State of California AIR RESOURCES BOARD

EXECUTIVE ORDER U-R-1-85

Relating to Certification of New Heavy-Duty Off-Road Equipment Engines

CATERPILLAR, INC.

Pursuant to the authority vested in the Air Resources Board by Sections 43000.5, 43013 and 43018 of the Health and Safety Code; and,

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9;

IT IS ORDERED AND RESOLVED: That the following Caterpillar, Inc. 1999 model-year engine, with rated power between 175 and 750 horsepower, and exhaust emission control systems are certified as described below for use in heavy-duty off-road equipment:

Typical Equipment Usage: Industrial Equipment and Generator

Fuel Type: Diesel

<u>Engine Family</u>	<u>Liters</u>	(Cubic Inches)	Exhaust Emission Control <u>Systems and Special Features</u>
XCPXL10.5MRD	10.5	(644)	Turbocharger Smoke Puff Limiter Charge Air Cooler

Engine models and codes are listed on attachments. Production engines shall be in all material respects the same as those for which certification is granted.

The total hydrocarbons (THC), carbon monoxide (CO), nitrogen oxides (NOx), and particulate matter (PM) certification exhaust emission standards, in grams per brake horsepower-hour (g/bhp-hr), and the opacity of smoke emission standards, in percent (%), during acceleration (Accel), lugging (Lug), and peak (Peak) modes, for this engine family are (Title 13, California Code of Regulations, Section 2423):

<u>Exhaust Emissions (g/bhp-hr)</u>			<u> Smoke</u>	Smoke Opacity (%)		
<u>THC</u>	<u>C0</u>	<u>NOx</u>	<u>PM</u>	Accel	Luq	Peak
1.0	8.5	6.9	0.4	20	15	50

The THC, CO, NOx and PM exhaust emission certification values, in g/bhp-hr, and the opacity of smoke emission certification values, in percent (%), for this engine family are:

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<u>Exhaust Emissions (g/bhp-hr)</u>			Smoke Opacity (%)			
<u>THC</u>	<u>C0</u>	<u>NOx</u>	<u>PM</u>	<u>Accel</u>	Lug	<u>Peak</u>
0.2	1.4	4.6	0.1	17	3	38

A Monte Annual An Annual Annua Annual Annua Annual Annu BE IT FURTHER RESOLVED: That the listed engine models comply with the "Exhaust Emission Standards and Test Procedures--Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2423) for the aforementioned model year.

BE IT FURTHER RESOLVED: That the listed engine models also comply with the "Emission Control Labels--1996 and Later Heavy-Duty Off-Road Diesel Cycle Engines" (Title 13, California Code of Regulations, Section 2424) for the aforementioned model year.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the materials to demonstrate certification compliance with the Board's emission control system warranty provisions (Title 13, California Code of Regulations, Section 2425 et seq.).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

Executed at El Monte, California this _____day of December 1998.

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John Kowaldi

R. B. Summerfield, Chief Mobile Source Operations Division

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LARGE ENGINE MODEL SUMMARY

10/21/98

EO: U-R-1-85

Manufacturer: CATERPILLAR INC.

Process Code: New Submission

EPA Engine Family: <u>XCPXL10.5MRD</u> Manufacturer Family Name: N/A 4.Fuel Rate: 5.Fuel Rate: 7.Fuel Rate: 3.BHP@RPM mm/stroke @ peak HP (lbs/hr) @ peak HP 6.Torque @ RPM 8.Fuel Rate: mm/stroke@peak 9.Emission Control **1.Engine Code** 2.Engine Model (for diesel only) (SAE Gross) (for diesels only) (SEA Gross) (lbs/hr)@peak torque Device Per SAE J1930 torque Note: Peak Hp and Peak Torque fuel rates are nominal values. ion engine avgs. Due to productthese fuel rates may change. 1 - Cert Engine 3306 397 @ 1800 243 147.0 1448 @ 1200 337 136.0 EM, DI, TC, SPL,CAC 3306 2 377 @ 1800 220 133.0 1265 @ 1350 293 133.0 EM, DI, TC, SPL, CAR 3 3306 343 @ 2200 222 122.0 933 @ 1350 269 EM, DI, TC, SPL, CA 122.0 4 3306 360 @ 2200 181 134.0 1177 @ 1400 225 EM, DI, TC, SPL, CA 106.0 5 3306 345 @ 2200 174 129.0 EM, DI, TC, SPL, CAC 1120 @ 1400 214 101.0 6 3306 335 @ 2200 170 126.0 1080 @ 1400 206 97.0 EM, DI, TC, SPL, CAR 7 3306 315 @ 2200 160 118.0 1008 @ 1400 191 90.0 EM, DI, TC, SPL. 8 3306 300 @ 2200 146 108.0 874 @ 1400 187 88.0 EM, DI, TC, SPL, 9 3306 370 @ 2000 195 131.0 1244 @ 1400 238 112.0 EM, DI, TC, SPL, 10 3306 355 @ 2000 188 127.0 1184 @ 1400 225 106.0 EM, DI, TC, SPL, 11 3306 335 @ 2000 177 119.0 1100 @ 1400 210 99.0 EM, DI, TC, SPL 12 3306 325 @ 2000 172 116.0 1058 @ 1400 200 94.0 EM, DI, TC, SPL, 13 305 @ 2000 3306 161 108.0 977 @ 1400 187 88.0 EM, DI, TC, SPL, 14 3306 375 @ 1800 217 131.0 1444 @ 1350 244 111.0 EM, DI. TC. SPL. 15 3306 360 @ 1800 210 127.0 1355 @ 1350 228 104.0 EM, DI, TC, SPL, 16 3306 340 @ 1800 197 119.0 1250 @ 1350 214 97.0 EM, DI, TC, SPL, 17 3306 320 @ 1800 185 112.0 1139 @ 1350 196 89.0 EM, DI, TC, SPL, 18 3306 300 @ 1800 174 105.0 1050 @ 1350 181 82.0 EM, DI, TC, SPL, 19 3306 306 @ 2000 163 109.4 1004 @ 1400 191 89.9 EM, DI, TC, SPL, 20 3306 306 @ 2000 168 113.1 1004 @ 1400 199 93.9 EM, DI, TC, SPL, 21 3306 382 @ 1800 218 132.0 1303 @ 1400 248 117.0 EM, DI, TC, SPL, 22 3306 349 @ 1800 226 1185 @ 1400 137.0 238 112.0 EM, DI, TC, SPL, 23 3306 349 @ 1800 226 137.0 1185 @ 1400 238 112.0 EM, DI, TC, SPL,