State of California AIR RESOURCES BOARD

EXECUTIVE ORDER U-R-1-32

Relating to Certification of New Heavy-Duty Off-Road Equipment Engines

CATERPILLAR, INC.

Pursuant to the authority vested in the Air Resources Board by Sections 43000.5, 43013 and 43018 of the Health and Safety Code; and,

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9;

IT IS ORDERED AND RESOLVED: That the following Caterpillar, Inc. 1997 model-year engine, with rated power between 175 and 750 horsepower, and exhaust emission control systems are certified as described below for use in heavy-duty off-road equipment:

Typical Equipment Usage: Industrial Equipment

Fuel Type: Diesel

Engine Family	Liters	(Cubic Inches)	Exhaust Emission Control Systems and Special Features
VCP10.RZDARB	10.4	(636)	Turbocharger Smoke Puff Limiter Charge Air Cooler

Engine models and codes are listed on attachments. Production engines shall be in all material respects the same as those for which certification is granted.

The total hydrocarbons (THC), carbon monoxide (CO), nitrogen oxides (NOx), and particulate matters (PM) certification exhaust emission standards, in grams per brake horsepower-hour (g/bhp-hr), and the opacity of smoke emission standards, in percent (%), during acceleration (Accel), lugging (Lug), and peak (Peak) modes, for this engine family are (Title 13, California Code of Regulations, Section 2423):

Exhaust Emissions (g/bhp-hp)				Smoke	<u>Opaciit</u>	y (%)
<u>THC</u>	<u>co</u>	<u>NOx</u>	<u>PM</u>	<u>Accel</u>	Lug	<u>Peak</u>
1.0	8.5	6.9	0.4	20	15	50

The THC, CO, NOx and PM exhaust emission certification values, in g/bhp-hr, and the opacity of smoke emission certification values, in percent (%), for this engine family are:

<u>Exhaust E</u>	<u>nission</u>	Sm	oke Opacit	y (%)			
Engine Family	<u>THC</u>	<u>co</u>	<u>NOx</u>	PM	Acce	<u>l Lug</u>	<u>Peak</u>
VCP10.RZDARB	0.5	1.0	6.7	0.3	16	9	20

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1997 AIR RESOURCES BOARD SUPPLEMENTAL DATA SHEET HEAVY-DUTY OFF-ROAD DIESEL ENGINES

Manufacturer	Caterpillar In			Engine Family				VCP10.RZDARB				
Displacement	10.4 / 636				Liters/Cubic Inches			Engir	Engine Configuration:			V8
All Codes in Eng.	Family:	CA	49S		50S	XX	Cert Tes	t Proced	lures:	ARB	EPA	XX
Valves/Ports per C	ylinder	2 val	ves/cyl.		S	trokes	per Comb	ustion C	ycle:	4		
Maximum Rated P	ower:	300	HP	(224	KW)	@	•	2600		RPM
Ignition: Compre	ssion	XX		Compr	ressio	n with	Glow Plu	g			Spark	
Fuel Type (s):	Dedicate	d	Flex-Fuel		Dual	l-Fuel		Diesel	XX	M100		M85
	CN	G	LNG	LPG		Oth	er (specify	<i>(</i>)				
Diesel Cert Fuel:	40 CFR	86.1313-94	xx	1		Oth	er (specify	<i>(</i>)				
Primary Service Ed	quipment:	Indu	strial									
Exhaust ECS (e.g.	, MFI, TO	C, CAC):	EM, T	C, SPL	, DI,	CAC						
		•		(Use a	bbrev	/iations	per SAE	J1930 J	UN93	<u> </u>		· · · · · · · · · · · · · · · · · · ·

Engine Model (Eng. Code)	Rated HP @ RPM	Fuel Rate @ Rated HP mm³/stroke (lbs/hr)	Fuel Pump & Injector Part No.	ECM/PCM Part No.	EGR Valve Part No.	PTOX / Catalytic Converter Part No.
3208 (1)	MAX 300 @ 2600	102 (119.5)	<i>1443520</i> /1333896			
3208 (2)	275 @ 2600	93 (108.8)	1443520/1333896			
3208 (3)	271 @ 2500	93 (103.9)	<i>1443520</i> /1333896			
3208 (4)	300 @ 2400	105 (113.0)	<i>1443520</i> /1333896			
3208 (5)	250 @ 2400	86 (93.1)	1443520/1333896			
3208 (6)	245 @ 2400	85 (91.4)	1443520/1333896		****	
3208 (7)	235 @ 2400	81 (87.6)	<i>1443520</i> /1333896			
3208 (8)	230 @ 2400	80 (85.7)	1443520/1333896			
3208 (9)	MIN 225 @ 2400	78 (84.0)	<i>1443520</i> /1333896			
3208 (10)	231 @ 2350	81 (85.1)	1443520/1333896			

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