California Environmental Protection Agency AIR RESOURCES BOARD

CATERPILLAR INC.

Pursuant to the authority vested in the Air Resources Board (ARB) by Health and Safety Code (HSC) Division 26, Part 5, Chapter 2; and pursuant to the authority vested in the undersigned by HSC Sections 39515 and 39516 and Executive Order (EO) G-02-003; and

Pursuant to the December 15, 1998 Settlement Agreement (SA) between ARB and the manufacturer, and any modifications thereof to the Settlement Agreement;

IT IS ORDERED AND RESOLVED: That the engine and emission control systems produced by the manufacturer are certified as described below for use in on-road motor vehicles with a manufacturer's GVWR over 14,000 pounds. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR ENGINE FAMILY		ENGINE FUEL TYPE STANDARDS INTENDED SERVICE CLASS SIZE (CNG/LNG=compressed/liquefied natural gas; & TEST (L/M/H HDD=light/medium/heavy heavy (liter) LPG=liquefied petroleum gas) PROCEDURE [HD] diesei; UB=urban bus; HDO=HD						
2003 3CPXH0893EBV SPECIAL FEATURES & EMISSION CONTROL SYSTEMS DDI, TC, CAC, ECM, OC, SPL		14.6	4.6 Diesel Diesel		HHDD			
		ENGINE MODELS / CODES (rated power in horsepower, hp)						
		C-15 : See Attachment for Engine Models and Engine Ratings						
GVWR=gros	s vehicle weight rating	WC/OC=three-	way/oxidizing catalyst WU (prefix) swarm-up cat	025-0414000 00000				

Gvvvr=gross venicle weight rating TWC/OC=three-way/oxidizing catalyst WU (prefix) =warm-up cat. O2S=oxygen sensor HO2S=heated O2S TBI=throttle body fuel injection MFI=multi port fuel injection SFI=sequentialMFI DDI/IDI=direct /indirect diesel injection TC/SC=turbo/super charger CAC=charge air cooler EGR=exhaust gas recirculation AIR=secondary air injection PAIR=pulsed AIR SPL=smoke puff limiter ECM/PCM=engine /powertrain control module EM=engine modification 2 (prefix)=parallel (2) (suffix)=in series HC=hydrocarbon NMHC=non-methane HC NOx=oxides of nitrogen CO=carbon monoxide PM=particulate matter HCHO=formaldehyde g/bhp-hr=grams per brake horsepower-hour

The following are the exhaust emission standards (STD), or family emission limit(s) (FEL) as applicable, and certification levels (CERT), in g/bhp-hr, for this engine family under the "Federal Test Procedure" (FTP) (Title 13, California Code of Regulations, (13 CCR) Section 1956.1 (urban bus) or 1956.8 (other than urban bus)), and under the "Euro III Test Procedure" (EURO) in the Settlement Agreement, including EURO's "Not-to-Exceed" standard(s). "Diesel" CO certification compliance may have been demonstrated pursuant to Code of Federal Regulations, Title 40, Part 86, Subpart A, Section 86.091-23(c)(2)(i) in lieu of testing. (For flexible- and dual-fueled engines, the CERT values in brackets [] are those when tested on conventional test fuel. For multi-fueled engines, the STD and CERT values for default operation permitted in 13 CCR Section 1956.1 or 1956.8 are in parentheses.)

			EURO'	S NOT-TO	EXCEE	DSTD	NMHC: 0	.625	NOx: *	T	NMHC+NC	Dx: 3.125	PM	: 0.125
* = not applicable	HC		NMHC		NOx		NMHC+NOx		CO CO		PM		нсно	
	FTP	EURO	FTP	EURO	FTP	EURO	FTP	EURO	FTP	EURO	FTP	EURO	FTP	EURO
(DIRECT) STD	*	•	0.5	0.5	*	•	2.5	2.5	15.5	15.5	0.10	0.10	*	*
AVERAGE STD	+	*	•	+	*	•	•	•	•	*	•	•	*	+
FEL	•	*	*	•	*	•	•	*	+ +	*	+	•	*	•
CERT	+	*	0.04	0.00	*	*	2.8	3.0	0.6	0.03	0.09	0.07	•	•

BE IT FURTHER RESOLVED: That certification to the FEL(s) listed above, as applicable, is subject to the following terms, limitations and conditions. The FEL(s) is the emission level declared by the manufacturer and serves in lieu of an emission standard for certification purposes in any averaging, banking, or trading (ABT) programs. It will be used for determining compliance of any engine in this family and compliance with such ABT programs.

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the materials to demonstrate certification compliance with 13 CCR Sections 1965 (emission control labels), and 2035 et seq. (emission control warranty).

BE IT FURTHER RESOLVED: That the listed engine models are conditionally certified subject to the following conditions: (1) The SA is in effect; (2) The manufacturer is in compliance with all applicable California emission regulations, and all SA's applicable requirements and any modifications thereof; and (3) The manufacturer has elected to seek certification of the listed engine models under the SA's provisions in Chapter XIV (Stipulated Penalties and Other Payments) and shall be required to comply with these and any other related provisions, with accrual from the first engine produced under this EO.

Engines certified under this Executive Order shall conform to all applicable California emission regulations, and all SA's applicable requirements and any modifications thereof.

This Executive Order hereby supersedes Executive Order A-013-0159-1 dated December 31, 2002.

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The Bureau of Automotive Repair will be notified by copy of this Executive Order.

Executed at El Monte, California on this

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day of February 2003.

Allen Lyons, Chief

Mobile Source Operations Division

Engine Model Sugmary Form

Manufacturer:	CATERPILLAR INC.
Engine category:	On-highway HDDE
EPA Engine Family.	3CPXH0893EBV
Mfr Family Name:	NA
Process Code:	New Submission

1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (Ibs/hr)@peak torque	9.Emission Control Device Per SAE J1930
Cert Eng '97	C-15	525 @ 1800	313	189.3	1850 @ 1200	371	149.6 cacs	LEM, DI, TC, ECM, O
1	C-15	450 @ 1800	270	163.6	1550 @ 1200	304	122.9 1	EM, DI, TC, ECM,
2	C-15	450 @ 1800	270	163.6	1550 @ 1200	304	122.9	EM, DI, TC, ECM,
3	C-15	450 @ 1800	270	163.6	1650 @ 1200	324	130.8	EM, DI, TC, ECM,
4	C-15	450 @ 1800	270	163.6	1650 @ 1200	324	130.8	EM, DI, TC, ECM,
5	C-15	490 @ 1800	291	176.2	1650 @ 1200	320	129.1	EM, DI, TC, ECM,
6	C-15	490 @ 1800	291	176.2	1650 @ 1200	320	129.1	EM, DI, TC, ECM,
7	C-15	490 @ 1800	291	176.2	1750 @ 1200	343	138.4	EM, DI, TC, ECM,
8	C-15	490 @ 1800	291	176.2	1750 @ 1200	343	138.4	EM, DI, TC, ECM,
9	C-15	515 @ 1800	308	186.2	1650 @ 1200	324	130.8	EM, DI, TC, ECM,
10	C-15	515 @ 1800	303	183.4	1850 @ 1200	364	147.0	EM, DI, TC, ECM,
11	C-15	515 @ 1800	303	183.4	1850 @ 1200	364	147.0	EM, DI, TC, ECM,
12	C-15	515 @ 1800	308	186.3	1650 @ 1200	320	129.1	EM, DI, TC, ECM,
13	C-15	525 @ 1800	309	187.1	1850 @ 1200	364	146.9	EM, DI, TC, ECM,
14	C-15	435 @ 1800	261	158.2	1550 @ 1200	. 304	122.9	EM, DI, TC, ECM,
15	C-15	500 @ 1800	299	180.9	1650 @ 1200	320	129.1	EM, DI, TC, ECM,
16	C-15	435 @ 1800	261	158.2	1550 @ 1200	304	122.9	EM, DI, TC, ECM,
17	C-15	500 @ 1800	299	180.9	1650 @ 1200	320	129.1	EM, DI, TC, ECM,
18	C-15	500 @ 1800	294	178.1	1850 @ 1200	364	147.0	EM, DI, TC, ECM,
19	C-15	475 @ 1800	282	170.7	1650 @ 1200	324	130.8	EM, DI, TC, ECM,

ATTACHMENT A-013-159-2