

CATERPILLAR INC.

EXECUTIVE ORDER U-R-001-0646

New Off-Road Compression-Ignition Engines Page 1 of 1

Pursuant to the authority vested in California Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-19-095;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)					
2022	NCPXL78.1NVF	78.1, 58.6	Diesel	8000					
SPECIAL	FEATURES & EMISSION C	ONTROL SYSTEMS	TYPICAL EQUIPMENT APPLICATION						
	Direct Injection, Turbo Engine Control Module, Reduction - Ure	Selective Catalytic	Pump, Loader, Tractor, Industrial Equipment, Commercia Equipment						

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for non-methane hydrocarbon (NMHC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED	EMISSION				EXHAUST (g/kw-l	OPACITY (%)				
POWER CLASS	STANDARD CATEGORY		NMHC	NOx	NMHC+NOx	CO	PM	ACCEL	LUG	PEAK
ELSE>560kW	Tier 4 Final	STD	0.19	3.5	N/A	3.5	0.04	N/A	N/A	N/A
		CERT	0.06	2.7		0.8	0.02	-		

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed on this 5th day of November 2021.

Allen Lyons, Chief

Emissions Certification and Compliance Division

Attachment: Engine Models EO #: U-R-001-0646 Family: NCPXL78.1NVF Attachment Last Revised: 12/2/2022

					Displacement -		Peak Power -	Peak Power -	Peak Power -	Peak Power - Fue		Peak Torque -	Peak Torque -	Peak Torque -	Peak Torque -				
Model	Code	Trim	Config	Displacement	Units	Peak Power	Units	Speed (rpm)	Fueling	Units	Peak Torque	Units	Speed (rpm)	Fuel	Fuel Units	OBD	GHG	Special	Notes
3516E	Cert Test 1	N/A	V16	78.08	Liters	2081	horsepower	1650	708	lb/hr	8217	lb-ft	1200	600	lb/hr	N/A	N/A	New Model Added	N/A
3512E	1 - 12 Cyl	N/A	V12	58.56	Liters	1588	horsepower	1650	521	lb/hr	6276	lb-ft	1200	450	lb/hr	N/A	N/A	N/A	N/A
3516E	2 - 16 Cyl	N/A	V16	78.08	Liters	1851	horsepower	1600	628	lb/hr	8213	lb-ft	1200	600	lb/hr	N/A	N/A	N/A	N/A
3516E	3 - 16 Cyl	N/A	V16	78.08	Liters	2081	horsepower	1650	708	lb/hr	8217	lb-ft	1200	600	lb/hr	N/A	N/A	N/A	N/A
3516E	4 - 16 Cyl	N/A	V16	78.08	Liters	2081	horsepower	1650	708	lb/hr	8217	lb-ft	1200	600	lb/hr	N/A	N/A	N/A	N/A
3516E	5 - 16 Cyl	N/A	V16	78.08	Liters	2081	horsepower	1650	708	lb/hr	8217	lb-ft	1200	600	lb/hr	N/A	N/A	New Model Added	N/A