State of California AIR RESOURCES BOARD

EXECUTIVE ORDER U-R-1-27

Relating to Certification of New Heavy-Duty Off-Road Equipment Engines

CATERPILLAR, INC.

Pursuant to the authority vested in the Air Resources Board by Sections 43000.5, 43013 and 43018 of the Health and Safety Code; and,

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9;

IT IS ORDERED AND RESOLVED: That the following Caterpillar, Inc. 1997 model-year engine, with rated power between 175 and 750 horsepower, and exhaust emission control systems are certified as described below for use in heavy-duty off-road equipment:

<u>Typical Equipment Usage</u>: Agriculture Tractor

Fuel Type: Diesel

Engine Family	<u>Liters</u>	(Cubic Inches)	Exhaust Emission Control Systems and Special Features
VCP7.ORZDARB	7.0	(425)	Turbocharger Charge Air Cooler Smoke Puff Limiter

Engine models and codes are listed on attachments. Production engines shall be in all material respects the same as those for which certification is granted.

The total hydrocarbons (THC), carbon monoxide (CO), nitrogen oxides (NOx), and particulate matters (PM) certification exhaust emission standards, in grams per brake horsepower-hour (g/bhp-hr), and the opacity of smoke emission standards, in percent (%), during acceleration (Accel), lugging (Lug), and peak (Peak) modes, for this engine family are (Title 13, California Code of Regulations, Section 2423):

<u>Exhaust</u>	<u>Emissi</u>	<u>ons (q/i</u>	ohp-hp)	<u>Smoke</u>	Smoke Opaciity				
<u>THC</u>	<u>co</u>	<u>NOx</u>	<u>PM</u>	<u>Accel</u>	<u>Lug</u>	<u>Peak</u>			
1.0	8.5	6.9	0.4	20	15	50			

The THC, CO, NOx and PM exhaust emission certification values, in g/bhp-hr, and the opacity of smoke emission certification values, in percent (%), for this engine family are:

<u>Exhaust Er</u>	<u>nission</u>	Smoke	<u>Opacity</u>	(%)			
Engine Family	THC	<u>co</u>	<u>NOx</u>	<u>PM</u>	<u>Accel</u>	Lug	<u>Peak</u>
VCP7.ORZDARB	0.2	1.7	6.0	0.2	14	5	22

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1997 AIR RESOURCES BOARD SUPPLEMENTAL DATA SHEET HEAVY-DUTY OFF-ROAD DIESEL ENGINES

Manufacturer	Caterpillar Inc.			Engi	Engine Family				VCP7.0RZDARB				
Displacement		7.0 /	425		Lite	rs/Cub	ic Inches	Engir	ne Co	nfiguration:		14	_
All Codes in Eng.	Family:	CA	498		50S	XX	Cert Test	Proced	ures:	ARB	EPA	XX	_
Valves/Ports per (Cylinder	2	valves/cyl		St	rokes	per Combi	ıstion C	ycle:	4	-		_
Maximum Rated I	Power:	205	HP	(153	KW)	@		1800		RPM	
Ignition: Compre	ession	XX		Compr	ression	n with	Glow Plug	;		(Spark	•	
Fuel Type (s):	Dedicated		Flex-Fuel		Dual-	-Fuel		Diesel	XX	M100	_	M85	_
	CNG	r	LNG	LPG	•	Oth	er (specify	<i>'</i>)				·	
Diesel Cert Fuel:	40 CFR 8	6.1313-94	xx	•		Oth	er (specify	·)				,	_
Primary Service E	quipment:	Agri	culture Trac	tor, Ind	lustria	al, Ger	n Set	·		· · ·			
Exhaust ECS (e.g	., MFI, TC,			C, SPL									
		•		7T 1			~						_

(Use abbreviations per SAE J1930 JUN93)

Rated HP @ RPM	Fuel Rate @ Rated HP mm³/stroke (lbs/hr)	Fuel Pump & Injector Part No.	ECM/PCM Part No.	EGR Valve Part No.	PTOX / Catalytic Converter Part No.
MAX 205 @ 1800	179 (72.2)	1391207/1007552	N/A	N/A	N/A
179 @ 2200	139 (68.4)	1391207/1007552	N/A	N/A	N/A
166 @ 2200	129 (63.5)	1391207/1007552	N/A	N/A	N/A
MIN 155 @ 2000	125 (56.0)	1391207/1007552	N/A	N/A	N/A
165 @ 2200	128 (63.0)	1391207/1007552	N/A	N/A	N/A
185 @ 2200	144 (71.0)	1391207/1007552	N/A	N/A	N/A
200 @ 2200	156 (77.0)	1391207/1007552	N/A	N/A	N/A
174 @ 1800	171 (69.0)	1310189/1007552	N/A	N/A	N/A
174 @ 1800	151 (61.0)	1410831/1007552	N/A	N/A	N/A
192 @ 1800	166 (67.0)	1410831/1007552	N/A	N/A	N/A
	@ RPM MAX 205 @ 1800 179 @ 2200 166 @ 2200 MIN 155 @ 2000 165 @ 2200 185 @ 2200 200 @ 2200 174 @ 1800	Rated HP @ RPM @ Rated HP mm³/stroke (lbs/hr) MAX 205 @ 1800	Rated HP @ RPM @ Rated HP mm³/stroke (lbs/hr) & Injector Part No. MAX 205 @ 1800 179 (72.2) 1391207/1007552 179 @ 2200 139 (68.4) 1391207/1007552 166 @ 2200 129 (63.5) 1391207/1007552 MIN 155 @ 2000 125 (56.0) 1391207/1007552 165 @ 2200 128 (63.0) 1391207/1007552 185 @ 2200 144 (71.0) 1391207/1007552 200 @ 2200 156 (77.0) 1391207/1007552 174 @ 1800 171 (69.0) 1310189/1007552 174 @ 1800 151 (61.0) 1410831/1007552	Rated HP @ RPM @ Rated HP mm³/stroke (lbs/hr) & Injector Part No. ECM/PCM Part No. MAX 205 @ 1800 179 (72.2) 1391207/1007552 N/A 179 @ 2200 139 (68.4) 1391207/1007552 N/A 166 @ 2200 129 (63.5) 1391207/1007552 N/A MIN 155 @ 2000 125 (56.0) 1391207/1007552 N/A 165 @ 2200 128 (63.0) 1391207/1007552 N/A 185 @ 2200 144 (71.0) 1391207/1007552 N/A 200 @ 2200 156 (77.0) 1391207/1007552 N/A 174 @ 1800 171 (69.0) 1310189/1007552 N/A 174 @ 1800 151 (61.0) 1410831/1007552 N/A	Rated HP @ RPM @ Rated HP mm³/stroke (lbs/hr) & Injector Part No. ECM/PCM Part No. Valve Part No. MAX 205 @ 1800 179 (72.2) 1391207/1007552 N/A N/A 179 @ 2200 139 (68.4) 1391207/1007552 N/A N/A 166 @ 2200 129 (63.5) 1391207/1007552 N/A N/A MIN 155 @ 2000 125 (56.0) 1391207/1007552 N/A N/A 165 @ 2200 128 (63.0) 1391207/1007552 N/A N/A 185 @ 2200 144 (71.0) 1391207/1007552 N/A N/A 200 @ 2200 156 (77.0) 1391207/1007552 N/A N/A 174 @ 1800 171 (69.0) 1310189/1007552 N/A N/A 103 @ 1000 151 (61.0) 1410831/1007552 N/A N/A

ISSUE DATE	03 OCT 96							
REVISION NO.	1		•					
REVISION DATE	08JAN97							