CATERPILLAR INC.

EXECUTIVE ORDER: U-R-001-0691
New Off-Road Compression-Ignition Engines
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Pursuant to the authority vested in the California Air Resources Board by Health and Safety Code Division 26, Part 5, Chapters 1 and 2; and pursuant to the authority vested in the undersigned by Health and Safety Code Sections 39515 and 39516 and Executive Order G-19-095;

IT IS ORDERED AND RESOLVED: The engines and emission control systems produced by the manufacturer as described below are certified for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

	odel ear	Engine Family	Combustion Cycle	Fuel Operation	Fuel Type(s)	Engine Operation
20)24	RCPXL78.1NVF	Diesel	Dedicated	Diesel	Variable Speed

Emission Control Systems					
[1,2]: Electronic Direct Injection (DDI), Charged Air Cooler (CAC), Electronic Control Module (ECM), Turbocharger (TC), Selective Catalytic Reduction Urea (SCR - U)	None				

The certified engine models are attached.

The listed engine models comply with the following: 1) emission standard limits (STD) and Not-To-Exceed (NTE) limits, as applicable, for criteria pollutants non-methane hydrocarbons (NMHC), nitrogen oxides (NOx), carbon monoxide (CO), and particulate matter (PM), and for smoke opacity as demonstrated during the Acceleration (ACL) and Lugging (LUG) modes, and the peak value (PEAK) in either mode of the Smoke Opacity cycle, as set forth in 13 CCR 2423 and the applicable California test procedures for off-road compression-ignition engines, and 2) family emission limits (FEL) declared by the manufacturer as allowed by the applicable California test procedures, stated in units of gram per kilowatt-hour (g/kWh-hr) and percent opacity (%opacity), respectively, except as noted, or designated as not applicable (*).

			Crit	eria	Smoke Opacity			
Applicable Standard			NOx	СО	PM	ACL	LUG	PEAK
	STD	0.19	3.5	3.5	0.04	*	*	*
Tier 4 Final ELSE > 560 kW	FEL	*	*	*	*	*	*	*
LEGE > 000 KW	NTE	0.24	4.4	4.4	0.06	*	*	*

BE IT FURTHER RESOLVED: Any declared FEL is the emission limit to which all engines must comply in lieu of the standard limit for certification purposes, subject to the restrictions of averaging, banking, or trading (ABT) programs allowed by the applicable California test procedures.

BE IT FURTHER RESOLVED: For the listed engine models, the manufacturer has submitted materials to demonstrate certification compliance with 13 CCR 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control warranty).

BE IT FURTHER RESOLVED: The listed engine models may only be installed in or on equipment such that engine operation is consistent with off-road compression-ignition engines as defined in 13 CCR 2421(a)(39).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

Executed on this 30th day of May 2023.

Robin U. Lang, Chief

Emissions Certification and Compliance Division

ATTACHMENT: ENGINE MODELS

Family: RCPXL78.1NVF EO Number: U-R-001-0691 Date Applicable: 04/30/2023													
					Peak Power			Peak Torque					
			Block										
Model	Code	Trim	Config	Displacement	Power	Speed	Fueling	Torque	Speed	Fueling	ECS Num	GHG	Notes
				Liters	horsepower	rpm	lb/hr	lb-ft	rpm	lb/hr			
3512E	Cert 1	NA	V12	58.56	1588	1650	521	6276	1200	450	2	N/A	
3516E	Cert 2	NA	V16	78.08	2081	1650	708	8217	1200	600	1	N/A	
3512E	1 - 12 Cyl	NA	V12	58.56	1588	1650	521	6276	1200	450	2	N/A	
3516E	2 - 16 Cyl	NA	V16	78.08	1851	1600	628	8213	1200	600	1	N/A	
3516E	3 - 16 Cyl	NA	V16	78.08	2081	1650	708	8217	1200	600	1	N/A	
3516E	4 - 16 Cyl	NA	V16	78.08	2081	1650	708	8217	1200	600	1	N/A	
516E	5 - 16 Cyl	NA	V16	78.08	2081	1650	708	8217	1200	600	1	N/A	
3512E	6 - 12 Cyl	NA	V12	58.56	1588	1650	521	8509	1200	450	2	N/A	