

Air Resources Board Certification Update

Certification, DMS, and E-Cert

2013 EMA Certification Workshop

April 23, 2013

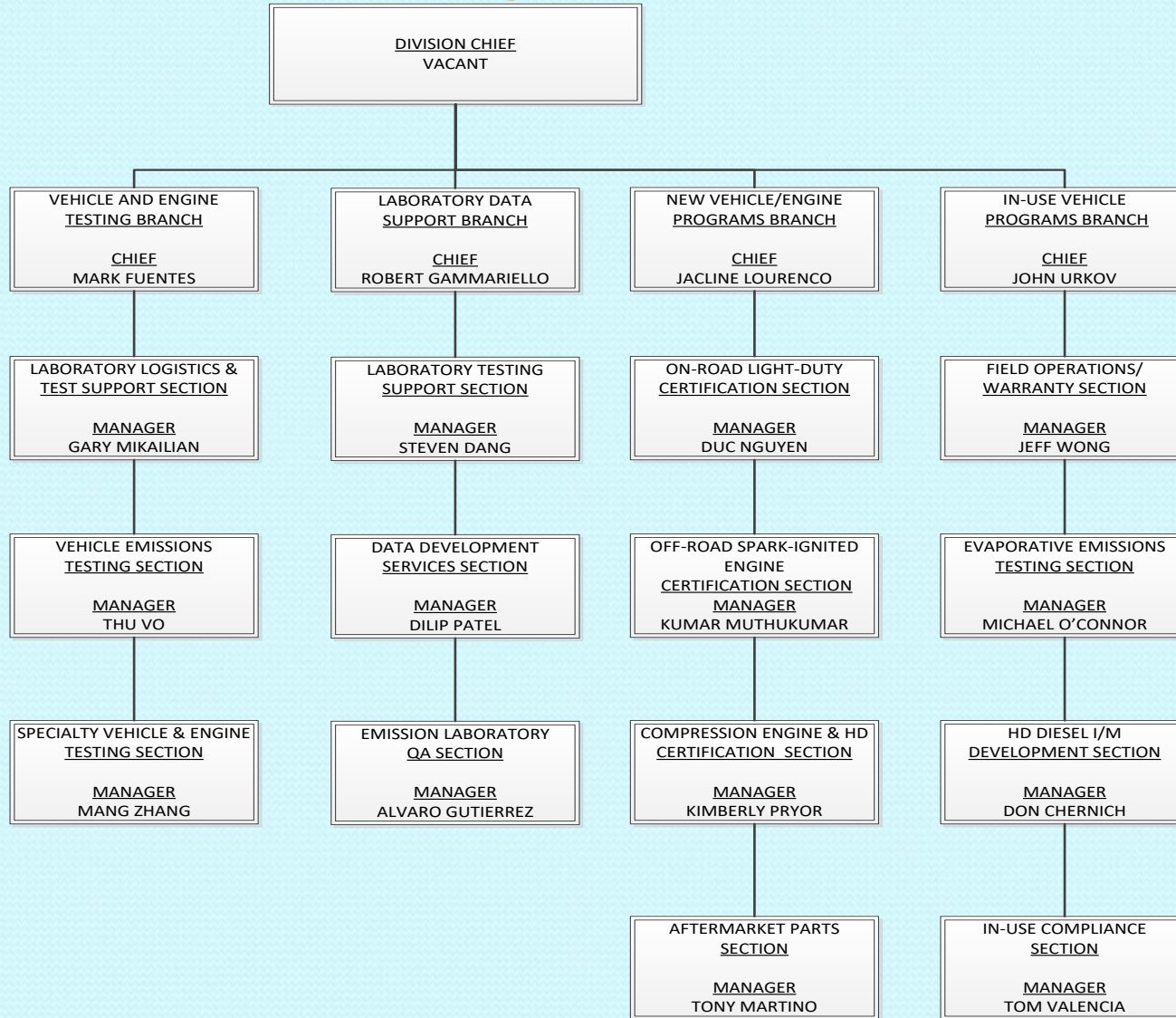
California Environmental Protection Agency

 **Air Resources Board**

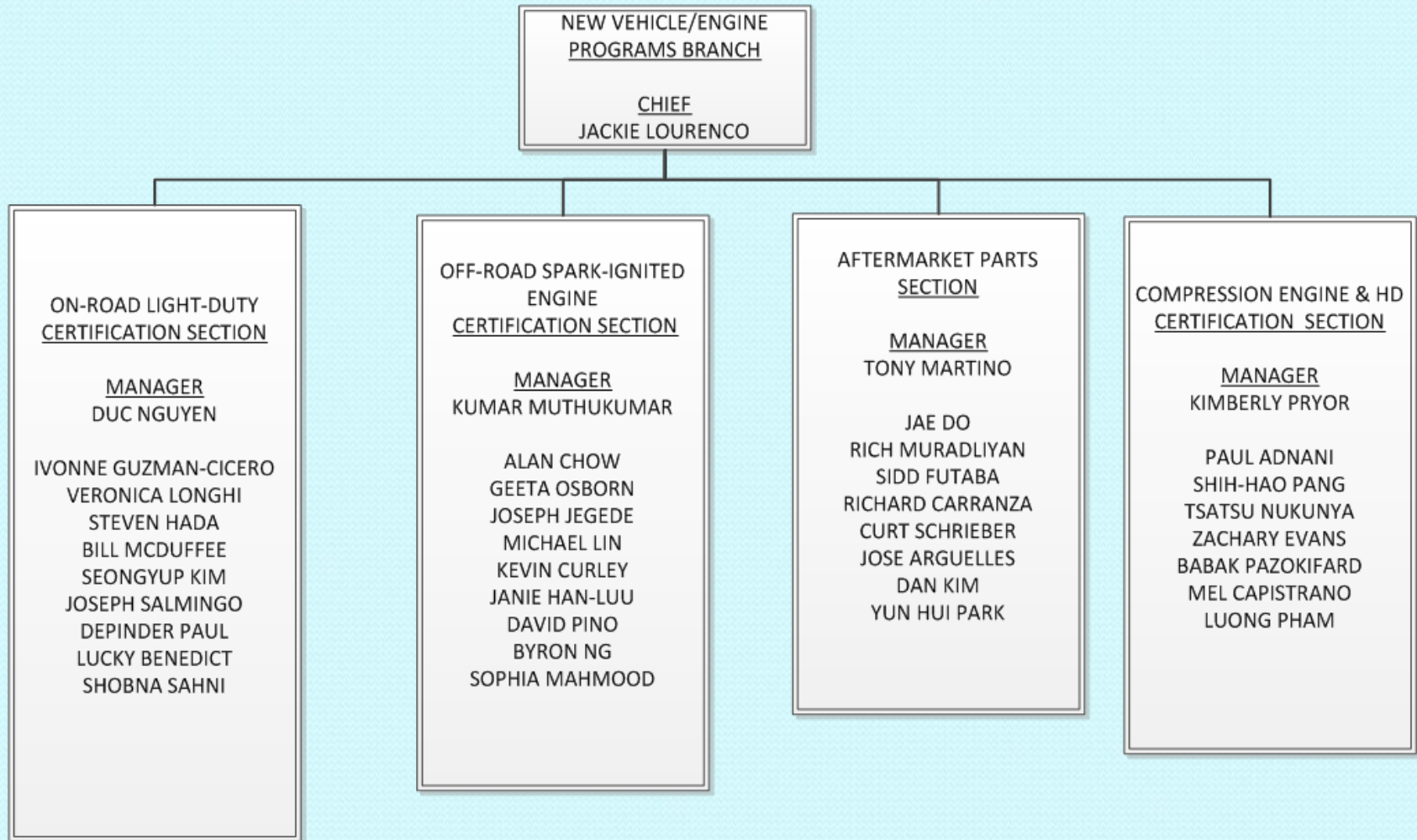
Outline

- Organization
- Certification Statistics
- DMS Update
 - DMS Statistics
 - Reminders
- Certification Streamlining
- E-Cert Update

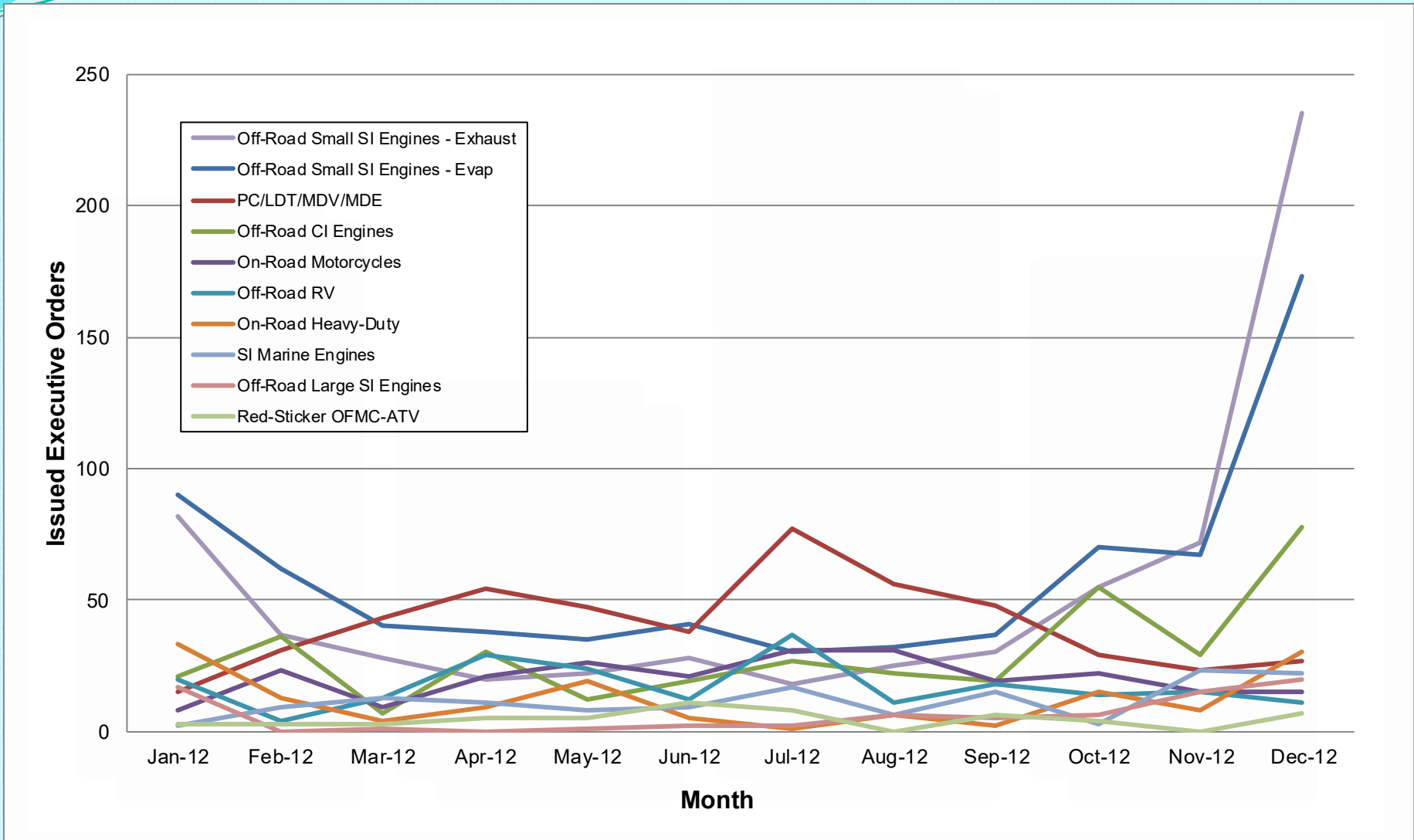
MSOD Organization Chart



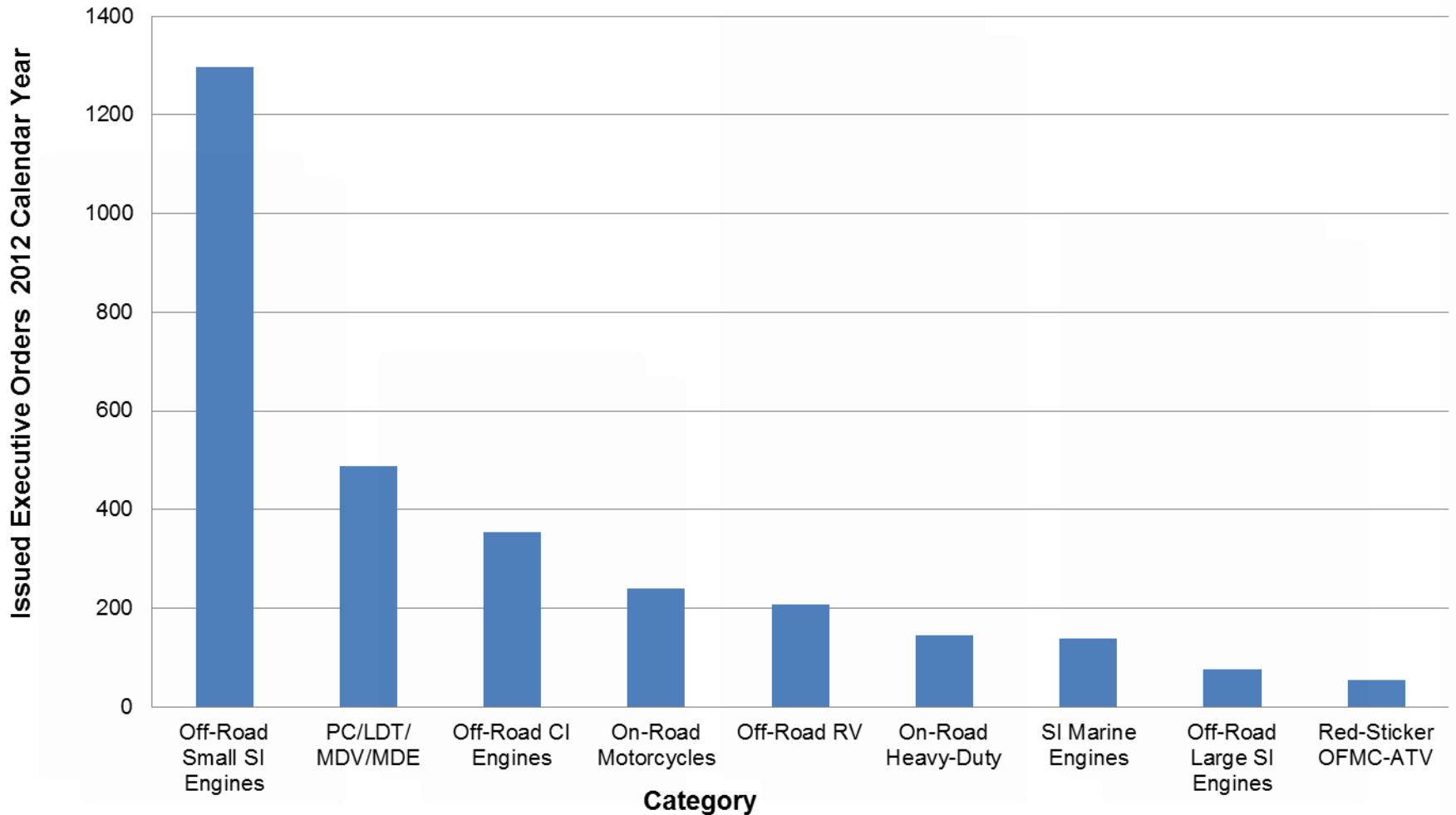
New Vehicle/Engine Programs Branch Organization Chart



Certification Application Statistics 2012



Volume of EOs issued in 2012



DMS and E-Cert Update

- DMS Reminders
- Certification Streamlining – Update
- Carryover/Partial Carryover
- E-Cert Status

Update Excel Contact Sheet & Electronic Signature Page

- Review and update
- Include all active contacts and identify inactive contacts
- Only manufacturer's authorized representatives should submit information
- Wet signature by manufacturer's authorized company representative (not third party consultants)

Other DMS Reminders

- Company name change
 - Official letter from manufacturer's authorized representative on company letterhead
 - Specify start date of new name
- DMS web based training for manufacturers' representatives offered each month



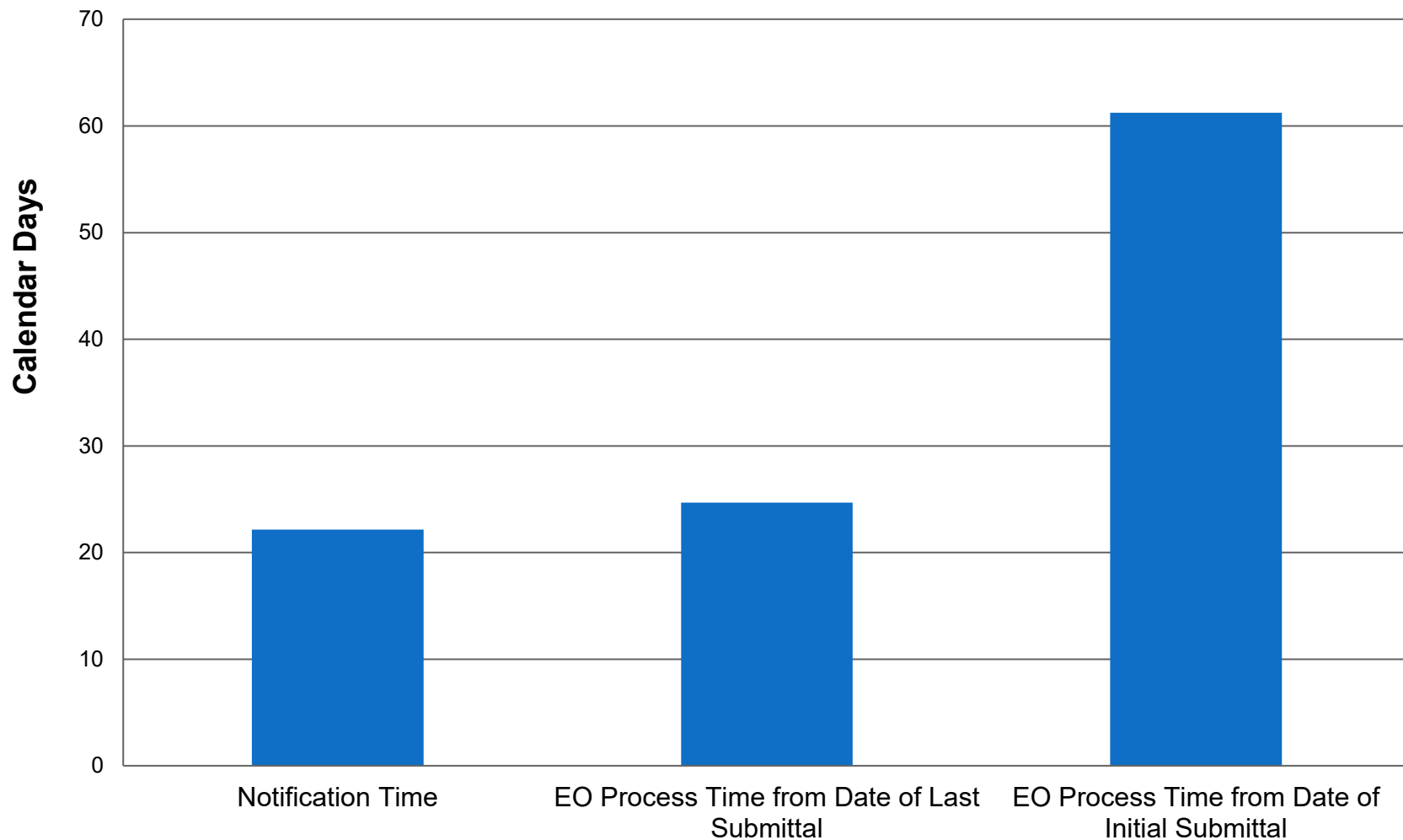
Certification Streamlining - Update

- Increase efficiency
- Provide structure and formality
- Provide feedback to manufacturers in a timely manner
- Use consistent communication protocol with manufacturers across all categories

Internal Streamlining Efforts

DMS STATUS	STATUS MEANING
Draft	Document was uploaded but not submitted.
Pending	Document was submitted and waiting to be reviewed
<i>Accepted For Filing</i>	<i>Application includes all Items needed for review</i>
<i>Not Accepted For Filing</i>	<i>Application does not include all Items needed for review</i>
Info Needed	A request for additional information has been sent to the manufacturer
Reviewed	ARB staff finished reviewing the document and EO has been submitted to the manager
Approved	The EO is signed
<i>Rejected</i>	<i>A close out letter/withdrawal letter was signed</i>

2012 Calendar Year Average Application Processing Time



Carryover/Partial Carryover Overview

- New streamlined process for applications that are carryover from previous model year with minimal changes
- Staff will expedite review of application and issuance of EO
- Manufacturer will include cover letter with complete application package (including all checklist items)
- Manufacturer responsible to ensure application qualifies as carryover

Carryover/Partial Carryover Overview

- The streamlined process is an alternate certification pathway. Manufacturers may opt to use the traditional certification process.
- No piecemeal submittal of documents in the streamlined process.
- Manufacturers must include all of the documents in the certification checklist (including OBD approval) when submitting the carryover or partial carryover workflow to DMS.
- If an application does not meet the criteria for carryover/partial carryover, the workflow will be rejected. A new application may be resubmitted following the normal certification process.

Carryover Process

- Only model year, first character of family name, contact info, and sales data changes
- All other info is identical to the previous year including running changes
- Manufacturer signs carryover form letter under penalty of perjury
- Name Workflow on DMS with unique carryover identifier
- EO will be issued within 30 days
- OBD approvals are needed to issue EO

Partial Carryover Process

- Identical to carryover process except:
 - Model names, part numbers, and equipment types can change
 - EO will be issued within 45 days, as long as submitted during off peak season (Jan-Nov)
 - Staff may determine application does not qualify as partial carryover

DMS Workflow Naming

- Append keyword to beginning of workflow name:
 - “CARRYOVER_” for carryover process
 - “PCARRYOVER_” for partial carryover process

Diesel Engine E-Cert Status

- Staff finalizing Data Requirements by adding mode specific data requirements
- Workshop in the Fall
 - Present Data Requirements
 - Present XML schema and MS InfoPath form at workshop