

CATERPILLAR INC.

EXECUTIVE ORDER U-R-001-0362 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engines and emission control systems produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours) 8000						
2009	9CPXL32.0ESX	32.0	Diesel							
	FEATURES & EMISSION		TYPICAL EQUIPMENT APPLICATION							
Direct Dies	sel Injection, Turbocharge Engine Control Mo	er, Charge Air Cooler, odule	Loader, Dozer, Pump and Industrial Equipment							

The engine models and codes are attached.

The following are the exhaust certification standards (STD), or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

POWER CLASS	EMISSION			E	EXHAUST (g/kw-l		OPACITY (%)					
	STANDARD CATEGORY		HC	NOx	NMHC+NOx	СО	PM	ACCEL	LUG	PEAK		
KW > 560	Tier 2	STD	N/A	N/A	6.4	3.5	0.20	20	15	50		
		FEL	N/A	N/A	N/A	N/A	0.14	N/A	N/A	N/A		
		CERT			5.7	0.9	0.06	8	2	14		

BE IT FURTHER RESOLVED: That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this ______/5___ day of January 2009.

Annette Hebert, Chief

Mobile Source Operations Division

Engine Model Summary Template

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9.Emission Control	EM, DI, TC,	EM, DI, TC,	EM, DI, TC,	EM, DI, TC	EM, DI, TC	EM, DI, TC	EM, DI, TC	EM, DI, TC	EM, DI, TC	EM, DI, TC	EM, DI, TC,	EM, DI, TC,	EM, DI, TC	EM, DI, TC	EM, DI, TC	EM, DI, TC,	EM, DI, TC					
8.Fuel Rate: 9.Emission Control (lbs/hr)@peak torqueDevice Per SAE J1930	410.4	393.4	393.4	280.3	280.3	344.1	344.1	367.2	367.2	412.4	412.4	305.4	302	364	400	282	364	400	327	322	283	294
7.Fuel Rate: mm/stroke@peak torque	436	418	418	298	298	365	365	390	390	438	438	349	. 345	386	425	350	386	425	360	355	323	336
6.Torque @ RPM (SEA Gross)	4551@1400	4422@1400	4422@1400	3205@1400	3205@1400	3792@1400	3792@1400	4045@1400	4045@1400	4552@1400	4552@1400	3635@1300	3554@1300	3743@1400	4129@1400	3627@1200	3743@1400	4129@1400	3686@1350	3585@1350	3461@1300	3635@1300
5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	482.8	530.8	530.8	322.7	336.9	386.1	393.6	407.3	425.9	464.7	480.6	345.9	311.4	419	462	317	419	462	359	320	331	349
4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	399	376	376	266	238	319	279	336	301	384	340	294	257	296	327	295	296	327	280	272	281	296
3.BHP@RPM n (SAE Gross)	1350@1800	1505@2100	1500@2100	951@1800	951@2100	1124@1800	1124@2100	1200@1800	1200@2100	1350@1800	1350@2100	1016@1750	923@1800	1110@2100	1225@2100	950@1600	1110@2100	1225@2100	1050@1900	943@1750	970@1750	1016@1750
2.Engine Model	C32	C32	C32	C32	C32	C32	C32	C32	C32	C32	C32	C32	C32	C32	C32	C32	C32	C32	C32	C32	C32	C32
		1	2	5	9	7	8	6	10	11 Cert Engine	12	13	14	15	16	17	18	19	20	21	22	23
Engine Family 1.Engine Code	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX	9CPXL32.0ESX