

CATERPILLAR INC.

EXECUTIVE ORDER U-R-001-0423-1 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engine and emission control system produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)		
2011	BCPXL32.0HZA	32.0	Diesel	8000		
	FEATURES & EMISSION C		TYPICAL EQUIPMENT APPLICATION			
Electroni Cool	ic Direct Injection, Turboc er, Engine Control Modul Recirculation	charger, Charge Air e, Exhaust Gas	Pump, Compressor, Industrial E	Equipment		

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER	EMISSION	_			EXHAUST (g/kw	-hr)		OF	ACITY (%	5)
CLASS	STANDARD CATEGORY		HC	NOx	NMHC+NOx	co	PM	ACCEL	LUG	PEAK
ELSE> 560 kW	Tier 4 Interim	STD	0.40	3.5	N/A	3.5	0.10	20	15	50
		CERT	0.17	3.1		0.5	0.04	5	6	4

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

This Executive Order hereby supersedes Executive Order U-R-001-0423 dated January 20, 2011

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this

<u>30</u>

day of March 2011.

Annette Hebert, Chief

Mobile Source Operations Division

Engine Model Summary Template

N-R-601-0423-1

3/24/2011

Engine Family 1.Engine Code 2.Eng	3.BHP@RPM Model (SAE Gross)	mm/stroke @ peak HP (lbs/hr) @ peak HP (for diesel only) (for diesels only)	mm/stroke @ peak HP (lbs/hr) @ peak HP 6.Torque @ RPM (for diesel only) (SEA Gross)	6.Torque @ RPM (SEA Gross)	gpeak	8.Fuel Rate: (lbs/hr)@peak torqu	8.Fuel Rate: 9.Emission Control (lbs/hr)@peak torqueDevice Per SAE J1930
C32	1200@2100	304	427	4045@1400 4045@1400	376	354	TC CAC ECM EGR DE
C32	950@2100	244	345	3200@1400	302	284	TC CAC FCM FGR DF
de la company de	1125@2100	286	403	3793@1400	353	332	TC CAC ECM FGR DF