

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the December 15, 1998 Settlement Agreement between the Air Resources Board and the manufacturer, and any modifications thereof to the Settlement Agreement;

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engine and emission control system produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)
2005	5CPXL27.0ESK	27.0	Diesel	8000
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION	
Direct Diesel Injection, Turbocharger, Charge Air Cooler and Engine Control Module			Dozer and Industrial Equipment	

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER CLASS	EMISSION STANDARD CATEGORY		EXHAUST (g/kw-hr)					OPACITY (%)		
			HC	NOx	NMHC+NOx	CO	PM	ACCEL	LUG	PEAK
450 ≤ KW < 560	Tier 3	STD	N/A	N/A	4.0	3.5	0.20	20	15	50
		CERT	--	--	3.6	2.8	0.16	14	2	22

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this 13TH day of July 2004.



Allen Lyons, Chief
 Mobile Source Operations Division

Engine Model Summary Form

ATTACHMENT 1 OF 1

U-R-001-0263

Manufacturer: **CATERPILLAR INC.**
 Engine category: **Nonroad Over 50 Hp**
 EPA Engine Family: **5CPXL27.0ESK**
 Mfr Family Name: **NA**
 Process Code: **New Submission**

1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
Note: Peak HP and Peak Torque		fuel rates are	nominal values.	Due to	ion engine avgs.	these fuel rates	may change.	
1 Cert Engine	C27	730@1800	214	259.5	2548@1300	273	238.5	EM,DI,TC,ECM,CAC
2	C27	730@1800	214	259.0	2548@1300	261	228.0	EM,DI,TC,ECM,CAC
3	C27	697@2000	187	251.1	2117@1300	230	201.1	EM,DI,TC,ECM,CAC
4	C27	719@2000	192	258.7	2195@1300	239	208.9	EM,DI,TC,ECM,CAC
5	C27	715@1800	210	254.8	2432@1200	261	210.9	EM,DI,TC,ECM,CAC
6	C27	687@2000	181	243.3	2282@1200	245	197.9	EM,DI,TC,ECM,CAC
7	C27	646@1800	187	226.1	2207@1200	238	192.5	EM,DI,TC,ECM,CAC

Engine Model Summary Form

Manufacturer: CATERPILLAR INC.
Engine category: Nonroad Over 50 Hp
EPA Engine Family: 5CPXL27.0ESK
Mfr Family Name:
Process Code: Running Change - 1

1.Engine Code	2.Engine Model	3.BHP @RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke @ peak torque	8.Fuel Rate: (lbs/hr) @peak torque	9.Emission Control Device Per SAE J1930
8	C27	646 @ 1800	188	228.0	2207 @ 1200	238	192.5	EM, DI, TC, ECM,

Engine Model Summary Form

Manufacturer: **CATERPILLAR INC.**
 Engine category: **Nonroad Over 50 Hp**
 EPA Engine Family: **5CPXL27.0ESK**
 Mfr Family Name:
 Process Code: **Running Change - 2**

1. Engine Code	2. Engine Model	3. BHP @ RPM (SAE Gross)	4. Fuel Rate: mm/stroke @ peak HP (for diesel only)	5. Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6. Torque @ RPM (SEA Gross)	7. Fuel Rate: mm/stroke @ peak torque	8. Fuel Rate: (lbs/hr) @ peak torque	9. Emission Control Device Per SAE J1930
		185		250.0		253	204.0	

Engine Model Summary Form

Manufacturer: CATERPILLAR INC.
Engine category: Nonroad Over 50 Hp
EPA Engine Family: SCPXL27.0ESK
Mir Family Name:
Process Code: Running Change - 3

1. Engine Code	2. Engine Model	3. BHP @ RPM (SAE Gross)	4. Fuel Rate: mm/stroke @ peak HP (for diesel only)	5. Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6. Torque @ RPM (SEA Gross)	7. Fuel Rate: mm/stroke @ peak torque	8. Fuel Rate: (lbs/hr) @ peak torque	9. Emission Control Device Per SAE J1930
9	C27	633@1800	181	218.8	2179@1200	231	186.4	EM, DI, TC, ECM,

Engine Model Summary Form

Manufacturer: CATERPILLAR INC.
Engine category: Nonroad Over 50 Hp
EPA Engine Family: 5CPXL27.0ESK
Mfr Family Name:
Process Code: Running Change - 4

1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
10	C27	646@1800	185	224.0	2207@1200	232	187.0	EM, DI, TC, ECM,
11	C27	646@1800	185	224.0	2207@1200	232	187.0	EM, DI, TC, ECM,