| California Environmental Protection Agency | | EXECUTIVE ORDER A-021-0665-2 |
|--|--------------|--------------------------------|
| O Air Resources Roard | CUMMINS INC. | New On-Road Heavy-Duty Engines |
| V MII NOSVUICES DUAIU | | Page 1 of 2 Page |

Pursuant to the authority vested in the Air Resources Board by Health and Safety Code Division 26, Part 5, Chapter 2; and pursuant to the authority vested in the undersigned by Health and Safety Code Sections 39515 and 39516 and Executive Order G-14-012;

IT IS ORDERED AND RESOLVED: The engine and emission control systems produced by the manufacturer are certified as described below for use in on-road motor vehicles with a manufacturer's GVWR over 14,000 pounds. Production engines shall be in all material respects the same as those for which certification is granted.

| | | ENGINE | FUEL TYPE 1 | STANDARDS | | ECS & SPECIAL FEATURES | DIAGNOSTIC ⁶ | | | |
|--|--|-----------|---------------------------------------|-----------------------|--------------------|-----------------------------|-------------------------|--|--|--|
| YEAR | ENGINE PAMILY | SIZES (L) | · · · · · · · · · · · · · · · · · · · | PROCEDURE | CLASS ² | DDI, TC, CAC, ECM, EGR, OC, | | | | |
| 2017 · | HCEXH0729XAE 11.9 | | Diesel | Diesel Diesel HHDD-UB | | PTÓX, SCR-U, AMOX | OBD(\$) | | | |
| PRIMARY ENGINE'S IDLE ADDITIONAL IDLE EMISSIONS CONTROL 5 | | | | | | | | | | |
| | 30g | | | N | /A | | | | | |
| ENGINE (| L) | | ENGINE MODE | LS/CODES (ra | ted power, in | hp) | | | | |
| 11.9 | • | | See attachmen | t for engine m | odels and ra | atings | | | | |
| * =not applicable; GVWR=gross vehicle weight rating; 13 CCR xyz=Title 13, California Code of Regulations, Section xyz; 40 CFR 86.abc=Title 40, Code of Federal Regulations, Section 86.abc; 1=liter; hp=horsepower; kw=kilowatt; hr=hour; CNG/LNG=compressed/liquefied natural gas; LPG=liquefied petroleum gas; E85=85% ethanol fuel; MF=multi fuel a.k.a. BF=bi fuel; DF=dual fuel; FF=flexible fuel; 1///H HDD=light/medium/heavy heavy-duty diesel; UB=urban bus; HDO=heavy duty Otto; 2 C/S=emission control system; TWC/OC=three-way/oxidizing catalyst; NAC=NOx adsorption catalyst; SCR-U / SCR-N=selective catalytic reduction – urea / ammonia; WU (prefix) =warm- up catalyst; DFF=diesel particulate filter; PTOX=periodic trap oxidizer; HO2S/O2S=heated/oxygen sensor; HAFS/AFS=heated/air-fuel-ratio sensor (a.k.a., universal or linear oxygen sensor); TBi=throttle body fuel injection; SFI/MFI=sequential/multi port fuel injection; OGI-direct gasoline injection; GCARB=gaseous carburetor; IDI/DDI=indirect/direct diesel injection; TC/SC=turbo/ super charger; CAC=charge air cooler; EGR / EGR-C=exhaust gas recirculation / cooled EGR; PAIR/AIR=pulsed/secondary air injection; SPL=smoke puf limiter; ECM/PCM=engine/powertrain control module; EM=engine modification; 2 (prefix)=parallel; (2) (suffix)=in series; | | | | | | | | | | |
| ESS=e | ESS=engine shutdown system (per 13 CCR 1956.8(a)(6)(A)(1); 30g=30 g/hr NOx (per 13 CCR 1956.8(a)(6)(C); APS = internal combustion auxiliary power system; ALT=alternative method | | | | | | | | | |

ESS=engine shutdown system (per 13 CCR 1956.8(a)(6)(A)(1); 30g=30 g/hr NOx (per 13 CCR 1956.8(a)(6)(C); APS = internal combustion auxiliary power system; ALT=alternative method (per 13 CCR 1956.8(a)(6)(D); Exempt=exempted per 13 CCR 1956.8(a)(6)(B) or for CNG/LNG fuel system; N/A=not applicable (e.g., Otto engines and vehicles); EMD=engine manufacturer diagnostic system (13 CCR 1971); OBD(F) / (P) / (P) / (P) = full / partial with a fine / on-board diagnostic;);

Following are: 1) the FTP exhaust emission standards, or family emission limit(s) as applicable, under 13 CCR 1956.8; 2) the SET and NTE limits under the applicable California exhaust emission standards and test procedures for heavyduty diesel engines and vehicles (Test Procedures); and 3) the corresponding certification levels, for this engine family. "Diesel" CO, SET and NTE certification compliance may have been demonstrated by the manufacturer as provided under the applicable Test Procedures in lieu of testing. (For flexible- and dual-fueled engines, the CERT values in brackets [] are those when tested on conventional test fuel. For multi-fueled engines, the STD and CERT values for default operation permitted in 13 CCR 1956.8 are in parentheses.).

| in N | | NMHC NOx | | NMHC+NOx | | CO | | PM | | нсно | | |
|----------|------|----------|------|----------|---------------|----------------|------|------|---------|-------|-----|-----|
| g/bhp-hr | FTP | SET | FTP | SET | FTP | SET . | FTP. | SET | FTP | SET | FTP | SET |
| STD | 0.14 | 0.14 | 0.20 | 0.20 | * | * | 15,5 | 15.5 | 0.01 | 0.01 | * . | * |
| FEL | * | * | *. | * | * | * | * | ** | * | * | * | * |
| CERT | 0;03 | 0.02 | 0.19 | 0.07 | * | * | 1.2 | 0.6 | 0.001 | 0.002 | * | * |
| NTE | 0.: | 21 | 0 | .30 🦾 🚈 | and the state | ter sport stra | 19 | ,4 | ···· 0, | .02 | | * |

⁴ g/bhp-hr=grams per brake horsepower-hour; FTP=Federal Test Procedure; SET=Supplemental emissions testing; NTE=Not-to-Exceed; STD=standard or emission test cap; FEL=family emission limit; CERT=certification level; NMHC/HC=non-methane/hydrocarbon; NOx=oxides of nitrogen; CO=carbon monoxide; PM=particulate matter; HCHO=formaldehyde;

BE IT FURTHER RESOLVED: The manufacturer has demonstrated compliance with the Greenhouse Gas Emission Standards as specified in Title 13 CCR 1956.8 and the incorporated "California Exhaust Emission Standards and Test Procedures for 2004 and Subsequent Model Heavy Duty Diesel-Engines and Vehicles" (HDDE Test Procedures) adopted Dec. 12, 2002, as last amended Oct. 21, 2014 using the 2014 model year National Heavy-Duty Engine and Vehicle Greenhouse Gas Program as specified in Section 1036.108 of the HDDE Test Procedures. The manufacturer has submitted the required information and therefore has met the criteria necessary to receive a California Executive Order based on the Environmental Protection Agency's Certificate of Conformity for the above listed engine family.

| | EPA CERTIFICAT | E OF CONFORMITY | PRIMARY INTENDED SERVICE CLASS TRACTOR / VOCATIONAL | | | | |
|------------------|--------------------------------|--|--|---|--|--|--|
| | HCEXH0 | 729XAE-014 | | | | | |
| In | | CO ₂ | | N2O | | | |
| g/bhp-hr | FTP | SET | UT4 | | | | |
| STD | 555 | 460 | 0,10 | 0.10 | | | |
| FCL | 579 | 489 | * | * | | | |
| FEL | 596 | 504 | 0.10 | 0.12 | | | |
| CERT | 579 | 489 | 0.02 0.07 | | | | |
| 4 a/bbp-br=arams | per brake borsepower-bour ETP: | Federal Test Procedure: SET=Supplement | al emissions testing: STD = standard or emiss | ion test can: EEI =family emission limit: | | | |

g/bhp-hr=grams per brake horsepower-hour; FTP=Federal Test Procedure; SET=Supplemental emissions testing; STD = standard or emission test cap; FEL=family emission limit; FCL=family certification level; CERT=certification level; CO₂=carbon dioxide; CH₄=methane; N₂O=nitrous oxide; VOCATIONAL=vocational engine; TRACTOR=tractor engine

BE IT FURTHER RESOLVED: Certification to the FEL(s) / FCL(s) listed above, as applicable, is subject to the following terms, limitations and conditions. The FEL(s) / FCL(s) is the emission level declared by the manufacturer and serves in lieu of an emission standard for certification purposes in any averaging, banking, or trading (ABT) programs. It will be used for determining compliance of any engine in this family and compliance with such ABT programs.

| California Environmental Protection Agency | | EXECUTIVE ORDER A-021-0665-2 |
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BE IT FURTHER RESOLVED: Except in vehicle applications exempted per 13 CCR 1956.8(a)(6)(B), engines in this engine family certified under 13 CCR 1956.8(a)(6)(C) [30 g/hr NOx] and section 35.B.4 of the incorporated "California Exhaust Emissions Standards and Test Procedures for 2004 and Subsequent Model Heavy-Duty Diesel Engines and Vehicles" (HDDE Test Procedures) adopted Dec. 12, 2002, as last amended Apr. 18, 2013, shall be provided with an approved "Certified Clean Idle" label that shall be affixed to the vehicle into which the engine is installed.

BE IT FURTHER RESOLVED: For the listed engine models the manufacturer has submitted the materials to demonstrate certification compliance with 13 CCR 1965 (emission control labels), 13 CCR 1971.1 (on-board diagnostic, full or partial compliance) and 13 CCR 2035 et seq. (emission control warranty).

BE IT FURTHER RESOLVED: That the manufacturer has elected to include engine models in this engine family which are identified for "emergency vehicle use only". These "emergency vehicle use only" engines are exempt from requirements imposed pursuant to California law and the regulations adopted pursuant thereto for motor vehicle pollution control devices per California Vehicle Code Section 27156.2. The manufacturer must clearly label these engines for "emergency vehicle use only" on the engines' emission control label.

BE IT FURTHER RESOLVED: The listed engine models are conditionally certified in accordance with 13 CCR Section 1971.1(k) (deficiency and fines provisions for certification of malfunction and diagnostic system) because the heavy-duty on-board diagnostic (HD OBD) system of the listed engine models has been determined to have fourteen deficiencies. The listed engine models are approved subject to the manufacturer paying a fine of \$500 per engine for the third through fourteenth deficiencies in the listed engine family that is produced and delivered for sale in California. On a quarterly basis, the manufacturer shall submit to the Air Resources Board reports of the number of engines produced and delivered for sale in California and pay the full fine owed for that quarter pursuant to this conditional certification. Payment shall be made payable to the State Treasurer for deposit in the Air Pollution Control Fund no later than thirty (30) days after the end of each calendar quarter during the 2017 model-year production period. Failure to pay the quarterly fine, in full, in the time provided, may be cause for the Executive Officer to rescind this conditional certification, effective from the start of the quarter in question, in which case all engines covered under this conditional certification for that quarter and all future quarters would be deemed uncertified and subject to a civil penalty of up to \$5000 per engine pursuant to HSC Section 43154.

Engines certified under this Executive Order must conform to all applicable California emission regulations.

The Bureau of Automotive Repair will be notified by copy of this Executive Order.

This Executive Order hereby supersedes Executive Order A-021-0665-1 dated March 10, 2017.

Executed at El Monte, California on this

day of June 2017.

Annette Hebert, Chief Emissions Compliance, Automotive Regulations and Science Division

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Engine Model Summary Template

RIC

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| Engine Family | 1.Engine Code | 2.Engine Model | 3.BHP@RPM (SAE Gross) | 4.Fuel Rate: mm/stroke @ peak HP (for diesel only) | 5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only) | 6.Torque @ RPM (SEA Gross) | 7.Fuel Rate: mm/stroke@peak torque | 8.Fuel Rate: (lbs/hr)@peak torqu | 9.Emission Control PDevice Per SAE J1930 |
|---------------|----------------|----------------|--------------------------|--|--|-------------------------------|--|-------------------------------------|---|
| HCEXH0729XAE | 4892;FR20844 | ISX12 425SA | 413@1977 | 220 | 147 | 1650@1200 | 314 | 127 | SCRC, PTOX, P |
| HCEXH0729XAE | 4892;FR20815 | ISX12 425 | 413@1977 | 220 | · 147 · | 1650@1200 | 314 | 127 | SCRC, PTOX, P |
| HCEXH0729XAE | 4892;FR20818 | ISX12 400ST | 392@1977 | 209 | 139 | 1650@1200 | 314 | 127 | SCRC, PTOX, FC |
| HCEXH0729XAE | 4892;FR20820 | ISX12 400 | 392@1977 | 209 | 139 | 1650@1200 | 314 | 127 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20825 | ISX12 370 | 379@1977 | 202 | 135 | 1450@1200 | 272 | 110 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20806 | ISX12 500V | 479@1977 | 259 | 173 | 1645@1200 | 313 | 127 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20807 | ISX12 450V | 432@1977 | .231 | 154 | 1650@1200 | 314 | 127 | SCRC, PTOX PC |
| HCEXH0729XAE | 4892;FR20809 | ISX12 450V | 432@1977 | 231 | 154 | 1550@1200 | 293 · | 118 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20816 | ISX12 425V | 413@1977 | 220 | 147 | 1650@1200 | 314 | 127 | SCR¢, PTOX, PC |
| HCEXH0729XAE | 4892;FR20812 | ISX12 425V | 413@1977 | 220 | 147 | 1550@1200 | 293 | 118 | SCRO, PTOX, PC |
| HCEXH0729XAE | 4892;FR20819 | ISX12 400V | 392@1977 | 209 | 139 | 1650@1200 | . 314 | 127 | SCRC PTOX, PC |
| HCEXH0729XAE | 4892;FR20805 | ISX12 500 RV | 479@1977 | 259 | 173 | 1645@1200 | 313 | 127 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20808 | ISX12 450 MC | 432@1977 | 231 | 154 | 1550@1200 | . 293 | 118 | SCRC, PTDX, PC |
| HCEXH0729XAE | 4892;FR20814 | ISX12 425 MC | 413@1977 | 220 | 147 | 1650@1200 | 314 | 127 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20817 | ISX12,425 MC | 413@1977 | 220 | 147 | 1450@1200 | 272 | · 110 | SCRC, Prox, PC |
| HCEXH0729XAE | 4892;FR20827 | (SX12 370 | 379@1977 | . 202 | 135 | 1350@1200 | 252 | 102 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20828 | ISX12 350ST | 360@1977 | 192 | 128 | 1450@1200 | 272 | 110 | SCRC, PTOX, PC |
| HCEXH0729XAÉ | 4892;FR20830 | ISX12 350 | 360@1977 | 192 | 128 | 1350@1200 | 252 | 102 | scrc, ptpx, pc |
| HCEXH0729XÁĘ | ;.4892;FR20832 | ISX12 330ST | 341@1977 | 183 | 122 | 1350@1200 | 252 | 102 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20833 | ISX12 330 | 341@1977 | 183 | 122 | 1250@1200 | 252 | 102 | SCRC PTOX, PC |
| HCEXH0729XAE | 4892;FR20834 | · ISX12 330 (| 341@1977 | 183 | 122 | 1350@1200 | 233 | 94 | 💥 SCRC, PTOK, PC |
| HCEXH0729XAE | 4892;FR20836 | ISX12 310 | 315@1977 | 166 | . 111 - | 1150@1200 | 214 | 87 (| SCR¢, PTOX, PC |
| HCEXH0729XAE | 4892;FR20823 | ISX12 385V | 379@1977 | 202 ՝ | 135 | 1450@1200 | 272 | 110 | SCRC, PTOX PC |
| HCEXH0729XAE | 4892;FR20824 | ISX12 385V | 379@1977 | 202 | <u>. 135, ···</u> | 1350@1200 | 252 | 102 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20829 | ISX12 350V | 341@1977 | 183 | 122 | 1450@1200 | 272 | 110 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20831 | ISX12 350V | 341@1977 | 183 | 122 | 1350@1200 | 252 | 102 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20835 | ISX12 320V | 315@1977 | 166 | 111 | 1150@1200 | .214 | 87 | SORC, PTOX, AC |
| HCEXH0729XAE | 4892;FR20837 | ISX12 385R | 379@1977 | 202 | 135 | 1450@1200 | 272 | 110 | SCRC, PTOX, P |

** CORRECTED ENGINE CODE NAME FOR RUNNING CHANGE

DDI, TC, CAC, ECM, EGR, OC, PTOX, SCR-4, AMOX

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PTOX, SCR=U, Amox

Engine Model Summary Template

| | | | | 4.Fuel Rate: | 5.Fuel Rate: | | 7.Fuel Rate: | | |
|--|---------------|----------------|--------------------------|--|--|---|--------------------------|--|--|
| Engine Family | 1.Engine Code | 2.Engine Model | 3.BHP@RPM (SAE Gross) | mm/stroke @ peak HP (for diesel only) | (lbs/hr) @ peak HP (for diesels only) | 6,Torque @ RPM (SEA Gross) | mm/stroke@peak torque | 8.Fuel Rate: (lbs/hr)@peak torqu | 9.Emission Control JeDevice Per SAE J1930 |
| HCEXH0729XAE | 4892;FR20838 | ISX12 385R | 379@1977 | 202 | 135 | 1350@1200 | 252 | · 102 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20839 | ISX12 350R | 341@1977 | 183 | 122 | 1450@1200 | 272 | 110 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20840 | ISX12 350R | 341@1977 | 183 | 122 | 1350@1200 | 252 | . 102 | SORC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20841 | ISX12 330R | 341@1977 | 183 | 122 | 1250@1200 | 233 | 94 | SCRC, PTOX, PC. |
| HCEXH0729XAE | 4892;FR20842 | ISX12 320R | 315@1977 | 166 | 111 | 1150@1200 | 214 | 87 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20947 | ISX12 450 | 432@1977 | 231 | 154 | 1200@1650 | 314 | 127 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20948 | ISX12 450ST | 432@1977 | 231 | 154 | 1200@1650 | 314 | 127 | SCRC PTOX, PC |
| HCEXH0729XAE | 4892;FR20956 | ISX12 475V | 475@1977 | 258 | 172 | 1200@1645 | 313 | 127 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20813 | ISX12 425ST | 413@1977 | . 220 | 147 | 1200@1650 | 314 | 127 | SCRC, TOX, PC |
| | Emergency | Vehicle | Engine | Ratings | Below | | | 1971) - 1971 - 1971 - 1971 - 1971 - 1971 - 1971 - 1971 - 1971 - 1971 - 1971 - 1971 - 1971 - 1971 - 1971 - 1971 | |
| HCEXH0729XAE | 4892;FR20804 | ISX12 500EV | 479@1977 | 259 | 173 | 1645@1200 | 313 | 127 | SCRC/PTOX, PC |
| HCEXH0729XAE | 4892;FR20810 | ISX12 450EV | 432@1977 | 231 | 154 | 1550@1200 | 293 | 118 | SCRO, PTDX, PC |
| HCEXH0729XAE | 4892;FR20811 | ISX12 425EV | 413@1977 | 220 | ·147 | 1550@1200 | 293 | 118 | SCRC, PTQX, PC |
| HCEXH0729XAE | 4892;FR20821 | ISX12 400EV | 388@1977 | 207 | 138 | 1550@1200 | 293 | 118 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20822 | ISX12 400EV | 388@1977 | 207 | 138 | 1450@1200 | 272 | 110 | SCRC, PTOX, PC |
| HCEXH0729XAE | 4892;FR20826 | ISX 12 370EV | 379@1977 | 202 | 135 | 1450@1200 | 272 | 110 | SCRC, PTOX, PC |
| e electron a successive successive and the second statement of the second statement of the second statement of | Urban Bus | Ratings | Below | | | ere analai-ra eriger chase ar stat ann san san st | | | |
| HCEXH0729XAE | 4893;FR20843 | ISX12 385CC | 379@1977 | 202 | 135 | 1450@1200 | 272 | 110 | SCRC, PTOX, PC |
| | • | | | | | | | | DOI TC, CAC |
| • | N7 | | | | Λ | | | | ECM, EGR, O |

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* New rating added for running change