

CATERPILLAR, INC.

EXECUTIVE ORDER U-R-001-0236 New Off-Road

Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engine and emission control system produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)		
2004	4CPXL27.0MRH	27.0	Diesel	8000		
	FEATURES & EMISSION	CONTROL SYSTEMS	TYPICAL EQUIPMENT APPLICATION			
Direct Dies	sel Injection, Turbocharg and Smoke Puff Li	er, Charge Air Cooler miter	Generato			

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER	EMISSION STANDARD				EXHAUST (g/kw-l	hr)	,	OF	PACITY (%	()
CLASS	CATEGORY		HC	NOx	NMHC+NOx	co	PM	ACCEL	LUG	PEAK
KW > 560	Tier 1	STD	9.2	1.3	N/A	11.4	0.54	N/A	N/A	N/A
		CERT	0.2	7.7		2.0	0.36			

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this

day of December 2003.

Allen Jons, Chief

Mobile Source Operations Division

ATTACHMENT 1 OF 1

Engine Model Summary Form

CATERPILLAR INC. Manufacturer:

Engine category: Nonroad Over 50 Hp
EPA Engine Family: 4CPXL27.0MRH

Mfr Family Name: NA

Process Code: New Submission

W-R-001-0236

1.Engine Code	1.Engine Code 2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	8.Fuel Rate: 9.Emission Control (lbs/hr)@peak torque Device Per SAE J1930
Note: Peak HP	and Peak Torque	-	nominal values.	Due to product-	ion en	these fuel rates	may change.	
1 -Cert Engine	3412	913 @ 1800 680Kw 286	680Km 286	346.0	N/A	N/A	A/N	EM, DI, TC, SPL, c4€
2	3412	913 @ 1800	27.1	328.0	N/A	N/A	N/A	EM, DI, TC, SPL,
က	3412	913 @ 1800	271	328.0	N/A	N/A	N/A	EM, DI, TC, SPL,
4	3412	839 @ 1800 6	25 Km 256	310.0	N/A	N/A	N/A	EM, DI, TC, SPL,
ខ	3412	839 @ 1800	256	310.0	N/A	N/A	N/A	EM, DI, TC, SPL,