

California Environmental Protection Agency Air Resources Board	CATERPILLAR INC.	EXECUTIVE ORDER U-R-001-0536-1 New Off-Road Compression-Ignition Engines
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Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-14-012;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engine and emission control system produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)
2017	HCPXL78.1NVF	78.1	Diesel	8000
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION	
Electronic Direct Injection, Turbocharger, Charge Air Cooler, Smoke Puff Limiter, Engine Control Module, Selective Catalytic Reduction-Urea			Pump, Loader, Tractor, Industrial Equipment	

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER CLASS	EMISSION STANDARD CATEGORY		EXHAUST (g/kw-hr)					OPACITY (%)		
			HC	NOx	NMHC+NOx	CO	PM	ACCEL	LUG	PEAK
ELSE > 560 kW	Tier 4	STD	0.19	3.5	N/A	3.5	0.04	N/A	N/A	N/A
		CERT	0.06	2.7	--	0.8	0.02	--	--	--

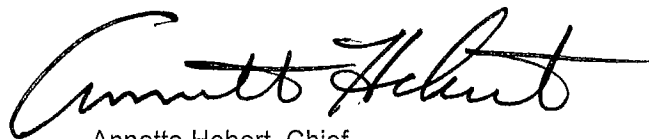
BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

This Executive Order hereby supersedes Executive Order U-R-001-0536 dated January 31, 2017.

Executed at El Monte, California on this 14 day of February 2017.



Annette Hebert, Chief
 Emissions Compliance, Automotive Regulations and Science Division

ATTACHMENT 1 OF 1

Engine Model Summary Template U-R-001-0536-1

2/9/2017

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
HCPXL78.1NVF	Cert 1	3512E	1589 @1800	577.8	576	6281@1200	518.5	466	TC,CAC,ECM,DFI,SCR-U,EC
HCPXL78.1NVF	1	3512E	1589 @1800	577.8	576	6281@1200	518.5	466	TC,CAC,ECM,DFI,SCR-U,EC