EXECUTIVE ORDER U-R-004-0514

New Off-Road

Compression-Ignition Engines
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Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-14-012;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

| MODEL YEAR | ENGINE FAMILY | DISPLACEMENT (liters) | FUEL TYPE | USEFUL LIFE (hours) | | |
|---|--|--------------------------------------|---|------------------------|--|--|
| 2016 | GJDXL04.5305 | 4.5 | Diesel | | | |
| SPECIAL FEATURES & EMISSION CONTROL SYSTEMS | | | TYPICAL EQUIPMENT APPLICATION | | | |
| Red | Electronic Control Nat Gas Recirculation, Souction-Urea, Electronic larger, Charge Air Cooler Ammonia Oxidation | elective Catalytic Direct Injection. | Loaders, Tractor, Dozer, Pump, Compressor, Generator Se Other Industrial Equipment | | | |

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

| RATED POWER CLASS | EMISSION STANDARD CATEGORY | | EXHAUST (g/kw-hr) | | | | | OPACITY (%) | | |
|----------------------|----------------------------------|------|-------------------|------|----------|-----|------|-------------|-----|------|
| | | | NMHC | NOx | NMHC+NOx | co | PM | ACCEL | LUG | PEAK |
| 56 ≤ kW < 130 | Tier 4 Final | STD | 0.19 | 0.40 | N/A | 5.0 | 0.02 | N/A | N/A | N/A |
| | | FEL | | | | | 0.03 | | | |
| | | CERT | 0.02 | 0.33 | - | 0.1 | 0.02 | | | |

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has complied with the more stringent set of standards from the various power categories in conformance with Section 1039.230 (e) of the "California Exhaust Emission Standards and Test Procedures for 2008 and Later Tier 4 Off-Road Compression Ignition Engines, Part 1-C" adopted October 20, 2005 and last amended October 25, 2012.

BE IT FURTHER RESOLVED: That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

JOHN DEERE POWER SYSTEMS

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This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this day of December 2015

Executed at El Monte, California on this

day of December 2015.

Annette Hebert, Chief Emissions Compliance, Automotive Regulations and Science Division

3/28/16

Engine Model Summary Form

Manufacturer:

John Deere Power Systems

Engine category:

Nonroad CI EPA Engine Family: GJDXL04.5305

Mfr Family Name: Process Code:

350HCB Running Change Attachment: Page 10f1 E0#: U-R-004_0514

| Process Code: | Running Change | | | | | | | |
|----------------|-----------------|-------------|-------------------|--------------------|----------------|----------------|---------------------|----------------------------------|
| | | | 4. Fuel Rate: | 5. Fuel Rate: | 6. Torque (Nm) | 7. Fuel Rate: | | 9. Emission Control |
| | | 3. kW@RPM | mm/stroke@peak kW | (kg/hr)@peak kW | @RPM | mm/stroke@peak | 8. Fuel Rate: | Device Per |
| 1. Engine code | 2. Engine Model | (SAE Gross) | (for diesel only) | (for diesels only) | (SEA Gross) | torque | (kW/hr)@peak torque | SAE J1930 |
| 4045HFC04A | 4045 | 104@2200 | 104.4@2200 | 23.4@2200 | 540@1500 | 115.4@1500 | 17.6@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC04B | 4045 | 100@2400 | 95.4@2400 | 23.3@2400 | 540@1500 | 115.2@1500 | 17.6@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC04C | 4045 | 93@2400 | 89.3@2400 | 21.8@2400 | 493@1500 | 105.1@1500 | 16.1@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC04D | 4045 | 93@2200 | 91.4@2200 | 20.5@2200 | 536@1500 | 115.0@1500 | 17.6@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC04E | 4045 | 86@2400 | 83.0@2400 | 20.3@2400 | 459@1500 | 98.1@1500 | 15.0@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC04F | 4045 | 86@2200 | 86.5@2200 | 19.4@2200 | 506@1500 | 108.8@1500 | 16.6@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC04G | 4045 | 74@2400 | 73.2@2400 | 17.9@2400 | 391@1500 | 83.1@1500 | 12.7@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC04H | 4045 | 74@2400 | 72.9@2400 | 17.8@2400 | 391@1500 | 83.2@1500 | 12.7@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC04I | 4045 | 74@2200 | 74.1@2200 | 16.6@2200 | 427@1500 | 90.5@1500 | 13.8@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC04J | 4045 | 74@2200 | 74.3@2200 | 16.7@2200 | 427@1500 | 90.5@1500 | 13.8@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC04K | 4045 | 63@2400 | 64.4@2400 | 15.8@2400 | 333@1500 | 71.3@1500 | 10,9@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC04L | 4045 | 63@2400 | 64.2@2400 | 15.7@2400 | 333@1500 | 71.1@1500 | 10.9@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC04M | 4045 | 63@2200 | 64.9@2200 | 14.5@2200 | 363@1500 | 77.5@1500 | 11.9@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC04N | 4045 | 63@2200 | 65.1@2200 | 14.6@2200 | 363@1500 | 77.1@1500 | 11.8@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HFC040 | 4045 | 110@2200 | 110.1@2200 | 24.7@2200 | 540@1500 | 115.9@1500 | 17.7@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HLV71 | 4045 | 86@2400 | 85.1@2400 | 20.8@2400 | 519@1500 | 111.8@1500 | 17.1@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HLV72 | 4045 | 94@2200 | 92.8@2200 | 20.8@2200 | 519@1500 | 111.8@1500 | 17.1@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HMC04A | 4045 | 102@2200 | 99.5@2200 | 22.3@2200 | 534@1500 | 110.9@1500 | 17.0@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HMC04B | 4045 | 86@2200 | 85.8@2200 | 19.2@2200 | 480@1500 | 102.6@1500 | 15.6@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HMC04C | 4045 | 104@2200 | 101.7@2200 | 22.8@2200 | 540@1500 | 113.2@1500 | 17.3@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HP073 | 4045 | 94@2200 | 92.8@2200 | 20.8@2200 | 519@1500 | 111.8@1500 | 17.1@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HPRNT11 | 4045 | 106@2400 | 99.6@2400 | 24.4@2400 | 577@1600 | 123.1@1600 | 20.1@1600 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| 4045HT082 | 4045 | 94@2200 | 92.8@2200 | 20.8@2200 | 519@1500 | 111.8@1500 | 17.1@1500 | EGR OC SCRC NH3OC DFI TC CAC ECM |
| | | | | | | | | |