CATERPILLAR INC.

EXECUTIVE ORDER U-R-001-0428 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)		
2012	CCPXL12.5HPA	12.5	Diesel	8000		
	FEATURES & EMISSION		TYPICAL EQUIPMENT			
Electroni Cooler, Exhaus	c Direct Injection, Turbo Oxidation Catalyst, Engi t Gas Recirculation, Peri	charger, Charge Air ne Control Module, odic Trap Oxidizer	Generator			

The engine models and codes are attached.

The following are the exhaust certification standards (STD), or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED	EMISSION STANDARD CATEGORY Tier 4 Interim ALT NOx		EXHAUST (g/kw-hr)				OPACITY (%)			
POWER CLASS			нс	NOx	NMHC+NOx	СО	PM	ACCEL	LUG	PEAK
130 ≤ kW ≤ 560		1 811)	0.19	2.0	N/A	3.5	0.02	N/A	N/A	N/A
		FEL	N/A	N/A	N/A	N/A	0.00	N/A	N/A	N/A
		CERT	0.06	1.6		1.5	0.002			

BE IT FURTHER RESOLVED: That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this ___

_ day of October 2011

Annette Hebert, Chief

Mobile Source Operations Division

ATTACHMENT 1 OF 1

Engine Model Summary Template

UR-001-0428 10/17/2011

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: O(lbs/hr) @ peak HP O(for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
CCPXL12.5HPA	Cert Test 1	C13	500@1900	275	175	1738@1500	337	170 <i>&</i> ,D	FI,TC,ECM,CAC,EGR,PTO
CCPXL12.5HPA	Cert Test 2	C13	503@1500	334	169	NA	NA	NA D	FI,TC,ECM,CAC,EGR,PT0×
CCPXL12.5HPA	1 - 504/1500	C13	503@1500	334	169	NA	NA	NA D	FI,TC,ECM,CAC,EGR,PT0X
CCPXL12.5HPA	2 - 569/1800	C13	567@1800	325	197	NA	NA	NA J D	FI,TC,ECM,CAC,EGR,PTOX