California Environmental Protection Agency	NAVISTAR, Inc.	EXECUTIVE ORDER A-004-0400-1 New On-Road Heavy-Duty Engines
Ø≣ Air Resources Board		Page 1 of 2 Pages

Pursuant to the authority vested in the Air Resources Board by Health and Safety Code Division 26, Part 5, Chapter 2: and pursuant to the authority vested in the undersigned by Health and Safety Code Sections 39515 and 39516 and Executive Order G-14-012:

IT IS ORDERED AND RESOLVED: The engine and emission control systems produced by the manufacturer are certified as described below for use in on-road motor vehicles with a manufacturer's GVWR over 14,000 pounds. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	ENGINE SIZES (L)	FUEL TYPE ¹	STANDARDS & TEST PROCEDURE	INTENDED SERVICE CLASS	ECS & SPECIAL FEATURES	
2016	GNVXH05700SA	9.3	Diesel	Diesel	MHDD	DDI, TC(2), ECM, EGR, DOC, PTOX, SCR-U, CAC(2), AMOX	OBD (\$)
· · · · · · · · · · · · · · · · · · ·	'ENGINE'S IDLE NS CONTROL				ADDITIONA	L IDLE EMISSIONS CONTROL	
	30g			•••		N/A.	19 H 2
ENGINE (L)			ENGINE	MODELS / CO	DDES (rated power, in hp)	· · ·
9.3			an en e	See attac	hment for e	ngine models and ratings	3 ⁷ . 1

*=not applicable; GVWR=gross vehicle weight rating; 13 CCR xyz=Title 13, California Code of Regulations, Section xyz; 40 CFR 86.abc=Title 40, Code of Federal Regulations, Section 86.abc; L=ilter; hp=horsepower; kw=kilowatt; hr=hour;

CNG/LNG=compressed/liquefied natural gas; LPG=liquefied petroleum gas; E85=85% ethanol fuel; MF=multi fuel a.k.a. BF=bi fuel; DF=dual fuel; FF=flexible fuel; 2 L/M/H HDD=light/medium/heavy heavy-duty diesel; UB=urban bus; HDO=heavy duty Otto;

Limith HDD=lignt/medium/heavy neavy-duty disset; UE=urpan bus; HDD=heavy duty Otto; ECS=emission control system; TWC/OC=three-way/oxidizing catalyst; NAC=NOx adsorption catalyst; SCR-U / SCR-N=selective catalytic reduction – urea / – ammonia; WU (prefix) =warm-up catalyst; DPF=diesel particulate filter; PTOX=periodi trap oxidizer; HO2S/OZS=heated/oxygen sensor; HAFS/AFS=heated/air-fuel-ratio sensor (a.k.a., universal or linear oxygen sensor); TBI=throttle body fuel injection; SFI/MFI=sequential/multi port fuel injection; OGI=direct gasoline injection; GCARB=gaseous carburetor; IDI/DDI=indirect/direct diesel injection; TC/SC=turbo/ super charger; CAC=charge air cooler; EGR / EGR-C=exhaust gas recirculation / cooled EGR; PAIR/AIR=pulsed/secondary air injection; SPL=smoke puff limiter; ECM/PCM=engine/powertrain control module; EM=engine modification; 2 (prefix)=parallel; (2) (suffix)=in series; AMOX=ammonia oxidation catalyst ESS=engine shutdown system (per 13 CCR 1956.6(a)(6)(A)(1); 30g=30 g/hr NOx (per 13 CCR 1956.8(a)(6)(C); APS =internal combustion auxiliary power system; ALT=alternative method (per 13 CCR 1956.8(a)(6)(D); Exempti=exempted per 13 CCR 1956.8(a)(6) or for CNCI/LNG fuel system; N/A=not applicable (e.g., Otto engines and vehicles); EMD=constant data per diagnostic externet or (DEL V/B)/ (Externit) / control diagnostic externet or (DEL V/B)/

EMD=engine manufacturer diagnostic system; OBD(F) / (P) / (\$)=full / partial / partial with fine / on-board diagnostic; (2012-08-20)

Following are: 1) the FTP exhaust emission standards, or family emission limit(s) as applicable, under 13 CCR 1956.8; 2) the EURO and NTE limits under the applicable California exhaust emission standards and test procedures for heavyduty diesel engines and vehicles (Test Procedures); and 3) the corresponding certification levels, for this engine family. "Diesel" CO. EURO and NTE certification compliance may have been demonstrated by the manufacturer as provided under the applicable Test Procedures in lieu of testing. (For flexible- and dual-fueled engines, the CERT values in brackets [] are those when tested on conventional test fuel. For multi-fueled engines, the STD and CERT values for default operation permitted in 13 CCR 1956.8 are in parentheses.). ⁴ $(x^{1})^{-1} = (x^{-1})^{-1}$

in	NM	нс	N	Ox	NMHO	C+NOx	6	0	P	M	HC	НО
g/bhp-hr	FTP	SET	FTP	SET	FTP	SET	FTP	SET	FTP	SET	FTP	SET
STD	0.14	0.14	0.20	0.20	*	*	15.5	15.5	0.01	0.01	*	*
CERT	0.02	0.02	0.18	0.04	*	*	0.9	0.02	0.003	0.002	*	*
NTE	0.:	21	0.	30		*	19	9.4	0.	02		*

g/bhp-hr=grams per brake horsepower-hour; FTP=Federal Test Procedure; SET= supplemental emissions testing; NTE=Not-to-Exceed emission limit; STD=standard or emission test cap; EL=family emission limit; CERT=certification level; NMHC/HC=non-methane/hydrocarbon; NOx=oxides of nitrogen; CO=carbon monoxide; PM=particulate matter; HCHO=formaldehyde;

BE IT FURTHER RESOLVED: The manufacturer has demonstrated compliance with the Greenhouse Gas Emission Standards as specified in Title 13 CCR 1956.8 and the incorporated "California Exhaust Emission Standards and Test Procedures for 2004 and Subsequent Model Heavy Duty Diesel-Engines and Vehicles" (HDDE Test Procedures) adopted Dec. 27, 2002, as last amended Oct. 21, 2014 using the 2014 model year National Heavy-Duty Engine and Vehicle Greenhouse Gas Program as specified in Section 1036.108 of the HDDE Test Procedures. The manufacturer has submitted the required information and therefore has met the criteria necessary to receive a California Executive Order based on the Environmental Protection Agency's Certificate of Conformity for the above listed engine family.

EPA CERTIFICATE	OF CONFORMITY	PRIMARY INTENDED S	ERVICE CLASS
NVX-ONHW	/Y-16-02.01	TRACTOR / VOC	ATIONAL
Ç	D ₂	CH	NO
FTP	SET		N₂O
600	502	0.10	0.10
561	512	*	*
578	527	0.10	0.14
553	497	0.02	0.14
	NVX-ONHW CC FTP 600 561 578	600 502 561 512 578 527	NVX-ONHWY-16-02.01 TRACTOR / VOC CO2 CH4 FTP SET 600 502 0.10 561 512 * 578 527 0.10

FCL=family certification level; CERT=certification level; CO2=carbon dioxide; CH4=methane; N2O=nitrous oxide; VOCATIONAL=vocational engine; TRACTOR=tractor engine

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BE IT FURTHER RESOLVED: Certification to the FEL(s) / FCL(s) listed above, as applicable, is subject to the following terms, limitations and conditions. The FEL(s) / FCL(s) is the emission level declared by the manufacturer and serves in lieu of an emission standard for certification purposes in any averaging, banking, or trading (ABT) programs. It will be used for determining compliance of any engine in this family and compliance with such ABT programs.

BE IT FURTHER RESOLVED: For the listed engine models the manufacturer has submitted the materials to demonstrate certification compliance with 13 CCR 1965 (emission control labels), 13 CCR 1971.1 (on-board diagnostic, full or partial compliance), and 13 CCR 2035 et seq. (emission control warranty).

BE IT FURTHER RESOLVED: Except in vehicle applications exempted per 13 CCR 1956.8(a)(6)(B), 30g rating engines in this engine family certified under 13 CCR 1956.8(a)(6)(C) [30 g/hr NOx] and section 35.B.4 of the incorporated "California Exhaust Emissions Standards and Test Procedures for 2004 and Subsequent Model Heavy-Duty Diesel Engines and Vehicles" adopted December 12, 2002, as last amended April 18, 2013, shall be provided with an approved "Certified Clean Idle" label that shall be affixed to the vehicle into which the engine is installed.

BE IT FURTHER RESOLVED: That the manufacturer has elected to include engine models in this engine family which are identified for "emergency vehicle use only". These "emergency vehicle use only" engines are exempt from requirements imposed pursuant to California law and the regulations adopted pursuant thereto for motor vehicle pollution control devices per California Vehicle Code Section 27156.2. The manufacturer must clearly label these engines for "emergency vehicle use only" on the engines' emission control label.

BE IT FURTHER RESOLVED: The listed engine models are conditionally certified in accordance with 13 CCR Section 1971.1(k) (deficiency and fines provisions for certification of malfunction and diagnostic system) because the heavy-duty on-board diagnostic (HD OBD) system of the listed engine models has been determined to have thirty deficiencies. The listed engine models are approved subject to the manufacturer paying a fine of \$500 per engine for the third through thirtieth deficiencies in the listed engine family that is produced and delivered for sale in California. On a quarterly basis, the manufacturer shall submit to the Air Resources Board reports of the number of engines produced and delivered for sale in California and pay the full fine owed for that quarter pursuant to this conditional certification. Payment shall be made payable to the State Treasurer for deposit in the Air Pollution Control Fund no later than thirty (30) days after the end of each calendar quarter during the 2016 model-year production period. Failure to pay the quarterly fine, in full, in the time provided, may be cause for the Executive Officer to rescind this conditional certification, effective from the start of the quarter in question, in which case all engines covered under this conditional certification for that quarter and all future quarters would be deemed uncertified and subject to a civil penalty of up to \$5000 per engine pursuant to HSC Section 43154.

Engines certified under this Executive Order must conform to all applicable California emission regulations.

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The Bureau of Automotive Repair will be notified by copy of this Executive Order.

This Executive Order hereby supersedes Executive Order A-004-0400 dated January 8, 2016.

Executed at El Monte, California on this

day of August 2016. Annette Hebert, Chief Emissions Compliance, Automotive Regulations and Science Division

1.Engine Code 2.Engine Model 3.BHP@RPM (srate @ peak HP (srate @ pea	T.Fuel Rate: Ø RPM mm/stroke@peak øss) mm/stroke@peak 1200 236.3 1200 236.3 1200 236.3 1200 236.3 1200 236.3 1200 236.3 1200 236.3 1200 236.3 1200 236.3 1200 130.6 1200 180.6 1200 180.6 1200 180.6 1200 180.6 1200 180.9 1200 180.9 1200 200.1 1200 201.1	8.Fuel Rate: 9.Emission Control (lbs/hr)@peak torqueDevice Per SAE J1930 95 TC(2), CAC(2), 95 TC(2), CAC(2), EGR, ECM, SCR-U, 95 TC(2), CAC(2), B0 95 TC(2), CAC(2), B0 95 TC(2), CAC(2), B0 95 See S385H B0 89.1 see S385H B0 89.1 see S385H B0 80.4 see S385H B0 65.9 see
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EMERGENCY VEHICLES		
SA M2410, M2466 S350 350 @ 2000 197.4 132.2	1150 @ 1200 221.7	89.1 see S385H above
GNVXH05700SA M2409, M2461 S330H 330 @ 2000 186.2 124.7 1150 (1150 @ 1200 221.6	89 see S385H above
GNVXH05700SA M2408, M2457 S310 310 @ 2000 178.2 119.3 1050 (1050 @ 1200 200.1	80.4 see S385H above
GNVXH05700SA M2407, M2452 S330 330 @ 2000 186.4 124.8 950 @	950 @ 1200 180.6	72.5 see S385H above
GNVXH05700SA M2406, M2448 S315 315 @ 2000 180.2 120.7 950 @	950 @ 1200 180.9	72.7 see S385H above
S300 300 @ 2000 174.5 116.8	860 @ 1200 164.0	65.9 see S385H above
GNVXH05700SA M2404, M2440 · S275 275 @ 2000 160.6 107.6 860 @	860 @ 1200 164.0	65.9 see S385H above
GNVXH05700SA M2423, M2471 S370 370 @ 2000 207.5 139 1250 (1250 @ 1200 236.3	95 see S385H above
GNVXH05700SA M2420, M2475 S385H 385 @ 2000 217.9 146 1250 (1250 @ 1200 236.3	95 see S385H above