California Environmental Protection Agency California Environmental Protection Agency California Environmental Protection Agency

CATERPILLAR INC.

EXECUTIVE ORDER U-R-001-0478
New Off-Road
Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)		
2013	DCPXL18.1ESJ	18.1	Diesel	8000		
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION			
Electron	ic Direct Injection, Turbo Cooler, Engine Contro		Motor Grader			

The engine models and codes are attached.

The following are the exhaust certification standards (STD), or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED	EMISSION		EXHAUST (g/kw-hr)					OPACITY (%)		
POWER CLASS	STANDARD CATEGORY		NMHC	NOx	NMHC+NOx	со	PM	ACCEL	LUG	PEAK
130 ≤ kW ≤ 560	Interim Tier 4/ ALT 20% NOx + NMHC, ALT 20% PM	STD	N/A	N/A	2.1	3.5	0.02	20	15	50
		FEL	N/A	N/A	4.0	N/A	0.20	N/A	N/A	N/A
		CERT			3.6	2.6	0.14	7	2	11

BE IT FURTHER RESOLVED: That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year, are not covered by this Executive Order.

Executed at El Monte, California on this day of June 2013.

Erik White, Chief

Mobile Source Operations Division

ATTACHMENT 10F1

Engine Model Summary Template

W-R-001-0478 R/C 10/24/2013

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
DCPXL18.1HTF	Cert Test 1	C18	552@1900	336	215	2248@1300	431	189	DFI,TC,ECM,CAC,EGR,PTOX,OC,SCR-U,AMOX
DCPXL18.1HTF	1	C18	552@1900	336	215	2248@1300	431	189	DFI,TC,ECM,CAC,EGR,PTOX,OC.SCR-U,AMOX
DCPXL18.1HTF	2	C18	552@1900	336	215	2248@1300	431	189	DFI,TC,ECM,CAC,EGR,PTOX,OC,SCR-U,AMOX
DCPXL18.1HTF	3	C18	527@1700	321	184	2201@1200	413	167	DFI,TC,ECM,CAC,EGR,PTOX,OC,SCR-U,AMOX
DCPXL18.1HTF	4	C18	574@2000	306	206	1983@1300	379	166	DFI,TC,ECM,CAC,EGR,PTOX,OC,SCR-U,AMOX
DCPXL18.1HTF	5	C18	598@2000	321	216	2069@1300	396	173	DFI,TC,ECM,CAC,EGR,PTOX,OC,SCR-U,AMOX
DCPXL18.1HTF	6	C18	629@2000	343	230	2173@1300	418	183	DFI,TC,ECM,CAC,EGR,PTOX,OC,SCR-U,AMOX
DCPXL18.1HTF	7	C18	570@1900	330	211	2200@1200	412	166	DFI,TC,ECM,CAC,EGR,PTOX OC.SCR-U,AMOX
DCPXL18.1HTF	8	C18	460@1800	271	166	1823@1250	347	146	DFI,TC,ECM,CAC,EGR,PTOX OC,SCR-U,AMOX