State of California
AIR RESOURCES BOARD

EXECUTIVE ORDER U-R-5-22
Relating to Certification of New Heavy-Duty Off-Road Equipment Engines

KOMATSU LTD.

Pursuant to the authority vested in the Air Resources Board by Sections 43000.5, 43013 and 43018 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9;

IT IS ORDERED AND RESOLVED: That the following Komatsu Ltd. 1999 model-year engines with rated power between 175 and 750 horsepower and exhaust emission control systems are certified as described below for use in heavy-duty off-road equipment:

Typical Equipment Usage: Dozer, Generator Set, and Other Off-Road Equipment

Fuel Type: Diesel

Engine Family	Displacement		Exhaust Emission Control Systems and Special Features
	Liters	Cubic Inches	
XKLXL23.2FC1 (SA6D170)	23.2	1413	Turbocharger Charge Air Cooler

Engine models and codes are listed on attachments. Production engines shall be in all material respects the same as those for which certification is granted.

The total hydrocarbons (THC), carbon monoxide (CO), nitrogen oxides (NOx), and particulate matter (PM) certification exhaust emission standards in grams per brake horsepower-hour (g/hp-h), and the opacity-of-smoke standards in percent (%) during acceleration (Accel), lugging (Lug), and peak (Peak) modes for this engine family are as follows (Title 13, California Code of Regulations, Section 2423):

Exhaust Emissions (g/hp-h)				Smoke Opacity (%)		
THC	CO	NOx	PM	Accel	Lug	Peak
1.0	8.5	6.9	0.4	20	15	50

The THC, CO, NOx, and PM exhaust emissions certification values in grams per brake horsepower-hour and the opacity-of-smoke certification values in percent for this engine family are:

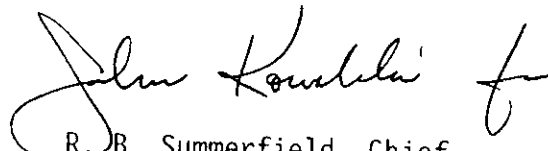| Exhaust Emissions (g/hp-h)				Smoke Opacity (%)		
THC	CO	NOx	PM	Accel	Lug	Peak
0.3	0.7	5.9	0.3	16	10	26

BE IT FURTHER RESOLVED: That the listed engine models comply with the "Exhaust Emission Standards and Test Procedures--Heavy-Duty Off-Road Diesel-Cycle Engines" (Title 13, California Code of Regulations Section 2423) for the aforementioned model year.

BE IT FURTHER RESOLVED: That the listed engine models also comply with the "Emission Control Labels--1996 and Later Heavy-Duty Off-Road Diesel-Cycle Engines" (Title 13, California Code of Regulations, Section 2424) for the aforementioned model year.

BE IT FURTHER RESOLVED: That for the listed engine models the manufacturer has submitted the materials to demonstrate certification compliance with the Board's emission control system warranty provisions (Title 13, California Code of Regulations, Sections 2425 et seq.).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

Executed at El Monte, California this 23rd day of February 1999.

R. B. Summerfield, Chief
Mobile Source Operations Division

LARGE ENGINE MODEL SUMMARY

98/11/27

Manufacturer: **KOMATSU LTD.**

Process Code: **New Submission**

EPA Engine Family: **XKLXL23.2FC1**

Manufacturer Family Name: **SA6D170E-2**

1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
K3	SA6D170E-1	572@1800	352	209	2054@1300	403	173	EM TC CAC
K4	SA6D170E-2	567@1700	358	200	1921@1300	387	166	EM TC CAC
K9	SA6D170AE-1	799@1800	480	288	2330@ 1800	480	288	EM TC CAC
K10	SA6D170BE-1	667@1800	441	262	1945@ 1800	441	262	EM TC CAC