

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-14-012;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)
2014	ESZXL09.8QXA	9.8	Diesel	8000
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION	
Electronic Control Module, Turbocharger, Charge Air Cooler, Electronic Direct Injection, Exhaust Gas Recirculation, Diesel Oxidation Catalyst, Selective Catalyst Reduction-Urea, Ammonia Oxidation Catalyst, DEF Quality Sensor			Excavator, Crane, Loader, Lift	

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for non-methane hydrocarbon (NMHC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER CLASS	EMISSION STANDARD CATEGORY		EXHAUST (g/kw-hr)					OPACITY (%)		
			NMHC	NOx	NMHC+NOx	CO	PM	ACCEL	LUG	PEAK
130 ≤ kW ≤ 560	Tier 4 Final	STD	0.19	0.40	N/A	3.5	0.02	N/A	N/A	N/A
		CERT	0.02	0.31	--	0.03	0.02	--	--	--

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

BE IT FURTHER RESOLVED: The listed engine family is conditionally certified pending submission of additional test data to verify compliance with useful-life emission standards. The manufacturer has until September 30, 2014 to provide test data to confirm or correct the certification emissions levels on this conditional certification. Failure to resolve concerns by the specified date, shall be cause for the Executive Officer to rescind this conditional certification, in which case all engines covered under this conditional certification would be deemed uncertified and subject to civil penalties pursuant to Health and Safety Code Section 43154.

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this 15th day of May 2014.

Annette Hebert, Chief
 Emissions Compliance, Automotive Regulations and Science Division

Attachment 1051

CARB EO. U-R-006-0390

DATE: 5/8/14

ATTACHMENT
Engine Model Summary Template

Engine Family	Engine Code	Engine Model	BHP@RPM (SAE Gross)	Fuel Rate: mm/stroke @ peak HP (for diesel only)	Fuel Rate: lbs/hr @ peak HP (for diesels only)	Torque @ RPM (SEA Gross)	Fuel Rate: mm/stroke @peak torque	Fuel Rate: lbs/hr @peak torque	Emission Control Device Per SAE J1930
ESZXL09.8QXA	6UZ1XDQAA-01	AQ-6UZ1X	362.1@2000	187.3	125	1058@1500	199.6	99.9	ECM, TC, CAC, DFI, EGR, DOC, SCR-U, AMOX, DQS

CANCELLED