CUMMINS INC.

EXECUTIVE ORDER U-R-002-0577 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)		
2012	CCEXL11.9AAA	11.9	Diesel	8000		
SPECIAL	FEATURES & EMISSION	CONTROL SYSTEMS	TYPICAL EQUIPMENT APPLICATION			
Coole	ic Direct Injection, Turbo r, Electronic Control Mod ation, Diesel Oxidation C Trap Oxidizer	ule, Exhaust Gas atalyst, and Periodic	Loader, Tractor, Pump			

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED	EMISSION STANDARD		EXHAUST (g/kw-hr)					OPACITY (%)		
POWER	CATEGORY		HC	NOx	NMHC+NOx	СО	PM	ACCEL	LUG	PEAK
130 ≤ kW < 560	Tier 4 Interim Alt NOx	STD	0.19	2.0	N/A	3.5	0.02	N/A	N/A	N/A
		CERT	0.03	1.7	-	0.02	0.002			

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this 27 day of September 2011.

Annette Hebert, Chief

Mobile Source Operations Division

Engine Model Summary Template

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Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torqu	9.Emission Control eDevice Per SAE J19\$0
CCEXL11.9AAA	3597FR:20323	QSX11.9	460@2100	252	178	1600@1400	318	150	DDI,ECM,TQ,
CCEXL11.9AAA	3597:FR20324	QSX11.9	430@2100	240	170	1600@1400	318	150	DDI,ECM,TC,
CCEXL11.9AAA	3597:FR20325	QSX11.9	400@2100	221	157	1600@1400	318	150	DIDI,ECM,TC,
CCEXL11.9AAA	3597:FR20326	QSX11.9	400@2100	221	157	1500@1400	297	140	DDI,ECM/TC,
CCEXL11.9AAA	3597:FR20320	QSX11.9	375@2100	205	145	1450@1400	288	136	DDI,ECM,TC,
CCEXL11.9AAA	3597:FR20327	QSX11.9	350@2100	189	134	1350@1400	269	127	DDI ECM,TC,
CCEXL11.9AAA	3597:FR20328	QSX11.9	335@2100	182	129	1250@1400	252	119	DDI,ECM,TC,
CCEXL11.9AAA	3597:FR20343	QSX11.9	290@2100	164	116	1090@1400	218	103	DDI,ECM,TC,
CCEXL11.9AAA	3597:FR20337	QSX11.9	355@2000	195	132	1250@1400	252	119	DDI,ECM,TC,
CCEXL11.9AAA	3597:FR20344	QSX11.9	434@1900	252	162	1600@1400	317	149	DDI, ∉ CM,TC,
CCEXL11.9AAA	3597:FR20336	QSX11.9	375@1900	213	136	1350@1400	269	127	DDI,ECM,TC,
CCEXL11.9AAA	3597:FR20329	QSX11.9	500@1800	299	181	1600@1400	318	150	DDV,E¢M,TC,
CCEXL11.9AAA	3597:FR20330	QSX11.9	475@1800	285	173	1600@1400	318	150	DDI,EQM,TC,
CCEXL11.9AAA	3597:FR20331	QSX11.9	451@1800	268	163	1600@1400	318	150	DIDI,ECM,TC,
CCEXL11.9AAA	3597:FR20332	QSX11.9	430@1800	256	156	1500@1400	297	140	DDI,ECM,TC,
CCEXL11.9AAA	3597:FR20333	QSX11.9	400@1800	237	144	1450@1400	288	136	DDI,ECM,TC,
CCEXL11.9AAA	3597:FR20334	QSX11.9	375@1800	220	134	1350@1400	269	127	\displaystate{DDI,ECM\TC,}
CCEXL11.9AAA	3597:FR20335	QSX11.9	350@1800	206	125	1275@1400	257	121	↓ DDI,ECM,TC,
CCEXL11.9AAA	3597:FR20386	QSX11.9	490@1900	293	188	1600@1400	318	150	└DDI,ECM,†C,

Ecm, TC, Cae, DDI, EGR, PTEX