CATERPILLAR, INC.

EXECUTIVE ORDER U-R-001-0232

New Off-Road

Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engine and emission control system produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)
2004	4CPXL34.5ERK	34.5	Diesel	8000
	FEATURES & EMISSION		TYPICAL EQUIPMENT A	APPLICATION
Direct Dies	el Injection, Turbocharg and Engine Control I	er, Charge Air Cooler Module	Loader, Dozer, Pump, Compressor Equipmen	, Generator and Industria t

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED POWER	EMISSION STANDARD		EXHAUST (g/kw-hr) OPACITY (%)											
CLASS	CATEGORY		HC	NOx	NMHC+NOx	СО	PM	ACCEL	LUG	PEAK				
KW > 560	Tier 1	STD	1.3	9.2	N/A	11.4	0.54	20	15	PEAK 50				
		CERT	0.4	7.9		0.8	0.21	12	2	26				

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this _______ day of December 2003.

Allen Yons, Chief

Mobile Source Operations Division

ATTACH MENT 1 OF 1

Engine Model St. nary Form

Manufacturer: Caterpillar Inc

Engine category: Nonroad CI

EPA Engine Family: 4CPXL34.5ERK

Mfr Family Name:

Process Code: New Submission

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8.Fuel Rate: 9.Emission Control (Ibs/hr)@peak torque Device Per SAE J1930	NA CAC EM,DI,TC,ECM	305 (EM,DI,TC,ECM	275 EM,DI,TC,ECM	306 EM,DI,TC,ECM	249 EM,DI,TC,ECM	NA EM,DI,TC,ECN	NA EM,DI,TC,ECM	NA EM,DI,TC,ECM	NA EM,DI,TC,ECM	IA EM,DI,TC,ECM	NA EM,DI,TC,ECM	NA EM,DI,TC,ECM	IA EM,DI,TC,ECM	NA EM,DI,TC,ECM	275 EM,DI,TC,ECM	NA EM, DI, TC, ECM	270 EM,DI,TC,ECM	299 EM,DI,TC,ECM	299 EM,DI,TC,ECM	299 EM,DI,TC,ECM	237 EM,DI,TC,ECM	299 EM,DI,TC,ECM	NA (EM,DI,TC,ECM	جواف أمام المرابع والمرابع والم والمرابع والمرابع والمرابع والمرابع والمرابع والمرابع والمراب
7.Fuel Rate: 8.Fuel Rate: mm/stroke@peak 8.Fuel Rate: torque (lbs/hr)@peak to	NA	524	471	525	426	NA	V. I. and the many many count from conditions on	NA	N	N	NA N		NA	NA	472 2	NA	668	494	494	494	586	494	NA	The second secon
7.F 6.Torque @ RPM mm/s (SEA Gross)	4887@1800	3618@1300	3325@1300	3599@1300	3046@1300	3431@1200	4000@1200	4322@1200	3597@1800	3910@1800.	4379@1800	4000@1200	3785@1800	4000@1200	3311@1300	2971@1800	4434@900	3233@1350	3233@1350	3233@1350	3840@900	3233@1350	3120 @ 1800	
5.Fuel Rate: (lbs/hr) @ peak HP (for diesets only)	212	333	310	362	295	282	323	357	425	471	513	311	440	311	324	351	314	337	352	367	271	337	397	
4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	A 1 4	413	394	462	376	524	299	663	526	583	635	578	545	578	413	434	583	418	436	455	504	418	492	
3.BHP@RPM (SAE Gross)	1676@1800	936@1800	880@1750	1000@1750	861@1750	784@1200	915@1200	988@1200	1234@1800	1341@1800	1502@1800	915@1200	1298@1800	915@1200	920@1750	1019@1800	900@1200	1000@1800	1050@1800	1100@1800	760@1200	1000@1800	1070 @ 1800	**************************************
2.Engine Model	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	3508B	entragemental production of the first of the second
1.Engine Code		2	8	4	5	9	And the second s	8	6	10		12	13	4	15	16	17	18	19	20	21	22	23	and the second