State of California AIR RESOURCES BOARD

EXECUTIVE ORDER U-R-1-88

Relating to Certification of New Heavy-Duty Off-Road Equipment Engines

CATERPILLAR, INC.

Pursuant to the authority vested in the Air Resources Board by Sections 43000.5, 43013 and 43018 of the Health and Safety Code; and,

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9;

IT IS ORDERED AND RESOLVED: That the following Caterpillar, Inc. 1999 model-year engine, with rated power between 175 and 750 horsepower, and exhaust emission control systems are certified as described below for use in heavy-duty off-road equipment:

Typical Equipment Usage: Industrial Equipment

Fuel Type: Diesel

Engine Family	Liters	(Cubic Inches)	Exhaust Emission Control Systems and Special Features
XCPXL14.6MRC	14.6	(895)	Turbocharger Smoke Puff Limiter Charge Air Cooler

Engine models and codes are listed on attachments. Production engines shall be in all material respects the same as those for which certification is granted.

The total hydrocarbons (THC), carbon monoxide (CO), nitrogen oxides (NOx), and particulate matter (PM) certification exhaust emission standards, in grams per brake horsepower-hour (g/bhp-hr), and the opacity of smoke emission standards, in percent (%), during acceleration (Accel), lugging (Lug), and peak (Peak) modes, for this engine family are (Title 13, California Code of Regulations, Section 2423):

Exhaust Emissions (g/bhp-hr)			Smoke	Smoke Opacity (%)		
<u>THC</u>	<u>co</u>	<u>N0x</u>	<u>PM</u>	<u>Accel</u>	<u>Lug</u>	<u>Peak</u>
1.0	8.5	6.9	0.4	20	15	50

The THC, CO, NOx and PM exhaust emission certification values, in g/bhp-hr, and the opacity of smoke emission certification values, in percent (%), for this engine family are:

Exhaust Emissions (g/bhp-hr)			<u>Smoke</u>	Smoke Opacity (%)		
THC	<u>co</u>	<u>NOx</u>	<u>PM</u>	<u>Accel</u>	<u>Lug</u>	<u>Peak</u>
0.1	0.8	5.5	0.1	12	2	32

BE IT FURTHER RESOLVED: That the listed engine models comply with the "Exhaust Emission Standards and Test Procedures--Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2423) for the aforementioned model year.

BE IT FURTHER RESOLVED: That the listed engine models also comply with the "Emission Control Labels--1996 and Later Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2424) for the aforementioned model year.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the materials to demonstrate certification compliance with the Board's emission control system warranty provisions (Title 13, California Code of Regulations, Section 2425 et seq.).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

R_B. Summerfield, Chief
Mobile Source Operations Division

10/21/98

LARGE ENGINE MODEL SUMMARY

EO: U-R-1-88

Manufacturer: **CATERPILLAR INC.** Process Code: New Submission EPA Engine Family: XCPXL14.6MRC Manufacturer Family Name: NA 4.Fuel Rate: 5.Fuel Rate: 7.Fuel Rate: 3.BHP@RPM mm/stroke @ peak HP (ibs/hr) @ peak HP 6.Torque @ RPM 8. Fuel Rate: 1.Engine Code 2.Engine Model mm/stroke@peak 9.Emission Control (SAE Gross) (for diesel only) (for diesels only) (SEA Gross) (lbs/hr)@peak torque Device Per SAE J1930 torque Note: Peak HP and Peak Torque fuel rates are nominal values. Due to production engine avgs. these fuel rates may change. 1 - Cert Engine 3406 455 @ 1800 249 151.0 1609 @ 1200 308 124.3 EM, DI, TC, SPL,C