

State of California
AIR RESOURCES BOARD

EXECUTIVE ORDER A-13-105

Relating to Certification of New Heavy-Duty Motor Vehicle Engines

CATERPILLAR, INC

Pursuant to the authority vested in the Air Resources Board by Sections 43100, 43102 and 43103 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-45-9;

IT IS ORDERED AND RESOLVED: That the following 1997 model-year Caterpillar, Inc. diesel-cycle engines are certified for use in motor vehicles with a manufacturer's gross vehicle weight rating (GVWR) over 14,000 pounds:

Fuel Type: Diesel

| <u>Engine Family</u> | <u>Engine Displacement</u> <u>Liters (Cubic Inches)</u> | | <u>Exhaust Emission Control</u> <u>Systems and Special Features</u> |
|----------------------|--|-------|--|
| VCP403DZDAAA | 6.6 | (403) | Turbocharger Charge Air Cooler Smoke Puff Limiter Oxidation Catalytic Converter |

Engine models and codes are listed on attachments.

The following are the certification exhaust emission standards for this engine family in grams per brake horsepower-hour:

| <u>Hydrocarbons</u> | <u>Carbon Monoxide</u> | <u>Nitrogen Oxides</u> | <u>Particulates</u> |
|---------------------|------------------------|------------------------|---------------------|
| 1.3 | 15.5 | 5.0 | 0.10 |

The following are the certification exhaust emission values for this engine family in grams per brake horsepower-hour:

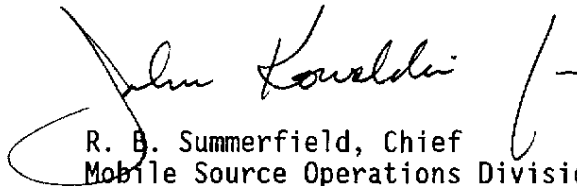
| <u>Engine Family</u> | <u>Hydrocarbons</u> | <u>Carbon Monoxide</u> | <u>Nitrogen Oxides</u> | <u>Particulates</u> |
|----------------------|---------------------|------------------------|------------------------|---------------------|
| VCP403DZDAAA | 0.2 | 1.0 | 4.4 | 0.07 |

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the materials to demonstrate certification compliance with the Board's emission control system warranty provisions (Title 13, California Code of Regulations, Section 2035 et seq.).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

The Bureau of Automotive Repair will be notified by copy of this order and attachments.

Executed at El Monte, California this 16th day of December 1996.



R. B. Summerfield, Chief
Mobile Source Operations Division

1997 AIR RESOURCES BOARD SUPPLEMENTAL DATA SHEET
HEAVY-DUTY DIESEL-STANDARD ENGINES

Manufacturer Caterpillar Inc. Engine Family VCP403DZDAAA
 Displacement 6.6 / Liters 403 / Cubic Inches
 Maximum Rated Power: 215 HP @ 2600 RPM Engine Configuration: 16
 Ignition: Compression X Compression with Glow Plug _____ Spark _____
 Fuel Type (s): Dedicated X Flex-Fuel _____ Dual-Fuel _____ Diesel X M100 _____ M85 _____
 CNG _____ LNG _____ LPG _____ Other (specify) _____
 Diesel Cert Fuel: 13CCR 2282 _____ 40 CFR 86.1313-90 _____ 40 CFR 86.1313-94 X
 Primary Intended Service Class: LHD _____ MHD X HHD _____ Urban Bus _____
 Exhaust ECS (incl. MFI, TC, CAC) CAC, OC, SPL, TC

(Use abbreviations per SAE J1930 SEP91)

| Engine Model (Eng. Code) | Rated HP @ RPM | Fuel Rate @ Rated HP mm ³ /stroke (lbs/hr) | Fuel Pump & Injector Part No. | ECM/PCM Part No. | EGR Valve Part No. | PTOX / Catalytic Converter Part No. |
|--------------------------|----------------|---|-------------------------------|------------------|--------------------|-------------------------------------|
| 3116 - 1 | 215 @ 2600 | 96 (84.3) | 1018673 | --- | --- | (1) |
| 3116 - 2 | 200 @ 2600 | 92 (80.2) | 1018673 | | | |
| 3116 - 3 | 195 @ 2600 | 91 (79.5) | 1018673 | | | |
| 3116 - 4 | 185 @ 2600 | 85 (74.1) | 1018673 | | | |
| 3116 - 5 | 170 @ 2600 | 79 (68.9) | 1018673 | | | |

(1) Catalytic Converter Part Nos.

| | | | |
|---------|---------|---------|---------|
| 1030632 | 1044819 | 1144315 | 1230221 |
| 1030633 | 1044821 | 1173161 | 1261458 |
| 1030634 | 1044823 | 1173162 | 1288616 |
| 1030635 | 1044826 | 1173265 | |

| | | | | | | | | |
|---------------|------------|--|--|--|--|--|--|--|
| ISSUE DATE | 09 SEPT 96 | | | | | | | |
| REVISION NO. | | | | | | | | |
| REVISION DATE | | | | | | | | |