KOMATSU LIMITED

EXECUTIVE ORDER U-R-005-0399 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engine and emission control system produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours) 8000			
2013	DKLXL04.5AAG	4.5	Diesel				
	FEATURES & EMISSION		TYPICAL EQUIPMENT APPLICATION				
Electronic Direct Injection, Turbocharger, Charge Air Cooler, Electronic Control Modules, Exhaust Gas Recirculation, Diesel Oxidation Catalyst			Crane, Loader, Tractor, Dozer, Pump, Compressor, and Generator Set				

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED	EMISSION STANDARD CATEGORY		EXHAUST (g/kw-hr)					OPACITY (%)		
POWER CLASS			нс	NOx	NMHC+NOx	со	PM	ACCEL	LUG	PEAK
75 ≤ kW < 130	Interim Tier 4 / ALT NOx	STD	0.19	3.4	N/A	5.0	0.02	N/A	N/A	N/A
		CERT	0.02	3.0		0.02	0.02			

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this

day of October 2012.

Annette Hebert, Chief

Mobile Source Operations Division

Engine Model Summary Template

Attachment labl

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			3.BHP@RPM	4.Fuel Rate: mm/stroke @ peak HP	5.Fuel Rate: (lbs/hr) @ peak HP	6.Torque @ RPM	7.Fuel Rate: mm/stroke@peak	8.Fuel Rate:	9.Emission Control
Engine Family	1.Engine Code	2.Engine Model	(SAE Gross)	(for diesel only)	(for diesels only)	(SEA Gross)			eDevice Per SAE J1930
DKLXL04.5AAG	3670:FR93376	SAA4D107E-2	163@2500	104	58	466@1500	132	44	DDI,ECM,TC,CAC, EGR , OC
DKLXL04.5AAG	3590:FR94019	SAA4D107E-2	148@2000	116	51	458@1600	130	46	DDI,ECM,TC,CAC, EGR , OC
DKLXL04.5AAG	3590:FR93361	SAA4D107E-2	121@2100	95	44	357@1500	106	35	DDI,ECM,TC,CAC, EGR , OC
DKLXL04.5AAG	3590:FR94018	SAA4D107E-2	128@2000	102	45	432@1400	130	40	DDI,ECM,TC,CAC, EGR , OC