California Environmental Protection Agency	CUMMINS INC.	EXECUTIVE ORDER A-021-0636 New On-Road Heavy-Duty Engines	
OB Air Resources Board		Page 1 of 2 Pages	

Pursuant to the authority vested in the Air Resources Board by Health and Safety Code Division 26, Part 5, Chapter 2; and pursuant to the authority vested in the undersigned by Health and Safety Code Sections 39515 and 39516 and Executive Order G-14-012;

IT IS ORDERED AND RESOLVED: The engine and emission control systems produced by the manufacturer are certified as described below for use in on-road motor vehicles with a manufacturer's GVWR over 14,000 pounds. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL	ENGINE FAMILY	ENGINE	FUEL TYPE	STANDARDS & TEST		ECS & SPECIAL FEATURES	DIAGNOSTIC	
YEAR		SIZES (L)		PROCEDURE	CLASS	DDI, TC, CAC, ECM, EGR, OC,		
2016	GCEXH0540LAT	XH0540LAT 8.9 Diesel Diesel		UB	PTOX, SCR-U, AMOX	OBD(\$)		
-	ENGINE'S IDLE		A		ISSIONS CO	NTROL ⁵		
E	xempt		1000 Jack 1997	N	/A			
ENGINE (L	.)		ENGINE MC	DELS / CODES (rat	ted power, in	hp)		
8.9			SL9 330 / 4613;FR94	4834 (310), ISL9 :	280 / 4613;1	R94835 (285)		

CNG/LNG=compressed/liquefied natural gas; LPG=liquefied petroleum gas; E85=85% ethanol fuel; MF=multi fuel a.k.a. BF=bi fuel; DF=dual fuel; FF=flexible fuel; 2

L/M/H HDD=light/medium/heavy heavy-duty diesel; UB=urban bus; HDO=heavy duty Otto;

³ ECS=emission control system; TWC/OC=three-way/oxidizing catalyst; NAC=NOx adsorption catalyst; SCR-U / SCR-N=selective catalytic reduction – urea / – ammonia; WU (prefix) =wam-up catalyst; DPF=diesel particulate filter; PTOX=periodic trap oxidizer; HO2S/OSS=heated/oxygen sensor; HAFS/AFS=heated/air-fuel-ratio sensor (a.k.a., universal or linear oxygen sensor); TBI=throttle body fuel injection; SFI/MF1=sequential/multi port fuel injection; DCG=direct gasoline injection; CCARB=gaseous carburetor; IDI/DDI=indirect/direct direct injection; TCSC=turbo/ super charger; CAC=charge air cooler; EGR / EGR-C=exhaust gas recirculation / cooled EGR; PAIR/AIR=pulsed/secondary air injection; SPL=smoke puff limiter; ECM/PCM=engine/powertrain control module; EM=engine modification; 2 (prefix)=parallel; (2) (suffix)=in series;

ESS=engine shutdown system (per 13 CCR 1956.8(a)(6)(A)(1); 30g=30 g/hr NOx (per 13 CCR 1956.8(a)(6)(C); APS = internal combustion auxiliary power system; ALT=alternative method (per 13 CCR 1956.8(a)(6)(D); Exempt=exempted per 13 CCR 1956.8(a)(6)(B) or for CNG/LNG fuel systems; N/A=not applicable (e.g., Otto engines and vehicles);

EMD=engine manufacturer diagnostic system (13 CCR 1971); OBD(F) / (P) / (\$)=full / partial / partial with a fine / on-board diagnostic;);

Following are: 1) the FTP exhaust emission standards, or family emission limit(s) as applicable, under 13 CCR 1956.8; 2) the SET and NTE limits under the applicable California exhaust emission standards and test procedures for heavy duty diesel engines and vehicles (Test Procedures); and 3) the corresponding certification levels, for this engine family. "Diesel" CO, SET and NTE certification compliance may have been demonstrated by the manufacturer as provided under the applicable Test Procedures in lieu of testing. (For flexible- and dual-fueled engines, the CERT values in brackets [] are those when tested on conventional test fuel. For multi-fueled engines, the STD and CERT values for default operation permitted in 13 CCR 1956.8 are in parentheses.).

in	NMHC		NOx		NMHC+NOx		CO		PM		нсно	
g/bhp-hr	FTP	SET	FTP	SET	FTP	SET	FTP	SET	FTP	SET	FTP	SET
STD	0.14	0.14	0.20	0.20	*	*	15.5	15.5	0.01	0.01	*	*
CERT	0.01	0.003	0.13	0.08	*	*	0.1	0.02	0.000	0.001	*	*
NTE	0.	21	0.	30		*	19	9.4	0.	02		*

g/bhp-hr=grams per brake horsepower-hour; FTP=Federal Test Procedure; SET= Supplemental emissions testing; NTE=Not-to-Exceed; STD=standard or emission test cap; FEL=family emission limit; CERT=certification level; NMHC/HC=non-methane/hydrocarbon; NOx=oxides of nitrogen; CO=carbon monoxide; PM=particulate matter; HCHO=formaldehyde;

BE IT FURTHER RESOLVED: The manufacturer has demonstrated compliance with the Greenhouse Gas Emission Standards as specified in Title 13 CCR 1956.8 and the incorporated "California Exhaust Emission Standards and Test Procedures for 2004 and Subsequent Model Heavy Duty Diesel-Engines and Vehicles" (HDDE Test Procedures) adopted Dec. 12, 2002, as last amended Oct. 21, 2014 using the 2014 model year National Heavy-Duty Engine and Vehicle Greenhouse Gas Program as specified in Section 1036.108 of the HDDE Test Procedures. The manufacturer has submitted the required information and therefore has met the criteria necessary to receive a California Executive Order based on the Environmental Protection Agency's Certificate of Conformity for the above listed engine family.

	EPA CERTIFICATE	OF CONFORMITY	PRIMARY INTENDED SERVICE CLASS VOCATIONAL		
	CEX-ONH	IWY-16-06			
In g/bhp-hr	C	O ₂	011	N ₂ O	
	FTP	SET	CH4		
STD	555	.*	0.10	0.10	
FCL	555	*	*	*	
FEL	572	*	*	0.12	
CERT	554	*	0.02	0.11	

FCL=family certification level; CERT=certification level; CO2=carbon dioxide; CH4=methane; N2O=nitrous oxide; VOCATIONAL=vocational engine; TRACTOR=tractor engine

BE IT FURTHER RESOLVED: That the listed engine family is certified to the Alternate Phase-in CO₂ Emission Standards as specified in 13 CCR 1956.8 and section 40 CFR 1036.150 (e) as incorporated in the "California Exhaust Emission" Standards and Test Procedures for 2004 and Subsequent Model Heavy Duty Diesel-Engines and Vehicles" adopted Dec. 12, 2002, as last amended Oct. 21, 2014.

California Environmental Protection Agency		EXECUTIVE ORDER A-021-0636
C Air Resources Board	CUMMINS INC.	New On-Road Heavy-Duty Engines Page 2 of 2 Pages

BE IT FURTHER RESOLVED: Certification to the FEL(s) / FCL(s) listed above, as applicable, is subject to the following terms, limitations and conditions. The FEL(s) / FCL(s) is the emission level declared by the manufacturer and serves in lieu of an emission standard for certification purposes in any averaging, banking, or trading (ABT) programs. It will be used for determining compliance of any engine in this family and compliance with such ABT programs.

BE IT FURTHER RESOLVED: For the listed engine models the manufacturer has submitted the materials to demonstrate certification compliance with 13 CCR 1965 (emission control labels), 13 CCR 1971.1 (on-board diagnostic, full or partial compliance) and 13 CCR 2035 et seq. (emission control warranty).

BE IT FURTHER RESOLVED: The listed engine models are conditionally certified in accordance with 13 CCR Section 1971.1(k) (deficiency and fines provisions for certification of malfunction and diagnostic system) because the heavy-duty on-board diagnostic (HD OBD) system of the listed engine models has been determined to have nine deficiencies. The listed engine models are approved subject to the manufacturer paying a fine of \$250 per engine for the third through ninth deficiencies in the listed engine family that is produced and delivered for sale in California. On a quarterly basis, the manufacturer shall submit to the Air Resources Board reports of the number of engines produced and delivered for sale in California and pay the full fine owed for that quarter pursuant to this conditional certification. Payment shall be made payable to the State Treasurer for deposit in the Air Pollution Control Fund no later than thirty (30) days after the end of each calendar quarter during the 2016 model-year production period. Failure to pay the quarterly fine, in full, in the time provided, may be cause for the Executive Officer to rescind this conditional certification, effective from the start of the quarter in question, in which case all engines covered under this conditional certification for that quarter and all future quarters would be deemed uncertified and subject to a civil penalty of up to \$5000 per engine pursuant to HSC Section 43154.

Engines certified under this Executive Order must conform to all applicable California emission regulations.

The Bureau of Automotive Repair will be notified by copy of this Executive Order.

Executed at El Monte, California on this

day of October 2015.

Annette Hebert, Chief Emissions Compliance, Automotive Regulations and Science Division