

CATERPILLAR INC.

EXECUTIVE ORDER U-R-001-0447 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003:

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

| MODEL YEAR | ENGINE FAMILY | DISPLACEMENT (liters) | FUEL TYPE | USEFUL LIFE (hours) | | |
|--|---------------|-----------------------|-------------------------------|---------------------|--|--|
| 2012 | CCPXL12.5ESJ | 12.5 | Diesel | 8000 | | |
| SPECIAL FEATURES & EMISSION CONTROL SYSTEMS | | | TYPICAL EQUIPMENT APPLICATION | | | |
| Electronic Direct Injection, Turbocharger, Charge Air Cooler, Engine Control Module | | | Motor Grader, Excavator | | | |

The engine models and codes are attached.

The following are the exhaust certification standards (STD), or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

| RATED | EMISSION | | EXHAUST (g/kw-hr) | | | | | OPACITY (%) | | |
|----------------|---|------|-------------------|-----|----------|-----|------|-------------|-----|------|
| POWER CLASS | STANDARD CATEGORY | | NMHC | NOx | NMHC+NOx | СО | PM | ACCEL | LUG | PEAK |
| 130 ≤ kW ≤ 560 | Interim Tier 4/ ALT 20% NOx + NMHC and ALT 20% PM | STD | N/A | N/A | 2.1 | 3.5 | 0.02 | 20 | 15 | 50 |
| | | FEL | N/A | N/A | 4.0 | N/A | 0.20 | N/A | N/A | N/A |
| | | CERT | | | 3.7 | 2.7 | 0.16 | 15 | 4 | 25 |

BE IT FURTHER RESOLVED: That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

BE IT FURTHER RESOLVED: That the listed engines are conditionally certified to the Interim Tier 4 ALT NOx+NMHC standards based on the amendments to 13 CCR Section 2423, table 1b adopted by the Board on December 16, 2011. This determination is conditional on the amendments being adopted by the Executive Officer and approved by the Office of Administrative Law. If the amendments do not become effective, the manufacturer shall be required to certify this engine family pursuant to table 1b of 13 CCR Section 2423, as that table existed on December 16, 2011 within 45 days after notification by ARB or this Executive Order may be revoked and voided ab initio.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this _

day of January 2012.

Annette Hebert, Chief

Mobile Source Operations Division

ATTACHMENT 1 OF 1

Engine Model Summary Template

u-R-001-0447 1/0312012

| Engine Family | 1.Engine Code | 2.Engine Model | 3.BHP@RPM (SAE Gross) | 4.Fuel Rate: mm/stroke @ peak HP (for diesel only) | 5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only) | 6.Torque @ RPM (SEA Gross) | 7.Fuel Rate: mm/stroke@peak torque | 8.Fuel Rate: (lbs/hr)@peak torque | 9.Emission Control PDevice Per SAE J1930 |
|---------------|---------------|----------------|--------------------------|--|--|-------------------------------|--|--------------------------------------|---|
| CCPXL12.5ESJ | Cert Test 1 | C13 | 480@2000 | 250 | 168 | 1735@1400 | 339 | 160 | EM,DI,TC,ECM,CAC |
| CCPXL12.5ESJ | 1 | C13 | 345@1800 | 196 | 119 | 1207@1400 | 233 | 110 | EM,DI,TC,ECM,CAC |
| CCPXL12.5ESJ | 2 | C13 | 371@1800 | 213 | 129 | 1300@1400 | 253 | 119 | EM,DI,TC,ECM,CAC |
| CCPXL12.5ESJ | 3 | C13 | 440@1800 | 249 | 151 | 1483@1400 | 292 | 138 | EM,DI,TC,ECM,CAC |
| CCPXL12.5ESJ | 4 | C13 | 371@1800 | 209 | 127 | 1300@1400 | 246 | 116 | EM,DI,TC,ECM,CAC |
| CCPXL12.5ESJ | 5 | C13 | 409@1800 | 223 | 135 | 1294@1400 | 259 | 122 | EM,DI,TC,ECM,CAC |
| CCPXL12.5ESJ | 6 | C13 | 304@2000 | 161 | 108 | 1148@1000 | 221 | 74 | EM,DI,TC,ECM,CAC |
| CCPXL12.5ESJ | 7 | C13 | 310@2000 | 163 | 110 | 1167@1000 | 224 | 75 | EM,DI,TC,ECM,CAC |
| CCPXL12.5ESJ | . 8 | C13 | 314@2000 | 166 | 112 | 1187@1000 | 229 | 77 | EM,DI,TC,ECM,CAC |
| CCPXL12.5ESJ | 9 | C13 | 319@2000 | 167 | 113 | 1207@1000 | 232 | 78 | EM,DI,TC,ECM,CAC |
| CCPXL12.5ESJ | 10 | C13 | 325@2000 | 168 | 113 | 1226@1000 | 236 | 79 | EM,DI,TC,ECM,CAC |
| CCPXL12.5ESJ | 11 | C13 | 330@2000 | 170 | 114 | 1245@1000 | 239 | 80 | EM,DI,TC,ECM,CAC |
| CCPXL12.5E8J | 12 | C13 | 334@2000 | 174 | 117 | 1265@1000 | 243 | 82 | EM,DI,TC,ECM,CAC |
| CCPXL12.5ESJ | 13 | C13 | 339@2000 | 175 | 118 | 1285@1000 | 253 | 85 | EM,DI,TC,ECM,CAC |