CATERPILLAR INC.

EXECUTIVE ORDER U-R-001-0430 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engine and emission control system produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)			
2012	CCPXL15.2HZA	15.2	Diesel	8000			
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION				
Electron Cool	ic Direct Injection, Turbo er, Engine Control Modu Recirculation	le, Exhaust Gas	Generator				

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER	EMISSION		EXHAUST (g/kw-hr)					OPACITY (%)		
CLASS	STANDARD CATEGORY		нс	NOx	NMHC+NOx	СО	PM	ACCEL	LUG	PEAK
560 kW <gen<900 kw<="" td=""><td>Tier 4 Interim</td><td>STD</td><td>0.40</td><td>3.5</td><td>N/A</td><td>3.5</td><td>0.10</td><td>N/A</td><td>N/A</td><td>N/A</td></gen<900>	Tier 4 Interim	STD	0.40	3.5	N/A	3.5	0.10	N/A	N/A	N/A
		CERT	0.10	3.1		1.1	0.07			

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

BE IT FURTHER RESOLVED: The listed engine models are conditionally certified pending submission of additional test data to verify compliance with useful-life emission standards. The manufacturer has until July 1, 2011, to provide test data to confirm or correct the certification emissions levels on the conditional certification. Failure to resolve concerns by the specified time, shall be cause for the Executive Officer to rescind this conditional certification, in which case all engines covered under this conditional certification would be deemed uncertified pursuant to Health and Safety code Section 43153 and subject to civil penalties pursuant to Health and Safety Code Section 43154.

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this

_ day of October 2010

Annette Hebert, Chief

Mobile Source Operations Division

Engine Model Summary Template

ATTACHMENT 1 OF 1

U-R-001-0430 12/07/11

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torqu	9.Emission Control Pe Device Per SAE J1930
CCPXL15.2HZA	Cert Test 1	C15	779@1800	424	256	NA	NA	NA	DFI,TC,ECM,CAC,EGR
CCPXL15.2HZA	1	C15	779@1800	415	251	NA	NA	NA	DFI,TC,ECM,CAC,EGR
CCPXL15.2HZA	2	C15	779@1800	415	251	NA	NA	NA	DFI,TC,ECM,CAC,EGR