



# Air Resources Board



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Secretary for  
Environmental Protection

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9480 Telstar Avenue, Suite 4  
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**Edmund G. Brown Jr.**  
Governor

March 13, 2015

Mail-Out #ECARS 15-03

**TO:** Manufacturers of Diesel Emission Control Strategies

**SUBJECT:** ANNUAL WARRANTY REPORT FORMAT FOR MANUFACTURERS OF DIESEL EMISSION CONTROL STRATEGIES

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*The Verification Procedure, Warranty, and In-Use Compliance Requirements for In-Use Strategies to Control Emissions from Diesel Engines, Title 13, California Code of Regulations, Sections 2700 through 2711 (Procedure) require manufacturers of diesel emission control strategies (DECS) to submit an annual warranty report to the Air Resources Board (ARB). The manufacturer warranty reports are due by April 1 of each calendar year or whenever the valid warranty claims rate exceeds 4%, whichever occurs first in the reporting year. These reports must contain information described in Section 2707(c) of the Procedure.*

The Procedure requires that the manufacturer warranty report be submitted in a format specified by the Executive Officer. In order to improve data integrity and accuracy, ARB has updated the Microsoft Excel (Excel) spreadsheet template provided in the Mail-Out #ECARS 14-02 in 2014. For reporting consistency and warranty data quality control, please follow the instructions in Attachment 1 for specific warranty report submittal requirements.

Attachment 2 contains an overview of the updated data file structure required for electronic submittal and provides description of the data fields for the manufacturer's warranty report. Attachment 3 contains the updated manufacturer's warranty report data template in Excel format.

The warranty reports (Attachment 3) should be submitted via e-mail to the email addresses specified below.

The warranty reports (Attachment 3), with the exception of warranty reports for fuel additives or alternative diesel fuels, locomotive applications, stationary applications, transport refrigeration units, rubber-tired gantry cranes, and marine applications, must be submitted to:

*The energy challenge facing California is real. Every Californian needs to take immediate action to reduce energy consumption. For a list of simple ways you can reduce demand and cut your energy costs, see our website: <http://www.arb.ca.gov>.*

California Environmental Protection Agency

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CHIEF, IN-USE VEHICLE PROGRAMS BRANCH  
AIR RESOURCES BOARD  
9480 TELSTAR AVENUE, SUITE 4  
EL MONTE, CA 91731

For manufacturer warranty report, send to [mfr.warranty.rpt@arb.ca.gov](mailto:mfr.warranty.rpt@arb.ca.gov)

All warranty reports for systems utilizing any form of fuel additive or alternative diesel fuel, including any fuel additive or alternative diesel fuel intended for locomotives, must be submitted to:

CHIEF, TRANSPORTATION FUELS BRANCH  
AIR RESOURCES BOARD  
1001 I STREET  
SACRAMENTO, CA 95814  
Attn. Ms. Susie Chung at [schung@arb.ca.gov](mailto:schung@arb.ca.gov)

All warranty reports for systems intended for use with locomotive (except those utilizing any form of fuel additive or alternative diesel fuel), stationary, transport refrigeration units (TRUs), rubber-tired gantry cranes (RTGs), or marine applications must be submitted to:

CHIEF, EMISSIONS ASSESSMENT BRANCH  
AIR RESOURCES BOARD  
1001 I STREET  
SACRAMENTO, CA 95814  
Attn. Mr. John Lee (for stationary, marine, and RTG) at [jlee@arb.ca.gov](mailto:jlee@arb.ca.gov)  
Attn. Mr. Rod Hill (for TRUs) at [rhill@arb.ca.gov](mailto:rhill@arb.ca.gov)  
Attn. Mr. Michael Jaczola (for locomotive) at [mjaczola@arb.ca.gov](mailto:mjaczola@arb.ca.gov)

The warranty report files are available for download on the ARB website at <http://www.arb.ca.gov/diesel/verdev/verdev.htm>. If you have questions regarding the warranty reports, please contact Mr. Keith A. Macias, Manager, at (626) 575-6600 or by e-mail at [kmacias@arb.ca.gov](mailto:kmacias@arb.ca.gov).

Sincerely,



Annette Hebert, Chief  
Emissions Compliance, Automotive Regulations and Science Division

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Attachments (3):

- 1) [Manufacturer Warranty Report Submittal Instructions](#)
- 2) [Manufacturer Warranty Report Field Definitions](#)
- 3) [Manufacturer Warranty Report Data Template](#)

cc: Mr. Keith A. Macias, Manager  
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