CUMMINS INC.

EXECUTIVE ORDER U-R-002-0640 New Off-Road Compression-Ignition Engines Page 1 of 2 Pages

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-14-012;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)		
2016	GCEXL03.8AAA	3.8	Diesel	8000		
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION			
Cooler	ic Direct Injection, Turbo r, Electronic Control Mod ation, Selective Catalytic Ammonia Oxidation (ule, Exhaust Gas Reduction – Urea,	Crane, Loader, Tractor, Dozer, Pump, Compressor, and Oth Industrial Equipment			

The engine models and codes are attached.

The following are the exhaust certification standards (STD), or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for non-methane hydrocarbon (NMHC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED	EMISSION STANDARD			· E	XHAUST (g/kw-h	OPACITY (%)					
POWER	CATEGORY		NMHC	NOx	NMHC+NOx	СО	PM	ACCEL	LUG	PEAK	
56 ≤ kW ≤ 130	Tier 4 Final	130 Tier 4 Final	STD	0.19	0.40	N/A	5.0	0.02	N/A	N/A	N/A
		FEL	N/A	0.51	N/A	N/A	0.04	N/A	N/A	N/A	
		CERT	0.03	0.28		0.3	0.04				

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has complied with the more stringent set of standards from the various power categories in conformance with Section 1039.230 (e) of the "California Exhaust Emission Standards and Test Procedures for 2008 and Later Tier 4 Off-Road Compression-Ignition Engines, Part I-C" adopted October 20, 2005 and last amended October 25, 2012.

BE IT FURTHER RESOLVED: That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

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Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above.	Engines in this
family that are produced for any other model-year are not covered by this Executive Or	der.

Executed at El Monte, California on this ______ day of December 2015.

Annette Hebert, Chief

Emissions Compliance, Automotive Regulations and Science Division

Engine Model Summary Template

U-R-002-0640

Attachant 13/1/1

11/19/2015

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HI (for diesel only)	5.Fuel Rate: P(lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torqu	9.Emission Control e Device Per SAE J1930
GCEXL03.8AAA	4142;FR94053	QSF3.8	130@2500	110	49.8	488@1600	110	39.6	SCR,DDI,TC,EGR,ECM
GCEXL03.8AAA	4142;FR94288	QSF3.8	120@2500	110	45.6	488@1600	110	39.6	SCR,DDI,TC,EGR,ECM
								T (C.4	c Amex)