CATERPILLAR INC.

EXECUTIVE ORDER U-R-001-0480-1 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-14-012;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)			
2014	ECPXL12.5HTF	15.2	Diesel	8000			
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION				
Cooler, Exhaust	c Direct Injection, Turbo Oxidation Catalyst, Engi t Gas Recirculation, Peri Catalytic Reduction-Urea Catalyst	ne Control Module, odic Trap Oxidizer,	Loader, Tractor, Agricultural Combine, Scraper, Excavato Motor Grader				

The engine models and codes are attached.

The following are the exhaust certification standards (STD), or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED	EMISSION STANDARD CATEGORY		EXHAUST (g/kw-hr)					OPACITY (%)		
POWER			HC	NOx	NMHC+NOx	СО	PM	ACCEL	LUG	PEAK
130 ≤ kW ≤ 560	Tier 4 Final	STD	0.19	0.40	N/A	3.5	0.02	N/A	N/A	N/A
		FEL	N/A	N/A		N/A	0.01	N/A	N/A	N/A
		CERT	0.05	0.20		0.02	0.01			

BE IT FURTHER RESOLVED: That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

This Executive Order hereby supersedes Executive Order U-R-001-0480 dated August 19, 2013.

Executed at El Monte, California on this ______ day of January 2014.

Annette Hebert, Chief

Emissions Compliance, Automotive Regulations and Science Division

ATTACHMENT OF 1

Engine Model Summary Template

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Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
ECPXL12.5HTF	Cert Test 1	C13	519@2100	255	180	1752@1400	327	154	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX,PTDx
ECPXL12.5HTF	Cert Test 2	C13	503@1500	344.7	173.9	NA	NA	NA	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX, CTOX
ECPXL12.5HTF	Cert Test 3	C13	519@2100	263	186	1752@1400	338	159	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX, PTOX
ECPXL12.5HTF	1	C13	527@1870	289.2	181.9	175 0 @1400	335.5	158.0	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX,PTOx
ECPXL12.5HTF	2	C13	479@1870	258.3	162.5	1635@1400	314.2	148.0	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX, PTO
ECPXL12.5HTF	3	C13	421@1870	232.1	146.0	1434@1400	277.1	130.5	DFI,TC,ECM,CAC,EGR,IOC,SCR-U,AMOX,PTOX
ECPXL12.5HTF	4	C13	375@2000	196.9	132.5	1575@1300	302.3	132.2	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX, PTOX
ECPXL12.5HTF	5	C13	384@2100	192.6	136.1	1297@1400	245.7	115.7	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX,PTOX
ECPXL12.5HTF	6	C13	414@2100	207.4	146.5	1398@1400	267.5	126.0	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX, PTO _X
ECPXL12.5HTF	7	C13	439@2100	219.2	154.9	1482@1400	280.9	132.3	DFI,TC,ECM,CAC,EGR,I
ECPXL12.5HTF	8	· C13	473@2100	239.1	168.9	1601@1400	308.6	145.3	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX,PTOX
ECPXL12.5HTF	9	C13	519@2100	262.7	185.6	1752@1400	338.2	159.3	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX, PTOX
ECPXL12.5HTF	10	C13	355@2050	184.4	127.2	1564@1200	301.9	121.9	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX, PTDX
ECPXL12.5HTF	11	C13	425@ 1800	245.0	148.4	1338@1650	2588	143.7	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX,PTDX
ECPXL12.5HTF	12	C13	416@2100	216.4	152.9	1612@1200	310.3	125.2	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX, PTOX
ECPXL12.5HTF	13	C13	429@2100	229.6	162.2	1612@1200	313.0	126.3	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX,PTOX
ECPXL12.5HTF	14	C13	567@1800	331.9	201.0	NA	NA	NA	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX, PTOX
ECPXL12.5HTF	15	C13	503@1500	344.7	173.9	NA	NA	NA	DFI,TC,ECM,CAC,EGR,I OC,SCR-U,AMOX,PTOX