 AIR RESOURCES BOARD	CATERPILLAR INC.	EXECUTIVE ORDER U-R-001-0438-1 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)
2012	CCPXL32.0HXA	32.0	Diesel	8000
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION	
Electronic Direct Injection, Turbocharger, Charge Air Cooler, Oxidation Catalyst, Engine Control Module, Exhaust Gas Recirculation			Loader, Pump, Industrial Equipment	

The engine models and codes are attached.

The following are the exhaust certification standards (STD), or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER CLASS	EMISSION STANDARD CATEGORY		EXHAUST (g/kw-hr)					OPACITY (%)		
			NMHC	NOx	NMHC+NOx	CO	PM	ACCEL	LUG	PEAK
ELSE>560kW	Tier 4 Final	STD	0.19	3.5	N/A	3.5	0.04	N/A	N/A	N/A
		FEL	N/A	3.4	--	N/A	0.04	N/A	N/A	N/A
		CERT	0.04	3.1	--	0.01	0.02	--	--	--

BE IT FURTHER RESOLVED: That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has elected to comply with the more stringent set of standards in 13 CCR, Section 2423, Table 1b for Tier 4 engines

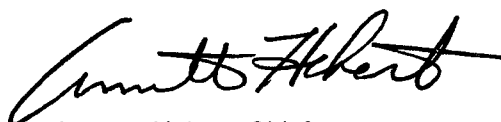
BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

This Executive Order hereby supersedes Executive Order U-R-001-0438 dated November 3, 2011.

Executed at El Monte, California on this 6 day of December 2011.



Annette Hebert, Chief
Mobile Source Operations Division

Engine Model Summary Template

U-R-001-438-1

6/19/2012

R/C

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
CCPXL32.0HXA	Cert Test 1	C32	932@2000	251	338	4052@1200	389	313	DFI,TC,ECM,CAC,EGR,OC
CCPXL32.0HXA	1 - 1125/1800	C32	932@2000	251	338	4052@1200	381	308	DFI,TC,ECM,CAC,EGR,OC
CCPXL32.0HXA	2 - 924/1800	C32	452@1980	143	190	3470@1300	327	286	DFI,TC,ECM,CAC,EGR,OC
CCPXL32.0HXA	3 - 1011/1800	C32	664@2000	192	258	3852@1200	365	295	DFI,TC,ECM,CAC,EGR,OC
CCPXL32.0HXA	4 - 1125/1800	C32	932@2000	251	338	4052@1200	381	308	DFI,TC,ECM,CAC,EGR,OC
CCPXL32.0HXA	5 - 933/1750	C32	396@1850	135	168	3461@1200	333	269	DFI,TC,ECM,CAC,EGR,OC
CCPXL32.0HXA	6 - 933/1750	C32	433@1850	148	185	3504@1300	337	295	DFI,TC,ECM,CAC,EGR,OC
CCPXL32.0HXA	7 - 1071/1800	C32	515@1850	142	176	4003@1250	385	316	DFI,TC,ECM,CAC,EGR,OC