CATERPILLAR, INC.

EXECUTIVE ORDER U-R-001-0257 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engine and emission control system produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours) 8000	
2004	4CPXL27.0ESK	27.0	Diesel		
SPECIAL FEATURES & EMISSION CONTROL SYSTEMS			TYPICAL EQUIPMENT APPLICATION		
Direct Diesel Injection, Turbocharger, Charge Air Cooler and Engine Control Module			Dozer and Industrial Equipment		

The engine models and codes are attached.

The following are the exhaust certification standards (STD) or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER	EMISSION STANDARD		EXHAUST (g/kw-hr)				OPACITY (%)			
CLASS	CATEGORY		нс	NOx	NMHC+NOx	co	PM	ACCEL	LUG	PEAK
450 ≤ KW < 560	Tier 2	STD	N/A	N/A	6.4	3.5	0.20	20	15	50
		FEL	N/A	N/A	4.0	N/A		N/A	N/A	N/A
		CERT			3.6	2.8	0.16	14	2	22

BE IT FURTHER RESOLVED: That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this

Allen Lyons, Chief

Mobile Source Operations Division

ATTACHMENT 1 OF 1

Engine Model Summary Form

Manufacturer: CATERPILLAR INC.

Engine category: Nonroad Over 50 Hp

EPA Engine Family: 4CPXL27.0ESK

Mfr Family Name: NA

Process Code: New Submission

י	1-0251
1	25-00

8.Fuel Rate: 9.Emission Control (lbs/hr)@peak torque Device Per SAE J1930	EM,DI,TC,ECM,CAC EM,DI,TC,ECM,CAC EM,DI,TC,ECM,CAC EM,DI,TC,ECM,CAC EM,DI,TC,ECM,CAC EM,DI,TC,ECM,CAC EM,DI,TC,ECM,CAC EM,DI,TC,ECM,CAC
8.Fuel Rate: (lbs/hr)@peak torqu	may change. 238.5 228.0 201.1 208.9 210.9 197.9
7.Fuel Rate: mm/stroke@peak torque	these fuel rates 273 261 230 239 261 245
6.Torque @ RPM (SEA Gross)	ion engine avgs. 2548@1300 2548@1300 2117@1300 2195@1300 2432@1200 2282@1200
5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	Due to 259.5 259.0 251.1 258.7 254.8 243.3
4.Fuel Rate: 5.Fuel Rate: mm/stroke @ peak HP (lbs/hr) @ peak HP (for diesel only) (for diesels only)	nominal values. 214 214 187 192 210 181
3.BHP@RPM (SAE Gross)	fuel rates are 730@1800 730@1800 697@2000 715@1800 687@2000 687@2000 646@1800
1.Engine Code 2.Engine Model	Note: Peak HP and Peak Torque 1 Cert Engine C27 3 C27 4 C27 5 C27 5 C27 7 C27
1.Engine Code	Note: Peak HP 1 Cert Engine 2 3 4 4 5 5

Engine Model Summary Form

Manufacturer: CATERPILLAR INC.

Engine category: Nonroad Over 50 Hp

EPA Engine Family: 4CPXL27.0ESK

Mfr Family Name:

Process Code: Running Change-1

8.Fuel Rate: 9.Emission Control lbs/hr)@peak torque Device Per SAE J1930	EM,DI,TC,ECM,CA
8.Fuel Rate: (lbs/hr)@peak torq	194
7.Fuel Rate: mm/stroke@peak torque	240
6.Torque @ RPM (SEA Gross)	2707@1200
5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	229
4.Fuel Rate: 5.Fuel Rate: mm/stroke @ peak HP (lbs/hr) @ peak HP (for diesel only) (for diesels only)	189
3.BHP@RPM (SAE Gross)	646@1800
1.Engine Code 2.Engine Model	c27
1.Engine Code	æ