State of California AIR RESOURCES BOARD

EXECUTIVE ORDER U-R-1-98

Relating to Certification of New Heavy-Duty Off-Road Equipment Engines

CATERPILLAR, INC.

Pursuant to the authority vested in the Air Resources Board by Sections 43000.5, 43013 and 43018 of the Health and Safety Code; and,

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9;

IT IS ORDERED AND RESOLVED: That the following Caterpillar, Inc. 1999 model-year engine, with rated power between 175 and 750 horsepower, and exhaust emission control systems are certified as described below for use in heavy-duty off-road equipment:

Typical Equipment Usage: Industrial Equipment

Fuel Type: Diesel

Engine Family	Liters	(Cubic Inches)	Exhaust Emission Control Systems and Special Features
XCPXL18.0HRK	18.0	(1105)	Turbocharger Engine Control Module Charge Air Cooler

Engine models and codes are listed on attachments. Production engines shall be in all material respects the same as those for which certification is granted.

The total hydrocarbons (THC), carbon monoxide (CO), nitrogen oxides (NOx), and particulate matter (PM) certification exhaust emission standards, in grams per brake horsepower-hour (g/bhp-hr), and the opacity of smoke emission standards, in percent (%), during acceleration (Accel), lugging (Lug), and peak (Peak) modes, for this engine family are (Title 13, California Code of Regulations, Section 2423):

Exhaust Emissions (g/bhp-hr)			<u>Smoke</u>	Smoke Opacity (%)			
<u>THC</u>	<u>co</u>	<u>NOx</u>	<u>PM</u>	<u>Accel</u>	Lug	<u>Peak</u>	
1.0	8.5	6.9	0.4	20	15	50	

The THC, CO, NOx and PM exhaust emission certification values, in g/bhp-hr, and the opacity of smoke emission certification values, in percent (%), for this engine family are:

Exhaust Emissions (q/bhp-hr)			Smoke	Smoke Opacity (%)			
THC	<u>CO</u>	<u>NOx</u>	<u>PM</u>	<u>Accel</u>	<u>Lug</u>	<u>Peak</u>	
0.1	1.0	6.5	0.1	18	5	32	

BE IT FURTHER RESOLVED: That the listed engine models comply with the "Exhaust Emission Standards and Test Procedures--Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2423) for the aforementioned model year.

BE IT FURTHER RESOLVED: That the listed engine models also comply with the "Emission Control Labels--1996 and Later Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2424) for the aforementioned model year.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the materials to demonstrate certification compliance with the Board's emission control system warranty provisions (Title 13, California Code of Regulations, Section 2425 et seq.).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

Executed at El Monte, California this day of December 1998.

R.) B. Summerfield, Chief ^V —Mobile Source Operations Division

11/25/98

LARGE ENGINE MODEL SUMMARY

EO: U-R-1-98

Manufacturer: CATERPILLAR INC. Process Code: New Submission EPA Engine Family: XCPXL18.0HRK Manufacturer Family Name: NA 4. Fuel Rate: 5.Fuel Rate: 7.Fuel Rate: 3.BHP@RPM mm/stroke @ peak HP (lbs/hr) @ peak HP 6.Torque @ RPM 8.Fuel Rate: mm/stroke@peak 9.Emission Control 1.Engine Code 2.Engine Model (SAE Gross) (for diesel only) (for diesels only) (SEA Gross) (lbs/hr)@peak torque Device Per SAE J1930 torque Note: Peak HP and Peak Torque fuel rates are nominal values. Due to production engine avgs. may change. these fuel rates 1 - Cert Engine 3408 750 @ 2100 301 283.5 2250 @ 1400 322 202.0 EM, DI, TC, ECM, 2 3408 725 @ 2100 284 267.2 2178 @ 1400 309 193.8 EM, DI, TC, ECM, 3 3408 700 @ 2100 264.5 281 2101 @ 1400 305 191.3 EM, DI, TC, ECM, 3408 675 @ 2100 267 251.7 2025 @ 1400 294 184.4 EM, DI, TC, ECM, (A 5 3408 650 @ 2100 253 238.5 1952 @ 1400 281 176.2 EM, DI, TC, ECM. 6 3408 625 @ 2100 253 238.4 1876 @ 1400 274 171.8 EM, DI, TC, ECM, 3408 600 @ 2100 234 220.0 259 1654 @ 1400 162.7 EM, DI, TC, ECM,