California Environmental Protection Agency	CATERPILLAR INC.	EXECUTIVE ORDER U-R-001-0512 New Off-Road
Air Resources Board		Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-14-012;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)		
2016	GCPXL12.5HTF	12.5	Diesel	8000		
	FEATURES & EMISSION		TYPICAL EQUIPMENT			
Cooler, Exhaust	c Direct Injection, Turbo Oxidation Catalyst, Engi Gas Recirculation, Perio Catalytic Reduction-Urea Catalyst	ne Control Module, odic Trap Oxidizer,	Loader, Tractor, Agricultural Comb Motor Grad			

The engine models and codes are attached.

The following are the exhaust certification standards (STD), or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for non-methane hydrocarbon (NMHC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER CLASS	EMISSION STANDARD CATEGORY		EXHAUST (g/kw-hr)					OPACITY (%)		
			NMHC	NOx	NMHC+NOx	со	PM	ACCEL	LUG	PEAK
130 ≤ kW ≤ 560	Tier 4 Final	STD	0.19	0.40	N/A	3.5	0.02	N/A	N/A	N/A
		FEL	N/A	N/A		N/A	0.01	N/A	N/A	N/A
		CERT	0.05	0.26		0.02	0.01	'		

BE IT FURTHER RESOLVED: That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this

day of September 2015.

Annette Hebert, Chief

Emissions Compliance, Automotive Regulations and Science Division

ATTACHMENT 10F2

Engine Model Summary Template U-R-001-0512

R/C 6/20/2016

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torgue	8.Fuel Rate: (lbs/hr)@peak torgue	9.Emission Control Device Per SAE J1930
GCPXL12.5HTF	Cert Test 3	C13	519@2100	263	186	1752@1400	338	159	DFI,TC,ECM,CAC,EGR,PTC OC,SCR-U,AMOX
GCPXL12.5HTF	1	C13	527@1870	289.2	181.9	1750@1400	335.5	158.0	DFI,TC,ECM,CAC,EGR,I) OC,SCR-U,AMOX
GCPXL12.5HTF	2	C13	479@1870	258.3	162.5	1635@1400	314.2	148.0	DFI,TC,ECM,CAC,EGR,I OC.SCR-U,AMOX
GCPXL12.5HTF	3	C13	421@1870	232.1	146.0	1434@1400	277.1	130.5	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	4	C13	375@2000	196.9	132.5	1575@1300	302.3	132.2	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	5	C13	384@2100	192.6	136.1	1297@1400	245.7	115.7	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	6	C13	414@2100	207.4	146.5	1398@1400	267.5	126.0	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	7	C13	439@2100	219.2	154.9	1482@1400	280.9	132.3	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	8	C13	473@2100	239.1	168.9	1601@1400	308.6	145.3	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	9	C13	519@2100	262.7	185.6	1752@1400	338.2	159.3	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	10	C13	355@2050	184.4	127.2	1564@1200	301.9	121.9	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	11	C13	425@1800	245.0	148.4	1338@1650	2588	143.7	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	11a	C13	425@1800	245.0	148.4	1338@1650	2588	143.7	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	12	C13	416@2100	216.4	152.9	1612@1200	310.3	125.2	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	13	C13	429@2100	229.6	162.2	1612@1200	313.0	126.3	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	14	C13	527@1870	289.2	181.9	1750@1400	335.5	158.0	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	15	C13	479@1870	258.3	162.5	1635@1400	314.2	148.0	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	16	C13	421@1870	232.1	146.0	1434@1400	277.1	130.5	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	17	C13	363@2000	190.1	127.9	1351@1000	268.4	90.3	DFI,TC,ECM,CAC,EGR,I OC.SCR-U.AMOX
GCPXL12.5HTF	18	C13	307@1850	174.5	108.5	1194@1200	233.8	94.4	DFI,TC,ECM,CAC,EGR,I

ATTA CHMENT 2 OF 2

Engine Model Summary Template U-R-00-0512

R/C 6/20/2016

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torgue	8.Fuel Rate: (lbs/hr)@peak torque	
GCPXL12.5HTF	19 *	C13	439@2100	219.2	154.8	1482@1400	280.8	132.2	DFI,TC,ECM,CAC,EGR,PTO
GCPXL12.5HTF	20*	C13	473@2100	239.0	168.8	1600@1400	308.5	145.3	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX
GCPXL12.5HTF	21*	C13	519@2100	262.6	185.5	1752@1400	338.2	159.2	DFI,TC,ECM,CAC,EGR,I

* new engine code.