallons of diesel fuel per year, in each of the previous two years to be eligible to participate. Participants must submit documentation verifying usage for the previous two years for the old-vehicle. Examples of documentation can include the following: logbooks, fuel records, maintenance records, tax records, etc.
 - c. Operation in California: The participant must certify on the application that the old vehicle operated at least 75% in California during the previous two (2) years.
 - d. Applying for Funding in a Participating Air District: Applicants may only apply to one participating air district at a time. A list of participating air districts will be listed at <http://www.arb.ca.gov/msprog/moyer/voucher/voucher.htm>

- e. Application Package: To be approved for VIP funds, the applicant must meet guideline requirements, submit an application, and submit all of the documentation listed in the Application Package (Appendix A).
 - f. Specified Participating Dealership: The participant must specify the participating dealership used for the purchase of the replacement vehicle. If the application is approved, the participant must purchase the replacement vehicle from the specified dealership. If the participant chooses to change dealerships, the participant must submit a new VIP application to the district.
 - g. Voucher Redemption Deadline: The dealer and owner must agree on a date in which the replacement vehicle will be delivered. The voucher will be redeemable within 30 days of issuance or by the delivery date agreed upon by the dealer and owner, whichever is later. If the owner is unable to purchase the replacement vehicle after a voucher has been issued, the owner must notify the dealer and district immediately so that funds can be reallocated.
 - h. Replacing two (2) old-vehicles with one (1) replacement vehicle: Owners may replace two old-vehicles with one replacement vehicle as long as all VIP requirements are met. The two old-vehicles must have cumulatively operated a minimum of 30,000 miles per year or 4,700 gallons of diesel fuel per year for the previous two (2) years. The funding levels do not double for the replacement vehicle as shown in Table 1. Participants who utilize this option must submit a complete application for each old-vehicle. The applicant should specify on each application that the applicant is choosing this option by checking the Two-for-One Option box.
- (2) The owner must agree to the following terms after being approved for a voucher:
- a. Register the replacement vehicle in California with the Department of Motor Vehicles (DMV) with a declared Gross/Combined Gross Vehicle Weight Range greater than 60,000 pounds (lbs.).
 - b. Maintain insurance as required by law.
 - c. Own and operate the replacement vehicle at least 75% of the time within California for a minimum of three years from the date of purchase.
 - d. Notify the district if there is any change of ownership.

- e. Return annual usage surveys as requested by the district.
- f. Do not make any modifications to the emission control system on the replacement vehicle engine.
- g. Be available for a follow up inspection, if requested by the district or the California Air Resources Board (ARB).
- h. Allow ARB to verify the replacement vehicle registration with the California Department of Motor Vehicles (DMV) after awarded a voucher.

(c) Existing Old-vehicle Requirements

All existing old-vehicles must meet the following conditions before a VIP application will be approved and awarded a voucher.

- (1) Eligible Model Years for the Old Engine: The model year of the old engine must be 1993 or older. Verification of the model year must be submitted in the application package. Verification can include a picture of the model year on the engine plate or written documentation from the manufacturer or participating dealer that matches the engine serial number to a particular model year.
- (2) Diesel-fueled Vehicles: The old-vehicle must currently operate on diesel fuel.
- (3) Weight Class: The old-vehicle must have been registered with DMV with declared Gross/Combined Gross Vehicle Weight Range greater than 60,000 lbs. Verification of the declared Gross/Combined Gross Vehicle Weight Range must be identified on the vehicle registration for the previous two years. Any changes that resulted in a declared Gross/Combined Gross Vehicle Weight Range less than 60,001 lbs. during the previous two (2) years disqualify the vehicle from participating in the VIP.
- (4) Vehicle Title: A copy of the old-vehicle title must be submitted with the application package. The owner must be listed on the title as the sole owner of the vehicle and owned the vehicle during the previous two years. If the old-vehicle title shows an active lien-holder, then the vehicle does not qualify to participate in the VIP.
- (5) California Registration: The old-vehicle must have current California registration and have been registered in California for the previous two years. Copies of the DMV registration and proof of insurance for the

old-vehicle for the previous two years must be submitted with the application package.

- Partial-Year California Registration Exception: If the old-vehicle operates seasonally, then the old-vehicle may be eligible to participate in the voucher incentive program if it has been registered in California for at least three (3) months per year for the previous two (2) years. DMV registration documentation for each month the vehicle was registered must be included in the application package.
- (6) Operational Condition Verification: The old-vehicle must be in operational condition to qualify for funding. Operating condition must be determined through an inspection by a participating dealership prior to submitting the application or by air district staff upon request. An operational vehicle must be able to start, move in all directions, and have all operational parts.
 - (7) Engine Verification: If the old-vehicle engine tag is missing, the participant will be required to provide verification of the engine make, model, model year, engine serial number, and horsepower from the manufacturer. Verification can include a letter or a printout from an engine manufacturer or participating dealer.
 - (8) Glider Kits: Existing glider kit vehicles are eligible to participate in the voucher program. The replacement vehicle has to be a complete Original Equipment Manufacturer (OEM) vehicle; i.e., the replacement vehicle cannot be a glider kit. Glider kits are replacement chassis and cab for on-road heavy-duty vehicles. Glider kits are identified with a vehicle identification number (VIN) starting with the letters "GL". In situations where the model years of the glider kit vehicle's chassis and engine differ, approval determination shall be made using the model year of the engine.
 - (9) Old-vehicle Body Components: The body of the old-vehicle does not play a part in the participation in the program. Program funds can only be used to purchase the new vehicle, not external body components or parts used for a particular vocation (i.e. dump body). Also, when destroying the old-vehicle, body components do not need to be destroyed.
 - (10) Operation of Old-vehicle After Approved for a Voucher: If the old-vehicle is in an accident or has an engine failure AFTER receiving approval for a voucher from the air district but prior to replacement, then the old-vehicle will still be eligible for receiving funds from the program as long as all other VIP requirements have been met.
 - (11) Delivering the Old-Vehicle to the Dealer Prior to Taking Ownership of the Replacement Vehicle: The participant must deliver the old-vehicle in similar condition as it was in the pre-inspection. The district or dealer can

reject the condition of the old-vehicle if it is deemed un-roadworthy or if parts were stripped from the old-vehicle. Reimbursement of the voucher will be withheld until the dealer or district approve of the condition of the old-vehicle.

(d) Replacement Vehicle Requirements

All replacement vehicles must meet the following requirements before funding is awarded to the participant:

- (1) Purchased from a Participating Dealership: The replacement vehicle must be purchased from a district-approved participating dealership.
- (2) Title: The replacement vehicle must have a clean title prior to purchase. The replacement vehicle must not have been in an accident, repaired, and became available for resale.
- (3) California Registration: The replacement vehicle must be registered in California with DMV. If the vehicle is not registered in California, the voucher will be invalid.
- (4) Model Year: The replacement vehicle must be 2007 model year or newer.
- (5) New or Used: The replacement vehicle can be new or used. Used vehicles must have less than 200,000 miles of operation.
- (6) Engine Emission Standards: The replacement vehicle must have an engine certified to an FEL level of 1.20 g/bhp-hr of Oxides of Nitrogen (NOx) and 0.01 g/bhp-hr of Particulate Matter (PM) or cleaner emission standard. An ARB Executive Order certifying the engine meets this requirement must be included with the application package.
- (7) Weight Class: The replacement vehicle must be registered with DMV with a declared Gross/Combined Gross Vehicle Weight Range greater than 60,000 lbs.
- (8) Warranty Requirements: All replacement vehicles must have a minimum of a one-year / 100,000-mile major component engine warranty. The warranty must cover parts and labor. It is recommended that the highest-grade warranty be purchased in order to avoid expensive repairs in the future. No Carl Moyer Program funds will be issued for maintenance or repairs related to the operation of the vehicle. The participant takes sole responsibility for ensuring that the vehicle is in operational condition.
- (9) Engine and Emission Control Modifications: Emission controls on the replacement vehicle engine cannot be modified in any manner.

Unauthorized modification to engine performance (including changes in horsepower), emission characteristics, engine emission components (not including repairs with like-original equipment manufacturers replacement parts), or any other modifications to the engine's emission control function is not allowed.

(e) Air District Requirements

Air districts that decide to implement the Voucher Incentive Program must follow the VIP guidelines. Districts must implement the program under the following requirements:

- (1) The Voucher Incentive Program guidelines and attachments provide all requirements for the program, therefore districts/dealers/grantees are not required to refer back to the 2008 Carl Moyer Program Guidelines for Moyer funded VIP projects.
- (2) Each district must have a resolution of the district Governing Board that commits the district to comply with all VIP requirements. If a district has been given prior authority to adopt a program like the VIP, the district must have the ability to comply with all VIP requirements.
- (3) Districts may not put additional limitations on project criteria or make changes to the VIP guidelines.
- (4) A district may request Carl Moyer Program funds if the district chooses to use those funds to implement the VIP as these funds become available. In order for a district to be approved for a funding request to implement the VIP, the district must provide verification of board approval to implement the VIP, a copy of at least one active dealer agreement, and a copy of at least one active dismantler agreement (as mentioned in (4)(e)(9)).
- (5) Districts must reject all VIP applications if funds are not currently available. Districts cannot create a list of VIP projects to receive funding in a future date.
- (6) Districts must submit an addendum to their current CMP Policies and Procedures using the District VIP Policies and Procedures template (Appendix B) within two (2) months after they begin implementation of the VIP.
- (7) Districts must use the program documents provided in the appendices. The documents may only be modified to include district logos and district contact information. The documents will be provided electronically to the participating air districts.

- (8) Districts must contract with vehicle dealerships located in their air basin. Districts also have the option to contract with dealerships located outside of their air basin.
- (9) Districts must contract with vehicle dealerships and dismantler yards. Dealership and dismantler yard agreements must contain the language provided in Appendix C and D, respectively. Selection of dealerships and dismantler yards must be specified by the district in the District VIP Policies and Procedures.
- (10) Districts must ensure participating dealerships and dismantlers meet all VIP requirements. Districts must maintain records verifying their participating dealerships and dismantlers meet the VIP requirements.
- (11) Districts must provide participating dealership and dismantler contact information to ARB within ten business days of entering into agreement with a dealership or dismantler.
- (12) Districts must ensure at least one representative from each participating dealership is trained on the terms, conditions and requirements of the Program. Districts must describe how this will be accomplished in the District VIP Policies and Procedures.
- (13) Districts must work in coordination with ARB in outreach efforts to increase participation of vehicle owners, dealerships, and dismantler yards. Districts must specify outreach efforts in the District VIP Policies Procedures.
- (14) Districts must provide all applicants and dealerships with application packages, inspection forms, and any other applicable VIP materials.
- (15) Districts cannot put restrictions on where participating dealers can send completed applications.
- (16) Districts must review and approve applications on a first-come, first-served basis, based on the date of receipt of the application by the district.
- (17) Districts must approve or reject applications within five (5) business days of receipt.
- (18) Districts must review an application package and approve a project once all program requirements have been met. To facilitate the review of the application package, the district must confirm that all requirements on the District Review Checklist: Initial Review have been met (Appendix E).

- (19) Districts must enter the Vehicle Identification Number (VIN) into the CARL database as part of the initial review of the application. If the CARL database shows that the VIN has been entered by another district, the application should be rejected immediately.
- (20) Districts have the option of conducting inspections or having the dealership conduct the inspections. If the district decides to conduct the inspections, the inspections must be scheduled and completed within the following timeframes:
- Pre-inspection of the old-vehicle must be completed within five (5) business days of the receipt of the application or request by the applicant or dealership.
 - Post-inspection of the replacement vehicle must be completed within three (3) business days of being notified by the dealership.
 - Pre-dismantle inspection of the old-vehicle must be completed within three (3) business days of being notified by the dealership. The pre-dismantle inspection is to verify the old-vehicle is in similar operating condition as in the pre-inspection. If the old-vehicle has been stripped of major parts or accessories, the district may withhold payment until the old-vehicle is restored to its previous state.
- (21) For approved projects, the district must provide an Approved Voucher Package to the dealership. An approved voucher package includes the following documents:
- Voucher (Appendix F)
 - Receipt of Voucher (Appendix G)
 - Dealer Reimbursement Invoice (for immediate signature and return to the district – Appendix H)
 - Post-inspection (replacement vehicle) and Pre-dismantle (old-vehicle) Inspection Forms (Appendix I)
 - Dealer Reimbursement Package Checklist (Appendix J)
- (22) If a submitted application is incomplete, illegible, or any documentation is missing or unclear, the district must reject the application immediately and return it to the applicant.
- (23) Rejected projects: Districts must mail or e-mail an application rejection letter and the application package to the applicant if their application has been rejected. This letter must be issued by the end of the five-day (5) review period. The district must state the reason(s) for the rejection on the rejection letter. The district must also notify the dealer by mailing or e-mailing the dealer a copy of the rejection letter. A template of the rejection letter is in Appendix K.

- (24) Payment Goal: A goal of the program is to ensure payment to the dealership can occur when the old-vehicle is turned into the dealership and the replacement vehicle is ready for purchase. The district shall work towards achieving this goal and should notify the dealership of any delays in issuing payment.
- (25) Project Payment Options: In order to achieve the payment goal, districts have two project payment options. The first option is to immediately begin the disbursement process for the approved voucher project after receiving a signed Dealer Reimbursement Invoice (Appendix H). Payment must not be distributed to the dealership until a district-approved Reimbursement Package has been submitted by the dealership. The second option is to begin the disbursement process and issue payment within ten (10) business days of receipt of a completed reimbursement package. Districts must include in the District VIP Policies and Procedures the option that is implemented.
- (26) District Payment Checklist: Districts should use the District Payment Checklist to help review if a complete reimbursement package has been submitted and payment can be issued (Appendix L). Districts must keep a copy of the check with all project documents.
- (27) If the process of payment is delayed, the district must notify the dealership by phone or e-mail at the earliest possible time of such delay.
- (28) If the reimbursement package is not complete, the district will mail or e-mail a reimbursement package rejection letter explaining the discrepancy to the dealership (appendix M) and keep a copy of this letter.
- (29) Districts must enter all project data information into the CARL database within five (5) business days of approving an application for a voucher. Payment information should be submitted within five (5) business days after payment is issued.
- (30) Districts must conduct the dismantle inspection of the old-vehicle at the dismantler within 60 days of being notified the old-vehicle has been delivered to the dismantler yard. Inspection forms are in Appendix I. The dismantle inspection should include verification of the old engine destruction, vehicle frame rails are cut, and verification the dismantler yard has filed a Notice of Acquisition/Report of Vehicle To Be Dismantled (REG 42) with DMV. If the dismantler does not have verification that the notice has been filed with DMV, the district should ensure that the notice be filed immediately. Districts must keep a copy of the verification the DMV notice has been properly filed.

- (31) Districts must mail out annual usage survey reports to participants (Appendix M) for three (3) years after the replacement vehicle has been funded. The district must also input data from the returned surveys into CARL. ARB will ensure all other participant voucher requirements are met.
- (32) Records Retention: Districts must retain all records of approved voucher projects for a minimum of five (5) years from the date of issuing the voucher. For rejected projects, districts must maintain a copy of the application, the rejection letter, and method of notification for five (5) years from the date the application was received.
- (33) Audit and Monitoring: Districts must allow ARB to monitor their voucher program; of which may include project audits of the district's implementation of the program.
- (34) Audit of Participating Dealers: Districts must meet the following audit requirements of participating dealers:
- Districts must conduct audits on at least five (5) percent of dealer-inspected projects. Audits consist of verifying application information by visually inspecting the old-vehicle and the replacement vehicle.
 - Auditing dealers is not required for districts that conduct 100% of their own inspections.
- (35) Meeting Environmental Justice Requirements: Districts with environmental justice requirements shall not apply these requirements to the VIP application review until after each year of implementation. The district must then review each project to determine if it helps to meet district EJ requirements. If those requirements have not been met other Carl Moyer funded projects will need to be used to fulfill the EJ requirement.

(f) Dealership Requirements

- (1) Dealership participation is an important component of the VIP. Dealers are responsible for providing participants with assistance in the application process. This includes ensuring compliance with all program requirements. Participating vehicle dealers are required to do the following:
- a. Dealerships must contract with at least one district in order to be a participating dealer in the VIP. Language that will be included in this agreement can be found in Appendix C. The air district will provide the dealer with the exact contract language once the dealer has expressed interest in participating.

- b. Dealerships may choose to contract with more than one district.
- c. Dealership Qualifications: Dealership warrants that it meets the following minimum qualifications for participation in the Program, and will continue to meet these qualifications throughout its participation in the Program.
 - Dealership has had a valid California business license for a minimum of the last two years.
 - Dealership maintains a minimum of one (1) employee that has successfully completed the training by the District regarding the terms, conditions and requirements of the Program.
 - Dealership agrees to allow the District or ARB to inspect vehicles or audit program records covered under this Agreement during normal business hours.
- d. Provide accurate information about the VIP to applicants.
- e. Help the participants complete the VIP application package. It is important to make sure that all information is filled out correctly and that the participant understands the VIP requirements.
- f. Dealer must show that the voucher amount was deducted from the purchase price of the vehicle on the purchase invoice.
- g. Once the application package is complete, submit the application package to the district. Incomplete application packages will be rejected and returned to the applicant. The dealer will also be notified of the reasons for rejection (Appendix K).
- h. Application Submittal Recommendation: VIP recommends dealers submit applications to contracted districts that are closest to an applicant's physical address.
- i. Ensure the title for the replacement vehicle is registered to the participant in California. If the replacement vehicle is not registered in California, the voucher will be invalid and not be paid.
- j. Ensure the replacement vehicle is registered with a declared Gross/Combined Gross Vehicle Weight rating greater than 60,000 lbs.
- k. Ensure any used replacement vehicles have less than 200,000 miles of operation.

- l. Ensure the old-vehicle is delivered to the dealership prior to releasing the replacement vehicle.
 - m. Ensure the old-vehicle is in the similar condition as in the pre-inspection. The dealer should reject the condition of the old-vehicle if it is deemed un-roadworthy or if parts were stripped from the old-vehicle. Reimbursement of the voucher will be withheld until the dealer (or district) approves of the condition of the old-vehicle and is delivered to the dealership.
 - n. Ensure the old-vehicle and its original, signed title is sent to a participating dismantler yard for destruction within 30 business days of owner delivery to the dealership. Immediately notify the district of the location and date of delivery of the old-vehicle to the dismantler.
- (2) Application Package: To ensure that an application package is complete, the dealer must ensure that all the following items are complete and included in the participant's submission to the district. An applicant checklist is available for participants and dealerships to use (Appendix A). The following must be completed before a voucher will be issued:
- a. Submit a signed and complete application.
 - b. Submit all other applicant-required documentation as listed in these guidelines and on the application checklist
 - c. If required by the district, inspect and provide documentation showing that the old-vehicle is operational and roadworthy. The district may decide to conduct the inspections and will notify the dealership of this.
 - d. Submit digital photographs of the old-vehicle to the district. The district will specify the required format of digital photos as listed on the inspection form in appendix C. Before submitting photographs to the district, dealers must verify that photographs are clear with a minimum capture resolution of 640X480. All Vehicle Identification Numbers (VIN) and engine serial numbers (ESN) must be legible.
- (3) Dealer Reimbursement Package: Prior to receiving reimbursement, the dealer must submit a reimbursement package to the district. A checklist is available for the dealers (Appendix J). The following documents should be included in the reimbursement package:
- a. Dealer Reimbursement Invoice (appendix H) should be signed and returned to the district upon receipt

- b. Final dealership invoice signed by the applicant that shows the final purchase price less the voucher award
- c. Receipt of Voucher signed by the participant
- d. The original Voucher
- e. Copy of DMV registration paperwork showing vehicle registered to the participant in California and is registered with a declared Gross/Combined Gross Vehicle Weight Range greater than 60,000 lbs.
- f. Copy of finance documentation (if applicable)
- g. Copy of replacement vehicle warranty
- h. Copy of title of old-vehicle signed and dated by applicant
- i. Inspection forms and pictures of the old-vehicle after it is turned in to the dealership as specified in appendix I.
- j. Inspection forms and pictures of the replacement vehicle as specified in appendix I.
- k. Location of the dismantler yard that the old-vehicle will be destroyed.
- l. Date the old-vehicle is delivered to or picked up by a participating dismantler.

(g) Dismantler Requirements

ARB requires that the old-vehicle is destroyed. Destruction of the old-vehicle chassis and engine permanently removes the old, high-emitting vehicles from operation. This requirement has been established to ensure that emission reductions are real. It prevents the old-vehicles from being moved into another locale to continue emitting high levels of pollutants. Districts will establish a list of participating dismantlers that old-vehicles can be delivered to or picked up for destruction.

Participating dismantlers are required to enter into an agreement with the district in order to participate in the Vehicle Incentive Program. Appendix D lists the terms that must be included in the district/dismantler agreement. The following are requirements for participating dismantlers.

- (1) Participating vehicle dismantlers are required to meet the following requirements in order to participate in the VIP and provide verification to the district:
 - Be licensed by the Department of Motor Vehicles (DMV) as a Dismantler;
 - Have a current, valid California Environmental Protection Agency (Cal/EPA) Hazardous Materials Generators Permit; and
 - Be in compliance with all local, state and federal laws and regulations.
- (2) Funding is not available for the dismantler of any old-vehicle.
- (3) The dismantler must agree to do the following:
 - Destroy the old-vehicle within 60 days of receipt. Destruction of the vehicle includes cutting the frame rails in a manner where the frame is no longer capable of being used in a vehicle.
 - The old-vehicle's engine must be destroyed and rendered useless. A minimum 3-inch diameter hole must be put into the engine block.
 - The dismantler must notify the district that a vehicle is destroyed and ready for inspection.
 - The destruction of the engine and vehicle must be documented by the district. The district must verify in-person the vehicle identification numbers and engine serial number.
 - File a "Notice of Acquisition/Report of Vehicle To Be Dismantled" (REG 42) and provide a copy to the district at the dismantle inspection. If verification this notice has not been submitted to DMV, the dismantler must work with the district to ensure verification occurs and is submitted to the district.

5. Definitions

For the purposes of the VIP, definitions are as follows:

Body Components: Any components of a vehicle specific to the actual vocation of the vehicle. This does not include any components that are directly related to the propulsion of the vehicle, common component of a vehicle (i.e. steering wheel, seats, etc.), or related to the rigid structure of the tractor.

Declared Gross Vehicle Weight Range (GVW): The weight that equals the total unladen weight of the vehicle plus the heaviest load that will be transported on the vehicle. (Vehicles that haul a load, but do not pull another vehicle). Declared Gross Vehicle Weight Range as defined by DMV under the Commercial Vehicle Registration Act on DMV form REG. 4008.

Declared Combined Gross Vehicle Weight Range (CGW): The total unladen weight of the combination of vehicles plus the heaviest load that will be transported by the combination of vehicles. (Vehicles that pull another vehicle). Declared Combined Gross Vehicle Weight Range as defined by DMV under the Commercial Vehicle Registration Act on DMV form REG. 4008.

Dismantler: A place of business whose purpose is to destroy old heavy-duty vehicles and engines that also meet the requirements of section (g).

Gross/Combined Gross Vehicle Weight Range: The range a commercial vehicle is declared when registering a commercial vehicle under the Commercial Vehicle Registration Act (CVRA) using DMV Form Reg. 4008.

Heavy-duty vehicle (HDV): Defined as a vehicle with a Gross Vehicle Weight Rating (GVWR) greater than 14,001 lbs.

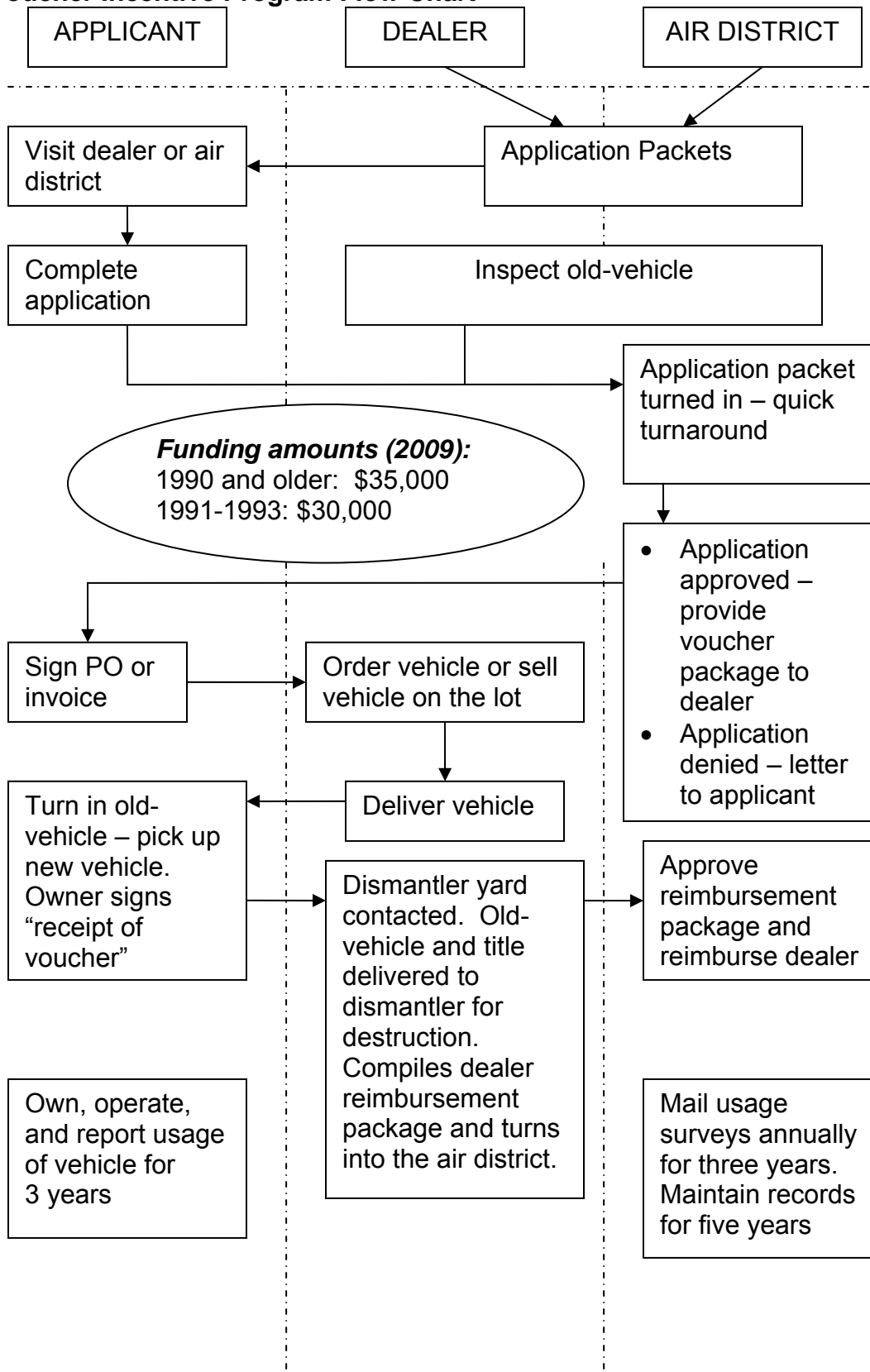
Heavy-Heavy Duty Trucks: For the purpose of the VIP, heavy-heavy duty vehicles are defined as having been registered with DMV with a declared Gross/Combined Gross Vehicle Weight Range of greater than 60,000 lbs. for the previous two years.

Participating Dealership: A dealership that has an agreement with an air district to participate in the VIP.

Participating Dismantler: A dismantler that has an agreement with an air district to participate in the VIP.

Small Fleets: Fleets that own and operate three (3) or less heavy-duty diesel vehicles at any one time within the previous year.

6. Voucher Incentive Program Flow Chart



Appendix A

VOUCHER INCENTIVE PROGRAM Application Package

- Please print clearly or type all requested information on this application.
- Submit all supporting documentation listed on the application checklist on pg. 2.
- Complete one application for each heavy-duty on-road vehicle replacement.
- **If the submitted application is incomplete, illegible, or any documentation is missing or unclear, the application will be rejected immediately and returned to the applicant.**

Eligibility Criteria

To be eligible for funding in the Voucher Incentive Program, projects must meet the criteria described in the Voucher Incentive Program Guidelines. These criteria include, but are not limited to, the following:

- Fleet Size: Owner/ Applicant may not own more than three (3) heavy-duty diesel-fueled vehicles (with a Gross Vehicle Weight Rating (GVWR) greater than 14,000 lbs.). Owners of medium and large vehicle fleets are **NOT** eligible to participate.
- Old Engine Model Year: The applicant must prove that the old-vehicle is operating with an engine that is 1993 or older.
- Declared Gross/Combined Gross Vehicle Weight Range: Applicant must prove that the old-vehicle has been registered with a declared Gross/Combined Gross Vehicle Weight Range greater than 60,000 lbs. for the previous two years.
- Vehicle Title: Applicant must prove ownership of the old-vehicle for the previous two years.
- Registration: Applicant must prove that the old-vehicle has been registered in California for the previous two years.
- Insurance: Applicant must prove that the old-vehicle has been insured for the previous two years.
- Usage: Applicant must provide the previous two years of vehicle usage documentation (fuel consumption or miles driven) in California. The old-vehicle must have operated a minimum of 30,000 miles per year or consume at least 4,700 gallons of diesel fuel per year for each of the previous two (2) years.
- Applying for Funds: Applicant may only apply for funds through the Voucher Incentive Program to one air district at a time. Applicant cannot apply for any other grant funds to replace this vehicle.
- Two-for-One Vehicle Replacements: If an applicant is applying to replace two old-vehicles with one replacement vehicle, then two applications and supporting documentation must be submitted. Please specify this on the application by checking the Two-for-One Option.

**VOUCHER INCENTIVE PROGRAM
Application Checklist**

Applicant Information	Dealer Information
Company:	Dealership:
Owner:	Salesperson:
Phone:	Phone:
FAX:	FAX:
E-mail:	E-mail:
<i>Option: attach business card</i>	<i>Option: attach business card</i>

√	Applicant Requirements
<input type="checkbox"/>	Completed application (signed & dated in ink)
<input type="checkbox"/>	Copy of old-vehicle title with applicant as outright owner
<input type="checkbox"/>	Vehicle usage documentation (for previous two (2) years) <input type="checkbox"/> Fuel records <input type="checkbox"/> Current Year <input type="checkbox"/> Prior Year <input type="checkbox"/> Mileage records <input type="checkbox"/> Current Year <input type="checkbox"/> Prior Year
<input type="checkbox"/>	Vehicle usage documentation for the old-vehicle must prove : <input type="checkbox"/> 30,000 miles per year for previous two (2) years OR <input type="checkbox"/> 4,700 gallons per year consumed for previous two (2) years (TBD)
<input type="checkbox"/>	Copy of old-vehicle DMV Vehicle Registration (the previous two (2) years – if old-vehicle is registered for part of a year, provide proof of registration for all months registered) <input type="checkbox"/> Current Year <input type="checkbox"/> Prior Year AND Copy of old-vehicle insurance cards (for the previous two (2) years) <input type="checkbox"/> Current Year <input type="checkbox"/> Prior Year
<input type="checkbox"/>	Inspection Form for the old-vehicle signed by a participating dealership or air district
<input type="checkbox"/>	Digital photos of the old-vehicle
<input type="checkbox"/>	Verification of old engine model year (must be 1993 or older) from the manufacturer or dealership
<input type="checkbox"/>	Signed quote and specification sheet for the replacement vehicle
<input type="checkbox"/>	ARB Executive Order for replacement vehicle engine
<input type="checkbox"/>	If replacing two old-vehicles with one replacement vehicle, submit an application and the above information for each old-vehicle. Check the box on the application marked "Two-for-One Option".

**ARB VOUCHER PROGRAM
Application**

Date Received:
(For office use only)

Applicant Information

Owner Name:		Company Name:	
Mailing address:			
City:		State:	Zip Code:
Physical address:			
City:		State:	Zip Code:
Owner E-mail:		Owner Phone:	

Third Party Information

This section must be completed if any part or all of the application was filled out on your behalf, by a third party			
Third-Party Name:		Company Name:	
Mailing address:			
City:		State:	Zip Code:
Physical address:			
City:		State:	Zip Code:
Phone:		E-mail:	
Third Party Signature:		Date:	

Old-Vehicle and Engine Information

Two-for-One Option

VEHICLE INFORMATION:					
Vehicle Make:		Vehicle Model:		Vehicle Model Year:	
Vehicle Identification Number:			License Plate Number:		Date of Manufacture:
Odometer Reading:			Vehicle operational? <input type="checkbox"/> Yes <input type="checkbox"/> No		
DOT Number (if interstate):			CHP number (if applicable):		Fleet ID:
Cab Style: <input type="checkbox"/> Conventional <input type="checkbox"/> Cab-over			GVWR:		CVW or CGW:
Engine Information:					
Engine Make:		Engine Model:		Engine Model Year:	Date of Manufacture:
Serial Number:			Engine Family Number:		
Engine operational? <input type="checkbox"/> Yes <input type="checkbox"/> No			Fuel used? <input type="checkbox"/> Diesel <input type="checkbox"/> Other: _____		

Replacement Vehicle and Engine Information

VEHICLE INFORMATION:			
Vehicle Make:	Vehicle Model:	Vehicle Model Year:	
Vehicle Identification Number (if available):	License Plate Number (if available):	Date of Manufacturer:	
Odometer Reading:	Vehicle operational? <input type="checkbox"/> Yes <input type="checkbox"/> No		
DOT Number (if interstate):	CHP number (if applicable):		
Cab Style: <input type="checkbox"/> Conventional <input type="checkbox"/> Cab-over	GVWR:	CVW or CGW:	
Engine Information:			
Engine Make:	Engine Model:	Engine Model Year:	Date of Manufacture:
Serial Number (if available):	Engine Family Number:		
Engine operational? <input type="checkbox"/> Yes <input type="checkbox"/> No	Fuel used? <input type="checkbox"/> Diesel <input type="checkbox"/> Other: _____	CARB Executive Order:	

Dealership Information (or attach business card)

Salesperson:	Dealership:		
Phone:	Address:		
	City:	State:	Zip Code:

By submitting this application, I certify under penalty of perjury that the information on this application is accurate and true:

- I am the owner of the old-vehicle(s);
- The company is a small fleet, owning no more than three heavy-duty diesel-fueled vehicles;
- The information provided in this application and all supporting documentation are true and correct and meet the minimum requirements of the Voucher Incentive Program;
- The old-vehicle(s) operates 75% of the time in California;
- I have not and will not apply for additional grant funds from any other entities or programs for this vehicle;
- The purchase of this low-emission vehicle is NOT required by any local, state, and/or federal rule or regulation, including the Drayage Truck Regulation;
- I understand that I must be in compliance and remain in compliance with all applicable federal, state, and local air quality rules and regulations;
- I understand that an incomplete or illegible application, or if any required documentation is missing, this application will be immediately rejected and returned to me;
- I understand that I can reapply for project funding if this application is rejected because it was incomplete, illegible, or missing required documentation;
- I understand as an applicant that incentive programs have limited funds and shall terminate upon depletion of program funding;
- I have the legal authority to apply for incentive funding for the entity described in this application; and
- I agree to the above statements by signing below.

Owner Signature:

Date:

Printed Name:

Title:

Please attach all documentation listed on the application checklist

Please submit this application to the air district below. If you have any questions in completing your application, please contact:

<Air District Contact Information>

Appendix B
VOUCHER INCENTIVE PROGRAM
District VIP Policies and Procedures

NOTE to DISTRICT:

The program elements listed do NOT constitute District's entire Policies and Procedures. This is an addendum to the District's already existing Policies and Procedures in order to explain how to administer the Voucher Incentive Program. The terms have been prepared to facilitate the Districts preparation of their Voucher Incentive Program Policies and Procedures. The District must ensure the intent of the VIP Guidelines are met and include the program elements listed below into their VIP District Policies and Procedures.

1. PROGRAM APPROVAL

How the District is obtaining approval to implement the Carl Moyer On-Road Heavy-Duty Vehicle Voucher Incentive Program.

2. DEALER SELECTION

The processes the District goes through to solicit and select dealers to go into agreement with.

3. DISMANTLER SELECTION

The processes the District goes through to solicit and select dismantlers to go into agreement with.

4. PAYMENT PROCESS

The option in which the District chooses to reimburse and issue payment to the dealership. There are two options:

- a.) To start the disbursement process as soon as they receive a Dealer Reimbursement Invoice, OR
- b.) To start the disbursement process and issue a check within ten (10) business days of receiving a completed Dealer Reimbursement Package.

5. DOCUMENTATION

The method in which the District chooses to document the activities and progress of the Voucher Incentive Program.

6. AUDITS

How the District chooses to audit and inspect the projects. There are two options:

- a.) The District can choose to do all pre and post-inspections.
- b.) The District can choose to have the dealer do all the inspections with the exception that the District must conduct audits of 5% of all pre and post-inspections.

7. DEALER TRAINING

The District's method for working with ARB and setting up training for the participating dealers on the Voucher Incentive Program. If the District chooses to have a dealer do the inspections, the District must specify the media in which the inspection photographs will be submitted to the District. The District must work with ARB in coordinating training and materials.

8. DISMANTLER TRAINING

The District's method for working with ARB and setting up training for the participating dismantlers on the Voucher Incentive Program.

9. OUTREACH

The District's plan on outreach and their method of collaborating with ARB in the outreach goal for the Voucher Incentive Program.

Appendix C
VOUCHER INCENTIVE PROGRAM

Dealership Agreement Terms to be included in Master Agreement
Between the District and Dealer

NOTE to DISTRICT:

The terms listed do NOT constitute District's entire Agreement; standard District Agreement language (such as, confidentiality, termination, indemnification, insurance, etc.) must be included in conjunction with the items below. The terms have been prepared to facilitate the District's preparation of Agreement. The District must include the terms listed below and supersede any additional terms included by the District.

1. The District has not reviewed the Dealership's operations or reached any conclusion on the quality of the Dealership's operations. The District is permitting the Dealership to enter into this Agreement solely because Dealership has represented to the District that it is aware of the California Air Resources Board Voucher Incentive Program Guidelines (Guidelines) goals, and agrees to abide by the Program requirements and Guidelines.
2. The District and Dealership agree that if the California Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the Program, this Agreement shall be of no further force and effect. In this event the State shall have no liability to pay any funds whatsoever to the District and Dealership or to furnish any other considerations under this Agreement.
3. **Payment:** The District will not pay or otherwise directly reimburse or compensate in any way the Dealership for its services rendered in keeping with this Agreement, but the benefit received by Dealership under this Agreement is the opportunity to participate in the Program, which includes the corresponding opportunity for the Dealership to profit from the sale of vehicles to Program Participants.
4. **Reduction in Vehicle Purchase Price:** The Dealership shall ensure that the vehicle purchase price is reduced by the approved voucher funding amount prior to selling the replacement vehicle.
5. **Voucher Payment:** The Dealership will be reimbursed by the District for the voucher amount once the Dealership submits a complete Reimbursement Package to District as outlined in the Guidelines and in this Agreement.
6. **IRS Form 1099:** The Dealership is aware that the District will issue an IRS Form 1099 for all voucher funds to the Dealership. Upon approval as a participating dealership, the Dealership shall submit a completed IRS Form W-9 to the District.

7. Dealership Obligations: The Dealership agrees to provide general information to Program participants about the Program. The District will provide training to Dealership staff. The Dealership agrees to assist Program participants to correctly complete and submit the Application Package to the District. It is important verify that all information is filled out correctly and that the participant understands the Program.
 - a. Before the District may approve a voucher, an Application Package must be completed and submitted to the District. The Application Package must include all required documentation as outlined in the Program Guidelines.
 - b. After the District notifies the Dealership of application approval, the Dealership will order the replacement vehicle with an engine emissions certified at or below an FEL level of 1.20 g/bhp-hr of oxides of nitrogen and at or below 0.01 g/bhp-hr of particulate matter, as specified in the Guidelines, and have the replacement vehicle delivered to the Dealership.
 - c. Within five (5) days after the owner delivers the old-vehicle and original, signed title to the Dealership, the Dealership must ensure the old-vehicle and original, signed title is sent to a participating dismantler for destruction. The District will provide a list of participating dismantlers to the Dealership.
8. Dealership Qualifications: Dealership warrants that it meets the following minimum qualifications for participation in the Program, and will continue to meet these qualifications throughout its participation in the Program.
 - a. Dealership has had a valid California business license for a minimum of the last two years.
 - b. Dealership maintains a minimum of one (1) employee that has successfully completed the training by the District regarding the terms, conditions and requirements of the Program.
 - c. Dealership agrees to allow the District or California Air Resources Board (CARB) to inspect vehicles or audit program records covered under this Agreement during normal business hours.
9. Inspections: Inspections described below require inspection forms be completed and photographs taken to document vehicle and engine. Specifics requirements are outlined in the Guidelines.
 - a. If the District requests the Dealership to conduct an inspection, then the inspection must be conducted as follows:

- i. Pre-Inspection: Within five (5) days of application submittal, the Dealership inspects and documents old-vehicle and engine description as specified in the Guidelines.
 - ii. Pre-Destruction: Within three (3) days of old-vehicle being delivered to the Dealership, the Dealership must take custody of the old-vehicle and then conduct a Pre-Destruction Inspection of the old-vehicle to ensure there are no substantial changes to the old-vehicle that would result in a substantial decrease in value since the Application Package was approved. The Dealership must also ensure that the original old-vehicle title is signed at the time of delivery.
 - iii. Post-Inspection: Within three (3) days of District being notified the replacement vehicle is at the dealership, the Dealership must conduct post-inspection as outlined in Guidelines.
 - b. If the District conducts inspections, then inspections must be conducted as those listed in 8(a.).
10. Dealership Reimbursement: Dealership must submit a Reimbursement Package to the District. A description of documents in the Reimbursement Package is listed in the Guidelines. The Reimbursement Package must include:
 - a. Dealer Reimbursement Invoice (appendix H) should be signed and returned to the district upon receipt
 - b. Final dealership invoice signed by the applicant that shows the final purchase price less the voucher award
 - c. Receipt of Voucher signed by the participant
 - d. The original Voucher
 - e. Copy of DMV registration paperwork showing vehicle registered to owner in California and is registered with a declared Gross/Combined Gross Vehicle Weight Range greater than 60,000 lbs.
 - f. Copy of finance documentation (if applicable)
 - g. Copy of replacement vehicle warranty information
 - h. Copy of title of old-vehicle signed and dated by participant
 - i. Inspection forms and pictures of the old-vehicle after it is turned in to the dealership as specified in appendix I.
 - j. Inspection forms and pictures of the replacement vehicle as specified in appendix I.
 - k. Location of the dismantler yard that the old-vehicle will be destroyed.
 - l. Date the old-vehicle is delivered to or picked up by a participating dismantler.
11. Noncompliance: Noncompliance with this Agreement or Guidelines, includes, but is not limited to cancelling the Agreement and recapturing voucher funds. The CARB

and the District may disqualify Dealership from Program participation and seek other remedies as available under the law for noncompliance with this Agreement or Program requirements.

Appendix D
VOUCHER INCENTIVE PROGRAM

Dismantler Agreement Terms to be included in Master Agreement
Between the District and Dismantler Owner

NOTE to DISTRICT:

The terms listed do NOT constitute District's entire Agreement; standard District Agreement language (such as, confidentiality, termination, indemnification, insurance, etc.) must be included in conjunction with the items below. The terms have been prepared to facilitate the District's preparation of Agreement. The District must include the terms listed below that would supersede any additional terms included by the District.

1. The District has not reviewed the Owner's operations or reached any conclusion on the quality of the operations. The District is allowing the Owner to enter into this Agreement solely because the Owner has represented to the District that it is aware of the California Air Resources Board Voucher Incentive Program Guidelines (Guidelines) goals, and agrees to abide by the Program requirements and Guidelines.
2. The District and Dismantler agree that if the California Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the Program, this Agreement shall be of no further force and effect. In this event the State shall have no liability to pay any funds whatsoever to the District and Dismantler or to furnish any other considerations under this Agreement.
3. **Payment:** Owner will not be paid or otherwise reimbursed directly by the District or CARB. Rather, the benefit received by Owner under this Agreement is the opportunity to participate in the Program, which carries the corresponding opportunity to profit through the receipt – for cash or free – of materials that Owner will dismantle with the intent to make a profit. Funding is not available from the District through this Agreement for any dismantler or material costs, including hazardous waste abatement fees, labor costs, fines, permits, or other charges resulting from destruction or disposal.
4. **Owner Obligation:** The Owner must comply with the following requirements in accordance with the Guidelines, and submit certification to the District verifying that the requirements have been met. Within 60 days of receiving old-vehicles under this Agreement, Owner will:
 - a. Destroy old-vehicle and render it physically useless; and
 - b. Notify the District when the vehicle (chassis and engine) have been properly destroyed and schedule a destruction-inspection with District; and

- c. File a Notice of Acquisition/Report of Vehicle To Be Dismantled (REG 42) and provide the District with proof of this filing within 60 days of receipt of the old-vehicle.
5. Owner Qualifications: Owner warrants that it meets the following minimum qualifications for participating in the Program, and will continue to meet these qualifications throughout its participation in the Program. Owner must provide written proof that it meets the following qualifications within 48 hours if requested by District staff.
 - a. Owner must have a current, valid Dismantler's license issued by the California Department of Motor Vehicles.
 - b. Owner has a current, valid California Environmental Protection Agency Hazardous Material Generator and Storage Permit.
 - c. Owner must be in compliance with all local, State, and federal regulations, permits and requirements.
 - d. Owner must have a minimum of one (1) active employee who received training by the District on the requirements of the Program.
 - e. Dealership has had a valid California business license for a minimum of the last two years.
6. Dismantler Inspection: Once the District is notified, a dismantler-inspection will be scheduled and photos documenting the destruction of the engine will be taken in accordance with the Guidelines. Owner shall not move the old-vehicle off of their property or part out an old-vehicle until a dismantler inspection by the District has been performed and given approval by the District.
7. Use of Engine or Vehicle Pending Destruction: Owner may not use or permit the use of, the engines or vehicles, except use necessary to move it for destruction or storage.
8. Compliance: Because the prompt destruction of vehicles and engines is one of the critical components of the Program, and the parties agree that it will be difficult to determine the monetary damages arising from Owner's breach of the requirement to destroy the dismantler vehicle within 60 days, Owner agrees that if it fails to destroy the engine and vehicle within the 60-day period, it will pay the District up to \$500 per day until the engine and vehicle is destroyed and provide verification that the vehicle has been registered as non-repairable with DMV. Owner may request that the District extend the 60-day period, and the District will not unreasonably withhold its approval of the extension request. Only written extensions are effective. Owner will not generally be eligible for an extension if the cause of the delay was within its control.

9. Noncompliance: Noncompliance with this Agreement or Guidelines, includes, but is not limited to cancelling the Agreement and recapturing voucher funds. The CARB and the District may disqualify Dismantler from Program participation and seek other remedies as available under the law for noncompliance with this Agreement or Program requirements.

Appendix E

VOUCHER INCENTIVE PROGRAM
District Review Checklist: Initial Review

Owner: _____ Company: _____ Dealership: _____ Submittal Date: _____	<input type="checkbox"/> Approved <input type="checkbox"/> Rejected Voucher # _____ Voucher Amount: \$ _____ Expiration date: _____
--	--

Verify that the following information/documents are included in the application. Use back side of checklist for additional comments if necessary.

Old-vehicle Requirements

- Verification the old-vehicle engine model year is 1993 or older:
 - 1991 – 1993 1990 or older
- Vehicle is diesel fueled
- Vehicle is registered with a declared Gross/Combined Gross Vehicle Weight Range greater than 60,000 lbs. as listed on the previous 2 years of DMV registration
- CARL VIN – cross check to ensure applicant hasn’t applied for or already received funding in the Voucher Incentive Program for the old-vehicle
- If the applicant chose the two-for-one option, both old-vehicles meet all requirements of the Program

Replacement Vehicle Requirements

- Replacement vehicle is MY 2007 or newer with an engine certified at or below:
 - ≤ 1.20 g/bhp-hr NOx for MY 2007-2009 engine
 - ≤ 0.20 g/bhp-hr NOx for MY 2010 engine
- Replacement vehicle is not a glider kit (the VIN starts with the letters “GL”)
- Gross/Combined Gross Vehicle Weight Range of the replacement vehicle is in the same weight class as the old-vehicle
- Used replacement vehicle has less than 200,000 miles accumulated on it

Documentation Requirements

- Application complete, signed and dated – original application (with wet signature)
- Copy of the old-vehicle title proving a minimum of two years’ ownership during previous two years (titles with lien holders are not eligible)
- Documentation of a minimum of two years of old-vehicle usage. Specific vehicle identified in documentation
 - Operated ≥30,000 miles per year for previous two years
- OR**
- Consumed at least 4,700 gallons of diesel fuel per year for previous two years
- Copy of DMV Vehicle Registration (previous two years):
 - Current Year 1st Year Prior

- Copy of Vehicle Insurance Cards (previous two years):
 - Current Year 1st Year Prior
- Signed quote and specifications sheet for the replacement vehicle (with date and price)
 - Delivery date of replacement vehicle is listed and included on the voucher
 - Voucher amount is reduced from quote
 - Owner is listed as buyer
- ARB Executive Order for the replacement engine, which demonstrates the engine meets Guideline requirements of $\leq 0.01\text{g/bhp-hr PM}$ (all MYs) and is at or below an FEL level of:
 - $\leq 1.20\text{ g/bhp-hr NOx}$ for MY 2007-2009 engine OR
 - $\leq 0.20\text{ g/bhp-hr NOx}$ for MY 2010 engine

Old-vehicle Inspection Requirements

- Signed inspection form of the old-vehicle from either the dealer or the District
 - Old-vehicle is operational – clear evidence has been provided
- Digital photos taken at the inspection of the old-vehicle – are legible
- Digital photo information for old-vehicle/engine make, MY, VIN, serial number, and horsepower matches application information

District Requirements

- Issue voucher for

2009	<input type="checkbox"/> \$35,000 for the replacement of a vehicle with a 1990 and older model year engine
	<input type="checkbox"/> \$30,000 for the replacement of a vehicle with a 1991-1993 model year engine
2010	<input type="checkbox"/> \$25,000 for the replacement of a vehicle with a 1990 and older model year engine
	<input type="checkbox"/> \$20,000 for the replacement of a vehicle with a 1991-1993 model year engine

- Begin reimbursement process
- If rejected, letter sent to applicant and the dealer was cc'd
Reason(s) for rejection:
 - _____
 - _____
- If approved, voucher package sent to dealer on (date): _____

District Staff(print name): _____ Date Reviewed: _____

Appendix F

VOUCHER INCENTIVE PROGRAM
Voucher

Congratulations! You have been approved to receive funding for participating in the Voucher Incentive Program. This voucher is redeemable for the purchase of the replacement vehicle listed below with an engine certified at or below a FEL of 1.20 g/bhp-hr NOx and 0.01 g/bhp-hr PM. Redemption of this voucher must be completed at the dealership listed below.

Owner: please confirm the following information and sign in the first section.

Air District: _____
Voucher Number: _____
Voucher Amount: \$ _____

Recipient Information	Dealership Information
Owner:	Dealership Name:
Address:	Address:
City, State, Zip:	City, State, Zip:
Phone:	Phone:
Accept: <input type="checkbox"/> Decline: <input type="checkbox"/>	
Owner Signature	Date:

Replacement Vehicle	Engine Information
Make:	Make:
Model:	Model:
Model Year:	Model Year:
VIN:	Engine Family Number:

- To be eligible to redeem this voucher, the participant must purchase and take delivery of the replacement vehicle within thirty days of <Date> or by the delivery date of <Date>, whichever is later. The participant must also deliver the old-vehicle in similar condition to the pre-inspection to the dealership prior to taking ownership of the replacement vehicle.

By signing, I certify that all information given above is true and correct

Signature of Air District Representative: _____ Date: _____
 Printed Name: _____
 Address: _____
 City, State, Zip: _____
 Phone Number: _____

Appendix G

<p>VOUCHER INCENTIVE PROGRAM Receipt of Voucher</p>
--

Air District: _____
Address: _____
Phone Number: _____
Voucher Number: _____
Voucher Amount: \$ _____

Recipient Information
Owner: _____
Address: _____
City, State, Zip: _____
Phone: _____

Replacement Vehicle	Dealership Information
Make: _____	Dealership Name: _____
Model: _____	Address: _____
Model Year: _____	City, State, Zip: _____
VIN: _____	Phone: _____

Voucher amount reduced off the vehicle purchase price(\$): _____

As an applicant of the Carl Moyer On-Road Voucher Program, I agree to:

- Register the replacement vehicle in California with the Department of Motor Vehicles (DMV) with a declared Gross/Combined Gross Vehicle Weight Range greater than 60,000 pounds (lbs.).
- Maintain insurance as required by law.
- Own and operate this vehicle at least 75% of the time within California for a minimum of three years from the date of purchase.
- Notify the District if there is a change in ownership over the next three years.
- Return annual usage surveys, as requested by the district.
- Never modify the emission control system or the engine.
- Be available for a follow up inspection by the air district or Air Resources Board, if requested.
- Allow the Air Resources Board to verify vehicle registration with the Department of Motor Vehicles

I certify under penalty of perjury that the information provided is accurate

Signature of Participant: _____ Date: _____
 Name of Participant: _____

Appendix H

**VOUCHER INCENTIVE PROGRAM
Dealer Reimbursement Invoice**

Date:

Dealership Information
Dealership Name:
Address:
City, State, Zip:
Phone:
Federal Tax ID Number:

Please reimburse Dealer Name for \$0.00 for the voucher number _____.

A complete reimbursement package will be turned in at the time of payment. Thank you.

Name: _____
Signature: _____
Date: _____

Appendix I

<p>VOUCHER INCENTIVE PROGRAM Inspection Form</p>

Type of Inspection:

Old-vehicle: <input type="checkbox"/> Pre-Inspection <input type="checkbox"/> Pre-Dismantle <input type="checkbox"/> Dismantle
Replacement Vehicle: <input type="checkbox"/> Post-Inspection
Legible Pictures: <input type="checkbox"/> Yes <input type="checkbox"/> No

Applicant Information

COMPANY NAME:	INSPECTION LOCATION:
Owner Name:	
Address:	
City, State, Zip:	
Phone No:	

Vehicle and Engine Information **OLD-VEHICLE** **REPLACEMENT VEHICLE**

VEHICLE INFORMATION:			
Vehicle Make:	Vehicle Model:	Vehicle Model Year:	
Vehicle Identification Number:	License Plate Number:	Date of Manufacture:	
Odometer Reading:	Hour-meter Reading:	Vehicle operational? <input type="checkbox"/> Yes <input type="checkbox"/> No	
DOT Number (if interstate):	CHP number:	Fleet ID:	
Cab Style: <input type="checkbox"/> Conventional <input type="checkbox"/> Cab-over	GVWR:	CVW or CGW:	
Engine Information:			
Engine Make:	Engine Model:	Engine Model Year:	Date of Manufacture:
Serial Number:	Engine Family Number:		
Engine operational? <input type="checkbox"/> Yes <input type="checkbox"/> No	Fuel used? <input type="checkbox"/> Diesel <input type="checkbox"/> Other:	FEL Engine? <input type="checkbox"/> Yes <input type="checkbox"/> No	

For Pre-Dismantler Inspection ONLY, Specify

DISMANTLER:	CONTACT NAME:	PHONE:
DMV title delivered and signed by owner? <input type="checkbox"/> Yes <input type="checkbox"/> No	Engine operational? <input type="checkbox"/> Yes <input type="checkbox"/> No	

For Dismantler Inspection ONLY, Specify

DISMANTLER:	CONTACT NAME:	PHONE:
Non-Repairable Vehicle Certificate Filed with DMV? <input type="checkbox"/> Yes <input type="checkbox"/> No		Frame Rails Cut? <input type="checkbox"/> Yes <input type="checkbox"/> No
		Engine Destroyed? <input type="checkbox"/> Yes <input type="checkbox"/> No

Comments:

I certify under penalty of perjury that: (1) the information provided above is accurate, (2) the pictures are of the inspected vehicle (3) the pictures clearly depict the inspected vehicle, and (4) that I understand that this inspection form is incorporated in the agreement with the <air district>.

Signature:	Date:
Authorized Name:	
Air District / Dealership:	
Address:	
City, State, Zip:	
Phone No:	

Required Photographs

- o Digital photos should be clear images with a minimum of 640x480 capture resolution. The district will specify the digital media required to save the pictures on.

(check the boxes/circles of pictures taken)

Pre-inspection of old-vehicle	Post inspection of replacement vehicle
<ul style="list-style-type: none"> <input type="checkbox"/> Vehicle from left side <input type="checkbox"/> Vehicle from right side <input type="checkbox"/> Vehicle from front <input type="checkbox"/> Vehicle from back <input type="checkbox"/> Vehicle Identification Number (VIN) <input type="checkbox"/> Gross Vehicle Weight Rating (GVWR) <input type="checkbox"/> Odometer reading <input type="checkbox"/> Engine from drivers side <input type="checkbox"/> Engine tag (if available)* <ul style="list-style-type: none"> o Engine make o Engine model o Engine serial number (ESN) o Engine family number o Horsepower <input type="checkbox"/> DOT / CHP Numbers 	<ul style="list-style-type: none"> <input type="checkbox"/> Vehicle from left side <input type="checkbox"/> Vehicle from right side <input type="checkbox"/> Vehicle from front <input type="checkbox"/> Vehicle from back <input type="checkbox"/> Vehicle Identification Number (VIN) <input type="checkbox"/> Gross Vehicle Weight Rating (GVWR) <input type="checkbox"/> Odometer Reading <input type="checkbox"/> Engine from drivers side <input type="checkbox"/> Engine tag <ul style="list-style-type: none"> o Engine make o Engine model o Engine serial number (ESN) o Engine family number o Horsepower <input type="checkbox"/> DOT / CHP Numbers
Pre-Dismantler inspection of old-vehicle	Dismantler inspection of old-vehicle
<ul style="list-style-type: none"> <input type="checkbox"/> Vehicle from left side <input type="checkbox"/> Vehicle from right side <input type="checkbox"/> Vehicle from front <input type="checkbox"/> Vehicle from back <input type="checkbox"/> Vehicle Identification Number (VIN) <input type="checkbox"/> Gross Vehicle Weight Rating (GVWR) <input type="checkbox"/> Odometer Reading <input type="checkbox"/> Engine from drivers side <input type="checkbox"/> Engine tag (if available)* <ul style="list-style-type: none"> o Engine make o Engine model o Engine serial number (ESN) o Engine family number o Horsepower <input type="checkbox"/> DOT / CHP Numbers 	<ul style="list-style-type: none"> <input type="checkbox"/> Vehicle from left side <input type="checkbox"/> Vehicle from right side <input type="checkbox"/> Vehicle from front <input type="checkbox"/> Vehicle from back <input type="checkbox"/> Vehicle Identification Number (VIN) <input type="checkbox"/> Odometer Reading <input type="checkbox"/> Engine from drivers side <input type="checkbox"/> Engine tag (if available)* <ul style="list-style-type: none"> o Engine make o Engine model o Engine serial number (ESN) o Engine family number o Horsepower <input type="checkbox"/> Cut in frame rails <input type="checkbox"/> Hole in engine block (at least 3 inches wide)

**If engine tag is missing, a picture of the ESN stamped on the engine block must be submitted*

Appendix J

**VOUCHER INCENTIVE PROGRAM
Dealer Reimbursement Package Checklist**

Participant Name: _____ Date: _____
 Voucher Number: _____
 Voucher Amount: _____

Checklist

√	Documents included in Dealer Reimbursement Package
<input type="checkbox"/>	Dealer Reimbursement Invoice (original) – signed by dealership
<input type="checkbox"/>	Final replacement vehicle purchase invoice
<input type="checkbox"/>	Receipt of Voucher (original) – signed by the participant
<input type="checkbox"/>	Voucher (original)
<input type="checkbox"/>	Copy of DMV registration paperwork showing vehicle registered to owner in California and is registered with a declared Gross/Combined Gross Vehicle Weight Range greater than 60,000 lbs.
<input type="checkbox"/>	Copy of replacement vehicle financing documentation (if applicable)
<input type="checkbox"/>	Copy of the replacement vehicle warranty
<input type="checkbox"/>	Copy of title of old-vehicle signed and dated by applicant
<input type="checkbox"/>	Inspection forms and digital photos for old-vehicle ready for dismantler
<input type="checkbox"/>	Inspection forms and digital photos for replacement vehicle
<input type="checkbox"/>	Location of dismantler yard that the old vehicle will be destroyed
<input type="checkbox"/>	Date the old-vehicle is delivered to or picked up by a dismantler

Salesperson: _____
 Dealership: _____
 Phone Number: _____

Appendix K

Date

Name

Company

Address

City, State, Zip

RE: Application Rejected: On-Road Heavy-Duty Vehicle Voucher Incentive Program

Dear Name:

Thank you for submitting an application to the <Air District's> On-Road Heavy-Duty Vehicle Voucher Incentive Program. Your application was reviewed and found to be incomplete and/or not meeting the requirements of the program. The application was rejected because:

The applicant did not meet the following Voucher Incentive Program criteria listed in the guidelines

-

The application package was incomplete. The following information was not included in the application:

-

If your application was incomplete, you can re-apply to the Vehicle Incentive Program. If you wish to do so, you should re-apply by submitting a completed application.

If you have any questions, please contact <insert contact> at <insert phone> or <insert email>.

Sincerely,

<Name>

<Title>

<District>

Cc: Dealership

Attachment: Application Package

Appendix L

**VOUCHER INCENTIVE PROGRAM
District Payment Review Checklist**

Applicant:
Company:
Dealer:
Voucher Number:
Voucher Amount:
Submittal Date:

Verify the following information in the reimbursement package:

- Applicant information matches application
- Voucher number is correct
- Voucher amount is correct
- Replacement vehicle information matches application, quote information, and VIP requirements.
- Invoice correctly shows the final purchase price less the voucher amount

Verify that the following documents are included in the reimbursement package:

- Dealer reimbursement letter
- Receipt of voucher signed by the applicant
- Original voucher
- Replacement vehicle financing information is included, if vehicle was financed
- Replacement vehicle warranty information – matches invoice
- Completed final invoice, signed and dated by the applicant
- DMV paperwork showing California registration with owner's name
- DMV paperwork showing that the replacement vehicle has been registered with a declared Gross/Combined Gross Vehicle Weight Range greater than 60,000 lbs.
- Inspection forms and photos of the replacement vehicle
- Inspection forms and photos of the old-vehicle in dealership custody
- Copy of Title of the old-vehicle, signed and dated by the applicant

Reimbursement approved? Yes No

Comments (Use back side of checklist for additional comments if necessary):

Funding Date: _____
Copy of check in project folder

Check Number: _____

District Staff (print name): _____ Date Reviewed: _____

Appendix M

April 17, 2009

Salesperson
Dealership
Address
City, State, Zip

RE: Reimbursement Package Rejection Letter: Voucher Number #_____

Dear Salesperson:

Thank you for your participation in the Voucher Incentive Program. Unfortunately, the reimbursement package submitted for Voucher Number #_____ was reviewed and found to be incomplete and/or not meeting the requirements of the program. The package was rejected because:

The reimbursement package did not meet the following Voucher Incentive Program criteria listed in the guidelines

-

The reimbursement package was incomplete. The following information was not included in the package:

-

If your reimbursement package was incomplete, please re-submit the entire reimbursement package including the missing documentation. If your reimbursement package did not meet the criteria of the program, please contact district staff immediately for further explanation.

If you have any questions, please contact <insert contact> at <insert phone> or <insert email>.

Sincerely,

Name
Title
Air District
Phone Number
Attachment: Reimbursement Package

Appendix N

**VOUCHER INCENTIVE PROGRAM
Usage Survey**

Thank you for participating in the Carl Moyer Voucher Incentive Program. Please fill out this usage survey and return to the address below within 5 business days.

Participant Information:

Name: _____
Address: _____

Vehicle Information:

Make:
Model/Year:
VIN:

I still own the vehicle and have (please print legibly):

- driven _____ miles in California in 20__.
- AND/OR
- consumed _____ gal. in California in 20__.

The odometer reading is:

--	--	--	--	--	--	--	--	--	--	--	--	--

I operated the vehicle about _____% of the time in California in 20__.

I certify under penalty of perjury that the information provided above is accurate and true.

Signature: _____ Date: _____

Return survey in postage paid envelope or mail to:

