California Environmental Protection Agency Air Resources Board

CATERPILLAR INC.

EXECUTIVE ORDER U-R-001-0493 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engine and emission control system produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)	
2014	ECPXL78.1NSA	78.1	Diesel	8000	
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION		
Direct Diesel Injection, Turbocharger, Charge Air Cooler, Smoke Puff Limiter, Engine Control Module, Oxidation Catalyst, Selective Catalytic Reduction-Urea, Ammonia Oxidation Catalyst			Generator).	

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED	EMISSION		EXHAUST (g/kw-hr)				OPACITY (%)			
POWER CLASS	STANDARD CATEGORY	e e	НС	NOx	NMHC+NOx	co	. P M	ACCEL	LUG	PEAK
GEN > 900 kW	Interim Tier 4	STD	0.40	0.67	N/A	3.5	0.10	N/A	N/A	N/A
		CERT	0.01	0.59		0.02	0.07			

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this _____/7 day of October 2013.

Érik White, Chief

Mobile Source Operations Division

ATTACHMENT 10F1

Engine Model Summary Template パトの一の43

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ol 930	.M. & 2. 12	3M, 11	;M;
9.Emission Control Jevice Per SAE J193	NA DET, Q,TC, CAC, ECM, &2. W	NA " TC, CAC, ECM, "	NA " TC, CAC, ECM,
9. rqueDev	TE,a,	, ,1	=
8. Fuel Rate: 9. Emission Control (lbs/hr)@peak torqueDevice Per SAE J1930	NA	NA	NA
7.Fuel Rate: mm/stroke@peak torque	NA	NA	NA
6.Torque @ RPM (SEA Gross)	10800@1800	10800@1800	8941@1800
4.Fuel Rate: 5.Fuel Rate: vstroke @ peak HP (lbs/hr) @ peak HP (for diesels only) (for diesels only)	1250.4	1250.4	1017.3
4.Fuel Rate: 5.Fuel Rate: mm/stroke @ peak HP (lbs/hr) @ peak HP 6.Torque @ RPM (for diesel only) (for diesels only) (SEA Gross)	782.5	782.5	636.5
3.BHP@RPM (SAE Gross)	3701@1800	3701@1800	3064@1800
2.Engine Model	3516	3516	3516
Engine Family 1. Engine Code 2. Engine Model	Cert 1	τ-	2
Engine Family	ECPXL78.1NSA	ECPXL78.1NSA	ECPXL78.1NSA

SPL, DG, OC, TC, CAC, ECM, SCA. U, Amox for all rating