



KOMATSU, LTD.

EXECUTIVE ORDER U-R-005-0530
New Off-Road
Compression-Ignition Engines

Pursuant to the authority vested in California Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-19-095;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)
2023	PKLXL04.5AAI	4.5	Diesel	8000
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION	
Selective Catalyst Reduction-Urea, Ammonia Oxidation Catalyst, Oxidation Catalyst, Electronic Direct Injection, Turbocharger, Exhaust Gas Recirculation, Electronic Control Module, Charge Air Cooler			Loader, Dozer, Excavator	

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for non-methane hydrocarbon (NMHC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER CLASS	EMISSION STANDARD CATEGORY		EXHAUST (g/kw-hr)					OPACITY (%)		
			NMHC	NOx	NMHC+NOx	CO	PM	ACCEL	LUG	PEAK
75 ≤ kW < 130	Tier 4 Final	STD	0.19	0.40	N/A	5.0	0.02	N/A	N/A	N/A
		CERT	0.05	0.10	--	0.03	0.02	--	--	--

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed on this 6th day of August 2022.

Robin U. Lang, Chief
Emissions Certification and Compliance Division

Attachment: Engine ModelsEO #: U-R-005-0530Family: PKLXL04.SAAIAttachment Last Revised: 7/19/2022

Model	Code	Trim	Config	Displacement	Displacement - Units	Peak Power	Peak Power - Units	Peak Power - Speed (rpm)	Peak Power - Fueling	Peak Power - Fuel Units	Peak Torque	Peak Torque - Units	Peak Torque - Speed (rpm)	Peak Torque - Fuel	Peak Torque - Fuel Units	OBD	GHG	Special	Notes
SAA4D107 E-3	OB1	N/A	I4	4.5	Liters	173	horsepower	2500	115	mm3/stroke	520	lb-ft	1500	52	lb/hr	N/A	N/A	N/A	EM, SCR- U, AMOX, OC, DFI, TC, EGR, ECM, CAC
SAA4D107 E-3	OB2	N/A	I4	4.5	Liters	121	horsepower	2100	89	mm3/stroke	368	lb-ft	1500	35	lb/hr	N/A	N/A	N/A	EM, SCR- U, AMOX, OC, DFI, TC, EGR, ECM, CAC
SAA4D107 E-3	OB3	N/A	I4	4.5	Liters	148	horsepower	2000	111	mm3/stroke	472	lb-ft	1500	45	lb/hr	N/A	N/A	N/A	EM, SCR- U, AMOX, OC, DFI, TC, EGR, ECM, CAC
SAA4D107 E-3	OB4	N/A	I4	4.5	Liters	128	horsepower	2000	97	mm3/stroke	442	lb-ft	1350	39	lb/hr	N/A	N/A	N/A	EM, SCR- U, AMOX, OC, DFI, TC, EGR, ECM, CAC
SAA4D107 E-3	OB5	N/A	I4	4.5	Liters	133	horsepower	2200	94	mm3/stroke	431	lb-ft	1450	41	lb/hr	N/A	N/A	N/A	EM, SCR- U, AMOX, OC, DFI, TC, EGR, ECM, CAC
SAA4D107 E-3	OB6	N/A	I4	4.5	Liters	148	horsepower	2200	103	mm3/stroke	472	lb-ft	1500	46	lb/hr	N/A	N/A	N/A	EM, SCR- U, AMOX, OC, DFI, TC, EGR, ECM, CAC