California Environmental Protection Agency	Z AG
AIR RESOURCES BOARD DEL	Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)		
2012	CDZXL05.7009	5.702	Diesel	8000		
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION			
Common Rail Direct Injection, Turbocharger, Charge Air Cooler, Electronic Control Module, Smoke Puff Limiter, Exhaust Gas Recirculation, Periodic Trap Oxidizer, Diesel Oxidation Catalyst			Loader, Excavator, and Other Industrial Equipment			

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED	EMISSION STANDARD CATEGORY		EXHAUST (g/kw-hr)					OPACITY (%)		
POWER CLASS			нс	NOx	NMHC+NOx	со	PM	ACCEL	LUG	PEAK
130 <u><</u> kW < 560	Interim Tier 4 Alt NOx	STD	0.19	2.0	N/A	3.5	0.02	N/A	N/A	N/A
		CERT	0.16	1.7		1.5	0.003			

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

BE IT FURTHER RESOLVED: The listed engine family is conditionally certified pending submission of additional test data to verify compliance with useful-life emission standards. The manufacturer has until January 31, 2012 to provide test data to confirm or correct the certification emissions levels on this conditional certification. Failure to resolve concerns by the specified date, shall be cause for the Executive Officer to rescind this conditional certification and certification would be deemed uncertified and subject to civil penalties pursuant to Health and Safety Code Section 43154.

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this

day of November 2011.

Annette Hebert, Chief Mobile Source Operations Division

Deute	AG		Engine Model Summary Template				EO# U-R-013-0409			
Nonr	ond CI			Attachm	5.Fuel Rate:	page 1	of	ι	0/>6/2011	
Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	(lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@pe ak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930	
CDZXL05.7009	C4FI140	D6H	187.7@2200	98,5	72.2	810@1650	123	67.6	DDI, TC, CAC, ECM, SPL	EGR, PTOX
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