State of California AIR RESOURCES BOARD

EXECUTIVE ORDER U-R-1-92

Relating to Certification of New Heavy-Duty Off-Road Equipment Engines

CATERPILLAR, INC.

Pursuant to the authority vested in the Air Resources Board by Sections 43000.5, 43013 and 43018 of the Health and Safety Code; and,

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9;

IT IS ORDERED AND RESOLVED: That the following Caterpillar, Inc. 1999 model-year engine, with rated power between 175 and 750 horsepower, and exhaust emission control systems are certified as described below for use in heavy-duty off-road equipment:

Typical Equipment Usage: Industrial Equipment

Fuel Type: Diesel

Engine Family	Liters	(Cubic Inches)	Exhaust Emission Control Systems and Special Features
XCPXL27.OMRJ	27.0	(1656)	Turbocharger Smoke Puff Limiter Charge Air Cooler

Engine models and codes are listed on attachments. Production engines shall be in all material respects the same as those for which certification is granted.

The total hydrocarbons (THC), carbon monoxide (CO), nitrogen oxides (NO χ), and particulate matter (PM) certification exhaust emission standards, in grams per brake horsepower-hour (g/bhp-hr), and the opacity of smoke emission standards, in percent (%), during acceleration (Accel), lugging (Lug), and peak (Peak) modes, for this engine family are (Title 13, California Code of Regulations, Section 2423):

Exhaust Emissions (q/bhp-hr)			<u>Smoke</u>	Smoke Opacity (%)		
<u>THC</u>	<u>co</u>	<u>N0x</u>	<u>PM</u>	<u>Accel</u>	Lug	<u>Peak</u>
1.0	8.5	6.9	0.4	20	15	50

The THC, CO, NOx and PM exhaust emission certification values, in g/bhp-hr, and the opacity of smoke emission certification values, in percent (%), for this engine family are:

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Exhaust Emissions (g/bhp-hr)			Smoke	Smoke Opacity (%)			
<u>THC</u>	<u>co</u>	<u>NOx</u>	<u>PM</u>	<u>Accel</u>	<u>Lug</u>	<u>Peak</u>	
0.1	1.6	6.7	0.3	17	6	29	

BE IT FURTHER RESOLVED: That the listed engine models comply with the "Exhaust Emission Standards and Test Procedures--Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2423) for the aforementioned model year.

BE IT FURTHER RESOLVED: That the listed engine models also comply with the "Emission Control Labels--1996 and Later Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2424) for the aforementioned model year.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the materials to demonstrate certification compliance with the Board's emission control system warranty provisions (Title 13, California Code of Regulations, Section 2425 et seq.).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

R.) B. Summerfield, Chief

Mobile Source Operations Division

10/21/98

154.1

EM, DI, TC, SPL,

LARGE ENGINE MODEL SUMMARY

EO: U-R-1-92

Manufacturer: CATERPILLAR INC. Process Code: New Submission EPA Engine Family: XCPXL27.0MRJ Manufacturer Family Name: NA 4.Fuel Rate: 5.Fuel Rate: 7.Fuel Rate: 3.BHP@RPM mm/stroke @ peak HP (lbs/hr) @ peak HP 6.Torque @ RPM 8.Fuel Rate: 9.Emission Control mm/stroke@peak 1.Engine Code 2.Engine Model (SAE Gross) (for diesel only) (for diesels only) (SEA Gross) (lbs/hr)@peak torque Device Per SAE J1930 torque Note: Peak Hp and Peak Torque fuel rates are nominal values. Due to production engine avgs. these fuel rates may change. 1 - Cert Engine 3412 700 @ 2100 214 303.0 2562 @ 1400 260 244.6 EM, DI, TC, SPL, 3412 2 700 @ 2100 200 282.0 1612 @ 1400 237 223.4 EM, DI, TC, SPL, 3 650 @ 2100 3412 182 257.7 2115 @ 1400 212 200.0 EM, DI, TC, SPL. 4 3412 700 @ 2100 200 282.0 1612@1400 237 223.4 EM, DI, TC, SPL, 5 3412 650 @ 2100 182 257.7 2115 @ 1400 212 200.0 EM, DI, TC, SPL, 6 3412 600 @ 2100 167 235.9 1932 @ 1400 194 182.9 EM, DI, TC, SPL, 3412 575 @ 2100 159 225.1 1841 @ 1400 185 174.3 EM, DI, TC, SPL, CA 8 3412 650 @ 1800 199 240.6 2216@1350 227 206.0 EM, DI, TC, SPL, 9 3412 625 @ 1800 191 231.2 2098 @ 1350 215 195.0 EM, DI, TC, SPL, 10 3412 600 @ 1800 183 222.0 1994 @ 1350 204 185.5 EM, DI, TC, SPL. 11 3412 174 575 @ 1800 210.9 1892 @ 1350 194 175.9 EM, DI, TC, SPL, 12 3412 600 @ 2000 175 235.9 1995 @ 1400 204 192.3 EM, DI, TC, SPL, 13 3412 560 @ 1800 170 205.3 1830 @ 1350 187 170.1 EM, DI, TC, SPL,

188.8

1658 @ 1350

170

14

3412

515 @ 1800

156