CATERPILLAR, INC.

EXECUTIVE ORDER U-R-001-0222 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in the Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-02-003;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engine and emission control system produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

| MODEL YEAR | ENGINE FAMILY | DISPLACEMENT (liters) | FUEL TYPE | USEFUL LIFE (hours) | | |
|---|--|--------------------------------|-------------------------------|------------------------|--|--|
| 2003 | 3CPXL14.6MRW | 14.6 | Diesel | 8000 | | |
| SPECIAL FEATURES & EMISSION CONTROL SYSTEMS | | | TYPICAL EQUIPMENT APPLICATION | | | |
| Direct Die: | sel Injection, Turbocharg and Smoke Puff Li | er, Charge Air Cooler miter | Pale Layer and Paving Profier | | | |

The engine models and codes are attached.

The following are the exhaust certification standards (STD) or family emission limit(s) (FEL) as applicable, and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

| RATED | EMISSION | | EXHAUST (g/kw-hr) | | | | OPACITY (%) | | | |
|--|-------------------|------|-------------------|-----|----------|-----|-------------|-------|-----|------|
| POWER CLASS | STANDARD CATEGORY | | НС | NOx | NMHC+NOx | со | PM | ACCEL | LUG | PEAK |
| 225 <kw<450< td=""><td>Tier 2</td><td>STD</td><td>N/A</td><td>N/A</td><td>6.4</td><td>3.5</td><td>0.20</td><td>20</td><td>15</td><td>50</td></kw<450<> | Tier 2 | STD | N/A | N/A | 6.4 | 3.5 | 0.20 | 20 | 15 | 50 |
| <u> </u> | | FEL | N/A | N/A | 9.5 | N/A | 0.38 | NA | N/A | N/A |
| | | CERT | | _ | 7.5 | 0.4 | 0.29 | 20 | 8 | 30 |

BE IT FURTHER RESOLVED: That the family emission limit(s) (FEL) is an emission level declared by the manufacturer for use in any averaging, banking and trading program and in lieu of an emission standard for certification. It serves as the applicable emission standard for determining compliance of any engine within this engine family under 13 CCR Sections 2423 and 2427.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this ______ day of August 2003.

Allen Lyons, Chief

Mobile Source Operations Division

Manufacturer:

CATERPILLAR INC.

Nonroad Over 50 Hp Engine category:

EPA Engine Family. 3CPXL14.6MRW

u-R-001-0222

Mfr Family Name: NA

New Submission Process Code:

| 8. Fuel Rate: 9. Emission Control (Ibs/hr)@peak torque Device Per SAE J1930 | EM, DI, TC, SPL, CAC EM, DI, TC, SPL, EM, DI, TC, SPL, EM, DI, TC, SPL, EM, DI, TC, SPL, |
|---|--|
| 8.Fuel Rate: (lbs/hr)@peak torque [| may change. 156.2 98.1 146.0 146.0 96.4 |
| 7.Fuel Rate: mm/stroke@peak torque | these fuel rates 332 243 310 310 239 |
| 6.Torque @ RPM (SEA Gross) | ion engine avgs. 1680 @ 1400 1215 @ 1200 1600 @ 1400 1400 @ 1200 |
| 5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only) | Due to product- 181.9 127.8 180.0 180.0 |
| 4.Fuel Rate: mm/stroke @ peak HP (for diesel only) | nominal values. 258 181 255 255 176 |
| 3.BHP@RPM (SAE Gross) | fuel rates are 485 @ 2100 328 @ 2100 500 @ 2100 500 @ 2100 335 @ 2100 |
| 1.Engine Code 2.Engine Model | Note: Peak HP and Peak Torque 1-Cert 3406 3 3406 4 3406 5 3406 |
| 1.Engine Code | Note: Peak HP 1-Cert 2 3 4 4 |