

Appendix B-1

*Proposed Amendments to the Carbon Capture and
Sequestration Protocol Under the Low Carbon Fuel
Standard*

Geologic Carbon Storage Protocol

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Geologic Carbon Storage Protocol

October 20, 2026

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GEOLOGIC CARBON STORAGE PROTOCOL

A. DEFINITIONS AND APPLICABILITY

1. Applicability

The Geologic Carbon Storage (GCS) Protocol applies to GCS sites that store captured carbon dioxide (CO₂) onshore, in either saline or depleted oil and gas reservoirs, or oil and gas reservoirs used for CO₂-enhanced oil recovery (CO₂-EOR). The GCS Protocol applies to both new and existing GCS sites, provided the sites meet the requirements for permanence pursuant to section C of this protocol.

2. Definitions and Acronyms

(a) Definitions: For purposes of this GCS Protocol, the following definitions apply:

- (1) “Abandoned Well” means a well whose use has been permanently discontinued.
- (2) “Active life” means the operational phase of a GCS site in which injection and, if applicable, production occurs. The term omits the monitoring and site care phase of the GCS site following injection completion.
- (3) “Aqueous diffusion coefficient” is the magnitude of the molar flux through a surface per unit concentration gradient. Typical diffusion coefficients for organic compounds in aqueous solution range between 10⁻¹⁰ to 10⁻⁹ meters squared per second (m²/s).
- (4) “Artificial penetration” means any man-made structures, such as wells or mines, which provide a flow path out of the storage zone or storage complex.
- (5) “Assets” means all existing and all probable future economic benefits obtained or controlled by a particular entity.
- (6) “Atmospheric CO₂ leakage” means the intended or unintended release of stored CO₂ outside the storage complex to the surface and atmosphere.
- (7) “Borehole” means a cylindrical hole cut into rock or soil by drilling.
- (8) “Bottom-hole pressure” means the pressure at the bottom of the wellbore within the storage zone. It may be measured directly with a downhole pressure transducer, or in some cases estimated from the surface pressure and the height and density of the fluid column.
- (9) “Brine” is water containing dissolved minerals and inorganic salts in solution, including sodium, calcium, or bromides. Water containing dissolved solids in

excess of 10 grams per liter (g/L) is classified as brine. Large quantities of brine are often produced along with oil and gas.

- (10) “Capillary entry-pressure” means the pressure that a non-wetting fluid (e.g. CO₂) must overcome to displace water held tightly by capillary forces in the pores of a rock or sediment.
- (11) “Capillary pressure” means the pressure difference across the interface of two immiscible fluids (e.g., CO₂ and water).
- (12) “Casing” or “casing string” means a pipe or tubing of appropriate material (typically made of steel as used in oil and gas wells), of varying diameter and weight, lowered into a borehole during or after drilling in order to support the sides of the hole and thus prevent the walls from caving, to prevent the loss of drilling mud into porous ground, to prevent water, gas, or other fluid from entering or leaving the hole, or to allow conveyance of fluids to/from the surface from/to a specific location in the subsurface. “Long string casing” refers to the last, or longest, casing set through the storage or production reservoir. “Surface casing” refers to the first casing set in a well, which typically vary in length from a few hundred to a few thousand feet.
- (13) “Casing inspection log” are used to determine the presence or absence of corrosion in the casing. The log represents an individual measurement, or a combination of measurements, using acoustic, electrical, and mechanical techniques. The log records casing thickness and integrity, usually reported with an estimate of metal loss.
- (14) “Casing shoe” means the bottom of the casing string or the equipment run at the bottom of the casing string.
- (15) “CCUS Program” means the CARB’s Carbon Capture Removal Utilization and Storage Program regulations as set forth in title 17, California Code of Regulations, division 3, chapter 1, subchapter 10, article 4.5, (commencing with section 95700).
- (16) “Cement bond log” is a representation of the integrity of the cement bond between the casing and the formation. Of particular concern is the adherence of the cement to the outside of the casing. The log is typically obtained from one of a variety of sonic-type tools.
- (17) “CO₂-EOR” means the injection into and storage of CO₂ in oil reservoirs contributing to the extraction of crude oil.
- (18) “CO₂ injected” means the amount of injected CO₂ (metric ton (MT) CO₂). Excludes recycled CO₂ in the case of CO₂-EOR (equal to purchased CO₂ per year measured before the point of injection and after transportation).

- (19) “CO₂ injection” means the process of injecting CO₂ into the storage zone.
- (20) “CO₂ leakage” means any movement of stored CO₂ out of the storage complex, or any point down-stream of the injection site, to the atmosphere or surrounding subsurface.
- (21) “CO₂ plume” means the physical extent underground, in three-dimensions, of all phases of the injected CO₂ stream.
- (22) “CO₂ separation” means the process that separates CO₂ from produced oil, water, and natural gases for re-injection in the subsurface or transfer off site.
- (23) “CO₂ stream” means CO₂ that has been captured from an emission source (e.g., a power plant) or ambient air and may contain incidental associated substances derived from the source materials and the capture process, and any substances added to the stream to enable or improve the injection process.
- (24) “Completion interval” means the section of wellbore that has been prepared for production by creating channels between the reservoir formation and the wellbore.
- (25) “Computational model” means a mathematical representation of the subsurface portion of the GCS site and relevant features, including site geology, and fluids present. For a GCS site, site-specific geological information is used as an input to a computational code, creating a computational model that provides predictions of subsurface conditions, flow, and migration of the CO₂ plume and area of elevated pressure. The computational model includes all model input and predictions (i.e., outputs).
- (26) “Confining layer” means a laterally extensive geologic formation, group of formations, or part of a formation, stratigraphically overlying the storage zone that exhibits low permeability and/or high capillary entry-pressure (e.g. a clay-rich shale or mudstone) such that it impedes the upward migration of fluid(s). The “primary confining layer” refers to the confining layer that directly overlies the storage zone. “Secondary confining layer” refers to any confining layer that lies above the primary confining layer or beneath the storage zone
- (27) “Confining pressure” means the combined hydrostatic and lithostatic stresses, or the total weight of the interstitial pore water and rock above a specified depth.
- (28) “Confining system” is comprised of (1) a confining layer(s) to impede vertical migration of CO₂ and/or brine above the storage zone to the subsurface, surface, or atmosphere via possible leakage paths, and (2) a moderate

permeability layer(s) to dissipate any excess pressure caused by CO₂ injection and to provide opportunities for monitoring.

- (29) “Constitutive relationships” represent empirically based approximations used to simplify the real-world system and estimate unknowns. Examples include saturation-relative permeability relationships, interphase mass transfer relations, and solution reaction relations.
- (30) “Continuous” means the temporal frequency that statistically demonstrates the accurate representation of a variable taking into account any variability over a period of time.
- (31) “Corporate parent” means a corporation that directly owns at least 50 percent of the voting stock of the corporation that is the GCS operator; the latter corporation is deemed a subsidiary of the parent corporation.
- (32) “Corrective action” means the use of California Air Resources Board-approved well remediation methods to ensure that any artificial penetrations within a storage complex do not serve as conduits for the movement of fluids out of the intended storage complex.
- (33) “Corrosion” means the loss of metal due to chemical or electrochemical reactions that may cause loss of mass or thickness, cracking, or pitting of well components (casing, tubing, or packer).
- (34) “Corrosion coupons” are small, pre-weighed, and measured pieces of metal made of the construction materials that are exposed to well fluids for a defined period, then removed, cleaned, and weighed to determine the corrosion rate. The coupon is made from the same material as the well’s casing or tubing. The average corrosion rate in the well is calculated from the weight loss of the coupon.
- (35) “Corrosion loops” are sections of tubing that are valved so that some of the injection stream is passed through a small pipe running parallel to the injection pipe at the surface of the well. These loops allow for monitoring and analysis of corrosion.
- (36) “Current assets” means cash or other assets or resources commonly identified as those that are reasonably expected to be realized in cash, sold, or consumed during the normal operating cycle of the business.
- (37) “Current liabilities” means the obligations whose liquidation is reasonably expected to require the use of existing resources properly classifiable as current assets or the creation of other current liabilities.

- (38) "Darcy's law" is an equation that defines the ability of a fluid to flow through a porous medium such as rock. It relies on the fact that the amount of flow between two points is directly related to the difference in pressure between the points, the distance between the points, and the interconnectivity of flow pathways in the rock between the points.
- (39) "Depleted oil and gas reservoir" means any reservoir that does not currently produce oil or gas and is considered to have no economically recoverable oil or gas using current technology.
- (40) "Depositional environment" is a specific type of place on the surface of the earth in which certain chemical, biological, and physical characteristics affect the deposition of sediments. The three overarching types of depositional environment include continental, marginal marine, and deep marine.
- (41) "Dissipation interval" is a stratigraphic interval with hydrogeologic properties sufficient to attenuate pressure created by CO₂ or formation fluid migration along an unidentified leakage pathway through the confining system.
- (42) "Downhole measurements" are measurements collected from within the wellbore or borehole, either while drilling or during well maintenance or operation. Downhole measurements are used to determine physical, chemical, and structural properties of formations penetrated by a drill hole.
- (43) "Ductility" means the property of a rock by which the rock plastically deforms under a load, rather than breaking by fracturing.
- (44) "Elevated pressure" means the fluid response to CO₂ injection such that the pressure rise creates a risk of CO₂ or brine leakage.
- (A) In a normally pressured system, elevated pressure is defined as the pressure increase such that brine from the storage zone would be lifted above the storage complex if a conduit opened.
- (B) If the storage zone is naturally overpressured, such that brine would be lifted above the storage complex prior to injection if a conduit opened, elevated pressure is defined as a 20-psi increase, unless otherwise adjusted based on site and risk characteristics.
- (45) "Equation of state" refers to an equation that expresses the equilibrium phase relationship between pressure, volume, and temperature for a particular chemical species.
- (46) "Features, events, and processes" or "FEPs" means the relevant physical features, potential events, and natural or engineered processes considered in the Site-Based Risk Assessment.

- (47) "Fluid" means liquid or gas.
- (48) "Fluid pressure" means the measure of the potential energy per volume of fluid, based on force acting per unit area (psi or kPa).
- (49) "Formation compressibility" is the relative volume change of a formation per unit pressure change.
- (50) "Formation fluid" means any fluid that occupies pore space within a rock formation. Formation fluids occur as various saturations of oil, gas, and water, and are encountered in the process of drilling oil or gas and injection wells.¹
- (51) "Fracture parting pressure" is the pressure in the wellbore above which the injection of fluids will cause the rock formation to fracture hydraulically.
- (52) "Fracture pressure gradient" is the factor used to determine formation-fracturing pressure as a function of well depth in units of psi/ft.
- (53) "Free-phase CO₂ plume" means the portion of CO₂ in supercritical, gaseous, or liquid phase, rather than as a dissolved component in native fluid (e.g., dissolved in brine), that occupies pore space within the storage zone.
- (54) "Freshwater aquifer" means an aquifer that contains fewer than 10,000 mg/L total dissolved solids per the U.S. EPA Safe Drinking Water Act².
- (55) "GCS operator" or "operator" means the entity(ies) including an owner, who is responsible for operating and managing all activities at the GCS site.
- (56) "GCS site," "storage site," or "site" means the storage complex, all injection, production, monitoring, and observation wells and plugged and abandoned wells that penetrate the storage complex, and any facilities or infrastructure inclusive of and downstream of the injection meter or wellhead of the injection well (whichever is further upstream from injection) and any other location that is identified as requiring in-situ monitoring to ensure permanent storage of injected CO₂.
- (57) "Geographic location" means the location of a well or monitoring site as referenced to a geographic coordinate system (e.g. latitude and longitude).
- (58) "Geologic carbon storage" means the permanent (≥ 100 years) containment of CO₂ deep underground within porous rock formations.

¹ Schlumberger Limited Energy Glossary. https://glossary.slb.com/en/Terms/f/formation_fluid.aspx. Accessed: August 27, 2026.

² U.S. EPA Underground Injection Control Program, 40 C.F.R. §144 (2014).

- (59) “Geologic formation” means a body of rock characterized by a degree of lithologic homogeneity that is prevailing, but not necessarily, tabular and is mappable on the earth’s surface or traceable in the subsurface.
- (60) “Geomechanical analysis” means to study rock mechanical characteristics and properties, such as fault and reservoir rock stability and confining system integrity.
- (61) “Governing equation” means the mathematical formulae that form the basis of a computational code. For computational modeling, they govern the predicted behavior of fluids in the subsurface provided by the code. Governing equations are mathematical approximations for describing flow and transport of fluids and their components in the environment.
- (62) “Hydraulic conductivity” is a measure of a material's capacity to transmit a fluid. It is defined as a constant of proportionality relating the specific medium under a unit hydraulic gradient.
- (63) “Hydraulic head” is the force per unit area exerted by a column of liquid at a height above a depth and pressure of interest. If connected by permeable flow paths, fluids flow down a hydraulic gradient, from points of higher hydraulic head to points of lower hydraulic head.
- (64) “Injection well” is a well used for the purpose of placing fluid underground into porous geologic formations.
- (65) “Injectivity” means the pressure differential over existing reservoir pressure required to inject a unit volume of fluid in a given unit of time. It is typically expressed as psi/bbl/day (psi per barrel per day), but can be expressed in any combination of pressure, volume, and time units.
- (66) “Isopach map” means a contour map showing equal values of true stratigraphic thickness of a formation.
- (67) “Leak-off test” is a test to determine the strength or fracture pressure of the formation, usually conducted immediately after drilling below a new casing shoe.
- (68) “Liner” means a casing string that does not extend to the top of the wellbore (i.e., the ground surface), but instead is anchored or suspended from inside the bottom of the previous casing string.
- (69) “Lithofacies” means a mappable subdivision of a rock unit with distinctive and characteristic lithologic features.

- (70) "Lithology" means the general description and classification of a rock or rock sequence in terms of their color, texture, and composition.
- (71) "Lithostatic stress" means the component of confining pressure derived from the weight of the column of rock and fluid above a specified level.
- (72) "Mechanical integrity" means that all well barrier envelopes, including but not limited to, the tubing, packer, wellhead, and casing, reliably perform their primary functions of containing pressure and are free from leakage.
- (73) "Mechanical integrity test" means a test that consists of two parts conducted on a well to ensure that there are no leaks and that the mechanical components of the well function in a way that is protective of public health and the environment. The injection well has two parts: internal and external. The internal part has mechanical integrity if no leakage is noted in the packer, casing, or tubing. The external part has mechanical integrity if no movement of fluid is noted through the vertical channels that are adjacent to the well.
- (74) "Microannuli" means small gaps that may form between the casing or liner and the surrounding cement sheath within a well.
- (75) "Model domain" means the lateral extent of the model in all directions.
- (76) "Model parameter" means a variable in the governing equations of a computational model that may vary throughout the domain, or may vary in space and time. Various system aspects are sometimes lumped together in simulation models and described by effective parameters that are estimated or averaged. Parameters describe properties of the fluids present, porous media, and fluid sources and sinks (e.g., injection well). Examples of model parameters include intrinsic permeability, fluid viscosity, and fluid injection rate.
- (77) "Monitoring well" means a well dedicated for the use of monitoring physical reservoir properties (e.g., pressure, fluid levels, etc.) related to CO₂ injection. Monitoring wells are designed to extract fluid samples to measure fluid compositions. "Above-zone monitoring well" means a well screened across a dissipation zone above the storage zone and below the lowest underground source of drinking water (USDW). "Shallow monitoring well" means a well drilled to a depth of several to a few hundred feet, typically screened across shallow groundwater aquifers and USDWs.
- (78) "Multiphase flow" means the flow of two immiscible phases. For the purposes of the GCS Protocol, the pertinent phases are CO₂ (as a gas, liquid, or supercritical fluid), and brine or oil.

- (79) “Near-surface monitoring” means measurement-based techniques that evaluate physical, chemical, or biological conditions within the shallow subsurface, generally from the ground surface to a depth of several meters. Near-surface monitoring typically includes, but is not limited to, soil geochemical monitoring, soil conductivity monitoring, and other techniques designed to track variations in soil properties or shallow subsurface conditions.
- (80) “Net working capital” means current assets minus current liabilities.
- (81) “Net worth” means total assets minus total liabilities and is equivalent to owner’s equity.
- (82) “Observation well” means a well used to measure reservoir pressure and fluid levels and movement. An observation well is typically designed without the capability to extract fluid samples.
- (83) “Permanent storage” or “permanence” means stored CO₂ will remain within the storage complex for at least 100 years post-injection completion.
- (84) “Permeability” means the measure of a rock’s ability to transmit fluids.
- (85) “Petrophysical analysis” means the study of the fundamental chemical and physical properties of reservoir rocks and their contained fluids. The term, “petrophysics,” encompasses multiple types of rock studies, including core analysis, sample descriptions, petrography, scanning electron microscopy, well log analysis, and other forms of detailed laboratory data.
- (86) “Plugged and Abandoned Well” means an abandoned well that has been permanently sealed with a cement plug to prevent any CO₂ leakage out of the storage complex.
- (87) “Plume stabilization” means that CO₂ plume migration and pressure changes are small and predictable, such that the measured rate of plume migration has a high certainty of no CO₂ leakage over a 100-year period.
- (88) “Pore pressure” means the pressure of a fluid held within spaces between particles (i.e. pore space) in a rock.
- (89) “Pore space” means the voids in a rock or soil that can be filled by a fluid, such as water, air, or CO₂.
- (90) “Porosity” means the volume percentage of pore space.

- (91) "Post-injection site care" means appropriate monitoring and other actions (including corrective action) needed following the completion of injection to ensure permanence of stored CO₂.
- (92) "Post-injection site care and monitoring period" means the time between the last date of injection and 100 years after the last date of injection.
- (93) "Precipitation kinetics" means the rates of mineral precipitation from a solution. Mineralization reactions are very sensitive to kinetic rate parameters.
- (94) "Pressure transient well test" is a well test refers to the dynamic measurements of pressure in a wellbore during a shut-in process, which provides information on the characteristics of the reservoir formation and its ability to produce fluids.
- (95) "Primacy state agency" means the agency responsible for implementing the U.S. EPA Class VI Underground Injection Control program in a state that has received primacy for such program from the U.S. EPA.
- (96) "Production well" is a well used to produce fluids, such as oil, water, or gas.
- (97) "Reactive transport model" means a model of the chemical reactions between constituents (e.g., injected CO₂, formation fluids, and the reservoir rock). These models incorporate rate-limited intra-aqueous reactions, mineral dissolution and precipitation, changes in porosity and permeability due to these reactions, and multi-component gas mixtures to model and predict the impact of CO₂ and its co-injectates (e.g., hydrogen sulfide, sulfur dioxide) on aquifer acidification, the concomitant mobilization of metals, and any mineral trapping of CO₂. These models can also be used to assess corrosion of well construction materials.
- (98) "Recycled CO₂" means CO₂ that is separated from oil, water, and natural gases, and reinjected back into the reservoir.
- (99) "Relative permeability" means the ratio of the effective permeability of a particular fluid at a particular saturation to the absolute permeability of that fluid at total saturation (dimensionless). If a single fluid is present in a rock, its relative permeability is 1.0.
- (100) "Risk analysis" means the component of the Site-Based Risk Assessment in which each identified risk scenario is classified based on an estimation of its probability of occurrence during a 100-year period and the potential CO₂ leakage.

- (101) "Risk scenario" means the defined combination of specific features, events, and processes that describes a plausible sequence or condition leading to CO₂ leakage to be evaluated in the Site-Based Risk Assessment.
- (102) "Site closure" means the point or date, after at least 100 years and as determined by the Executive Officer following the requirements under subsection C.5.2, at which point the GCS operator is released from post-injection site care responsibilities.
- (103) "Skin factor" means a dimensionless pressure drop caused by a flow restriction in the near-wellbore region, typically associated with damage during drilling and well operations.
- (104) "Specific storage" means the volume of water released from storage from a unit volume of aquifer per unit decline in hydraulic head (displayed in L⁻¹).
- (105) "Step rate test" means a test in which a fluid is injected for a defined period in a series of increasing pump rates. The resulting data are used to determine the maximum safe injection rate possible without fracturing the reservoir rock.
- (106) "Storage coefficient" means the volume of water released from storage by a confined aquifer per unit surface area of aquifer per unit decline in hydraulic head normal to the surface and equal to the product of specific storage and the saturated thickness (dimensionless).
- (107) "Storage complex" means the three-dimensional subsurface volume comprised of a storage zone used for the injection and permanent storage of CO₂, the primary confining layer, and may include other formations that dissipate pressure or impede CO₂ plume migration vertically or laterally. CO₂ within the storage complex can be monitored for leakage.
- (108) "Storage zone" or "reservoir" means the sub-surface pore space into which CO₂ is injected for geologic storage.
- (109) "Stratigraphic test well" means a hole drilled for the sole purpose of gaining structural or stratigraphic information to aid in subsurface exploration.
- (110) "Stratigraphy" means the classification of sedimentary rocks based on their lithologic properties and geometric relations, such as spatial distribution, depositional environment, composition, and age.
- (111) "Supercritical CO₂" means the physical state where CO₂ exhibits properties of both a gas and a liquid when its temperature and pressure exceeds the critical temperature (87.98 °F) and pressure (1,071 psi).

- (112) “Surface monitoring” means observational or measurement-based techniques that assess environmental conditions at or above the ground surface without direct subsurface penetration. Surface monitoring typically includes, but is not limited to, ground-based observation, aerial monitoring (e.g., optical sensors, multi-spectral imaging) and ecosystem stress monitoring (e.g., satellite imagery, remote sensing).
- (113) “Tangible net worth” means the tangible assets that remain after deducting liabilities; such assets would not include intangibles such as goodwill and rights to patents or royalties.
- (114) “Total dissolved solids (TDS)” means milligrams per liter of total dissolved solids content. Solids content includes inorganic salts (principally calcium, magnesium, potassium, sodium, bicarbonates, chlorides, and sulfates) and some small amounts of organic matter that are dissolved in water.
- (115) “Transmissibility” means a measure of the conductivity of the formation corrected for the viscosity of the flowing fluid. It is a coefficient associated with Darcy’s law, which characterizes flow through porous media. It is equal to the coefficient of permeability (hydraulic conductivity) multiplied by the thickness of the formation.
- (116) “Transmissive fault or fracture” means a fault or fracture that has sufficient permeability and vertical extent to allow fluids to move laterally or vertically along the fault or fracture, or within an associated damaged zone.
- (117) “True stratigraphic thickness” means the thickness of rock layer after correcting for the dip (inclination) of the layer and the deviation of the well that penetrates it. Values of true stratigraphic thickness in an area can be plotted to create an isopach map.
- (118) “True vertical depth” means the vertical distance from a point in the well (usually the current or final depth) to a point at the surface. If the well is deviated, the measurement may be different from the “measured depth.”
- (119) “True vertical thickness” means the thickness of a layer of rock measured vertically from a reference point at the surface. Values of true vertical thickness in an area can be plotted to create an isopach map.
- (120) “Tubing” or “production tubing” means any tubing used to inject or produce fluids, respectively.
- (121) “Unconfined compressive stress” is a measure of a material’s strength. The unconfined compressive strength (UCS) is the maximum axial compressive stress that a right-cylindrical sample of material can withstand under unconfined conditions. It is also known as the “uniaxial compressive strength”

of a material because the application of compressive stress is only along one axis-the longitudinal axis-of the sample.

- (122) “Vadose zone” means the unsaturated zone of the subsurface above the groundwater table. The soil and rock within this zone typically contains air and water within its pore space.
- (123) “Verification” means a systematic, independent, and documented process for the evaluation of reported data against the requirements specified in this protocol. Verification occurs after a GCS operator submits annual reports or in the event of CO₂ leakage.
- (124) “Vertical stress” means the force per unit area imposed on a layer of rock. Vertical stress is the combined stress due to the total weight of rock and interstitial fluids above a specified depth.
- (125) “Viscosity” means the measure of a liquid’s resistance to flow.
- (126) “Well” or “wellbore” means a hole that is drilled into the Earth’s subsurface. A wellbore can be encased by materials such as steel and cement, or it may be uncased.
- (127) “Wireline” means a wire or cable that is used to deploy tools and instruments downhole and transmits data to the surface.
- (128) “Workover” means the process of performing major maintenance or remedial treatments on an injection or production well. In many cases, workover implies the removal and replacement of the production tubing string after the well has been killed and a workover rig has been placed on location.

(b) Acronyms:

“API” means American Petroleum Institute.

“ASTM” means ASTM International, formerly known as the American Society for Testing and Materials.

“CAA” means Clean Air Act.

“CalGEM” means California Geologic Energy Management Division.

“CARB” means California Air Resources Board.

“CCUS” means carbon capture, utilization, and storage.

“CERCLA” means Comprehensive Environmental Response, Compensation, and Liability Act.

“CH₄” means methane.

“CIL” means casing inspection log.

“CO₂” means carbon dioxide.

“CO₂-EOR” means CO₂-enhanced oil recovery.

“CWA” means Clean Water Act

“GCS” means geologic carbon storage.

“FEPs” means features, events and processes.

“GHG” means greenhouse gas.

“GPS” means global positioning system.

“MRR” means the Regulation for the Mandatory Reporting of Greenhouse Gas Emissions (title 17, California Code of Regulations, sections 95100 et seq.)

“MT” means metric ton.

“NPDES” means the National Pollution Discharge Elimination System under the Clean Water Act.

“PSD” means the Prevention of Significant Deterioration program under the Clean Air Act.

“PSI” means pounds per square inch.

“PTA” means pressure transient analysis.

“PVT” means pressure-volume-temperature.

“QA” means quality assurance.

“QC” means quality control.

“RCAL” means routine core analysis.

“RCRA” means the Resource Conservation and Recovery Act.

“SCAL” means special core analysis.

“SDWA” means Safe Drinking Water Act.

“TDS” means total dissolved solids.

“USDW” means underground source of drinking water.

“US EPA” or “EPA” means the United States Environmental Protection Agency

“US EPA UIC” or “UIC” means the United States Environmental Protection Agency Underground Injection Control program.³

“WAG” means water alternating gas.

³ EPA Underground Injection Control Program, 40 C.F.R. §144, §145, and §146 (2014).

B. QUANTIFICATION OF INJECTED CO₂

1. Injected CO₂ Calculation

The GCS operator must calculate the total annual amount of CO₂ injected into the storage reservoir (CO_{2injected}), quantified through direct measurement of either mass or volumetric flow and composition of injected CO₂ stream.

For mass flow measurement, CO_{2injected} can be calculated as:

$$\text{CO}_{2\text{injected}} = m_{\text{CO}_2} \times F_{\text{CO}_2}$$

For volumetric flow measurements, CO_{2injected} can be calculated as:

$$\text{CO}_{2\text{injected}} = V_{\text{CO}_2} \times C_{\text{CO}_2} \times \rho_{\text{CO}_2}$$

Where:

m_{CO_2} = total mass of CO₂ fluid injected at the GCS site (metric ton CO₂) over a specified timeframe

F_{CO_2} = mass fraction of CO₂ injected at the storage site (% mass)

V_{CO_2} = total volume of CO₂ injected at storage site (m³)

C_{CO_2} = volume fraction of CO₂ injected at storage site (% volume)

ρ_{CO_2} = density of CO₂ (metric ton CO₂/m³)

2. Analysis of the CO₂ Stream for Well Integrity

- (a) The GCS operator must sample and analyze the CO₂ stream at a frequency sufficient to yield data representative of the chemical and physical characteristics of the injectate (i.e., at least once every quarter), whenever the result may deviate from the original certified specifications, and as requested by the Executive Officer.
- (b) Analysis of the CO₂ stream must be reported quarterly or annually, pursuant to subsection C.1.1.2.2. The report must include characteristics such as fluid composition (i.e., fraction of CO₂ and other constituents measured on a volumetric or mass basis at a known temperature and pressure), temperature, pressure, and any other parameters needed to identify potential interactions between the injectate and the formation or well materials. The GCS operator must justify that the samples are representative of the fluid streams and suitable for use in accounting and fluid-flow modeling. The GCS operator must submit, at a minimum, the following:
 - (1) A list of chemicals analyzed, including CO₂ and other constituents (e.g., sulfur dioxide, hydrogen sulfide, nitrogen oxides);

- (2) A description of the sampling methodology, noting any differences from those listed in the Testing and Monitoring Plan and an explanation of why a different method was used;
 - (3) Any laboratory analytical methods used, the name of the laboratory performing the analysis, and official laboratory analytical reports including sample chain-of-custody forms;
 - (4) All sample dates and times;
 - (5) A tabulation of all available carbon dioxide stream analyses, including QA/QC samples;
 - (6) Interpretation of the results with respect to regulatory requirements and past results;
 - (7) Identification and explanation of data gaps, if any; and
 - (8) Any identified necessary changes to the Testing and Monitoring Plan.
- (c) The report must include a determination that any potential chemical reactions between the injectate and the formation or well materials are minimal and will not significantly affect the integrity of the well or the injectivity of the formation.
 - (d) The report must include a determination that no component of the injectate meets the qualifications of hazardous waste under the RCRA, 42 U.S.C. 6901 *et seq.* (1976), and/or CERCLA, 42 U.S.C. 9601 *et seq.* (1980).
 - (e) Injectate fluid samples must be collected from a point such that the sample is representative of the composition of the injectate. GCS operators must provide a demonstration of the suitability of the sample point, along with any calculations required for complex systems (e.g., CO₂-EOR operations with more than one source of CO₂).

3. Continuous Monitoring of Injection Rate and Volume

- (a) The GCS operator must continuously monitor the injection rate and volume for each GCS injection well with a temporal frequency that statistically demonstrates the accurate representation of the amount of injected CO₂ over a year taking into account any variability in the rate and volume of injection over time.
- (b) Flow rate data must be used (1) to determine the cumulative volume of CO₂ injected, and (2) to confirm compliance with the operational conditions of the Permanence Certification.

- (c) Monitoring requirements must include measurements of relevant parameters to account for the flow rate of injected fluids, and the concentration of the fluid stream.
- (d) GCS operators are required to perform the following measurements and monitoring for injected fluids:
 - (1) Flow rate of injection stream:
 - (A) Continuous measurement of the fluid flow rate, composition, and density, where continuous measurement is defined as empirical measurements with the temporal frequency that statistically demonstrates the accurate representation of the amount of injected CO₂ over a year taking into account any variability in the rate and volume of injection over time;
 - (B) Meter readings shall be temperature and pressure compensated such that the meter output is set to standard reference temperatures and pressures;
 - (C) Flow meters must be located such that accurate measurements can be collected for the purposes of quantifying CO₂ injected. Where possible, flow meters should be placed immediately upstream of the gas injection process, such that they are downstream of all capture, compression, and transport to account for any fugitive losses or venting. GCS operators must justify their meter placement in the Testing and Monitoring Plan pursuant to subsection C.4.1. Flow meters must be placed based on manufacturer recommendations;
 - (D) Flow meters must be calibrated according to manufacturer specifications, or at least every six years, whichever is shorter. Meters must be checked/calibrated at regular intervals according to these specifications and industry standards; and
 - (E) Ownership transfer must be clearly documented for CO₂ transferred (third-party injection activity).
 - (2) Concentration of injection stream:
 - (A) Continuous measurement of the fluid composition and density where continuous measurement is defined as empirical measurements with the temporal frequency that statistically demonstrates the accurate representation of the amount of injected CO₂ over a year taking into account any variability in the rate and volume of injection over time; and
 - (B) The fluid composition must be metered downstream of the capture and processing equipment, and volume measured upstream, prior to any mixing of new and recycled CO₂.

- (e) Injection rate and volume data must be submitted in the quarterly or annual reports pursuant to subsection C.1.1.2.2. The report must include, at a minimum:
- (1) Tabular data of all flow rate and concentration measurements and a description of interpretation of the data aided with charts or graphs;
 - (2) Any differences in measurement technology and methodology from those given in the Testing and Monitoring Plan and an explanation of why a different methodology was used;
 - (3) The monthly average flow rate;
 - (4) The monthly maximum and minimum values;
 - (5) The total volume (mass) injected each month;
 - (6) The cumulative volume (mass) calculated for the GCS site;
 - (7) Statistical uncertainty metrics for the measurements provided;
 - (8) If flow rate exceeded certified operational limits during the reporting period, an attestation that the government agencies that are tasked with ensuring well integrity for environmental and public health and safety have reviewed and approved continued operations given this operational change along with the documentation demonstrating this approval;
 - (9) Identification and explanation of data gaps, if any; and
 - (10) Any identified necessary changes to the Testing and Monitoring Plan and the justification for the changes.

C. PERMANENCE REQUIREMENTS FOR GEOLOGIC STORAGE

1. Permanence Certification of Geologic Carbon Storage Sites

1.1. Application and Certification

- (a) A GCS operator must apply for Preliminary GCS Site Certification pursuant to subsection C.1.1.1(c) or (d) and Final GCS Site Certification following subsection C.1.1.1(f) or (g), which are collectively called Permanence Certification. The application must include the data and plans specified in subsection C.1.1.1. A flow diagram depicting the application process for a typical GCS Permanence Certification is shown in Figure 1, below.

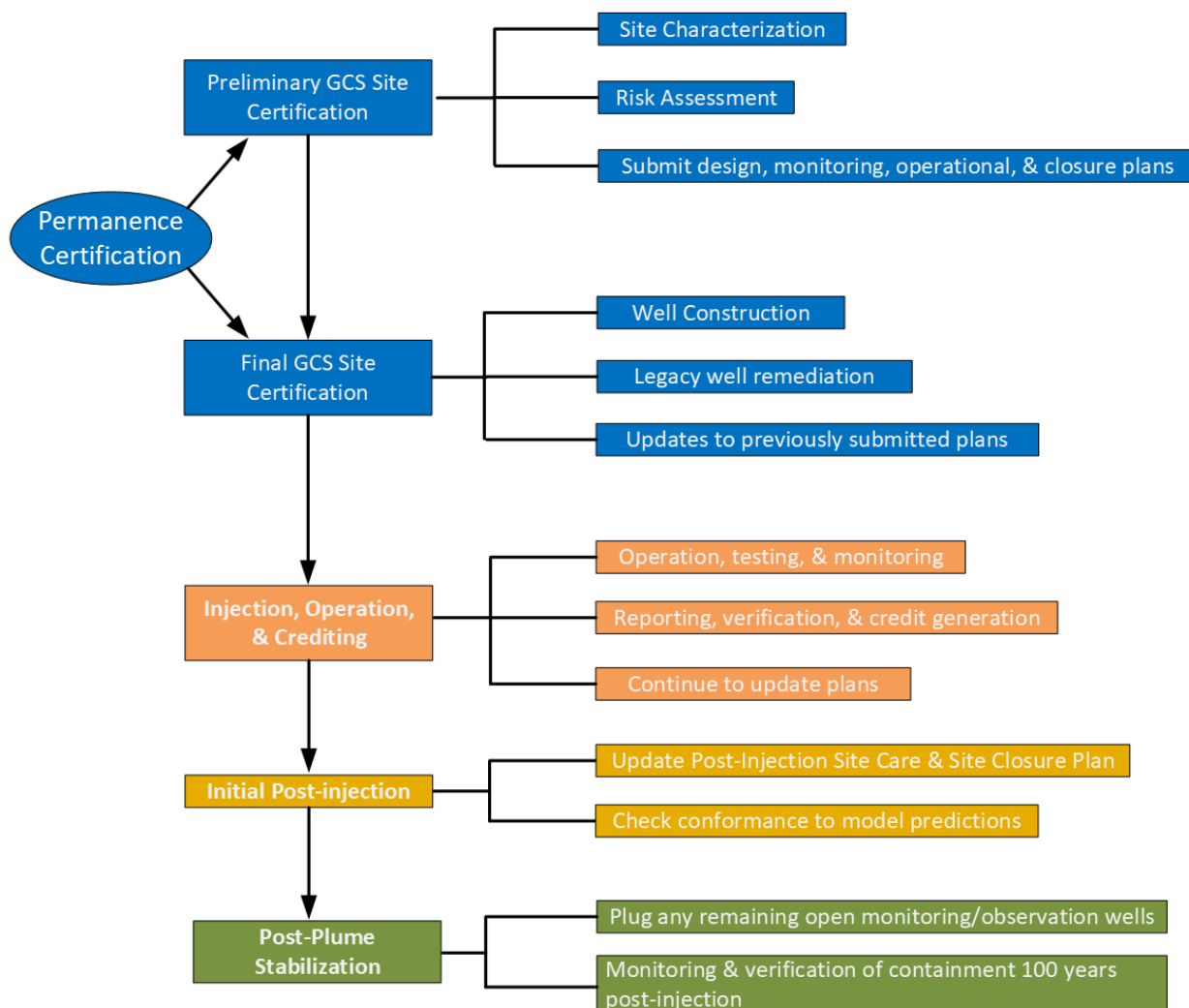


Figure 1. GCS Protocol certification, operation, and closure process.

- (b) If after reviewing the submitted material, the Executive Officer determines that the GCS site meets the specifications for storing carbon pursuant to the Permanence Requirements, the Executive Officer will post an initial determination along with the application package for public comment for 15 days. Only comments related to potential factual or methodological errors may be considered. The Executive Officer will forward to the applicant all comments identifying potential factual or methodological errors. Within 30 days, the applicant shall either submit revisions to its application to the Executive Officer or submit a detailed written response to the Executive Officer explaining why no revisions are necessary. The Executive Officer will evaluate the applicant's responses to the comments received and determine whether they have adequately addressed the potential factual or methodological errors identified in those comments. If deemed adequate, those responses, along with the final application and certification materials, will be posted to the CARB website, and the Executive Officer issue a Permanence Certification for the project by executive order. If the applicant fails to submit responses or the responses are deemed inadequate, the application will be denied.

1.1.1. Certification Application Materials

- (a) For GCS operators that are planning to store captured CO₂ in either saline or depleted oil and gas reservoirs not used for CO₂-EOR and that are also pursuing a Class VI UIC permit, applications for Permanence Certification, pursuant to the Permanence Requirements, must include Preliminary GCS Site Certification information pursuant to subsection C.1.1.1(c) and Final GCS Site Certification information pursuant to subsection C.1.1.1(f). Preliminary GCS Site Certification application requirements and Final GCS Site Certification application requirements for applicants who are also pursuing a Class VI permit are summarized in Tables 1 and 2, respectively. Final CARB Permanence Certification under this option requires an approved Class VI UIC permit and injection authorization.
- (b) For GCS operators that are planning to store captured CO₂ in oil and gas reservoirs used for CO₂-EOR, or for GCS sites receiving an injection permit other than Class VI, applications for Permanence Certification, pursuant to the Permanence Requirements, must include Preliminary GCS Site Certification information pursuant to subsection C.1.1.2(d) and Final GCS Site Certification information pursuant to subsection C.1.1.2(g). Preliminary GCS Site Certification application requirements and Final GCS Site Certification application requirements for applicants who are also pursuing a Class II permit or a permit other than Class VI are summarized in Tables 1 and 2, respectively. Final CARB Permanence Certification under this option requires an approved Class II UIC permit and injection authorization or approved injection permit and injection authorization from the applicable government authority.

Table 1. GCS Protocol Requirements Applicable to Preliminary GCS Site Certification Applications

GCS Protocol Section	Requirements for Class VI Applicants	Requirements for Class II and Other Applicants
C.1.1.1(c)(1) General Information	All subsections	All subsections
C.2.1 Minimum Site Selection Criteria	C.2.1(a)(2); C.2.1(a)(4); C.2.1(a)(5)	All subsections
C.2.2 Risk Assessment	All subsections	All subsections
C.2.3 Geologic and Hydrogeologic Evaluation	Not applicable	C.2.3; C.2.3.1; C.2.3.2
C.2.3.4 Formation and Well Testing Plan and Preliminary Report	Not applicable	C.2.3.3.1; C.2.3.3.3
C.2.4 Storage Complex Delineation	C.2.4; C.2.4.1; C.2.4.2	C.2.4; C.2.4.1; C.2.4.2
C.2.5 Corrective Action Plan	C.2.5(d)	C.2.5(a) through (d)
C.2.6 Baseline Testing and Monitoring Plan	C.2.6(a) through (c)	C.2.6(a) through (c)
C.3.1 Well Construction Plan	Attestation pursuant to C.1.1.1(c)(7)	C.3.1(a) through (e)
C.3.2 Well Operating Plan	Attestation pursuant to C.1.1.1(c)(8)	C.3.2(a) and (b)
C.4.1 Testing and Monitoring Plan	C.4.1.(a)(5), (8), (9), (10), and (11)	All subsections
C.4.2 Mechanical Integrity Testing Plan	Not applicable	All subsections
C.4.3.1 Injection Monitoring Plan	C.4.3.1.4	All subsections
C.4.3.2 Containment Monitoring Plan	C.4.3.2.3; C.4.3.2.4	All subsections
C.5.1 Well Plugging and Abandonment Plan	Not applicable	C.5.1(a) through (e)
C.5.2 Post-Injection Site Care and Site Closure Plan	C.5.2(a)(1) and (2)	C.5.2(a)(1) and (2)
C.6 Emergency and Remedial Response Plan	C.6(a) and (b)	C.6(a) and (b)
C.7 Financial Responsibility	C.1.1.1(c)(12)	C.7(b), (c), (e), and (h)
C.9 Legal Understanding	All subsections	All subsections
Additional Attestations and Documentation	C.1.1.1(c)(14); C.1.1.1(e)	C.1.1.1(d)(6); C.1.1.1(e)

Table 2. GCS Protocol Requirements Applicable to Final GCS Site Certification Applications. For those Requirements that point to sections within C.1.1.1, applicants must provide a narrative account of all updates or changes to information, plans, demonstrations, and assessments submitted with the Preliminary GCS Site Certification application for the applicable GCS Protocol section.

GCS Protocol Section	Requirements for Class VI Applicants	Requirements for Class II and Other Applicants
C.1.1.1(c)(1) General Information	Updates or changes pursuant to C.1.1.1(g)(1)	Updates or changes pursuant to C.1.1.1(h)(1)
C.2.1 Minimum Site Selection Criteria	Updates or changes pursuant to C.1.1.1(g)(1)	Updates or changes pursuant to C.1.1.1(h)(1)
C.2.2 Risk Assessment	Updates or changes pursuant to C.1.1.1(g)(1)	Updates or changes pursuant to C.1.1.1(h)(1)
C.2.3 Geologic and Hydrogeologic Evaluation	Not applicable	Updates or changes pursuant to C.1.1.1(h)(1)
C.2.3.5 Final Formation and Well Testing Final Report	Not applicable	C.2.3.3.2; C.2.3.3.3
C.2.4 Storage Complex Delineation	Updates or changes pursuant to C.1.1.1(g)(1)	Updates or changes pursuant to C.1.1.1(h)(1)
C.2.5 Corrective Action	C.2.5(f)(4)	C.2.5(f)
C.2.6 Baseline Testing and Monitoring Report	C.2.6(d) and (e)	C.2.6(d) and (e)
C.3.1 Well Construction Report	Attestation and documentation under C.1.1.1(g)(4)	Updates or changes pursuant to C.1.1.1(h)(1); C.3.1(f)
C.3.2 Well Operating Plan	Not applicable	Updates or changes pursuant to C.1.1.1(h)(1)
C.4.1 Testing and Monitoring Plan	Updates or changes pursuant to C.1.1.1(g)(1)	Updates or changes pursuant to C.1.1.1(h)(1)
C.4.2 Mechanical Integrity Testing Plan	Not applicable	Updates or changes pursuant to C.1.1.1(h)(1)
C.4.3.1 Injection Monitoring Plan	Updates or changes pursuant to C.1.1.1(g)(1)	Updates or changes pursuant to C.1.1.1(h)(1)
C.4.3.2 Containment Monitoring Plan	Updates or changes pursuant to C.1.1.1(g)(1)	Updates or changes pursuant to C.1.1.1(h)(1)
C.5.1 Well Plugging and Abandonment Plan	Not applicable	Updates or changes pursuant to C.1.1.1(h)(1)

C.5.2 Post-Injection Site Care and Site Closure Plan	Updates or changes pursuant to C.1.1.1(g)(1)	Updates or changes pursuant to C.1.1.1(h)(1)
C.6 Emergency and Remedial Response Plan	Updates or changes pursuant to C.1.1.1(g)(1)	Updates or changes pursuant to C.1.1.1(h)(1)
C.7 Financial Responsibility	Updates or changes pursuant to C.1.1.1(g)(1)	Updates or changes pursuant to C.1.1.1(h)(1)
Additional Attestations and Documentation	C.1.1.1(i)	C.1.1.1(i)

(c) GCS operators that plan to store captured CO₂ in either saline or depleted oil and gas reservoirs not used for CO₂-EOR and that are also pursuing a Class VI UIC permit must include the following in their application for Preliminary GCS Site Certification:

(1) GCS operators must provide the following information:

(A) A brief description of the operations at the GCS site; and

(B) The name, mailing address, and latitude and longitude of the GCS site or well for which the Permanence Certification is submitted; and

(C) The GCS operator's name, address, and telephone number; and

(D) A map of the storage site overlaid onto an aerial photograph, illustrating:

1. The locations of the injection well(s) and monitoring wells at the surface;
2. The locations of surface facilities at the GCS site;
3. The surface projection of the storage complex; and
4. Labeled polygons and points in non-proprietary vector format such that it can be examined in a Geographical Information System program.

(2) A demonstration that the GCS site meets the minimum site selection criteria pursuant to subsections C.2.1(a)(2) and C.2.1(a)(4);

(3) A Site-Based Risk Assessment pursuant to subsection C.2.2;

(4) A Storage Complex Delineation pursuant to subsection C.2.4;

- (5) A Corrective Action Plan pursuant to subsection C.2.5(d) that requires corrective action to be completed prior to Final GCS Site Certification for all wells at the GCS site that penetrate the storage complex and require corrective action in accordance with relevant local and state oversight authorities' requirements, standards, and guidance;
- (6) A Baseline Testing and Monitoring Plan pursuant to subsection C.2.6;
- (7) An attestation, signed by an authorized representative of the GCS operator, that all injection, monitoring, observation, and production wells at the GCS site will be constructed in accordance with EPA Class VI, primacy state agency, or the relevant government agency regulations, standards, and guidance and the requirements of subsections C.3.1(a), C.3.1(c)(1), C.3.1(c)(3) through (6), C.3.1(d)(1) through (2), and C.3.1(e)(1) through (3) of this GCS Protocol.
- (8) An attestation, signed by an authorized representative of the GCS operator, that the GCS operator will adhere to operating conditions and restrictions of the site as approved or certified by the appropriate federal, state, and local authorities that oversee such operations.
- (9) A Testing and Monitoring Plan that includes an Inspection and Leak Detection Plan pursuant to subsection C.4.3.1.4, a plan for surface and near-surface monitoring pursuant to subsection C.4.3.2.3, an operational seismicity monitoring plan pursuant to subsection C.4.3.2.4, a demonstration of how the monitoring plan and methods will be designed to detect and quantify CO₂ leakage pursuant to subsection C.4.1(a)(10), and a description of the methods used to measure and quantify CO₂ leakage from the storage complex pursuant to subsection C.4.1(a)(11);
- (10) A Post-Injection Site Care and Site Closure Plan pursuant to subsection C.5.2;
- (11) An Emergency and Remedial Response Plan pursuant to subsection C.6;
- (12) A financial responsibility demonstration in accordance with the requirements set forth in the CCUS program regulation sections 95704.5(a)(1) through (4), except as specified below:
 - (A) Wherever the term "U.S. EPA-approved" is used, the term "U.S. EPA-approved or primacy state agency-approved" must be substituted. Wherever the term "U.S. EPA" or "EPA" is used, the term "U.S. EPA or primacy state agency" must be substituted.
 - (B) In section 95704.5(a)(1), the reference to "40 CFR section 146.85(a)(5)(i)" is adapted as "40 CFR section 146.85(a)(5)(i) or equivalent primacy state

agency regulation requirement”.

- (C) In section 95704.5(a)(2)(A), the reference to “40 CFR section 146.85(a)(2)” is adapted as “40 CFR section 146.85(a)(2) or equivalent primacy state agency regulation requirement”.
 - (D) In section 95704.5(a)(2)(B), the reference to “section 95704.4” is adapted as “subsection C.6”.
 - (E) In section 95704.5(a)(2)(C), the reference to “section 95704.1” is adapted as “subsection C.4.3.2.3”.
 - (F) In section 95704.5(a)(2)(D), the reference to “section 95704.2” is adapted as “subsection C.4.3.2.1”.
 - (G) In section 95704.5(a)(2)(E), the reference to “section 95704.3” is adapted as “subsections C.2.6(b)(3), C.4.3.2.4, and C.5.2(a)(2)(E)”.
 - (H) In section 95704.5(a)(3), the reference to “40 CFR section 146.85(a)(1)(i)-(vi)” is adapted as “40 CFR section 146.85(a)(1)(i)-(vi) or equivalent primacy state agency regulation requirement”.
 - (I) In section 95704.5(a)(3)(B), the reference to “40 CFR section 146.85(a)(6)(i)” is adapted as “40 CFR section 146.85(a)(6)(i) or equivalent primacy state agency regulation requirements”.
 - (J) In section 95704.5(a)(3)(E), the reference to “40 CFR section 146.85(a)(6)(v)” is adapted as “40 CFR section 146.85(a)(6)(v) or equivalent primacy state agency regulation requirements”.
- (13) A legal understanding demonstration pursuant to subsection C.9; and
 - (14) An attestation, signed by an authorized representative of the GCS operator, indicating that the GCS operator has applied for all applicable construction and operating permits and approvals for the storage site, including a Class VI UIC permit.
- (d) GCS operators that plan to store captured CO₂ in oil and gas reservoirs used for CO₂-EOR, or GCS sites receiving an injection permit other than Class VI, must include the following in their application for Preliminary GCS Site Certification:
 - (1) GCS operators must provide the following general information:
 - (A) A brief description of the operations at the GCS site;

- (B) The name, mailing address, and latitude and longitude of the GCS site or well for which the Permanence Certification is submitted;
- (C) The GCS operator's name, address, and telephone number;
- (D) A map of the storage site overlaid onto an aerial photograph, illustrating:
 - 1. The locations of the injection well(s) and monitoring wells at the surface;
 - 2. The locations of surface facilities at the GCS site;
 - 3. The surface projection of the storage complex; and
 - 4. Labeled polygons and points in non-proprietary vector format such that it can be examined in a Geographical Information System program.
- (2) A demonstration that the GCS site meets the minimum site selection criteria pursuant to subsection C.2.1;
- (3) A Site-Based Risk Assessment pursuant to subsection C.2.2;
- (4) The following plans:
 - (A) A Geologic Evaluation report pursuant to subsection C.2.3, including a:
 - 1. A Formation and Well Testing Plan following subsection C.2.3.4(a); and
 - 2. A Preliminary Formation and Well Testing Report following subsection C.2.3.4(b).
 - (B) A Storage Complex Delineation pursuant to subsection C.2.4, including:
 - 1. A description of the computational model used following subsection C.2.4.1; and
 - 2. A report on the results of the plume extent modeling following subsection C.2.4.2.
 - (C) A Corrective Action Plan pursuant to subsection C.2.5;
 - (D) A Baseline Testing and Monitoring Plan pursuant to subsection C.2.6;
 - (E) A Well Construction Plan pursuant to subsection C.3.1;

- (F) A Well Operating Plan pursuant to subsection C.3.2;
 - (G) A Testing and Monitoring Plan pursuant to subsection C.4.1, including:
 - 1. A Mechanical Integrity Testing Plan pursuant to subsection C.4.2;
 - 2. An Injection Monitoring Plan pursuant to subsection C.4.3.1; and
 - 3. A Containment Monitoring Plan pursuant to subsection C.4.3.2.
 - (H) A Well Plugging and Abandonment Plan pursuant to subsection C.5.1;
 - (I) A Post-Injection Site Care and Site Closure Plan pursuant to subsection C.5.2; and
 - (J) An Emergency and Remedial Response Plan pursuant to subsection C.6.
- (5) The following demonstrations:
- (A) A Financial responsibility demonstration pursuant to subsection C.7;
 - (B) A Legal understanding demonstration pursuant to subsection C.9; and
- (6) An attestation, signed by an authorized representative of the GCS operator, indicating that the GCS operator has applied for all applicable construction and operating permits and approvals for the storage site, including a Class II UIC permit or applicable injection permit.
- (e) Prior to Executive Officer approval of Preliminary GCS Site Certification, the GCS operator must provide:
- (1) An attestation, signed by an authorized representative of the GCS operator, indicating that this Permanence Certification application is in accordance with and does not conflict with or contradict any prior approvals or certifications given for the GCS site;
 - (2) Class VI or Class II UIC permit from EPA or the primacy state agency, or an injection permit from the relevant government agency; and
 - (3) Current copies of all other applicable construction and operating permits, authorizations, and approvals for the GCS site.
- (f) Preliminary GCS Site Certification will be implemented by an executive order from CARB.

- (g) GCS operators that plan to store captured CO₂ in either saline or depleted oil and gas reservoirs not used for CO₂-EOR and that are also pursuing a Class VI UIC permit must include the following in their application for Final GCS Site Certification:
- (1) A narrative account of all updates or changes to information, plans, demonstrations, and assessments submitted with the application for Preliminary GCS Site Certification (see subsection C.1.1.1(c));
 - (2) An attestation of completed Corrective Action and supporting documentation pursuant to subsection C.2.5(f)(4).
 - (3) A Baseline Testing and Monitoring Report pursuant to subsection C.2.6(d);
 - (4) An attestation, signed by an authorized representative of the GCS operator, that all injection, monitoring, observation, and production wells at the GCS site have been constructed in accordance with EPA Class VI, primacy state agency, or applicable government agency regulations and the requirements of subsections C.3.1(a), C.3.1(c)(1), C.3.1(c)(3) through (6), C.3.1(d)(1) through (2), and C.3.1(e)(1) through (3) of this GCS Protocol. The GCS operator must also submit as-built schematics or other appropriate drawings of the surface and subsurface construction details of all injection, production, monitoring, and observation wells associated with operations at the GCS site that penetrate the storage complex. The GCS operator must also provide documentation that the relevant government agencies that oversee well construction have reviewed construction of all wells in accordance with the requirements of subsections C.3.1(a), C.3.1(c)(1), C.3.1(c)(3) through (6), C.3.1(d)(1) through (2), and C.3.1(e)(1) through (3) of this GCS Protocol. If such oversight agency review documentation cannot be provided, the GCS operator must provide a Well Construction Report pursuant to subsections C.3.1(c)(6), C.3.1(d)(4), and C.3.1(f) for all wells for which such oversight agency review documentation cannot be provided.
- (h) GCS operators that plan to store captured CO₂ in oil and gas reservoirs used for CO₂-EOR or GCS sites receiving an injection permit other than Class VI must include the following in their application for Final GCS Site Certification:
- (1) A narrative account of all updates or changes to information, plans, demonstrations, and assessments submitted with the application for Preliminary GCS Site Certification (see subsection C.1.1.1(d));
 - (2) A Final Formation and Well Testing Report pursuant to subsection C.2.3.5;
 - (3) An updated Storage Complex Delineation and a report on the computational modeling results pursuant to subsection C.2.4.2;

- (4) A Corrective Action Report pursuant to subsection C.2.5(f);
 - (5) A Baseline Testing and Monitoring Report pursuant to subsection C.2.6(d);
 - (6) A Well Construction Report pursuant to subsections C.3.1(c)(6), C.3.1(d)(4), and C.3.1(f), and as-built schematics or other appropriate drawings of the surface and subsurface construction details of all injection, production, monitoring, and observation wells associated with operations at the GCS site that penetrate the storage complex; and
 - (7) A Mechanical Integrity Testing Report pursuant to subsection C.4.2.1(a); and
- (i) Prior to Executive Officer approval of Final GCS Site Certification, the GCS operator must provide:
 - (1) An attestation, signed by an authorized representative of the GCS operator, indicating that the GCS site and its component parts and operator have been approved or certified by all relevant governmental agencies, and that this Permanence Certification application is in accordance with and does not conflict with or contradict any prior approvals or certifications given for the GCS site.
 - (2) Final injection authorizations from EPA, the primacy state agency, and relevant government agencies; and
 - (3) Current copies of all other applicable construction and operating permits, authorizations, and approvals for the GCS site.
 - (j) Final GCS Site Certification will be implemented by an executive order from CARB.
 - (k) Following Final GCS Site Certification, the GCS operator must follow all requirements and conditions in the Final GCS Site Certification executive order and the applicable construction, operation, and post-injection requirements in this GCS Protocol as outlined in Table 3.

Table 3. GCS Protocol Implementation Requirements Following Final GCS Site Certification

GCS Protocol Section	Requirements for GCS operators (Class VI)	Requirements for GCS operators (Class II and Others)
B. Quantification of Injected CO ₂	All subsections	All subsections

C.1.1.2 Reporting	C.1.1.2.1; C.1.1.2.2; C.1.1.2.3(a) and (c); C.1.1.2.4; C.1.1.2.5(a), (c), and (d)	C.1.1.2.1; C.1.1.2.2; C.1.1.2.3(b) and (c); C.1.1.2.4; C.1.1.2.5
C.1.1.3 Recordkeeping	All subsection	All subsections
C.1.2 Terms and Conditions	All subsections	All subsections
C.2.4 Storage Complex Delineation	C.2.4.3	C.2.4.3
C.2.5 Corrective Action	C.2.5(g)	C.2.5(g)
C.3.1 Well Construction	C.3.1(g)	C.3.1(a), C.3.1(g)
C.3.2 Well Operation	C.3.2(c) through (f)	C.3.2(c) through (f)
C.3.3 Operating Restrictions and Incident Response	All subsections	All subsections
C.4.1 Testing and Monitoring	Not applicable	C.4.1(a)(13) through (15)
C.4.2 Mechanical Integrity Testing	Not applicable	All subsections
C.4.3 GCS Site Monitoring	Not applicable	All subsections
C.4.3.1 Injection Monitoring	C.4.3.1.4;	All subsections
C.4.3.2 Containment Monitoring	C.4.3.2.3; C.4.3.2.4	All subsections
C.5.1 Well Plugging and Abandonment	Not applicable	C.5.1(f) through (l)
C.5.2 Post-Injection Site Care and Site Closure	C.5.2(a)(3) through (5); C.5.2(b) through (g)	C.5.2(a)(3) through (5); C.5.2(b) through (g)
C.6 Emergency and Remedial Response	C.6(c) through (e)	C.6(c) through (e)
C.7 Financial Responsibility	C.7(a)	C.7(b) through (h)
C.8 Modification or Revocation and Reissuance of Permanence Certification	All subsections	All subsections
D. Verification	All subsections except D.1(d)(2)(E)	All subsections

1.1.2. Reporting

1.1.2.1 Electronic Reporting

- (a) The GCS operator must submit to the Executive Officer any reports, submittals, notifications, and records made and maintained by the operator under this Permanence Certification in an electronic format. The accuracy of all electronic submissions must be attested to at the time of submission.
- (b) The GCS operator is solely responsible for ensuring that the Executive Officer receives its reports, submittals, notifications, and records as required in this section. For the Executive Officer to be able to deem an electronically submitted

report to be valid, the report must be accompanied by a digital signature that meets the requirements of California Code of Regulations, title 2, sections 22000 *et seq.*

- (c) Failure to adhere to reporting requirements may result in the revocation of an issued Permanence Certification.

1.1.2.2 Quarterly or Annual Quantification Reporting

- (a) The GCS operator can elect to submit the reports related to quantification of injected CO₂ pursuant to section B quarterly or annually (depending on how often the GCS operator elects to undergo verification). The quantification reports must include:
 - (1) Analysis of the CO₂ stream pursuant to subsection B.2(b); and
 - (2) Injection rate and volume pursuant to subsection B.3(e).
- (b) Quarterly reports must be submitted by the following dates:
 - (2) June 30 – for the first calendar quarter covering January through March;
 - (3) September 30 – for the second calendar quarter covering April through June;
 - (4) December 31 – for the third calendar quarter covering July through September; and
 - (5) March 31 – for the fourth calendar quarter of the prior year covering October through December.
- (c) Annual reports must be submitted by March 31st.
- (d) All reports must include an attestation that the information submitted is true, accurate, and complete.

1.1.2.3 Annual Permanence Reporting

- (a) GCS operators that store captured CO₂ in either saline or depleted oil and gas reservoirs not used for CO₂-EOR and that have a Class VI UIC permit must submit annual reports that include:
 - (1) The results of monitoring injected CO₂ and containment pursuant to subsections C.4.3.1.4, C.4.3.2.3, and C.4.3.2.4;
 - (2) A summary of any incidents or changes in operational parameters that triggered a storage complex re-evaluation following subsections C.2.4.3, C.2.4.3.1, and C.3.3;

- (3) The results of each storage complex re-evaluation and a report of the actions taken by the GCS operator as a result of the re-evaluation, to be performed no less than once every five years, pursuant to subsection C.2.4.3;
 - (4) A summary of any corrective action taken by the GCS operator, pursuant to subsection C.2.5. If previously unidentified wells that penetrate the storage complex were identified during the reporting period, the GCS operator must provide updated maps and CO₂ monitoring plans, or demonstrate that no update is needed;
 - (5) A summary of any incidents that required implementation of emergency and remedial response pursuant to subsection C.6;
 - (6) An attestation, signed by an authorized representative of the GCS operator, indicating that the operator and GCS site are in compliance with all applicable operating permits, including the Class VI UIC permit, and approvals and that the financial responsibility and legal instruments continue to be valid, and that the site and operations continue to adhere to the requirements and plans in this protocol and application provided to assess permanence; and
 - (7) Any other information required by the Executive Officer.
- (b) GCS operators that store captured CO₂ in oil and gas reservoirs used for CO₂-EOR or GSC sites that have an injection permit other than Class VI must submit annual reports that include:
- (1) The results of monitoring injected CO₂ and containment pursuant to subsection C.4.3;
 - (2) A summary of any incidents or changes in operational parameters that triggered a storage complex re-evaluation following subsections C.2.4.3, C.2.4.3.1, and C.3.3;
 - (3) The results of each storage complex re-evaluation and a report of the actions taken by the GCS operator as a result of the re-evaluation, to be performed no less than once every five years, pursuant to subsection C.2.4.3;
 - (4) A summary of any incidents that required implementation of emergency and remedial response pursuant to subsection C.6;
 - (5) Mechanical integrity testing results of wells pursuant to subsection C.4.2.1, as well as reports documenting any incidents where the loss of mechanical integrity occurred and a demonstration of the actions taken by the GCS operator to mitigate or repair the well;

- (6) Results of pressure transient well testing of injection and production wells at least once every five years pursuant to subsection C.4.3.1.2(b). Transient well testing results must be submitted to the Executive Officer in writing within 30 days following each test, and the results of these tests must be included with the annual report pursuant to subsection C.4.3.1.2(b);
 - (7) A report of any corrective action taken by the GCS operator and a justification for why and how the corrective action was implemented, pursuant to subsection C.2.5;
 - (8) An attestation, signed by an authorized representative of the GCS operator, indicating that the operator and GCS site is in compliance with all applicable operating permits and approvals, with all plans provided in the applications, and that the financial responsibility and legal instruments continue to be valid; and
 - (9) Any other information required by the Executive Officer.
- (c) Annual reports must be submitted by March 31st and must include an attestation that the information submitted is true, accurate, and complete.

1.1.2.4 Advanced Notice Reporting

- (a) The GCS operator must give written notice to the Executive Officer, as soon as possible, of any planned physical alterations or additions to the GCS site other than minor repair/replacement or maintenance activities. An analysis of any changes to the composition of the injection fluid must be submitted to the Executive Officer for review and written approval at least 30 days prior to injection; this approval may result in a GCS Permanence Certification modification.

1.1.2.5 Additional Reporting

- (a) Noncompliance: The GCS operator must report all instances of noncompliance with a Permanence Certification condition within 30 days of the noncompliance event. The reports must contain a description of the cause of the noncompliance, the period of noncompliance, including exact dates and times, and, if the noncompliance has not been corrected, the anticipated time it is expected to continue as well as actions taken to implement appropriate protocols outlined in the Emergency and Remedial Response Plan, and steps taken or planned to reduce, eliminate, and prevent recurrence of the noncompliance.
- (b) Well plugging and abandonment: GCS operators that store captured CO₂ in oil and gas reservoirs used for CO₂-EOR or GCS sites with an injection permit other than Class VI must submit, in writing, a Notice of Intent to Plug 30 days before plugging any well that is part of the GCS site pursuant to subsection C.5.1(h). If

amendments to the Well Plugging and Abandonment Plan are necessary, a revised plan must be submitted with the notice of intent, following subsection C.5.1(i). Within 60 days of plugging, the GCS operator must submit a plugging report pursuant to subsection C.5.1(k).

- (c) Other information: When the GCS operator becomes aware of failure to submit any relevant facts in the Permanence Certification or that incorrect information was submitted in a Permanence Certification or in any report to the Executive Officer, the GCS operator must submit such facts or corrected information within 10 days.
- (d) Reports must be submitted by March 31st and must include an attestation that the information submitted is true, accurate, and complete.

1.1.3 Recordkeeping

- (a) The GCS operator must retain records and all monitoring information, including all calibration and maintenance records and all original chart recordings for continuous monitoring instrumentation and copies of all reports required by the Permanence Certification (including records from before and during the active life of the GCS site, and the post-injection site care and monitoring period).
- (b) The GCS operator must maintain records of all data required to complete the Permanence Certification and any supplemental information (e.g. modeling inputs for storage complex delineations and plume extent re-evaluations, plan modifications, etc.) submitted under subsection C.1.1.1 and reports submitted under subsection C.1.1.2.
- (c) The GCS operator must retain records concerning the nature and composition of all injected fluids.
- (d) The GCS operator must retain records and all monitoring information for the post-injection site care and monitoring period (see subsection C.5.2).
- (e) The GCS operator must retain records pursuant to this section until the Executive Officer approves site closure unless the operator obtains written approval from the Executive Officer to discard the records prior to approval of site closure.

1.2 Terms and Conditions

- (a) Any changes to the operational parameters of a Permanence Certification are subject to approval by the Executive Officer and must be noted in either an addendum to the Permanence Certification or a revised Permanence Certification.

- (b) Permanence Certification must expire, and be deemed null and void, upon the first day following 24 consecutive months of no injection at the GCS site, and a new approval process and re-certification would be required prior to restarting injection.

2 Site Characterization

2.1 Minimum Site Selection Criteria

- (a) As part of the application for Preliminary GCS Site Certification, the GCS operator must demonstrate that the geologic system comprises:
 - (1) A storage zone of sufficient volume, porosity, permeability, and injectivity to receive the total anticipated volume of the CO₂ stream;
 - (2) A minimum injection depth of 800 m (2,600 ft), or the depth corresponding to pressure and temperature conditions where CO₂ exists in a supercritical state (>31°C and >7 MPa);⁴
 - (3) A confining system free of transmissive faults or fractures and of sufficient areal extent, integrity, thickness, and ductility to contain the injected CO₂ stream and displaced formation fluids and allow injection at proposed maximum pressures and volumes without initiating or propagating fractures in the primary confining layer; and
 - (4) A confining system comprising a layered interval of (1) low permeability formations to impede vertical migration of CO₂ and/or brine above the storage complex to the subsurface, surface, or atmosphere via possible leakage paths, and (2) moderate permeability formations to dissipate any excess pressure caused by CO₂ injection and to provide opportunities for monitoring, measurement, and verification of containment.
 - (5) Depending on the distance between the storage zone and basement rock, the Executive Officer may require the GCS operator to identify and characterize additional dissipation interval(s) below the storage complex to limit the extent of downward overpressure propagation and lower the potential for induced seismicity within formations beneath the injection zone.

2.2 Risk Assessment

- (a) As part of the application for Preliminary GCS Site Certification, the GCS operator must complete a Site-Based Risk Assessment that:

⁴ Lin, H., Takashi, F., Reisuke, T., Takahashi, T., and Hashida, T., Experimental evaluation of interactions in supercritical CO₂/water/rock minerals system under geologic CO₂ sequestration conditions (2008) Journal of Materials Science, v. 43, n. 7, p. 2307–2315.

- (1) Describes all reasonably anticipated risk scenarios, based on a relevant set of features, events, and processes (FEPs), that could result in CO₂ leakage;
 - (2) Documents the results of the risk analysis;
 - (3) Quantifies the cumulative risk of CO₂ leakage over 100 years post-injection pursuant to subsection C.2.2(e); and
 - (4) Describes the steps the GCS operator will take to manage, monitor, avoid, or minimize the risks identified in the Site-Based Risk Assessment.
- (b) The GCS operator must use the results of the Site-Based Risk Assessment to inform and design the Testing and Monitoring Plan required under subsection C.4.1.
- (c) At a minimum, the Site-Based Risk Assessment must examine:
- (1) All reasonably anticipated risk scenarios, based on a relevant set of FEPs, that result in CO₂ leakage using historical data, predictive modeling, or expert judgment obtained through a formal elicitation procedure.
 - (A) The GCS operator may use alternative risk assessment methods that provide equivalent or better information, and that are approved by the Executive Officer.
 - (2) Any uncertainties identified during site characterization or well installation that result in a material impact on storage permanence. Examples include, but are not limited to:
 - (A) High permeability zones that may lead to horizontal CO₂ leakage;
 - (B) Natural or well-related flaws in the confining system that may allow vertical CO₂ leakage; and
 - (C) Geomechanically sensitive features that may be activated by pressure changes causing an increase in permeability that may lead to CO₂ leakage.
- (d) The GCS operator must conduct a risk analysis to classify the risk of CO₂ leakage. The classification must be based on an evaluation of the probability of occurrence and the potential CO₂ leakage for each risk scenario developed under subsection C.2.2(c).
- (1) Risk scenarios developed under subsection C.2.2(c) must be classified as high risk, medium risk, or low risk, according to the combination of probability

of occurrence during a 100-year period and the potential CO₂ leakage (see Table 4, below).

Table 4. Risk scenario classification (100-year cumulative probability).

		Potential CO ₂ Leakage ¹			
		PL ² ≤ 0.1%	>0.1-0.5%	>0.5-1%	>1%
Probability of Occurrence over 100 years	>10%	Medium risk	High risk	High risk	High risk
	>5-10%	Medium risk	Medium risk	High risk	High risk
	>1-5%	Low risk	Medium risk	Medium risk	High risk
	≤1%	Low risk	Low risk	Medium risk	Medium risk

¹The potential CO₂ leakage is based upon the total projected amount of CO₂ to be injected during the active life of the GCS site.

² PL = Potential CO₂ leakage.

- (2) The GCS operator must justify the probability of occurrence and potential CO₂ leakage by using one of the following methodologies:
 - (A) Quantitative analysis methods by defining values precisely to the extent possible (e.g., Monte Carlo Simulation, NRAP, etc.).
 - (B) Qualitative analysis where data limitations prevent quantitative analysis (e.g., descriptive scales, expert judgment, and categorical ratings). If this approach is used, to be conservative when calculating the cumulative probability and cumulative CO₂ leakage as required by subsection C.2.2(e), the GCS operator must assume the maximum value in the percent range provided in Table 4 (e.g., if the probability of occurrence is in the >5-10% category, or the >10% category, the probability of occurrence value is 10%).
- (e) Based on all risk scenarios developed under subsection C.2.2(c), and pursuant to the quantification methodology required by subsection C.2.2(d), the GCS operator must demonstrate that the cumulative fraction of CO₂ retained in the storage complex is very likely (greater than 90% probability of occurrence) to exceed 99% over 100 years post-injection to be eligible to receive Permanence Certification.
- (f) Any risk scenarios that are classified as high risk under subsection C.2.2(d) must be mitigated such that they can be re-classified as medium or low risk. Any GCS

site with risk scenarios that are classified as high risk that cannot be mitigated to medium or low risk will not be granted Permanence Certification. Risk scenarios classified as high or medium risk must be included in a GCS site's Emergency and Remedial Response Plan.

- (g) The Site-Based Risk Assessment must include a site-specific seismic risk assessment in accordance with the requirements set forth in the CCUS Program regulation section 95704.3(a), with the exception of sections 95704.3(a)(2) and 95704.3(a)(3).
 - (1) The results of the seismic risk assessment must inform the development and implementation of the baseline seismic monitoring plan pursuant to subsection C.2.6, the operational seismic monitoring plan pursuant to subsection C.4.3.2.4, the post-injection seismic monitoring plan pursuant to subsection C.5.2, and the Traffic Light System (TLS) within the Emergency and Remedial Response Plan pursuant to subsection C.6.
 - (2) The Executive Officer will certify the accuracy and completeness of the seismic risk assessment with the application for Preliminary GCS Site Certification.

2.3 Geologic and Hydrogeologic Evaluation Requirements

- (a) GCS operators are required to submit an evaluation of the geological and hydrogeological characteristics of the storage zone, confining system, and any other formations that impede or change plume migration over a permanence period to demonstrate that the selected storage complex is suitable for receiving and storing injected CO₂. The evaluation must include an overview of the geology and hydrology of the GCS site derived from readily available information pursuant to subsection C.2.3.1 and a comprehensive, site-specific data set collected pursuant to subsections C.2.3.2 and C.2.3.3, reported pursuant to subsection C.2.3.4.

2.3.1 Regional Geologic Overview

- (a) Using readily available information derived from publicly available sources (e.g., academic literature, state records, geological surveys, etc.) and legacy datasets (e.g., historical logs and core, preexisting geophysical surveys, etc.), the GCS operator must provide the following information on the geology of the region in which the GCS site is located:
 - (1) Overview of the regional geologic and tectonic setting, including:
 - (A) A description of the basin's tectonic setting, including name, location, areal extent, estimated volume, geologic age, and major tectonic boundaries or subdivisions; and

- (B) A summary of the major basin-forming sedimentary sequences and the geologic evolution of the basin through time, supported by formation names, rock types, geologic ages, lithofacies, and stratigraphic relationships.
- (2) Overview of major structures, including:
- (A) A description of major faults, including type, orientation, age, and offset strata;
 - (B) A description of major folds, including type, orientation, age, and affected units; and
 - (C) A brief discussion of how major structures relate to the formation, evolution, and structural configuration of the storage complex, as applicable.
- (3) Summary of regional hydrology, including:
- (A) The names, depths, and host formations of major aquifers; and
 - (B) The known or inferred groundwater recharge and discharge areas, flow directions, and hydraulic gradients or fluxes.
- (4) Summary of freshwater and brine aquifers located stratigraphically above the storage complex, including:
- (A) The number, thickness, depths, and lithologies of water- and brine-bearing formations; and
 - (B) Readily available information on water chemistry for aquifers identified in C.2.3.1(a)(4)(A).
- (5) Summary of regional seismicity, including:
- (A) A tabulation of historical earthquake events, including dates, magnitudes, depths, epicenters, and data sources; and
 - (B) A description of the geographic area evaluated for seismic-hazards and a justification that the area captures seismic history relevant to the site.
- (6) Description of known geologic resources above, beneath, within, or near the storage complex, including:
- (A) Mineral deposits (e.g., stone, sand, clay, gravel, etc.);

- (B) Natural resources such as coal or hydrocarbon reserves; and
 - (C) Any other significant gas accumulations unrelated to hydrocarbons, including the type of gas, host formation, and depth.
- (7) Supporting figures such as maps, cross-sections, and stratigraphic columns of the storage complex and storage zone, including but not limited to:
- (A) Maps, cross-sections, and stratigraphic columns depicting regional geology, stratigraphy, lithofacies distributions, structures, and seismic hazards;
 - (B) Schematic structural and depositional models illustrating basin and/or storage-complex evolution; and
 - (C) Maps, cross-sections, or stratigraphic columns depicting regional hydrogeologic trends, the vertical and lateral limits of all freshwater aquifers, and the vertical and areal extent of mineral deposits and natural resources relative to the storage complex.

2.3.2 Site Characterization Requirements

- (a) As part of the Permanence Certification application the GCS operator is required to determine the properties listed pursuant to this subsection, at minimum, using the methodologies described in subsection C.2.3.3(a). Properties may be collected from field data, laboratory analysis, or calculated from datasets derived from field or laboratory data, unless otherwise noted.
- (b) The GCS operator must collect geologic and hydrogeologic properties of the storage complex and confining system, including:
 - (1) Overall stratigraphy, including formation names, depths, thicknesses, and geologic contacts.
 - (2) The following properties are required for the storage complex and optional for the confining system, including:
 - (A) Depth, thickness, and areal extent;
 - (B) Facies distributions; and
 - (C) Lithologic descriptions, including mineralogy, grain size and shape, textural maturity (or sorting and grading), cementation and dissolution features, sedimentary structure, diagenetic features, fossils and bioturbation, and oil staining.

- (c) The GCS operator must collect petrophysical and geomechanical properties of the storage complex, including:
 - (1) Porosity and permeability. Permeability must be reported in both horizontal and vertical directions for the storage zone;
 - (2) Density (e.g., bulk density, grain density, etc.);
 - (3) Whole rock geochemistry or other determination of model mineralogy;
 - (4) Fracture parting pressure and fracture pressure gradient. Such data must be used to determine or calculate the maximum allowable surface pressure;
 - (5) Elastic moduli (e.g., Young's modulus, shear modulus, bulk modulus, Poisson's ratio, etc.);
 - (6) *In situ* stress measurements (e.g., pore pressure, minimum and maximum horizontal stress, formation compressibility, etc.); and
 - (7) Rock strength (e.g., unconfined compressive stress, etc.);
 - (8) The following properties are required for the storage zone and are optional for the primary confining layer:
 - (A) Relative permeability (e.g., brine, hydrocarbons, CO₂, natural gas, etc.);
 - (B) Fluid saturations measured *in situ* in the storage zone. Fluid saturations for the primary confining layer, if provided, may be estimated or calculated; and
 - (C) Reservoir or formation temperature measured in the reservoir.
 - (9) The following properties are required for the primary confining layer and optional for the storage zone, including:
 - (A) Capillary pressure and capillary entry pressure.
- (d) The following formation fluid properties are required for the storage zone and any formations intended for above-zone monitoring pursuant to subsection C.4.3.2.2, including:
 - (1) Composition, including, but not limited to total dissolved solids, salinity, pH, alkalinity, conductivity/resistivity, total organic carbon, dissolved trace metals, and dissolved cation and anion concentrations; and

- (2) Depleted oil and gas reservoirs and CO₂-EOR reservoirs must collect the following for each additional fluid present in the storage zone, including, but not limited to minimum miscibility pressure, composition, compressibility, density, viscosity, and specific gravity.
- (e) The following hydrogeologic properties must be calculated for the storage zone, including:
 - (1) Injectivity or hydraulic conductivity;
 - (2) Specific storage; and
 - (3) Storativity.
- (f) Information on structural properties for any structures that (1) lie within or penetrate the storage complex and (2) lie outside the storage complex but may be potential pathways for leakage pursuant to the computational model (subsection C.2.4) or risk assessment (subsection C.2.2), as applicable:
 - (1) Trend and plunge of fold axial surface(s);
 - (2) Orientation, dip, and spatial extent, geometry (e.g., linkage, segmentation, splay, etc.), juxtaposed lithologies, and gouge (e.g., composition, clay content, cement type) of faults or pre-existing fractures; and
 - (3) Any other information necessary for fault stability, fracture mechanics, or modeling pursuant to subsection C.2.4.1.
- (g) The GCS operator may submit preliminary or existing data to support or supplement the data required by subsections C.2.3.2(b) through C.2.3.2(f), provided the operator demonstrates to the satisfaction of the Executive Officer that the data is representative of the storage complex. Preliminary and existing data may be obtained from the following sources:
 - (1) GCS operator-drilled stratigraphic test wells;
 - (2) Injection, production, or monitoring wells drilled for purposes unrelated to GCS site characterization;
 - (3) Legacy datasets (e.g., historical logs and core, preexisting geophysical surveys, etc.); and
 - (4) Publicly available sources (e.g., academic literature, state records, geological surveys, etc.).

- (h) If GCS operators submit preliminary and/or existing data following subsection C.2.3.2(g) to support or supplement the data required by subsections C.2.3.2(b) through C.2.3.2(f), operators must include the following information:
 - (1) Data submissions derived from publicly available sources must be clearly identified and the sources clearly cited; and
 - (2) Data submissions derived from wells or legacy datasets, etc., (following subsection C.2.3.2(g)(1) through C.2.3.2(g)(3)) must include descriptions of the methods used to obtain such data pursuant to subsection C.2.3.4, even if collected by other operators, contractors, or agencies. If original methodological details are unavailable, GCS operators must provide the best-available documentation and describe applicable limitations.
- (i) Any additional geologic or hydrogeologic characteristics of the storage complex or confining system requested by the Executive Officer deemed necessary to augment information gathered during the site characterization process, support modeling efforts, and the setting of operational limits.
- (j) If requested by CARB, the GCS operator must provide a description of the methods and equipment for field data collection, laboratory testing, and data analysis and the raw data necessary to determine geologic and hydrogeologic properties required by subsection C.2.3.2.

2.3.3 Formation and Well Testing Program

- (a) As part of the application for Permanence Certification, the GCS operator must develop a Formation and Well Testing program that demonstrates how the operator will collect the geological and hydrogeological data necessary to characterize the GCS site and required under subsection C.2.3.2. The tests and analyses required by the Formation and Testing program are as follows:
 - (1) Wireline Logging. GCS operators must:
 - (A) Collect and analyze wireline logs from surface to below the storage zone and lower confining layer (if present), capturing all relevant formations, aquifers, hydrocarbon zones, and completion intervals; and
 - (B) Use mudlogs and industry-standard wireline or logging while drilling tools.
 - (2) Core Analysis. For the storage complex, GCS operators must:
 - (A) Collect whole or sidewall cores from the primary confining layer, storage zone, and planned completion interval(s); and
 - (B) Conduct routine core analysis (RCAL) and special core analysis (SCAL).

- (3) If core retrieval is not possible or would compromise well integrity, GCS operators may submit core from nearby wells *in lieu* of those required by subsection C.2.3.3(a)(2)(A), provided that the operator demonstrates to the satisfaction of the Executive Officer that core retrieval was unsuccessful or infeasible and that the core submitted is representative of the GCS site.
- (4) Formation Fluid Analysis. For the storage zone and any formations intended for above-zone monitoring, GCS operators must:
 - (A) Sample fluids using wireline sampling or by pumping fluids; and
 - (B) Conduct industry-standard laboratory and pressure-volume-temperature (PVT) analyses.
- (5) Transient Well Testing. For the storage zone and the primary confining layer, as applicable, GCS operators must:
 - (A) Perform *in-situ* stress tests (e.g., step-rate tests, mini-fracs, leak-off tests, diagnostic fracture injection tests, etc.);
 - (B) Perform at least one additional pressure transient test in each injection and production well (e.g., fall-off, buildup, injectivity, interference tests, etc.); and
 - (C) Conduct pressure transient analysis (PTA).
- (6) Geophysical Testing. GCS operators must:
 - (A) Perform 3-D seismic profiling surveys; and
 - (B) Conduct other geophysical methods (e.g., resistivity, cross-well seismic, electromagnetic, gravity surveys, etc.) as necessary.

2.3.3.1 Formation and Well Testing Plan and Preliminary Report

- (a) The GCS operator must submit a Formation and Well Testing Plan as part of the application for Preliminary GCS Site Certification including:
 - (1) Methods for collecting field and laboratory data;
 - (2) For each method, include the planned tools, data to be collected, sampling locations, test conditions;
 - (3) Describe how GCS operators will address the following circumstances, including but not limited to: unrecoverable core or other issues with sample

procurement, tool failures, poor-quality logs, and deviations in survey coverage;

- (4) Submit preliminary survey design maps, planned logging runs and sampling locations, and field and laboratory QA/QC protocols; and
 - (5) Any methods alternative to those required by subsection C.2.3.3(a) must be submitted.
- (b) The GCS operator must submit a Preliminary Formation and Well Testing Report as part of the application for Preliminary GCS Site Certification. The Preliminary Formation and Well Testing Report must document all available information gathered during the preliminary site investigation phase and establish a baseline technical understanding of the GCS site. The operator may reference methodologies, equipment, and documentation submitted with the Formation and Well Testing Plan, provided the operator clearly cites where such information is located. The Preliminary Formation and Well Testing Report must include the following:
- (1) A summary of preliminary site investigation activities completed prior to submission of the application pursuant to subsections C.2.3.2(g) and C.2.3.2(h) and C.2.3.3(a), including but not limited to:
 - (A) Initial wireline logging and core sampling; and
 - (B) Review of legacy datasets, literature, structural mapping, prior operator data, etc.
 - (2) Results generated during preliminary site investigation organized in tables, charts, cross-sections, maps, interpreted wireline logs, etc., with data sources indicated and methodology clearly described.
 - (3) Initial interpretations derived from the preliminary data, including but not limited to:
 - (A) The stratigraphic, structural, and hydrogeologic framework for the GCS site, including any preliminary estimates of storage complex characteristics pursuant to the requirements of subsection C.2.3.2; and
 - (B) Known uncertainties and data gaps to be addressed during the full site investigation.
- (c) Any properties used to inform the application must be clearly shown and identified, including:

- (1) Properties used as inputs into the modeling effort (subsection C.2.4.1), including sample depth and uncertainty bounds;
- (2) Data used to establish baseline conditions (subsection C.2.6); and
- (3) Information used to design GCS wells and set operating parameters pursuant to subsections C.3.1 and C.3.2.

2.3.3.2 Final Formation and Well Testing Report

- (a) As part of the application for Final GCS Site Certification, the GCS operator must submit a Final Formation and Well Testing Report following completion of the testing activities described in the Formation and Well Testing Plan. The report must demonstrate to the Executive Officer that all required geologic and hydrogeologic properties of the storage complex have been collected, analyzed, and integrated to confirm that the site is suitable for receiving and permanently storing injected CO₂.
- (b) The Final Formation and Well Testing Report must document all testing and data analysis activities conducted by the GCS operator, identify any deviations from the Plan, and provide updated data and interpretations generated during the execution of the Formation and Well Testing program.
- (c) The GCS operator may reference the Formation and Well Testing Plan and the Preliminary Formation and Well Testing Report. Information previously submitted need not be repeated provided the operator clearly identifies where such information is located in previously submitted material.
- (d) The Final Formation and Well Testing Report must include the following:
 - (1) A narrative accounting of all formation and well testing activities completed pursuant to the Formation and Well Testing program, including:
 - (A) Methods for collecting field and laboratory data; and
 - (B) Tools, sampling locations, test conditions, analytical procedures, calculations, QA/QC documentation, etc.
 - (2) Updated datasets generated during implementation of the Formation and Well Testing program, organized in tables, charts, cross-sections, maps, interpreted logs, or other appropriate formats, including but not limited to:
 - (A) Raw and processed data representing formation and reservoir properties pursuant to subsection C.2.3.2;

- (B) Identify data used to inform the application pursuant to subsection C.2.3.4(c);
- (3) Updated interpretations derived from data collected while executing the Formation and Well Testing Plan, including by not limited to:
 - (A) Revisions to the stratigraphic, structural, and hydrogeologic framework;
 - (B) Integration of new results with site characterization data obtained pursuant to subsection C.2.3.4(b); and
 - (C) Discussion of uncertainties, data variance, data gaps, and how these were resolved or mitigated.
- (4) Description and justification of any deviations from the Formation and Well Testing Plan (due to, e.g., delays, equipment failures, sampling problems, data-quality limitations, etc.), including but not limited to:
 - (A) Modifications to methods, procedures, or sampling strategies;
 - (B) Adjustments made in response to initial well testing conditions; and
 - (C) Changes that require additional interpretation or data analysis.

2.3.3.3 Formation and Well Testing Supplemental Materials

- (a) At a minimum, the following supplementary materials must be provided with either the Preliminary or Final Formation and Well Testing Report, including:
 - (1) Figures in support of subsection C.2.3.2, including:
 - (A) At least one interpreted and uninterpreted, representative wireline log collected from surface to below the storage complex identifying all geologic units and formations. If CO₂ injection is for CO₂-EOR, the electric log must extend below the deepest producing zone beneath the storage complex;
 - (B) Structure contour maps of the storage zone and primary confining layer;
 - (C) Isopach maps of the storage zone and primary confining layer;
 - (D) Structure map(s) of all faults, fractures, and folds that intersect the storage complex;
 - (E) Stratigraphic columns and cross-sections depicting stratigraphy, any lithologic heterogeneity, and faults/fractures;

- (F) At least one cross-section through injection well(s), from surface to below the storage complex;
 - (G) Annotated core images (e.g., photographs, photomicrographs, etc.) representative of storage complex characteristics for, at minimum, the primary confining layer, storage zone; and
 - (H) A map depicting the orientation of the local state of stress.
- (2) The following supplemental documents in support of subsections C.2.3.2 and C.2.3.3(a), including:
- (A) Wireline logs. For wells intersecting the storage complex, wells spudded within the surface projection of the storage complex, and any well deemed representative of storage complex geology used in the application, the GCS operator must provide:
 - 1. An inventory summarizing all wireline logs and mudlogs;
 - 2. Raw wireline logs (e.g., LAS, PDF);
 - 3. A representative subset of interpreted and uninterpreted logs based on the number, type, and geologic complexity of wells; and
 - 4. Mudlogs (e.g., PDF) and supplemental information sufficient to illustrate a representative vertical transect of the storage complex.
 - (B) Core analysis. For all core collected from wells intersecting the storage complex and any well deemed representative of storage complex geology and used in the application, the GCS operator must provide:
 - 1. An inventory listing each core's length, depth, type (whole core or plug), and recovery;
 - 2. Core reports (e.g., PDF) for a representative subset including descriptions, gamma logs, photographs, and photomicrographs for a representative subset based on the amount and type of core; and
 - 3. Laboratory reports (e.g., PDF files) and supplemental information for all RCAL and SCAL analyses.
 - (C) Formation fluid analyses. For fluid samples collected from the storage zone and any overlying brine or freshwater aquifers intended for above-zone monitoring, provide:

1. An inventory of all fluid tests and supporting data;
 2. Laboratory reports for all PVT analyses, including results, data tables, and supplemental information; and
 3. Laboratory reports for all formation brine analyses, including quality QA/QC samples (e.g., blanks, duplicates, matrix spikes, etc.).
- (D) Transient well testing. For at least one injection well, provide:
1. Pressure transient test results, including:
 - i. Unaltered data for pressure, rate, and time for shut-in, injection, and fall-off periods (including any offset-well data, if used);
 - ii. Test parameters (e.g., fluid viscosity, temperature, wellbore diameter, flow-measurement equipment, and gauge type, depths, and calibration, etc.);
 - iii. Diagnostic plots (e.g., pressure-time, rate-pressure, and log-log/derivative plots, etc.) showing flow regimes and analysis intervals; and
 - iv. Calculated reservoir properties (e.g., transmissivity, permeability, skin, etc.) and supporting equations and assumptions, noting any anomalies or data gaps.
 2. *In situ* stress test results, including:
 - i. Unaltered time-series data for each test (e.g., injection rate, surface and/or downhole pressure, step durations, shut-in/fall-off pressure data, etc.);
 - ii. Test parameters (e.g., formation tested, shut-in period, step sequence, equipment such as pressure gauges, flow meters, gauge depths, and calibration documentation, etc.);
 - iii. Diagnostic plots (e.g., pressure and rate versus time and pressure versus rate used to determine fracture initiation pressure);
 - iv. Determination of fracture initiation/propagation pressure, instantaneous shut-in pressure, and fracture pressure gradient, including supporting calculations (e.g., surface-to-bottom-hole conversions, friction loss, hydrostatic adjustments, etc.).
- (E) Geophysical testing results, including:

1. Map(s) depicting the layout of seismic surveys, including lines/grids, sources, receiver arrays, and cross-section transects;
 2. At least one set of orthogonal seismic reflection profiles (cross-sections) depicting the structure and stratigraphy of the storage complex to surface. Provide both interpreted and uninterpreted profiles and maps depicting the locations of each profile;
 3. Description of seismic and other geophysical data-processing methods and any supplemental information; and
 4. Data, analyses, and supplemental information from any additional or alternative geophysical surveys, as applicable.
- (F) Any additional information requested by the Executive Officer deemed necessary to complete the geological and hydrogeological site evaluation.

2.4 Storage Complex Delineation

- (a) The purpose of the storage complex delineation requirements are to ensure that the surface areas and subsurface volumes potentially impacted at a proposed GCS site are delineated and that this delineation is updated throughout the active life and the post-injection site care and monitoring period of the GCS site.
- (b) A storage complex must be delineated according to the following requirements:
 - (1) The storage complex must extend laterally across the area in which CO₂ (free or dissolved phase) may migrate during CO₂ injection operations and the post-injection site care phase;
 - (2) Where necessary to ensure long-term containment, the storage complex may also include a multilayered confining system comprised of additional overlying and/or underlying geologic formations as necessary to retard CO₂ migration; and
 - (3) For depleted oil and gas reservoirs and those GCS sites utilizing CO₂ injection for EOR purposes, the storage complex is the three-dimensional extent of the oil- and/or gas- producing reservoir plus the trapping geology, which may include impervious rock, structural closure, lithofacies change marked by the loss of porosity and permeability, or natural hydrodynamic forces.
- (c) The GCS operator must submit a Storage Complex Delineation as part of the application for Preliminary GCS Site Certification pursuant to subsections

C.1.1.1(c) or (d) that describes how the GCS operator will accomplish the following:

- (1) Delineate the storage complex using computational modeling that meets the requirements of subsection C.2.4.1, including a detailed report pursuant to subsection C.2.4.2 on the computational model used, assumptions made, and site characterization data upon which the computational model is based;
- (2) Re-evaluate the retention and containment of the CO₂ plume within the storage complex throughout the active life and the post-injection site care and monitoring period of the GCS site, following subsection C.2.4.3, including a description of:
 - (A) The minimum fixed frequency, not to exceed five years, at which the GCS operator will re-evaluate the extent of the plume and a justification for the proposed re-evaluation frequency; and
 - (B) How monitoring and operational data (e.g., injection rate and pressure) will be used to inform a plume extent re-evaluation, including the potential need for an unscheduled plume extent re-evaluation;
- (3) Ensure that the Emergency and Remedial Response Plan and financial responsibility demonstration account for the approved storage complex delineation; and
- (4) Retain all modeling inputs and data used to support initial storage complex delineations and plume extent re-evaluations of the retention and containment of the CO₂ plume within the storage complex until the Executive Officer approves site closure.

2.4.1 Computational Modeling Requirements

- (a) The GCS operator must show through computational modeling that the storage complex will contain the CO₂ plume over the active life of the GCS site and for a minimum of 100 years post injection (see subsection C.2.2). Any time the operator performs computational modeling under this subsection, the modeling must encompass the timeframe from the beginning of injection through 100 years post-injection. The risk assessment must be based on a computational model that accounts for the physical properties and site characteristics of the storage zone and injected CO₂ stream. The GCS operator must prepare a report on the computational modeling outcomes via the following actions:
 - (1) The computational model of the storage complex must incorporate various parameters including site characterization, monitoring, operational data, and:

- (A) Predict the lateral and vertical migration of the free-phase CO₂ plume and elevated pressure, as well as the dissolved CO₂ plume in the subsurface, from the commencement of injection activities until plume stabilization;
- (B) Be designed to simulate multiphase flow of several fluids (groundwater, CO₂, and hydrocarbons, if present), phase changes of CO₂, significant pressure changes, and any other pertinent processes in geologic media based on scientific principles and accepted mathematical and governing equations;
- (C) Be based on detailed geologic, hydrogeologic, and geomechanical data collected for the characterization of the storage zone and confining layer(s). The GCS operator must use and justify the following list of inputs when designing the computational model and must conduct and report on sensitivity analyses and provide justification for all simplifications selected, based on site-specific conditions:
 - 1. Regional and site-specific geology, such as stratigraphy, formation lithology, elevation, thickness, and structural geology (including faults, folding, fractures). This data must be used to justify all boundary conditions selected for the computational model that are relevant to pressure management during injection;
 - 2. Reservoir conditions including (1) hydrogeologic conditions such as intrinsic and relative permeabilities, porosity, capillary pressure, formation compressibility, water saturation, CO₂ saturation, and storativity, and (2) reservoir fluid properties such as brine or hydrocarbon viscosity, density, composition or salinity, and compressibility;
 - 3. Geomechanical information on fracture parting pressure and fracture pressure gradient in the storage zone and confining layer(s), as well as any geomechanical processes or models that are incorporated into the storage complex delineation effort based on initial site characterization efforts;
 - 4. Geochemical information on formation and injection fluid compositions, as well as any geochemical processes or models incorporated into the storage complex delineation using site characterization data;
 - 5. Existing and proposed operational and monitoring data, including the location of injection and/or production wells, fluid injection and withdrawal rates, bottom-hole pressure measurements, fluid characterization, inputs from monitoring systems (as recorded in, e.g., observation wells), CO₂ saturations and injected volumes, the location

and number of injection, production, and monitoring wells, and well construction details (e.g., perforated intervals, etc.);

6. Computational model parameters such as: (1) initial conditions (e.g., fluid composition and distribution, etc.) within the domain at the beginning of the model run, (2) time steps and a justification for the selection, (3) vertical and horizontal gridding design and a justification that they are fit-to-purpose, (4) distant parameters (e.g., production or injection) and (5) other model design parameters; and
 7. Any other models, model parameters, and/or general assumptions that are incorporated or considered for the GCS site and storage complex delineation based on site-specific conditions. For example, mineral precipitation kinematic parameters may be introduced into a reactive transport model of the reservoir if the planned injectate and composition of water at depth are predicted, based on sampling and monitoring data, to react such that mineral precipitation may modify the permeability of the reservoir. Measurements and computational assumptions (e.g., “black oil” or compositional model) made about the CO₂-fluid interactions must be specified, sensitivity analysis conducted, and the selected approaches justified;
- (D) Parameter values must be based on site data to the best extent possible. In cases where certain detailed site geologic characterization data are unavailable, parameter values may be estimated from standard values or relationships in the scientific literature. GCS operators must indicate the range of values possible for their site and conditions, and must provide a justification for using each particular parameter value not directly measured in the field or the laboratory. Probability and statistical methods of distributing attributes should be documented and sensitivity analyses performed;
- (E) All data collected to comply with site characterization requirements must be considered in the storage complex delineation. Any additional data available in the vicinity of the site that may affect the storage complex delineation, e.g., from the U.S. Geological Survey or other wells drilled within the vicinity of the storage complex must also be considered in model development. Simplifications must be documented and justified;
- (F) Utilize and document appropriate equations of state and constitutive relationships derived from equilibrium phase relationships and empirically based approximations, respectively;
- (G) Explicitly state model orientation and gridding parameters, including the spatial temporal domains, grid spacing and gridding routine, coordinate

system, horizontal datum, and the physical properties and assumptions used to define the domain boundaries;

- (H) Describe and justify the method and assumptions used to history match the pressure distribution;
- (I) Take into account any geologic heterogeneities, other discontinuities, data quality, and their possible impact on model predictions;
- (J) Evaluate potential migration through known faults, fractures, and artificial penetrations, and model the system response before, during, and after such leakage. The outcomes of these models must be included in the risk assessment, testing and monitoring plans, and proposed operations; and
- (K) When performing sensitivity analysis on model input parameters, all material uncertainties that could result in the loss of permanent storage must be considered, and models showing the impact of uncertainties must be incorporated into the risk assessment to determine how the uncertainties can be detected by monitoring.

(2) The computational model(s) requirements:

- (A) Computational model(s) utilized in the storage complex delineation and plume extent modeling must be:
 - 1. Validated for use in scientific peer-reviewed literature;
 - 2. Available to CARB and GCS operators during CARB's review of any Permanence Certification application, and preferably open source.
- (B) The computational model(s) used for modeling the storage complex must demonstrate the capability to:
 - 1. Predict the evolution of the three-dimensional geometry of the CO₂ plume under reservoir conditions at the site during injection and post-injection;
 - 2. Support the risk assessment by allowing the evaluation of the response of key geological formations, both within and above the storage complex, to CO₂ leakage response;
 - 3. Support assessment of geomechanical responses to changes in pressure and fluid composition, including fracture mechanics and fault stability analysis;

4. Evaluate geochemical responses to potential interactions between the injectate and brine and formation chemistries, including those that impact injectivity or storage capacity of the storage zone; and
 5. Support comparison of the modeled response to the reservoir response during monitoring.
- (C) Techniques to demonstrate that the model(s) is appropriate include:
1. Successful application in a similar setting leading to successful history matching;
 2. Comparison of a new model against a proven model to show reasonable match; or
 3. Sensitivity studies showing that the model reproduces the relevant physics properly.
- (D) Model(s) must properly manage key properties of the reservoir fluid system, including three-dimensionally heterogeneous formations, and characteristic-curve hysteresis and residual phase trapping. If important, mineral precipitation/dissolution reactions and subsequent mineral phase trapping or leaching of heavy metals may be considered. The system response to leakage through faults, fractures, and wellbores must be modeled, and results used for the risk assessment and testing and monitoring design; and
- (E) If using a non-peer-reviewed independently developed or untested model, the developer must validate the model's appropriateness by modeling validated test cases of problems with similar physics found in the literature before submitting the application for Preliminary GCS Site Certification.

2.4.2 Storage Complex Delineation using Computational Modeling Results

- (a) The storage complex boundaries must be based on simulated predictions of the lateral extent of the separate-free-phase CO₂ plume until it stabilizes after the end of injection for the cumulative GCS site model and must account for the anticipated injection rates from all planned injection and production (if applicable) wells.
- (b) A single modeling exercise must be conducted for all wells within a single GCS site.
- (c) The Storage Complex Delineation must include the following:

- (1) Attributes of the model (s) used, including the name, version, name of the developing organization, and full accounting of or reference to the model governing equations, scientific basis, and simplifying assumptions;
 - (2) A description of the model domain, such as the model's lateral and vertical extents, geologic layer thickness, and grid cell sizes, as presented on maps and cross-sections;
 - (3) An accounting of all equations of state used for all modeled fluids (groundwater, CO₂);
 - (4) A description of all constitutive relationships, such as relative-permeability saturation relationships, and how they were determined; and
 - (5) Model results, including predictions of the free-phase CO₂ plume extent and elevated pressure at five-years after injection initiation and then over the active life and the post-injection site care and monitoring period of the GCS site, in time intervals chosen by the GCS operator. Model results must be presented in contour maps, cross sections, and/or graphs showing the CO₂ plume extent and elevated pressure as a function of time. Model results must also include all parameter sensitivity analyses and model calibrations.
- (d) The Storage Complex Delineation must be updated using information obtained from the Formation and Well Testing program and any alterations to planned operations. The updated Storage Complex Delineation must be submitted with the application for Final GCS Site Certification pursuant to subsections C.1.1.1(f) or (g). Versions of the model must be given unique identifiers.

2.4.3 Plume Extent Re-evaluation

- (a) Every five years, or when monitoring and operational conditions warrant pursuant to subsection C.2.4.3.1, GCS operators must update and validate the computational model, re-evaluate the size and shape of the CO₂ plume in the manner specified in subsections C.2.4, C.2.4.1, and C.2.4.2, and determine if the plume is within the storage complex;
- (b) To re-evaluate the computational model, GCS operators must take the following steps:
 - (1) Review monitoring data and compare it to model predictions to assess whether the predicted CO₂ plume geometry and elevated pressure is consistent with actual data;
 - (2) Review operating data to validate that it is consistent with the inputs used in the re-evaluation of the modeling effort;

- (3) Review any new geologic data acquired since the last modeling effort and identify if any new data materially differ from that input into the model;
 - (4) Modify model input parameters and recalibrate the model using the results of subsections C.2.4.3(b)(1) through C.2.4.3(b)(3);
 - (5) Rerun the model to determine if the CO₂ plume is predicted to stay within the storage complex until the end of the post-injection site care and monitoring period;
 - (6) If necessary, re-evaluate the injection plan, the GCS site accounting, or the storage complex delineation, risk assessment, and monitoring plan.
- (c) If the information reviewed is consistent with, or unchanged from, the most recent modeling assumptions, or confirms modeled predictions about the maximum extent of CO₂ plume, the GCS operator must prepare a report demonstrating that no corrective action is needed. The report must include the data and results demonstrating that no changes are necessary;
 - (d) If the CO₂ plume is determined to have migrated outside the storage complex, the GCS operator must take the following actions:
 - (1) Quantify the amount of CO₂ leakage that occurred and modify the injection operation to avoid further leakage. The amount of CO₂ leakage that occurred must be verified pursuant to subsection D.1(c); and
 - (2) If the injection operation cannot be modified to avoid further leakage, the GCS operator must cease injection pursuant to subsection C.3.3. The operator may restart injection after re-applying for, and receiving, Final GCS Site Certification pursuant to subsection C.1.1.1(f) or (g), with a newly delineated storage complex for which the operator can avoid further leakage.
 - (e) If the updated model of the plume extent predicts that CO₂ leakage will occur prior to the end of the post-injection site care and monitoring period, the GCS operator must modify the operation and injection plan such that the modeled plume remains inside the storage complex until stabilization. If the operation cannot be modified such that the modeled plume remains inside the storage complex, the operator must re-apply for, and receive, Final GCS Site Certification pursuant to subsection C.1.1.1(f) or (g), with a newly delineated storage complex for which the operator can avoid the predicted leakage prior to the date by which leakage is predicted to occur.
 - (f) The Storage Complex Delineation, Corrective Action Plan, Emergency and Remedial Response Plan, Testing and Monitoring Plan, Post-Injection Site Care and Closure Plan, and the demonstration of financial responsibility in subsection C.7 must account for the storage complex delineated as specified in

subsection C.2.4.2, or most recently evaluated storage complex delineated under subsection C.2.4.3.

2.4.3.1 *Triggers for Plume Extent Re-evaluations Prior to the Next Scheduled Re-evaluation*

- (a) Unscheduled re-evaluations of the CO₂ plume extent must be based on observational or quantitative changes of the monitoring parameters of the GCS site.
- (b) Triggers for CO₂ plume extent re-evaluations must be developed and quantified as part of the CO₂ plume extent evaluation pursuant to subsection C.2.4.2, based on site-specific risks identified in the Risk Assessment pursuant to subsection C.2.2.
- (c) Observations that will trigger a CO₂ plume extent re-evaluation include:
 - (1) Observed migration of the CO₂ plume beyond the acceptable range predicted by the computational model;
 - (2) Observed shape of the CO₂ plume is not consistent with model predictions, suggesting potential movement of CO₂ outside of the intended formation;
 - (3) A trend in pressure increase at the injection well(s) or other monitoring points that deviates systematically from the predicted trend; and/or
 - (4) CO₂ leakage charging a zone above the storage complex;
- (d) An unscheduled CO₂ plume extent re-evaluation may also be needed if it is likely that the actual free-phase CO₂ plume extends beyond that modeled because any of the following has occurred:
 - (1) The yellow-light TLS threshold or higher is triggered pursuant to subsection C.6; or
 - (2) New site characterization data change the computational model to such an extent that the predicted free-phase CO₂ plume extends vertically or horizontally beyond that predicted.
- (e) Any site-specific criteria that will trigger a CO₂ plume extent re-evaluation for a particular GCS site must be included in the Storage Complex Delineation.

2.5 Corrective Action Requirements

- (a) The purpose of the corrective action requirements is to ensure that corrective action is completed prior to approval of Final GCS Site Certification or

Permanence Certification for all wells that penetrate the storage complex and require corrective action, and that additional corrective action, if warranted, is performed during the active life of the GCS Site and the post-injection site care and monitoring period.

- (b) A well that penetrates the storage complex requires plugging if:
 - (1) Records indicate that a well plug sufficient to prevent upward movement of fluids does not exist at a depth corresponding to the primary confining layer, or there are no well plugs below permeable formations that may exhibit cross flow of mobilized fluids along the wellbore or casing;
 - (2) Field evaluations reveal cracks, channels, or annuli in the plug that would allow fluid migration or suggest the plug material may corrode in response to reactions with CO₂; or
 - (3) Field tests indicate the well is leaking gas or fluids.
- (c) A well that penetrates the storage complex requires remedial cementing if records or field evaluations indicate that the cement surrounding the wellbore has failed or has cracks, channels, or annuli that could allow migration of CO₂, or if the well has not been cemented.
 - (1) Materials used for cementing of abandoned wells must be supplemented with or replaced by materials such as polymer gels and acrylic grouts, if required by the Executive Officer.
- (d) The GCS operator is required to submit a Corrective Action Plan with the application for Preliminary GCS Site Certification pursuant to subsection C.1.1.1(c) or (d). The Corrective Action Plan must describe:
 - (1) Methods for identifying all wells that penetrate the storage complex and methods for determining which of the identified wells require corrective action pursuant to subsections C.2.5(b) and C.2.5(c), including, but not limited to:
 - (A) Historical research of state and local databases, county records, and private data;
 - (B) Site reconnaissance, including interviewing local residents and property owners, as well as conducting a physical search for features indicative of abandoned wells;
 - (C) Aerial photography and satellite imagery review;
 - (D) Geophysical methods such as magnetic, ground penetrating radar, and electromagnetic surveys;

- (E) Abandoned well plugging records; and
 - (F) Well field testing, such as the analysis of each well using CH₄ detection equipment.
- (2) Proposed corrective action for all wells identified following subsection C.2.5(d)(1) that require corrective action pursuant to subsections C.2.5(b) and C.2.5(c), including:
- (A) A demonstration of how the corrective action methods are designed to prevent the movement of fluid out of the storage complex into a shallower zone, including use of materials compatible with the CO₂ stream, where appropriate; and
 - (B) A description of how the GCS operator will adjust corrective action following any updates to the storage complex delineation or changes in injection operations;
- (e) Prior to Final GCS Site Certification, GCS operators must implement the Corrective Action Plan and complete corrective action on all wells that require corrective action. Figure 2 presents a flow chart that illustrates how the various evaluation tools must be used together to evaluate abandoned wells.
- (f) GCS operators must submit a Corrective Action Report with the application for Final GCS Site Certification. The report must include the following:
- (1) A description of the methods used to identify all wells pursuant to subsection C.2.5(d)(1). The GCS operator must note any differences from the methods listed in the approved Corrective Action Plan and explain why alternative methods used;
 - (2) A complete tabulation of all wells identified pursuant to subsection C.2.5(d)(1), including each well's type, construction, date drilled, location, depth, and record of plugging and/or completion.
 - (3) Map(s) depicting the surface location of all wells that penetrate the storage complex. Each well must be labeled with its name and be identified by type and status. Maps will be provided in PDF and in a non-proprietary vector format that can be examined in a Geographic Information System program and includes fields that correspond to the tabulation in C.2.5(f)(2).
 - (4) The GCS operator must provide an attestation, signed by an authorized representative of the GCS operator, stating that the GCS operator has completed corrective action on all wells at the GCS site that require corrective action, and that the applicable governmental authority has approved the

completed corrective action. GCS operators must also provide supporting documentation from the applicable governmental authority demonstrating completion of corrective action on all wells that require corrective action to the specifications of the applicable government authority.

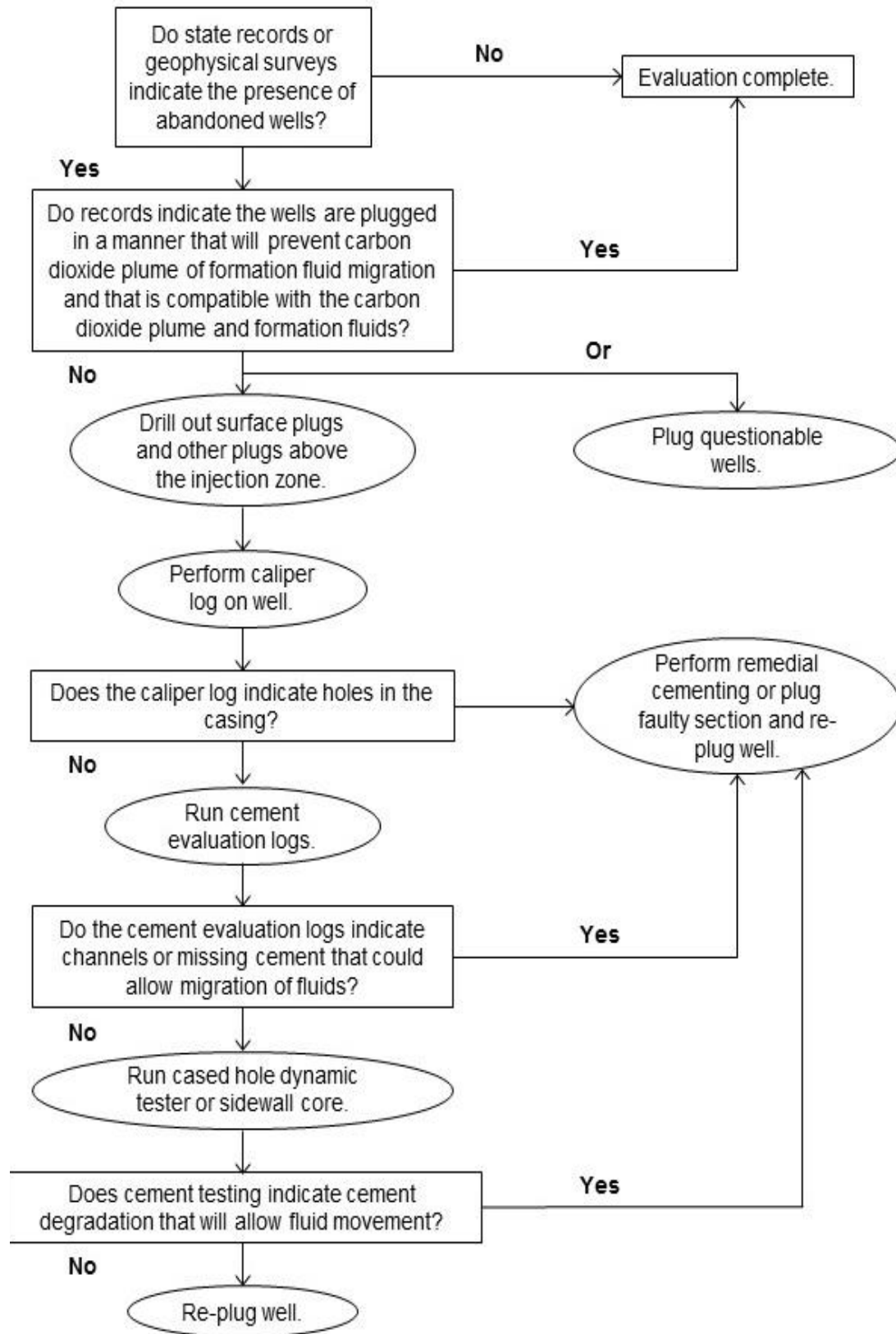


Figure 2. Well evaluation flow chart

- (g) If corrective action is warranted during the active life of the GCS site or during the post-injection site care and monitoring period based on a storage complex re-evaluation pursuant to subsection C.2.4.3, the GCS operator is required to take the following actions:
 - (1) Identify all wells following the requirements of subsection C.2.5(d)(1);
 - (2) Identify the appropriate corrective action required pursuant to subsection C.2.5(d);
 - (3) Prioritize corrective actions to be performed; and
 - (4) Perform corrective actions.

2.6 Baseline Testing and Monitoring

- (a) As part of the testing required to meet certification pursuant to subsection C.4, GCS operators must monitor the surface, near-surface, and deep subsurface for CO₂ leakage. In order to meet the requirements of subsection C.4, GCS operators must design a baseline testing strategy that supports and informs a testing and monitoring program that is capable of detecting leaks of CO₂ outside of the storage zone and storage complex.
- (b) Baseline testing and monitoring plan requirements:
 - (1) The GCS operator must submit a Baseline Testing and Monitoring Plan with the application for Preliminary GCS Site Certification;
 - (2) The baseline testing strategy must be sufficient to detect, validate, and quantify potential CO₂ leakage. The baseline testing strategy must also be sufficient to support conclusions about, and validation of, mitigation of CO₂ leakage. The baseline testing strategy must be determined on a site-specific basis consistent with (1) the risk assessment pursuant to subsection C.2.2, (2) the results of computational modeling pursuant to subsection C.2.4.1; and
 - (3) The Baseline Testing and Monitoring Plan must include a baseline seismic monitoring plan in accordance with the requirements set forth in CCUS Program regulation sections 95704.3(c)(1) through (2), except as specified below:
 - (A) In section 95704.3(c)(1), the reference to “95704.3(b)(1)-(4)” is adapted as “95704.3(b)(1) through (3)”.
- (c) Baseline testing and monitoring data collection and analysis:

- (1) The frequency and spatial distribution of baseline data collection must be designed according to a timeline and schedule set forth in the application for Preliminary GCS Site Certification, utilizing no less than one year prior to the initiation of injection. A shorter timeline may be allowed, provided the GCS operator can demonstrate to the satisfaction of the Executive Officer that the results from a shorter baseline data collection timeline are equivalent to or more accurate than results from a baseline data collection timeline of at least one year prior to the initiation of injection;
 - (2) Baseline data on the physical and chemical conditions of the storage zone, confining system, and surface must be collected prior to operation, and must be (1) sufficient to track the three-dimensional evolution of the CO₂ plume, and (2) is capable of being used for history matching the computational model, and for comparison to levels during and after the active life of the GCS site;
 - (3) Any property of the storage complex, groundwater, overburden, or surface projection of the storage complex that is shown by the risk assessment (pursuant to subsection C.2.2) to potentially be impacted by injection operations must be evaluated, including but not limited to: downhole pressure, storage zone fluid chemistry, soil-gas composition, vegetation type and density, and fresh and overburden water chemistry and pressure;
 - (4) Natural background variability at daily, seasonal, or long duration trends (e.g., climate change, sea level rise, urbanization, or other landscape evolution) must be considered, and may require advanced approaches to separate CO₂ leakage signals from natural changes;
 - (5) Potential tools GCS operators may choose to use for baseline testing pursuant to this subsection and testing and monitoring pursuant to subsection C.4.1 include, but are not limited to:
 - (A) Time-lapse geophysical tools such as seismic, electrical, gravity, and pulse neutron methods;
 - (B) Soil-gas and air monitoring tools; and
 - (C) Pressure and chemical tools.
 - (6) For each method chosen by the GCS operator for baseline testing, the process by which the survey can be accurately repeated in terms of location and instrumentation must be provided.
- (d) Baseline testing and monitoring report:

- (1) The GCS operator must submit a descriptive report of baseline monitoring data and interpretations with the application for Final GCS Site Certification. The report must include geophysical, pressure, and chemical data from the subsurface, near surface, and surface analyses, and operators must submit, at a minimum, the following:
 - (A) Site characteristics (e.g., downhole pressure, storage zone fluid chemistry, soil-gas composition, vegetation type and density, and fresh and overburden water chemistry and pressure);
 - (B) Sampling locations (in map form) and dates sampled;
 - (C) Atmospheric conditions, if applicable for monitoring method chosen;
 - (D) Sampling and analytical methods, including detection limits;
 - (E) Results presented as concentrations and fluxes in tabular and graphic form, including quality assurance (QA) samples and analyses;
 - (F) Methods and results of any statistical analyses;
 - (G) Methods and results of any ecological modeling or sensitivity analysis performed, including input data and outputs; and
 - (H) The results of the baseline seismic monitoring evaluation documented pursuant to CCUS Program section 95704.3(d)(1) through (4).
- (e) The GCS operator must assess the impact of baseline site characteristics on operational and long term monitoring, and demonstrate that the locations sampled represent a reasonable grid size and determine if potential point sources are represented and if locations will serve as a good baseline to compare to future monitoring data. The GCS operator must also demonstrate that seasonal and diurnal variations in CO₂ levels have been captured and describe the variability in the data for future reference and to compare to operational and post-operational monitoring.

3. Well Construction and Operating Requirements

3.1 Well Construction

- (a) General Requirements:
 - (1) The GCS operator must ensure that all injection, observation, monitoring, and production wells associated with the GCS site are constructed and completed to:

- (A) Prevent the movement of fluids into or between any unauthorized zones;
 - (B) Permit the use of appropriate testing devices and workover tools; and
 - (C) Permit continuous monitoring of the pressure in the annulus space between the injection tubing and long string casing.
- (b) A GCS operator that plans to store captured CO₂ in oil and gas reservoirs used for CO₂-EOR, or a GCS site receiving an injection permit other than Class VI, is required to submit a Well Construction Plan with the application for Preliminary GCS Site Certification, pursuant to subsection C.1.1.1(d) that meets the requirements of C.3.1(c) through (e).
- (c) Casing and cementing of GCS wells:
- (1) Casing and cement or other materials used in the construction of each well associated with a certified GCS site must have sufficient structural strength and be designed for the active life and the post-injection site care and monitoring period of the GCS site. All well materials must be compatible with fluids with which the materials may be expected to come into contact (e.g., corrosion-resistant well casings). The casing and cementing program must be designed to prevent the movement of fluids out of the storage zone and above the storage complex.
 - (2) To allow the Executive Officer to evaluate the casing and cementing design, the GCS operator must provide the following information as part of the Preliminary GCS Site Certification application:
 - (A) Depth to the storage zone;
 - (B) Injection pressure, external pressure, internal pressure, and axial loading;
 - (C) Hole size;
 - (D) Size and grade of all casing strings (wall thickness, external diameter, nominal weight, length, joint specification, and construction material);
 - (E) Corrosiveness of the CO₂ stream and formation fluids;
 - (F) Downhole temperatures;
 - (G) Lithology of storage and confining layer(s);
 - (H) Type or grade of cement and cement additives; and
 - (I) Quantity, chemical composition, and temperature of the CO₂ stream.

- (3) Surface casing must extend through the base of the lowermost freshwater aquifer and be cemented to the surface through the use of a single or multiple strings of casing and cement.
 - (4) At least one long string casing, using a sufficient number of centralizers, must extend to the storage zone and must be cemented by circulating cement to the surface in one or more stages. The GCS operator may use an alternate method of cementing, provided the operator can demonstrate to the satisfaction of the Executive Officer that cementing to surface will compromise the integrity of the well or confining layer(s) and that the alternate method of cementing will maintain the integrity of the well and confining layer(s).
 - (5) Cement and cement additives must be of sufficient quality and quantity to maintain integrity over the active life and the post-injection site care and monitoring period of the GCS site. The integrity and location of the cement must be verified using technology capable of (1) evaluating cement quality radially and (2) identifying the location of channels to ensure against the likelihood of an unintended release of CO₂ from the storage zone above the storage complex.
 - (6) Cement and cement additives must be compatible with the CO₂ stream and formation fluids (e.g., corrosion-resistant) within the storage zone.
 - (7) Any changes to casing and/or cement materials or designs that deviate from the casing and cementing program in the Preliminary GCS Site Certification application must be submitted as part of the Well Construction Report, pursuant to subsection C.1.1.1(g) and approved by the Executive Officer before Final GCS Site Certification is granted.
- (d) Tubing and packer:
- (1) Tubing and packer materials used in the construction of each well associated with the GCS site must be compatible with fluids with which the materials may be expected to come into contact..
 - (2) GCS operators must inject fluids through tubing with a packer set within the long string casing at a point within or below the primary confining layer, or at an interval at a location approved by the Executive Officer.
 - (3) In order to allow the Executive Officer to evaluate the tubing and packer design, the GCS operator must provide the following information as part of the Preliminary GCS Site Certification application:
 - (A) Depth of setting;

- (B) Characteristics of the CO₂ stream (chemical content, corrosiveness, temperature, and density) and formation fluids;
 - (C) Maximum proposed injection pressure;
 - (D) Maximum proposed annular pressure;
 - (E) Proposed injection rate (intermittent or continuous) and volume and/or mass of the CO₂ stream;
 - (F) Size of tubing and casing; and
 - (G) Tubing tensile strength, burst, and collapse pressures.
- (4) Any change to the tubing and packer used in the well that deviates from those proposed in the Preliminary GCS Site Certification application must be submitted as part of the Well Construction Report, pursuant to subsection C.1.1.1(g) and approved by the Executive Officer before Final GCS Site Certification is granted.
- (e) Wellheads and Valves:
- (1) All piping, valves, and facilities must meet or exceed design standards for the maximum anticipated allowable injection pressure and must be maintained in a safe and leak-free condition.
 - (2) The GCS operator must equip all ports on the wellhead assembly above the casing bowl of injection wells with valves, blind flanges, or similar equipment.
 - (3) The GCS operator must equip wells with valves to provide isolation of the wells from the pipeline system and to allow for entry into the wells.
- (f) Upon installation of the well casing, the GCS operator must conduct cement bond logs (e.g., variable density, temperature, acoustic, etc.) to evaluate the integrity of the cement bond between the casing and borehole wall. The operator must submit a descriptive report that includes an interpretation of the results of such logs and tests as part of the Well Construction Report, pursuant to subsection C.1.1.1(g).
- (g) Routine well maintenance:
- (1) Routine well maintenance must be conducted at a minimum of every six months. Routine maintenance consists of wellhead valve maintenance and measurement of casing annular pressures. If a significant deviation such that

the mechanical integrity of the well is compromised or may become compromised, the appropriate remediation plan must be triggered.

3.2 Injection Well Operating Requirements

- (a) The GCS operator is required to submit a Well Operating Plan with the application for Preliminary GCS Site Certification, pursuant to subsection C.1.1.1. This operating plan must include:
 - (1) A map showing the injection facilities;
 - (2) Maximum allowable surface pressure and daily rate of injection, by well;
 - (3) A description and quantification of any fluids injected or produced related to the GCS site, including the CO₂ injection stream;
 - (4) Monitoring schedule and system or method to be utilized to ensure that no damage is occurring to the well or associated surface facilities and that all injection fluid is confined to the storage zone;
 - (5) Method of injection; and
 - (6) Treatment of water injected during water alternating gas (WAG) methods are used for CO₂-EOR purposes;
- (b) The GCS operator must demonstrate to the satisfaction of the Executive Officer that the injection pressure will in no case initiate new fractures or propagate existing fractures in the storage zone, initiate fractures in the primary confining layer, cause movement of injection or formation fluids out of the storage complex, or unacceptably increase risk of significant induced seismicity.
- (c) Injection between the outermost casing and the wellbore is prohibited. The space between the casing and the formation is to be cemented following subsection C.3.1(c)(3).
- (d) The GCS operator must fill the annulus between the tubing and the long string casing with a non-corrosive fluid (e.g., a brine containing a corrosion inhibitor).
- (e) Other than during periods of well workover approved by the Executive Officer in which the annulus between the tubing and long string casing is disassembled for maintenance or corrective procedures, the GCS operator must monitor and maintain mechanical integrity in all wells associated with the GCS site at all times.
- (f) If an un-remedied shutdown (either downhole or at the surface) is triggered or a loss of mechanical integrity is discovered, the GCS operator must immediately

investigate and identify as expeditiously as possible the cause of the shutdown. If, upon such investigation, the well appears to be lacking mechanical integrity, or if monitoring required under subsection C.3.2(e) of this section otherwise indicates that the well may be lacking mechanical integrity, the operator must:

- (1) Immediately cease injection in the affected well(s) and in any other wells that may exacerbate the leakage risk of the affected well(s);
- (2) Take all steps reasonably necessary to determine whether there may have been a release of the injected CO₂ stream or formation fluids into any unauthorized zone;
- (3) Notify the Executive Officer in writing within 24 hours;
- (4) Restore and demonstrate mechanical integrity prior to resuming injection; and
- (5) Notify the Executive Officer when injection can be expected to resume.

3.3 Operating Restrictions and Incident Response

- (a) The GCS operator must cease injection into the affected injection well and must not resume injection into the well without subsequent approval from the Executive Officer if any of the following occurs:
 - (1) The GCS operator has not performed mechanical integrity testing on the well as required by subsection C.4.2 or the notification and results required under subsection C.4.2.1 have not been provided to the Executive Officer;
 - (2) The well failed a mechanical integrity test required by subsection C.4.2, or there is any other indication that the well lacks mechanical integrity or is otherwise incapable of performing as approved by the Executive Officer;
 - (3) An un-remedied automatic alarm or automatic shut-off system is triggered;
 - (4) The well experiences a significant, unexpected change in pressure in the annulus between the tubing and the long string casing, or injection pressure;
 - (5) There is any indication of a failure, breach, or hole in the well tubing, packer or well casing, including failures above or below a packer;
 - (6) There is any indication that fluids being injected into the well are not confined to the intended zone of storage;
 - (7) There is any indication that damage to public health, the environment, natural resources, or loss of hydrocarbons is occurring by reason of the injection; or

- (8) Any non-compliance with any certification condition or local regulatory requirement is discovered and the Executive Officer determines that the injection must cease.
- (b) The GCS operator must immediately notify the Executive Officer upon ceasing injection operations by reason of subsection C.3.3(a), indicating the affected well and the specific reason for ceasing injection.
- (c) The GCS operator must comply with all operational and remedial directives of the Executive Officer related to the reason for ceasing injection.

4. Injection Monitoring Requirements

4.1 Testing and Monitoring

- (a) Testing and Monitoring Plan. The GCS operator must prepare, maintain, and comply with a plan for testing and monitoring to ensure that the GCS site is operating as certified and that the CO₂ injected is permanently stored. The Testing and Monitoring Plan must be submitted with the application for Preliminary GCS Site Certification, and must include a description of how the operator will meet the testing and monitoring requirements, including accessing the GCS site for all necessary monitoring and testing during the active life of the site and the post-injection site care and monitoring period. Testing and monitoring during the active life of the GCS site must include:
 - (1) Demonstrations of mechanical integrity pursuant to subsection C.4.2;
 - (2) Installation and use, except during well workovers, of continuous recording devices to monitor injection pressure, the pressure on the annulus between the tubing and the long string casing, and the annulus fluid volume added pursuant to subsection C.4.3.1.1;
 - (3) Corrosion monitoring of well materials pursuant to subsection C.4.3.1.2;
 - (4) Transient well testing pursuant to subsection C.4.3.1.3;
 - (5) Monitoring of wellheads and valves, including an Inspection and Leak Detection Plan, pursuant to C.4.3.1.4;
 - (6) Plans for testing and monitoring to track the extent of the CO₂ plume, and the presence or absence of elevated pressure pursuant to C.4.3.2.1;
 - (7) Periodic monitoring of pressure and/or composition above the storage complex, pursuant to subsection C.4.3.2.2;

- (8) Surface monitoring to detect potential shallow subsurface or atmospheric CO₂ leakage pursuant to subsection C.4.3.2.3;
- (9) Seismicity monitoring pursuant to subsection C.4.3.2.4;
- (10) A demonstration of how the monitoring plan and methods will be designed to detect and quantify any CO₂ leakage. The demonstration must include plans for testing and monitoring that:
 - (A) Specifies the process and detection threshold at which leakage from any potential pathway, from reservoir to surface, will be detected and quantified;
 - (B) Uses maps and computational modeling to show how measurements and computational models will be used to trigger a finding of leakage; and
 - (C) Describes how monitoring data will be used to (1) determine and quantify any CO₂ leakage, and (2) show that mitigation attempts have been effective.
- (11) A description of the methods and the estimate of precision and accuracy of the methods used to measure and quantify CO₂ leakage from the storage complex (MT CO₂/year)
- (12) The Testing and Monitoring Plan must stipulate and include:
 - (A) The frequency of data acquisition;
 - (B) A record keeping plan;
 - (C) The frequency of instrument calibration activities;
 - (D) The QA/QC provisions on data acquisition, management, and record keeping that ensures it is carried out consistently and with precision; and
 - (E) Methods to measure and quantify the quality of CO₂ injected into each well at the GCS site metered at a location that accounts for complicating factors, such as the individual flows that may occur at wellheads or pressure and temperature variations, and that provides sufficiently accurate data.
- (13) Any additional monitoring, as required by the Executive Officer, necessary to support, upgrade, and improve computational modeling of the CO₂ plume extent required under subsection C.2.4.1;

- (14) The GCS operator must periodically review the Testing and Monitoring Plan to incorporate monitoring data collected under this subsection, operational data collected under subsection C.3, and the most recent CO₂ plume extent re-evaluation performed under subsection C.2.4.3; and
- (15) The GCS operator must review the Testing and Monitoring Plan no less than once every five years. Based on this review, the operator must submit an amended Testing and Monitoring Plan or demonstrate to the Executive Officer that no amendment to the Testing and Monitoring Plan is needed. Any amendments to the Testing and Monitoring Plan must be approved by the Executive Officer. Amended plans or demonstrations must be submitted to the Executive Officer as follows:
 - (A) Within one year of a CO₂ plume extent re-evaluation; or
 - (B) When required by the Executive Officer.

4.2 Mechanical Integrity Testing

- (a) Injection, production, monitoring, and observations wells that are part of a GCS site must have and maintain mechanical integrity at all times during operation, other than during periods of well workover for maintenance or corrective action. A well has mechanical integrity if:
 - (1) There is no internal leak in the casing, tubing, or packer;
 - (2) There is no significant external fluid movement out of the storage zone through channels adjacent to the wellbore; and
 - (3) Corrosion monitoring, pursuant to subsection C.4.3.1.2, reveals no loss of mass or thickness that may indicate the deterioration of well components (casing, tubing, or packer).
- (b) The GCS operator must conduct mechanical integrity testing as follows:
 - (1) Internal mechanical integrity must be demonstrated prior to commencing injection operations. Thereafter, the internal mechanical integrity of each well must be tested at least once every five years, after every workover (see subsection C.4.2(b)(6), below), or at the request of the Executive Officer. The GCS operator must submit a descriptive report of the internal mechanical integrity test results with the application for Final GCS Site Certification.
 - (2) External mechanical integrity must be demonstrated within three months after injection has commenced. Thereafter, wells must be tested at least once each year. The GCS operator may propose an alternative external mechanical integrity testing schedule, provided the GCS operator can demonstrate to the

satisfaction of the Executive Officer that the alternative schedule can provide results equivalent to or more accurate than testing at least once a year.

- (3) The GCS operator must demonstrate internal mechanical integrity and test for possible leaks in the casing, tubing, or packer, under subsection C.4.2(b)(1), via:
 - (A) An annulus pressure test;
 - (B) A radioactive tracer survey; or
 - (C) The GCS operator may propose an alternative internal mechanical integrity test, provided the operator can demonstrate to the satisfaction of the Executive Officer that the alternative test can provide results equivalent to or more accurate than the tests listed in subsections C.4.2(b)(3)(A) through (B).
- (4) The GCS operator must demonstrate external mechanical integrity and test for possible leaks from channels adjacent to the wellbore under subsection C.4.2(b)(2), via:
 - (A) A temperature log;
 - (B) A noise log;
 - (C) An oxygen activation log;
 - (D) A radioactive tracer survey; or
 - (E) The GCS operator may propose an alternative external mechanical integrity test, provided the operator can demonstrate to the satisfaction of the Executive Officer that the alternative test can provide results equivalent to or more accurate than the tests listed in subsections C.4.2(b)(4)(A) through (D).
- (5) The well must pass a suitable annulus pressure test to demonstrate mechanical integrity after any workover that has the potential to compromise the internal mechanical integrity of the well, including but not limited to the downhole replacement of tubing, safety valves, and/or electrical submersible pumps.
- (6) The GCS operator must demonstrate external mechanical integrity prior to plugging the well following the requirements of this subsection and subsection C.5.1.

- (c) Following the initial annulus pressure test, the GCS operator must continuously monitor pressure on the annulus between the tubing and long string casing, except during well workovers. Continuous monitoring of the pressure on the annulus must be used to confirm internal mechanical integrity during the active life of the GCS site, and must be performed in concert with continuous monitoring of injection pressure and annulus fluid volume pursuant to subsection C.4.3.1.1.
- (d) In conducting and evaluating the tests listed in this section or others to be allowed by the Executive Officer, the GCS operator must apply methods and standards generally accepted in the industry. When the operator reports the results of mechanical integrity tests to the Executive Officer, he/she must include a description of the tests and a justification for the methods used.
- (e) Reports of mechanical integrity demonstrations that include logs must include an interpretation of results by an experienced log analyst. The GCS operator must report the results of a mechanical integrity demonstration within the time period specified in subsection C.1.1.2.
- (f) Gauge and meter calibration: The GCS operator must calibrate all gauges used in mechanical integrity demonstrations and other required monitoring to an accuracy of not less than five percent of full scale, within one year prior to each required test.⁵ The date of the most recent calibration must be noted on or near the gauge or meter. A copy of the calibration certificate must be submitted to the Executive Officer with the report of the test. Certain mechanical integrity and other testing may require greater accuracy and must be identified in the procedure submitted to the Executive Officer prior to the test.

4.2.1 Reporting of Mechanical Integrity Tests

- (a) GCS operators that plan to store captured CO₂ in oil and gas reservoirs used for CO₂-EOR or GCS sites receiving an injection permit other than Class VI must submit a descriptive report prepared by an experienced log analyst that includes the results of any mechanical integrity test with the application for Final GCS Site Certification pursuant to subsection C.1.1.1(g), and annually, thereafter through the active life of the GCS site. At a minimum, the report must include:
 - (1) Chart and tabular results of each log or test;
 - (2) The interpretation of log results provided by the log analyst;
 - (3) A description of all tests and methods used;
 - (4) The records and schematics of all instrumentation used for the tests and the most recent calibration of any instrumentation;

⁵ With the exception of any permanent downhole gauges that cannot be calibrated at the surface.

- (5) The identification of any loss of mechanical integrity, evidence of fluid leakage, and remedial action taken;
- (6) The date and time of each test;
- (7) The name of the logging company and log analyst;
- (8) For any tests conducted during injection, operating conditions during measurement, including injection rate, pressure, and temperature (for tests run during well shut-in, this information must be provided relevant to the period prior to shut-in); and
- (9) For any tests conducted during shut-in, the date and time of the completion of injection and records of well pressure re-equilibration.

4.2.2 Loss of Mechanical Integrity

- (a) If the GCS operator or the Executive Officer finds that a well (1) fails to demonstrate mechanical integrity during a test, (2) fails to maintain mechanical integrity during operation, or (3) that a loss of mechanical integrity is suspected during operation, the GCS operator must:
 - (1) Take all steps reasonably necessary to determine whether there may have been a release of the injected CO₂ stream or formation fluids into any unauthorized zone. If there is evidence of substantial endangerment to public health or the environment from any fluid movement out of the intended storage complex, implement the Emergency and Remedial Response Plan, as described in subsection C.6;
 - (2) Follow the reporting requirements as directed in subsection C.1.1.2; and
 - (3) Restore and demonstrate mechanical integrity prior to resuming injection or plugging the well.
- (b) If the well loses mechanical integrity prior to the next scheduled test date, then the well must be repaired and retested within 30 days of losing mechanical integrity.
- (c) If the well lost mechanical integrity prior to the next scheduled test date, and it was repaired, the GCS operator must submit a descriptive report documenting the type of failure, the cause, the required repairs, and a new test of mechanical integrity following the requirements of subsection C.4.2 in the next annual report.

4.3 GCS Site Monitoring

- (a) Monitoring requirements for GCS sites are addressed in two separate categories: CO₂ injection monitoring and containment monitoring. The first includes activities required to monitor CO₂ injection at the GCS site. The second category is for monitoring and measurement activities that are required to ensure that the CO₂ injected is permanently contained within the storage complex.
- (b) The GCS operator must install and use alarms and automatic surface shut-off systems (e.g., automatic shut-off, check valves) for wells, or other mechanical devices that provide equivalent protection.
- (c) The GCS Operator must retain all records and all monitoring information, including all calibration and maintenance records and all original chart recordings for continuous monitoring instrumentation and copies of all reports, for GCS site monitoring until the Executive Officer approves site closure.

4.3.1 Injection Monitoring

- (a) Injection monitoring techniques must use calibrated metering equipment such as fluid flow meters and fluid chemistry analyzers. Meters must be maintained to operate consistent with design specifications and must be calibrated on a regular basis, at a minimum of once every 6 years or per the manufacturer's specified frequency, whichever is shorter.
- (b) Equipment used for monitoring must be replaced at a manufacturer's specified equipment lifetime defined by the manufacturer's warranty or official estimate of equipment replacement cycle.
- (c) Data quality management must include sufficient data capture to support quantification and verification of CO₂ injected. Any assumptions and contingency procedures must be documented. Any monitoring plan and implementation must take into account the location, type of equipment, and frequency by which each variable is measured.

4.3.1.1 *Continuous Monitoring of Injection Pressure*

- (a) The GCS operator must install and use continuous recording devices to monitor the injection pressure, pressure on the annulus between the tubing and the long string casing, and the annulus fluid volume. Continuous monitoring may be suspended during well workovers.
- (b) During operation, the GCS operator must continuously monitor injection pressure, at the wellhead (i.e., wellhead pressure) and downhole (i.e., bottom-hole pressure).

- (c) Injection pressure is monitored to ensure that the fracture parting pressure of the storage zone and the burst pressure of the well tubing are not exceeded and that the owner or GCS operator is in compliance with certified operating conditions.
- (d) For reservoirs used for CO₂-EOR or that are not pursuing a Class VI UIC permit, the GCS operator must ensure that the injection pressure remains at or below the Executive Officer-approved injection pressure pursuant to subsection C.3.2(b). For reservoirs not used for CO₂-EOR and that are also pursuing a Class VI UIC permit, the operator must ensure that the injection pressure remains at or below the approved pressure as reviewed and approved by the appropriate governmental authority.
- (e) During injection, pressure in the annular space directly above the packer must be maintained at a pressure higher⁶ than the tubing pressure.
- (f) Maximum allowable surface pressure must equal top perforation or completion depth, in true vertical depth, multiplied by the difference between the injection gradient and the injectate fluid gradient.
- (g) Significant changes of the pressure in the annulus between the tubing and the long string casing during injection may indicate a loss of internal mechanical integrity. If pressure monitoring indicates that the well is experiencing a loss of mechanical integrity, the GCS operator must follow the procedures outlined in subsection C.4.2.2.
- (h) Pressure data must be reported in the annual reports following subsection C.1.1.2. The GCS operator must submit, at a minimum, the following:
 - (1) Tabular data of all pressure measurements, a description and interpretation of the data aided with charts or graphs, and gauge calibration records;
 - (2) A description of the measurement methodology, noting any differences from what was established in the Testing and Monitoring Plan, and a justification of why a different methodology was used;
 - (3) Corrections made due to the impacts of fluctuating injectate temperature;
 - (4) The monthly average value for injection pressure;
 - (5) The monthly maximum and minimum values for injection pressure;
 - (6) If pressure exceeded certified operational limits during the reporting period, an explanation of the event(s), including the cause of the exceedance, the length of the excursion, and response to the excursion;

⁶ U.S. EPA Region 8, Groundwater Section Guidance Number 39, (1995; updated 2006), Denver, CO.

- (7) Identification and explanation of data gaps, if any; and
- (8) Any identified necessary changes to the Testing and Monitoring Plan to ensure continued protection of public health and the environment, including any changes in the data measurement or averaging methods.

4.3.1.2 *Corrosion Monitoring and Casing Inspection*

- (a) The GCS operator must monitor well materials for loss of mass, thickness, cracking, pitting, and other signs of corrosion, to ensure that well components meet the minimum standards for material strength and performance set by API, ASTM International, or equivalent. Corrosion monitoring must occur upon well completion and at a frequency specified in the Testing and Monitoring Plan following subsection C.4.1, at a minimum of once every five years thereafter.
- (b) Well components must be monitored for corrosion using at least one of the following methods:
 - (1) Corrosion coupons or loops;
 - (2) Casing inspection logs (CILs), such as caliper, electromagnetic phase-shift, electromagnetic flux test log, or ultrasonic test logs; or
 - (3) The GCS operator may propose an alternative method for corrosion monitoring, provided the GCS operator demonstrates to the satisfaction of the Executive Officer that the alternative method can provide results equivalent to or more accurate than results from the methods listed in subsections C.4.3.1.4(b)(1) and (2).
- (c) Well corrosion monitoring data must be reported annually to CARB pursuant to C.1.1.2. The report must include, at a minimum, the following:
 - (1) A description of the techniques used for corrosion monitoring;
 - (2) Measurement of (mass and thickness/weight) loss from any corrosion coupons or loops used;
 - (3) Assessment of additional corrosion, including pitting, in any corrosion coupons or loops used;
 - (4) Measurement of thickness loss or corrosion detected in any CILs;
 - (5) All measured CILs and comparison to previous logs;
 - (6) Identification and explanation of data gaps, if any; and

- (7) Any identified necessary changes to the Testing and Monitoring Plan.

4.3.1.3 *Transient Well Testing*

- (a) GCS operators must perform a pressure transient well test of each well at least once every five years pursuant to subsection C.4.1. The operator may propose an alternative schedule, provided the operator can demonstrate to the satisfaction of the Executive Officer that the alternative schedule can provide results equivalent to or better than the results from testing at least once every five years.
- (b) The results of pressure transient well tests must be reported to the Executive Officer within 30 days following the test and summarized with the annual reporting requirements pursuant to subsection C.1.1.2. Reports must include, at a minimum:
 - (1) The test well name/location, date/time of shut-in, and depths of pressure/temperature measurement;
 - (2) Gauge records and information on other sensors used including manufacturer, accuracy, resolution, and calibration documentation;
 - (3) Raw time pressure temperature data in tabular format (e.g., injection rates/pressures from test well and any offset wells, and pre-shut-in data, etc.);
 - (4) Diagnostic plots (e.g., log-log, semi-log, derivative, etc.) identifying flow regimes and key features used in data analysis;
 - (5) Description of analytical methods and software used and any multi-phase considerations, and provide calculated reservoir parameters such as transmissivity, permeability, and skin factor;
 - (6) Comparison of parameter values to those previously measured and model assumptions; and
- (7) Any identified necessary changes to the Testing and Monitoring Plan.

4.3.1.4 *Monitoring of Wellheads and Valves*

- (a) The GCS operator must prepare, maintain, and comply with an Inspection and Leak Detection Plan for all surface equipment, including wellheads, valves, and pipelines.
- (b) The Inspection and Leak Detection Plan must include, at a minimum, procedures that the GCS operator will follow that include:

- (1) Quarterly inspection of all wellheads, valves, and piping, employing effective gas leak detection technology;
 - (2) At least once every six months, testing of all surface and subsurface safety valve systems to ensure ability to hold anticipated pressure; and
 - (3) Annual testing of the master valve and wellhead pipeline isolation valve for proper function and verification of the valve's ability to isolate the well.
- (c) The plan must include inspection of the wellhead assembly and attached pipelines for each of the injection wells used in association with the GCS site, as well as the surrounding area within a 100-foot radius of the wellhead of each of the wells;
 - (d) The GCS operator must select and use gas leak detection technology that takes into account detection limits, remote detection of difficult to access locations, response time, reproducibility, accuracy, data transfer capabilities, distance from source, background lighting conditions, local ecology, geography, and meteorology;
 - (e) Upon finding that a surface or subsurface safety valve is inoperable, the GCS operator must immediately shut-in the well and repair the valve within 90 days. An appropriate alternative timeframe for testing a valve or addressing an inoperable surface or subsurface safety valve may be approved by the Executive Officer;
 - (f) Documentation of all inspections, tests, and results collected pursuant to the Inspection and Leak Detection Plan must be submitted to CARB annually per subsection C.1.1.2; and
 - (g) Testing of subsurface equipment operational integrity must be conducted in accordance with API Recommended Practice 14B⁷, or equivalent.

4.3.2 Containment Monitoring

- (a) Every GCS site must undertake monitoring activities to ensure safe and permanent storage of CO₂ in accordance with the Permanence Certification.
- (b) The Containment Monitoring Plan must be linked to the Risk Assessment (pursuant to subsection C.2.2) and must be used as an effective part of the risk management strategy for the GCS site.
- (c) The Containment Monitoring Plan must be specific to the GCS site's storage complex, including a demonstration that the methods selected are sensitive to

⁷ API Recommended Practice 14B, "Design, Installation, Operation, Test, and Redress of Subsurface Safety Valve Systems," 6 (2005): 37 p.

the CO₂ plume within the geologic environment of the storage reservoir. The plan must be able to:

- (1) Demonstrate that the computational modeling shows the CO₂ plume will remain within the storage complex at least until the end of the post-injection site care and monitoring period; and
 - (2) Ensure that if any CO₂ leakage occurs, it is detected with a detection threshold equal to, or better than, 5% of the total volume of leaked CO₂.
- (d) The Containment Monitoring Plan must be submitted as part of the Testing and Monitoring Plan with the application for Preliminary GCS Site Certification. The plan must include the methods the GCS operator will use to monitor the extent of the CO₂ plume and elevated pressure, any CO₂ leakage, and natural and induced seismic activity.
 - (e) The Containment Monitoring Plan must include methods and plans for the quantification of CO₂ leakage if it occurs, including an estimate of the accuracy and precision of those methods and plans.
 - (f) The Executive Officer may require the GCS operator to perform additional monitoring, as necessary, to support, upgrade, and improve computational modeling of the storage complex and to determine compliance with Permanence Certification.

4.3.2.1 Plume and Elevated Pressure- Tracking

- (a) GCS operators must track the extent of the free-phase CO₂ plume, and the pressure development within the storage complex by using:
 - (1) Well-based methods within the storage complex; and
 - (2) Indirect methods such as seismic, gravity, or electromagnetic surveys and CO₂ detection tools.
- (b) The Containment Monitoring Plan and schedule must be designed to:
 - (1) Monitor the free-phase CO₂ plume location, thickness, and saturation;
 - (2) Track the pressure development within the storage complex over time;
 - (3) Validate computational modeling results;
 - (4) Determine if the modeled CO₂ plume migration will remain within the storage complex until at least the end of the post-injection site care and monitoring period, pursuant to subsections C.2.4.2 and C.2.4.3; and

- (5) Demonstrate that operations are not leading to elevated brine leakage or seismic risks.
- (c) Monitoring free-phase CO₂ plume development: GCS operators must monitor the free-phase CO₂ plume extent, and must use one or more of the following methods to detect the shape of CO₂ saturation of the pore space in the storage zone:
 - (1) Time-lapse three-dimensional surface seismic surveys;
 - (2) Downhole, time-lapse three-dimensional vertical seismic profiling surveys;
 - (3) Wireline-based saturation, sonic, and gravity logging;
 - (4) Electrical resistivity tomography (surface or downhole); or
 - (5) The GCS operator may propose an alternative method to monitor the free-phase CO₂ extent, provided the operator can demonstrate to the satisfaction of the Executive Officer that the alternative method can provide results equivalent to or more accurate than results from the methods listed in subsections C.4.3.2.1(c)(1) through (4).
- (d) Monitoring pressure development: GCS operator must monitor the elevated pressure of the CO₂ plume. The GCS operator must use one or more of the following methods and provide an estimate of the site-specific quality of detection for each chosen method:
 - (1) Satellite based synthetic aperture radar (InSAR) monitoring (satellite-based);
 - (2) Pressure gauges (downhole);
 - (3) Tilt meters or inclinometers (surface and well-based); or
 - (4) The GCS operator may propose an alternative method to monitor the elevated pressure of the CO₂ plume, provided the operator can demonstrate to the satisfaction of the Executive Officer that the alternative method can provide results equivalent to or more accurate than results from the methods listed in subsections C.4.3.2.1(d)(1) through (4).
- (e) Plume and elevated pressure-tracking data must be reported annually, pursuant to subsection C.1.1.2. Reports must include, at a minimum:
 - (1) Tabular data of all measurements and a description and interpretation of the data aided with charts, graphs, and maps of the three-dimensional extent of the CO₂ plume;

- (2) A description of the measurement methodology, noting any differences from what was established in the Testing and Monitoring Plan, and a justification of why a different methodology was used;
- (3) An assessment of any deviations from the modeled three-dimensional extent of the CO₂ plume, if observed, and the determination of whether or not the results trigger corrective action pursuant to subsection C.2.5;
- (4) The monitoring approach and equipment should periodically be re-evaluated to determine if (1) the methods are useful and produce accurate data, and (2) if improved methods are available; and
- (5) Any identified necessary changes to the Testing and Monitoring Plan and the justification for those changes.

4.3.2.2 *Periodic Monitoring of Pressure and/or Composition Above the Storage Complex*

- (a) GCS operators must periodically monitor pressure and/or composition through one or more above-zone monitoring wells. In sites where it is feasible and useful, groundwater quality and geochemistry must also be monitored through shallow monitoring wells. The selection rationale and leakage detection threshold of the selected monitoring method must be demonstrated.
- (b) The spatial distribution of above-zone monitoring wells, number of above-zone monitoring wells, choice of monitoring formation(s), and frequency of monitoring must be based on specific information about the GCS site, including injection rate and volume, geology, the presence of artificial penetrations, and other factors. In the application for Preliminary GCS Site Certification, the GCS operator must justify to the satisfaction of the Executive Officer that the location and number of above-zone monitoring wells, choice of monitoring formation(s), and frequency of monitoring is sufficient to monitor the containment of injected CO₂ and ensure that any CO₂ leakage is detected and traced back to its source.
- (c) Pressure and/or composition data from formation(s) located above the storage complex must be reported annually, pursuant to C.1.1.2. The report must include, at a minimum, the following:
 - (1) Tabular data of all measurements and a description and interpretation of the data aided with charts, graphs, and maps of sample collection locations;
 - (2) A description of the measurement methodology, noting any differences from what was established in the Testing and Monitoring Plan, and a justification of why a different methodology was used;

- (3) If leakage was detected, the report must describe how the leakage was attributed, quantified, and assessed for potential corrective action. The report must also describe how the GCS operator appropriately managed, stopped, and mitigated leakage; and
- (4) Any identified necessary changes to the Testing and Monitoring Plan and the justification for those changes.

4.3.2.3 *Surface and Near-Surface Monitoring*

- (a) As part of the Testing and Monitoring Plan, the GCS operator must develop and implement a surface and near-surface CO₂ monitoring plan in accordance with the requirements of the CCUS Program regulation subsections 95704.1(a) through (c), except as specified below:
 - (1) In section 95704.1(a), the phrase “The monitoring plan must be posted to the project webpage at least 90 days before injection begins pursuant to section 95703.” is inapplicable. The reference to “40 CFR section 146.93, hereby incorporated by reference” is adapted as “subsection C.5.2”.
 - (2) In section 95704.1(c), the phrase “and must be posted to the project webpage pursuant to section 95703 within 60 days of implementation” is inapplicable.
 - (3) In section 95704.1(d)(4), the reference to “section 95704.4 or ERRP requirements, where applicable” is adapted as “subsection C.6”.
- (b) Ecosystem stress monitoring: The GCS operator must conduct annual vegetation surveys over the entirety of the surface projection of the storage complex to measure potential vegetative stress resulting from elevated CO₂ in soil. GCS operators must consider methods such as satellite imagery, aerial photography, and spectral imagery. Any indications of anomalous change from remote sensing must be subject to ground-based verification and, if necessary, soil gases must be analyzed to determine the presence or absence of storage zone brine or characteristics of injected CO₂, including any introduced tracers. The GCS operator may propose an alternative method to monitor ecosystem stress, provided the operator can demonstrate to the satisfaction of the Executive Officer that the alternative method can provide results equivalent to or more accurate than results from annual vegetation surveys.
- (c) The GCS operator must perform monitoring of the soil and vadose zone, including sampling of CO₂, ratios of CO₂ to other gasses, natural chemical tracers, and introduced tracers, in order to detect potential releases from wellbores, faults, and other migration pathways, and separate ecosystem variability from leakage signal.

- (d) Surface and near-surface monitoring data must be reported and interpreted annually, pursuant to C.1.1.2. Reports must include, at a minimum:
 - (1) Tabular data of all measurements and a description and interpretation of the data aided with charts, graphs, and maps of sample collection locations;
 - (2) A description of the measurement methodology, noting any differences from what was established in the Testing and Monitoring Plan, and a justification of why a different methodology was used;
 - (3) If leakage is detected, it must be attributed, quantified, and assessed for potential corrective action, and GCS operators must appropriately manage, stop, and mitigate leakage;
 - (4) Any identified necessary changes to the Testing and Monitoring Plan and the justification for those changes; and
 - (5) If data indicate a surface leak of CO₂ from the storage complex, the GCS operator must perform all actions necessary to identify and remediate the leak following the Emergency and Remedial Action Plan in subsection C.6.

4.3.2.4 *Seismicity Monitoring*

- (a) The GCS operator must install surface or downhole seismic sensors and at least one strong motion recorder capable of capturing strong ground motion data near buildings and infrastructure. If the GCS site is located in California, strong ground motion data may be obtained from existing California Integrated Seismic Network (CISN) recorders in lieu of collecting data from a sensor under the control of the GCS operator.
- (b) GCS operators must demonstrate that the seismic monitoring system complies with the requirements set forth in the CCUS program regulation sections 95704.3(b)(1) through (3).
- (c) The operational seismic monitoring plan must be updated based on the results of the baseline seismic monitoring evaluation pursuant to subsection C.2.6(b)(3). The updated operational seismic monitoring plan, or demonstration that no update is needed, must be submitted with the application for Final GCS Site Certification pursuant to subsection C.1.1.1(f) or (g).
- (d) GCS operators must continuously collect and evaluate seismic data. During injection, the GCS operator must use the evaluation of seismic data to perform the tasks required by the CCUS Program regulation sections 95704.3(e)(2)(A) through (D).

- (e) GCS operators must determine whether anomalous subsurface pressure changes or seismic activity are correlated with injection operations by analyzing seismic data in accordance with the requirements of the CCUS Program regulation section 95704.3(e)(3).
- (f) The GCS operator must ensure year-round continuous operation of all equipment associated with the baseline seismic monitoring system, the operational seismic monitoring system, and the post-injection seismic monitoring system in accordance with the requirements of the CCUS Program regulation section 95704.3(p).
- (g) The results of the operational seismic monitoring data must be reported to CARB annually pursuant to subsection C.1.1.2. The results must include the information required pursuant to the CCUS Program regulation section 95704.3(g)(1) through (7), except as specified below:
 - (1) In section 95704.3(g)(5), the reference to “section 95704.4” is adapted as “subsection C.6”.
 - (2) In section 95704.3(g)(7), the reference to “section 95704.3(f)” is adapted as “subsection C.4.1(a)(14)”.

5. Well Plugging and Abandonment and Post-Injection Site Care and Site Closure

5.1 Well Plugging and Abandonment

- (a) Well Plugging and Abandonment Plan: The GCS operator must prepare, maintain, and comply with a plan to plug all injection, production, and monitoring wells associated with the GCS site that is acceptable to the Executive Officer.
- (b) The GCS operator must demonstrate in the plan that each well will be plugged in a manner that prevents the well from serving as a conduit for fluid or CO₂ leakage out of the storage complex.
- (c) The Well Plugging and Abandonment Plan must be submitted as part of the application for Preliminary GCS Site Certification, and the plan must be updated as needed until all wells are plugged at the GCS site.
- (d) The Well Plugging and Abandonment Plan must include the following information:
 - (1) Appropriate tests or measures for determining bottom-hole pressure. Bottom-hole pressure must be used to determine the appropriate density of plugging fluids to achieve static equilibrium prior to plug placement;

- (2) Appropriate testing methods to ensure external mechanical integrity as specified in subsection C.4.2. External mechanical integrity testing is required to ensure that the long-string casing and cement left in the ground after the well is plugged will maintain their integrity over time;
 - (3) The type and number of plugs to be used;
 - (4) A description and depiction of the placement of each plug, including the elevation of the top and bottom of each plug;
 - (5) The type, grade, and quantity of material to be used in plugging. The material must be compatible with the CO₂ stream; and
 - (6) The method of plug placement.
- (e) The GCS operator must explain the following in the Well Plugging and Abandonment Plan:
- (1) How the location and thickness of the lowermost storage zone and freshwater aquifer-containing strata dictate the location of all plugs;
 - (2) How the well construction details, particularly the depth of the bottom of the intermediate and surface casings, affect the number of plugs and the types and amount of cement needed;
 - (3) How the types of subsurface formations penetrated by the well and their geochemistry influence both plugging methods and the types of cement needed (for open-hole plugging); and
 - (4) How the composition of the CO₂ stream and formation fluid geochemistry, including any geochemical changes anticipated during the post-injection site care and monitoring period, affect appropriate plugging and cementing materials.
- (f) Prior to plugging each well, the GCS operator must flush the well with a buffer fluid, determine bottom-hole pressure, and perform a final external mechanical integrity test.
- (g) Prior to plugging each well, the GCS operator must use the operational and monitoring history of the GCS site to identify any information or events that warrant amendment of the original Well Plugging and Abandonment Plan. The operator must use the following data and demonstrate to CARB that an amendment is or is not necessary:
- (1) Monitoring data related to chemistry of the CO₂ plume and formation fluids;

- (2) Mechanical integrity testing, including any mechanical integrity problems that may have occurred during the active life of the GCS site;
 - (3) Operational data, such as injection rates or volumes; and
 - (4) Any significant changes to the GCS site that may affect plugging of a well.
- (h) Notice of intent to plug: The GCS operator must notify the Executive Officer in writing pursuant to subsection C.1.1.2, at least 30 days before plugging, conversion, or abandonment of a well. At the discretion of the Executive Officer, a shorter notice period may be allowed.
 - (i) Amending the Well Plugging and Abandonment Plan: If the GCS operator finds it necessary to change the Well Plugging and Abandonment Plan, a revised plan must be submitted at the same time as providing the notice of intent, pursuant to subsection C.1.1.2, to the Executive Officer for written approval.
 - (j) The GCS operator must receive written approval from the Executive Officer before plugging the well, and must plug and abandon the well in accordance with subsections C.5.1(d) through C.5.1(g) in this section, as provided in the Well Plugging and Abandonment Plan.
 - (k) Plugging report: Within 60 days after plugging, the GCS operator must submit, pursuant to subsection C.1.1.2, a plugging report to the Executive Officer. The report must be certified as accurate by the operator and by the person who performed the plugging operation (if other than the operator). The operator must retain the well plugging and abandonment report for 10 years following site closure. The report must include:
 - (1) A statement that the well was plugged in accordance with the Well Plugging and Abandonment Plan previously approved by the Executive Officer; or
 - (2) If the actual plugging differed from the approved plan, a statement describing the actual plugging and an updated plan specifying the differences from the plan previously submitted; and
 - (3) A statement that the well was inspected using approved detection methods and found to have no leaks.
 - (l) Temporary Abandonment: The operator must continue to comply with the conditions of the Permanence Certification, including all monitoring and reporting requirements according to the frequencies outlined in the Permanence Requirements and documentation. The well must also be tested to ensure that it maintains mechanical integrity, according to the requirements and frequency specified in subsection C.4.2.

- (1) After a cessation of operations of 24 months, the GCS operator must plug and abandon the well, or group of wells, in accordance with the Executive Officer-approved Well Plugging and Abandonment Plan unless he or she:
 - (A) Provides notice to CARB; and
 - (B) Describes actions or procedures, satisfactory to CARB, which the GCS operator will take to ensure that the well will not endanger public health and/or the environment during the period of temporary abandonment. These actions and procedures must include compliance with the technical requirements applicable to active wells unless waived by CARB.

5.2 Post-Injection Site Care and Site Closure

- (a) The GCS operator must prepare, maintain, and comply with a plan for post-injection site care and site closure that meets the requirements of subsection C.5.2(a)(2).
 - (1) The GCS operator must submit the Post-Injection Site Care and Site Closure Plan as a part of the application for Preliminary GCS Site Certification.
 - (2) Post-Injection Site Care and Site Closure Plan. The plan for site care and closure must include the following information:
 - (A) The pressure differential between pre-injection and predicted post-injection pressures in the storage zone, and the predicted timeframe in which pressure is expected to reach a stable level;
 - (B) A depiction of the predicted three-dimensional extent of the CO₂ free-phase CO₂ plume and associated elevated pressure at the time of site closure as demonstrated in the final validated computational model required at subsections C.2.4 and C.2.4.1;
 - (C) A description of post-injection monitoring location, methods, and proposed frequency;
 - (D) A proposed schedule for submitting post-injection site care monitoring results to the Executive Officer;
 - (E) A description of the seismic monitoring system that will be used during the post-injection phase and demonstration that it meets the system requirements described in the CCUS Program regulation section 95704.3(b)(1) through (3); and

- (F) A description of the methods that will be used to evaluate seismic data in accordance with the requirements in the CCUS Program regulation section 95704.3(e)(2)(A) through (D).
- (3) Upon injection completion, the GCS operator must either submit an amended Post-Injection Site Care and Site Closure Plan or demonstrate to the Executive Officer through monitoring data and modeling results that no amendment to the plan is needed. Any amendments to the Post-Injection Site Care and Site Closure Plan must be approved by the Executive Officer and incorporated into the Permanence Certification.
 - (A) The post-injection seismic monitoring plan must be updated based on the results of the operational seismic monitoring evaluations pursuant to subsection C.4.3.2.4(f).
- (4) The GCS operator must review the post-injection seismic monitoring plan portion of the Post-Injection Site Care and Site Closure Plan no less than once every five years. Based on this review, the GCS operator must submit an amended post-injection seismic monitoring plan or demonstrate to the Executive Officer that no amendment to the post-injection seismic monitoring plan is needed. Any amendments to the post-injection seismic monitoring plan must be approved by the Executive Officer. Amended plans or demonstrations must be submitted to the Executive Officer as follows:
 - (A) Within one year of a CO₂ plume extent re-evaluation; or
 - (B) When required by the Executive Officer.
- (5) At any time during the active life of the GCS site, the GCS operator may modify and resubmit the Post-Injection Site Care and Site Closure Plan for the Executive Officer's approval.
- (b) Post-injection site care and monitoring:
 - (1) The GCS operator must monitor the site following injection completion to determine the three-dimensional extent of the free-phase CO₂ plume, and demonstrate that no CO₂ leakage is occurring, as specified in the Testing and Monitoring Plan and the Post-Injection Site Care and Site Closure Plan.
 - (2) After injection is complete, the GCS operator must continue to conduct monitoring as specified in this section and Post-Injection Site Care and Site Closure Plan for a minimum of 100 years.
 - (3) Post-injection site care and monitoring requirements are as follows:

- (A) Within 24 months after the GCS site enters into the post-injection site care and monitoring period, all injection (and production, if applicable) wells associated with the GCS site must be plugged and abandoned pursuant subsection C.5.1(d), with the exception of any wells that the GCS operator plans to transition into observation or monitoring wells.
- (B) Monitoring and observation wells may remain open, and in active monitoring mode, until the Executive Officer approves of the GCS operator's demonstration that plume stabilization has occurred pursuant to subsection C.5.2(b)(3)(C). Risk reduction must be prioritized, and remote sensing methods and surveillance outside and above the CO₂ plume must be adopted as wells that penetrate the plume are plugged.
- (C) No sooner than 15-years post injection completion, the GCS operator may submit evidence to CARB that plume stabilization has occurred. Such evidence must include modeling pursuant to subsection C.2.4.3, updated using operational and post-injection monitoring measurements. The evidence must also include measured plume migration rates. In order for CARB to determine that plume stabilization has occurred, the evidence must show that plume migration over a 100-year period would not result in CO₂ leakage, that the modeling shows good conformance with measurements, and that overall CO₂ leakage risk is reduced. Following verification, CARB will use the submitted evidence to determine whether plume stabilization has occurred.
- (D) If a monitoring well is discovered to be leaking at any time during the post-injection site care and monitoring period, the GCS operator must take all necessary measures to identify the cause of the leak and remediate it. If the leak cannot be remediated, the well must immediately be plugged and abandoned pursuant to subsection C.5.1(d). If necessary, a new well must be drilled to continue monitoring for plume stabilization.
- (E) As part of post-injection monitoring, and pursuant to the monitoring timeline as specified in the Post-Injection Site Care and Site Closure Plan, the GCS operator must:
 - 1. Perform quarterly bottom-hole pressure measurements in the monitoring wells in order to track pressure changes. Frequency of measurement may be adjusted based on the previously measured rate of change, provided the GCS operator provides a justification for an alternative monitoring strategy;
 - 2. Use appropriate best-practice methods to map the three-dimensional extent of the free-phase CO₂ plume and elevated pressure;

3. Periodically update the plume extent modeling pursuant to subsection C.2.4 to determine if any corrective action is necessary and to establish if the CO₂ plume has stabilized; and
 4. Implement the post-injection seismic monitoring plan to detect seismicity associated with CO₂ plume migration, elevated pressure distribution, and any seismic events that trigger the yellow-light TLS threshold or higher.
- (F) Once plume stabilization has been determined by CARB to have occurred, pursuant to subsection C.5.2(b)(3)(C), all monitoring wells must be abandoned following subsection C.5.1(d).
- (G) Once plume stabilization has been determined by CARB to have occurred, pursuant to subsection C.5.2(b)(3)(C), the GCS operator may propose a full or partial retirement of the seismic monitoring system, subject to Executive Officer approval, by demonstrating the conditions required by the CCUS Program regulation sections 95704.3(j)(1) and (2) have been met.
- (H) If the Executive Officer approves full or partial retirement of the seismic monitoring system pursuant to subsection C.5.2(b)(3)(G), the GCS operator must meet the following requirements for the remainder of the post-injection site care and monitoring period:
1. For GCS sites located in California, the GCS operator must continue monitoring through the California Integrated Seismic Network (CISN). For GCS sites located outside of California, the GCS operator must continue monitoring through the U.S. Geological Survey's National Earthquake Information Center and Advanced National Seismic System, or equivalent;
 2. The GCS operator must report the results of the seismic monitoring activities at least once every five years;
 3. If a seismic event occurs after full or partial retirement of the seismic monitoring system that meets or exceeds the red-light threshold, GCS operators must reinstate a post-injection seismic monitoring plan that meets the requirements of subsection C.5.2(b)(3)(E)4.; and
 4. No sooner than five years after reinstating the seismic monitoring system, GCS operators may demonstrate, pursuant to the conditions required by the CCUS Program regulation sections 95704.3(j)(1) and (2), that site conditions no longer pose an endangerment to public health or the environment. If the Executive Officer approves this determination, the GCS operator may apply for full or partial retirement

of the seismic monitoring system pursuant to subsection C.5.2(b)(3)(G).

- (I) For the remainder of the post-injection site care and monitoring period following Executive Officer approval of the demonstration of plume stabilization, the GCS operator must implement a leak detection strategy as follows:
 - 1. In the near surface strategically located near plugged and abandoned wells, using ground-based methods. Aerial technologies may be used provided that the GCS operator can demonstrate to the satisfaction of the Executive Officer that these methods have a likelihood of detecting leakage from wells in the near-surface equivalent to or more accurate than that of ground-based methods;
 - 2. At areas of concern determined by the risk assessment (following subsection C.2.2) to be potential pathways for the preferential migration of CO₂ or brine to surface, during the post-injection site care and monitoring period at a frequency based on monitoring and verification data collected during injection, at a minimum of once every five years;
 - 3. Using methods that can provide the following data, at a minimum:
 - i. Date and time of site visit or visual inspection;
 - ii. GPS coordinates for any samples collected, measurements recorded, and locations of pertinent areas/points of concern (e.g., plugged and abandoned wells);
 - iii. Photographs documenting site conditions on date of inspection; and
 - iv. Appropriate baseline and background measurements collected prior to reaching plume stability.
 - 4. If the inspection checks suggest a potential leak may have occurred, the area must be tested pursuant to subsection C.4.3.2.
- (J) The results of the post-injection seismic monitoring data must include the information required pursuant to the CCUS Program regulation section 95704.3(n)(1) through (6), except as specified below:
 - 1. In section 95704.3(n)(4), the reference to “section 95704.4” is adapted as “subsection C.6”.

2. In section 95704.3(n)(6), the reference to “section 95704.3(i)” is adapted as “subsection C.5.2(a)(4)”.
- (K) The GCS operator must submit the results of all monitoring performed according to the schedule identified in the Post-Injection Site Care and Site Closure Plan.
 - (c) Notice of intent for site closure. The GCS operator must notify the Executive Officer at least 120 days before site closure. At this time, if any changes have been made to the original Post-Injection Site Care and Site Closure Plan, the operator must also provide the revised plan.
 - (d) After the Executive Officer has authorized site closure, the GCS operator must restore the site to a condition agreed to with the Executive Officer, as close to pre-injection conditions as practicable.
 - (e) The GCS operator must submit a site closure report to the Executive Officer within 90 days of site closure. The report must include:
 - (1) Documentation of appropriate injection and monitoring well plugging and abandonment as specified in subsections C.5.1, C.5.2(b)(3)(A), and C.5.2(b)(3)(G). The GCS operator must provide a copy of a survey plat, which has been submitted to the local zoning authority designated by the Executive Officer. The plat must indicate the location of the injection well relative to permanently surveyed benchmarks;
 - (2) Documentation of appropriate notification and information to such state, federal, local, and tribal authorities that have authority over drilling activities to enable such state, federal, local, and tribal authorities to impose appropriate conditions on subsequent drilling activities that may penetrate the storage complex; and
 - (3) Records reflecting the nature, composition, and volume of the CO₂ stream.
 - (f) Within six months after completion of injection, each GCS operator must record a notation on the deed to the GCS site property or any other document that is normally examined during title search that will in perpetuity provide any potential purchaser of the property the following information:
 - (1) The fact that land has been used to store CO₂;
 - (2) The name of the state agency and local authority with which the survey plat was filed; and
 - (3) The volume of fluid injected, the storage zone into which it was injected, and the period over which injection occurred.

6. Emergency and Remedial Response

- (a) The GCS operator must prepare an Emergency and Remedial Response Plan in accordance with the requirements of the CCUS Program regulation section 95704.4(a), with the exception of section 95704.4(a)(1)(C), and except as specified below:
 - (1) The ERRP must be submitted as part of the application for Preliminary GCS Site Certification rather than “posted to the project webpage pursuant to section 95703 for at least 90 days prior to the start of injection.”
 - (2) Wherever “section 95704.3(a)” is referenced, “subsection C.2.2(g)” must be substituted. Wherever “section 95704.3(b)” is referenced, “subsections C.4.3.2.4(a) and (b)” must be substituted.
 - (3) In section 95704.4(a)(2), the reference to “40 CFR section 146.94” is adapted as “40 CFR section 146.94 or equivalent primacy state agency regulation requirement.”
 - (4) The phrase “After the end of the EPA-approved PISC period required by 40 CFR section 146.93,” is inapplicable.
- (b) In addition to the information required by subsection C.6(a), the Emergency and Remedial Response Plan must include planned mitigation measures and remediation activities in response to the risk scenarios classified as high or medium risk pursuant to subsection C.2.2(f).
- (c) The GCS operator must review and update the ERRP in accordance with the requirements of the CCUS Program regulation section 95704.4(b), except as specified below:
 - (1) Wherever “section 95704.3(a)(1)” is referenced, “subsection C.2.2(g)” must be substituted.
- (d) The GCS operator must notify CARB by email within 24 hours of detecting CO₂ or formation fluid leakage from the storage complex or the yellow-light TLS threshold or higher is triggered.
 - (1) Within 24 hours of an incident notification, the GCS operator must provide CARB with an initial report that includes the information required by the CCUS Program regulation section 95704.4(c)(1)(A) through (F), except as specified below:
 - (A) In section 95704.4(c)(1)(F), the reference to “U.S. EPA Regional Director” is adapted as “U.S. EPA Regional Director or equivalent head of agency

with regulatory authority over injection operations at the GCS site.”

- (2) Within 60 days of an incident notification, the GCS operator must provide CARB with a final report that includes the information required by the CCUS Program regulation section 95704.4(c)(2)(A) through (F), except as specified below:
 - (A) Wherever “section 95704.3” is referenced, “subsections C.4.3.2.4 or C.5.2(a)(2)(E)” must be substituted. Wherever “section 95704.2” is referenced, “subsection C.4.3.2.3” must be substituted.
- (e) The GCS operator is subject to the requirements of the CCUS Program regulation sections 95704.4(d) and (e).

7. Financial Responsibility

- (a) GCS operators that are planning to store captured CO₂ in either saline or depleted oil and gas reservoirs not used for CO₂-EOR and that are also pursuing a Class VI UIC permit are subject to the requirements of the CCUS Program regulation section 95704.5(b) through (d), except as specified below:
 - (1) In section 95704.5(c), the phrase “and posted to the project webpage” is inapplicable.
 - (2) Wherever the term “U.S. EPA” or “EPA” is used, the term “U.S. EPA or primacy state agency” must be substituted.
 - (3) Wherever “40 CFR section 146.85(b)(2)” is referenced, “40 CFR section 146.85(b)(2) or equivalent primacy state agency regulation requirement” must be substituted.
- (b) GCS operators that are planning to store captured CO₂ in oil and gas reservoirs used for CO₂-EOR or GCS sites receiving an injection permit other than Class VI are subject to the requirements of section C.7, with the exception of C.7(a). The GCS operator must uphold obligations to pay the short and long-term costs associated with monitoring and remediation activities that ensure the risk of carbon dioxide leakage poses no material threat to public health, safety, and the environment for no less than 100 years after injection ends. The GCS operator must demonstrate and maintain financial responsibility and resources as determined by the Executive Officer that meets the following conditions:
 - (1) The financial responsibility instrument(s) used must be from the following list of qualifying instruments:
 - (A) Trust Funds;

- (B) Surety Bonds;
 - (C) Letter of Credit;
 - (D) Insurance;
 - (E) Self-Insurance (i.e., Financial Test and Corporate Guarantee);
 - (F) Escrow Account; and
 - (G) Any other instrument(s) satisfactory to the Executive Officer.
- (2) The qualifying instrument(s) must be sufficient to cover the cost of the following GCS site phases and activities:
- (A) Corrective action (that meets the requirements of subsection C.2.5);
 - (B) Well plugging and abandonment (that meets the requirements of subsection C.5.1);
 - (C) Post-injection site care and site closure (that meets the requirements of subsection C.5.2); and
 - (D) Emergency and remedial response (that meets the requirements of subsection C.6).
- (3) The financial responsibility instrument(s) must be sufficient to address the potential endangerment of public health and the environment via atmospheric CO₂ leakage.
- (4) The qualifying financial responsibility instrument(s) must comprise protective conditions of coverage.
- (A) Protective conditions of coverage must include at a minimum:
 - 1. For purposes of this part, a GCS operator must provide that their financial mechanism may not cancel, terminate or fail to renew except for failure to pay such financial instrument. If there is a failure to pay the financial instrument, the financial institution may elect to cancel, terminate, or fail to renew the instrument by sending notice by certified mail and an electronic format to the GCS operator and the Executive

Officer. The cancellation must not be final for 120 days after receipt of cancellation notice. The GCS operator must provide an alternate financial responsibility demonstration within 60 days of notice of cancellation, and if an alternate financial responsibility demonstration is not acceptable (or possible), any funds from the instrument being cancelled must be released within 60 days of notification by the Executive Officer to complete required activities that the financial responsibility instrument are expected to cover, as described in subsection C.7(b)(2).

2. For purposes of this part, the GCS operator must renew all financial instruments, if an instrument expires, for the active life and post-injection site care and monitoring period of the GCS site. The instrument may be automatically renewed as long as the GCS operator has the option of renewal at the face amount of the expiring instrument. The automatic renewal of the instrument must, at a minimum, provide the holder with the option of renewal at the face amount of the expiring financial instrument.
 3. Cancellation, termination, or failure to renew may not occur and the financial instrument will remain in full force and effect in the event that on or before the date of expiration: (1) the Executive Officer deems the GCS site abandoned, (2) the permit is terminated or revoked or a new permit is denied, (3) closure is ordered by the Executive Officer or a U.S. district court or other court of competent jurisdiction, (4) the GCS operator is named as debtor in a voluntary or involuntary proceeding under Title 11 (Bankruptcy), U.S. Code, or (5) the amount due is paid.
- (5) The qualifying financial responsibility instrument(s) must be approved by the Executive Officer.
- (A) The financial responsibility demonstration must be considered and approved by the Executive Officer for all phases of the GCS site prior to Permanence Certification following subsection C.1.1.
 - (B) The GCS operator must provide updated information related to their financial responsibility instrument(s) when/if there are any changes. This information must be provided to the Executive Officer within 30 days of such a change. The Executive Officer will evaluate, within a reasonable time, the financial responsibility demonstration to confirm that the instrument(s) used remain adequate for use. The GCS operator must maintain financial responsibility requirements regardless of the status of the Executive Officer's review of the financial responsibility demonstration.

- (C) The Executive Officer may disapprove the use of a financial instrument if they determine that it is not sufficient to meet the requirements of this section.
- (6) The GCS operator must demonstrate financial responsibility by using one or multiple qualifying financial instruments for specific phases or activities of the GCS site.
- (A) In the event that the GCS operator combines more than one instrument for a specific GCS site phase or activity (e.g., well plugging), such combination must be limited to instruments that are not based on financial strength or performance (i.e., self-insurance or performance bond), for example trust funds, surety bonds guaranteeing payment into a trust fund, letters of credit, escrow account, and insurance. In this case, it is the combination of mechanisms, rather than the single mechanism, which must provide financial responsibility for an amount at least equal to the current cost estimate.
 - (B) When using a third-party instrument to demonstrate financial responsibility, the GCS operator must provide proof that the third-party providers either have passed financial strength requirements based on credit ratings, or has met a minimum rating, minimum capitalization, and ability to pass the bond rating when applicable.
 - (C) A GCS operator using certain types of third-party instruments must establish a standby trust to enable CARB to be party to the financial responsibility agreement without CARB being the beneficiary of any funds. The standby trust fund must be used along with other financial responsibility instruments (e.g., surety bonds, letters of credit, or escrow accounts) to provide a location to place funds if needed; and may not be cancelled so long as these instruments are used.
 - (D) A GCS operator may deposit money to an escrow account to cover financial responsibility requirements, and this account must segregate funds sufficient to cover estimated costs for GCS site financial responsibility from other accounts and uses.
 - (E) A GCS operator or its guarantor may use self-insurance to demonstrate financial responsibility for GCS sites. In order to satisfy this requirement the GCS operator must meet a tangible net worth of an amount approved by the Executive Officer, have a Net working capital and tangible net worth each at least six times the sum of the current well plugging, post injection site care and site closure cost, have assets located in the United States amounting to at least 90 percent of total assets or at least six times the sum of the current well plugging, post injection site care and site closure cost, and must submit a report of its bond rating and financial information

annually. In addition, the GCS operator must either: Have a bond rating test of AAA, AA, A, or BBB as issued by Standard & Poor's, Aaa, Aa, A, or Baa as issued by Moody's, or meet all of the following five financial ratio thresholds:

1. A ratio of total liabilities to net worth less than 2.0;
 2. A ratio of current assets to current liabilities greater than 1.5;
 3. A ratio of the sum of net income plus depreciation, depletion, and amortization to total liabilities greater than 0.1;
 4. A ratio of current assets minus current liabilities to total assets greater than -0.1; and
 5. A net profit (revenues minus expenses) greater than 0.
- (F) A GCS operator who is not able to meet corporate financial test criteria may arrange a corporate guarantee by demonstrating that its corporate parent meets the financial test requirements on its behalf. The parent's demonstration that it meets the financial test requirement is insufficient if it has not also guaranteed to fulfill the obligation for the GCS operator.
- (G) A GCS operator may obtain an insurance policy to cover the estimated costs of GCS site activities requiring financial responsibility. This insurance policy must be obtained from a third-party provider.
- (c) The GCS operator must maintain financial responsibility and resources until:
- (1) The Executive Officer receives and approves the completed Post-Injection Site Care and Site Closure Plan; and
 - (2) The Executive Officer approves site closure.
- (d) The GCS operator may be released from financial instrument in the following circumstances:
- (1) The GCS operator has completed the phase of the GCS site for which the financial instrument was required and has fulfilled all its financial obligations as determined by the Executive Officer, including obtaining financial responsibility for the next phase of the GCS site, if required; or
 - (2) The GCS operator has submitted a replacement financial instrument and received written approval from the Executive Officer accepting the new financial instrument and releasing the GCS operator from the previous financial instrument.

- (e) The GCS operator must have a detailed written estimate, in current dollars, of the cost of performing corrective action on all wells that either penetrate the storage complex or are within the surface projection of the storage complex, plugging the well(s), post-injection site care and site closure, and emergency and remedial response.
 - (1) The cost estimate must be performed for each phase separately and must be based on the costs to the regulatory agency of hiring a third party to perform the required activities. A third party is a party who is not within the corporate structure of the GCS operator.
 - (2) During the active life of the GCS site, the GCS operator must adjust the cost estimate for inflation by the annual reporting date and provide this adjustment to the Executive Officer. The GCS operator must also provide the Executive Officer written updates of adjustments to the cost estimate within 60 days of any amendments to the Corrective Action Plan, the Well Plugging and Abandonment Plan, the Post-Injection Site Care and Site Closure Plan, and the Emergency and Remedial Response Plan.
 - (3) Any decrease or increase to the initial cost estimate must be approved by the Executive Officer. During the active life of the GCS site, the GCS operator must revise the cost estimate no later than 60 days after the Executive Officer has approved the request to modify the Corrective Action Plan, the Injection Well Plugging and Abandonment Plan, the Post-Injection Site Care and Site Closure Plan, and the Emergency and Remedial Response Plan, if the changes in the plan increases the cost. If the change to the plans decreases the cost, any withdrawal of funds must be approved by the Executive Officer. Any decrease to the value of the financial assurance instrument must first be approved by the Executive Officer. The revised cost estimate must be adjusted for inflation as specified at subsection C.7(e)(2).
 - (4) Whenever the current cost estimate increases to an amount greater than the face amount of a financial instrument currently in use, the GCS operator, within 60 days after the increase, must either cause the face amount to be increased to an amount at least equal to the current cost estimate and submit evidence of such increase to the Executive Officer, or obtain other financial responsibility instruments to cover the increase. Whenever the current cost estimate decreases, the face amount of the financial assurance instrument may be reduced to the amount of the current cost estimate only after the GCS operator has received written approval from the Executive Officer.
- (f) The GCS operator must notify the Executive Officer by an electronic format and certified mail of adverse financial conditions such as bankruptcy that may affect the ability to carry out well plugging and post-injection site care and site closure.

- (1) In the event that the GCS operator or the third-party provider of a financial responsibility instrument is going through a bankruptcy, the GCS operator must notify the Executive Officer by certified mail and an electronic format of the commencement of a voluntary or involuntary proceeding under Title 11 (Bankruptcy), U.S. Code, naming the GCS operator as debtor, within 10 days after commencement of the proceeding.
 - (2) A guarantor of a corporate guarantee must make such a notification to the Executive Officer if he/she is named as debtor, as required under the terms of the corporate guarantee.
 - (3) A GCS operator who fulfills the requirements of subsection C.7(b) by obtaining a trust fund, surety bond, letter of credit, escrow account, or insurance policy will be deemed to be without the required financial assurance in the event of bankruptcy of the trustee or issuing institution, or a suspension or revocation of the authority of the trustee institution to act as trustee of the institution issuing the trust fund, surety bond, letter of credit, escrow account, or insurance policy. The GCS operator must establish other financial assurance within 60 days after such an event.
- (g) The GCS operator must provide an adjustment of the cost estimate to the Executive Officer within 60 days of notification by the Executive Officer, if the Executive Officer determines during the annual evaluation of the qualifying financial responsibility instrument(s) that the most recent demonstration is no longer adequate to cover the cost of corrective action (as required by subsection C.2.5), well plugging and abandonment (as required by subsection C.5.1), post-injection site care and site closure (as required by subsection C.5.2), and emergency and remedial response (as required by subsection C.6).
- (h) The use and length of pay-in-periods for trust funds or escrow accounts must be approved by the Executive Officer.

8. Modification or Revocation and Reissuance of Permanence Certification

- (a) When the Executive Officer receives any information, including but not limited to, (1) information submitted by the GCS operator as required by the Permanence Certification, (2) receives a request for modification or revocation and reissuance of the Permanence Certification, or (3) inspects the facility or conducts a review of the Permanence Certification, he or she may determine whether or not one or more of the causes listed in subsections C.8(b) and C.8(c) of this section exist requiring a modification or revocation and reissuance of the Permanence Certification, or both. If cause exists, the Executive Officer may modify or revoke and reissue the Permanence Certification accordingly, and may request an updated Permanence Certification if necessary. When a Permanence Certification is modified, only the conditions subject to modification are reopened. If a Permanence Certification is revoked and reissued, the entire Permanence

Certification is reopened and subject to revision and the permit is reissued for a new term. If a Permanence Certification modification satisfies the criteria in subsection C.8.2 for “minor modifications,” the Permanence Certification may be modified without a draft Permanence Certification and public review. Otherwise, the Executive Officer will post the draft Permanence Certification for public comment for at least 15 days and follow the procedures pursuant to subsection C.1.1(b) for reviewing and addressing public comments and determining and issuing Permanence Certification approval or denial.

(b) Causes for modification or revocation and reissuance.

- (1) Alterations. There are material and substantial alterations or additions to the certified GCS site or activity which occurred after issuance of the Permanence Certification, and which justify the application of conditions that are different or absent in the existing Permanence Certification.
- (2) Information. Permanence Certifications may be modified during their terms for this cause only if the information was not available at the time of issuance of the Permanence Certification (other than revised regulations, guidance, or test methods) and would have justified the application of different conditions of Permanence Certification at the time of issuance.
- (3) New regulations. The standards or regulations on which the Permanence Certification was based have been changed by promulgation of new or amended standards or regulations or by judicial decision after the Permanence Certification was issued.
- (4) Compliance schedules. The Executive Officer determines good cause exists for modification of a compliance schedule, such as a strike, flood, or materials shortage or other events over which the certified GCS operator has little or no control and for which there is no reasonably available remedy. (See also subsection C.8.2(a)(3)).
- (5) Basis for modification of Permanence Certifications. Additionally, whenever the Executive Officer determines that changes to the Permanence Certification are necessary, based on:
 - (A) Storage complex re-evaluations under subsection C.2.4.3;
 - (B) Any amendments to the Testing and Monitoring Plan under subsection C.4.1;
 - (C) Any amendments to the Well Plugging and Abandonment Plan under subsection C.5.1;

- (D) Any amendments to the Post-Injection Site Care and Site Closure Plan under subsection C.5.2;
 - (E) Any amendments to the Emergency and Remedial Response Plan under subsection C.6;
 - (F) A review of monitoring and/or testing results conducted in accordance with Permanence Certification requirements.
- (c) Causes for modification or revocation and reissuance of Permanence Certification. Cause exists to modify or, alternatively, revoke and reissue Permanence Certification if the Executive Officer determines cause exists for termination under subsection C.8.1(a), and the Executive Officer determines that modification or revocation and reissuance is appropriate.

8.1 Termination of Permanence Certifications

- (a) The Executive Officer may terminate a Permanence Certification during its term, or deny a Permanence Certification renewal application for the following causes:
- (1) Noncompliance by the GCS operator with any condition of the Permanence Certification;
 - (2) The GCS operator's failure in the application or during the Permanence Certification issuance process to disclose fully all relevant facts, or the operator's misrepresentation of any relevant facts at any time; or
 - (3) A determination that any GCS injection activity endangers public health or the environment via CO₂ or formation fluid leakage and can only be regulated to acceptable levels by modification or termination of Permanence Certification.

8.2 Minor Modification of Permanence Certifications

- (a) Upon the consent of the GCS operator, the Executive Officer may modify a Permanence Certification to make the corrections or allowances for changes in the certified GCS site activity listed in this section, without following the procedures of subsection C.8(a). Any modification to the Permanence Certification not processed as a minor modification under this section must be made for cause and pursuant to draft Permanence Certification and public notice as required in subsection C.8(a). Minor modifications may only:
- (1) Correct typographical errors;
 - (2) Require more frequent monitoring or reporting by the GCS operator;

- (3) Change an interim compliance date in a schedule of compliance, provided the new date is not more than 120 days after the date specified in the existing Permanence Certification and does not interfere with attainment of the final compliance date requirement; or
- (4) Allow for a change in ownership or operational control of a GCS site where the Executive Officer determines that no other change in Permanence Certification is necessary, provided that a written agreement containing a specific date for transfer of responsibility, coverage, and liability between the current and new GCS operator has been submitted to the Executive Officer.
- (5) Change quantities or types of fluids injected which are within the capacity of the facility as certified and, in the judgment of the Executive Officer, would not interfere with the operation of the GCS site or its ability to meet conditions described in the Permanence Certification.
- (6) Change in well construction methods approved by the Executive Officer, provided that any such alteration must comply with the requirements of this section and subsection C.3.1.
- (7) Amend a Testing and Monitoring Plan, Well Plugging and Abandonment Plan, Post-Injection Site Care and Site Closure Plan, or Emergency and Remedial Response Plan where the modifications merely clarify or correct the plan, as determined by the Executive Officer.

9. Legal Understanding, Contracts, and Post-Closure Care

- (a) If any portion of the GCS site is subject to a federal, state, or local law or regulation governing pore space rights for storing injected CO₂, the GCS operator must provide an attestation, signed by an authorized representative of the GCS operator, indicating that the GCS operator is in compliance with the applicable laws or regulations governing pore space rights for storing injected CO₂. The GCS operator must provide this attestation and supporting documentation identifying the applicable laws and regulations as part of the application for Preliminary GCS Site Certification. If no applicable federal, state, or local laws or regulations governing pore space rights exist, or if such laws and regulations do not cover the entirety of the pore space to be used for CO₂ storage at the GCS site, the GCS operator must show proof in the application for Preliminary GCS Site Certification of exclusive right to use the pore space in the storage zone within the storage complex for storing CO₂ permanently;
- (b) Full disclosure must be made to inform future land management or development within the surface projection of the storage complex. For example, the restrictions and disclosure must be recorded on the deeds of the land when no regulations are in place to address this issue; and

- (c) The GCS operator must show proof in the application for Preliminary GCS Site Certification that there is binding agreement among relevant parties that drilling or extraction that penetrate the storage complex are prohibited to ensure public safety and the permanence of stored CO₂.

D. VERIFICATION OF GEOLOGIC CARBON STORAGE PERMANENCE

1. Verification

- (a) GCS site information, outlined in D.1(d), must be annually verified by August 10th pursuant to title 17, California Code of Regulations, sections 95500 through 95503 where applicable, and the requirements of the GCS Protocol.
- (b) Each verification team must include:
 - (1) A CARB-accredited oil and gas systems specialist pursuant to the Regulation for the Mandatory Reporting of Greenhouse Gas Emissions section 95131(a)(2); and
 - (2) A professional geologist licensed under Chapter 12.5 of Division 3 of the California Business and Professions Code §§ 7800 – 7887, or equivalent professional geologist approved by the Executive Officer. An explanation demonstrating that the verification team includes a professional geologist with the required experience and expertise must be included in the Notice of Verification Services.
 - (3) The required experience and expertise may be demonstrated by a single individual, or by a combination of individuals.
- (c) Verification of CO₂ leakage.
 - (1) Within six months of an event that triggers CO₂ leakage, the GCS Operator must submit the verified mass of CO₂ leakage as calculated under subsection C.2.4.3(d). The verification team must review the quantification and methods for determining CO₂ leakage reported by the GCS Operator under subsection C.2.4.3(d). To verify the mass of CO₂ leakage a full verification must be conducted pursuant to sections 95500 through 95503, including a site visit. The verified mass of CO₂ leakage may be submitted as a separate verification service or incorporated into a chapter of the detailed verification report submitted pursuant to section 95501(c)(3)(A), if the timing of the verification coincides with annual verification being conducted for the GCS site.
- (d) The verifier will review data, reports, and information and determine whether the operator has complied with the respective GCS Protocol requirements and whether there is an explanation for any deviations from the requirements. Verification must include a review of the following:
 - (1) The GCS operator's risk rating for determining its contribution to applicable buffer accounts as calculated under Appendix A; and

(2) The following plans, assessments, and reports will be verified annually, or as identified below:

(A) Quarterly or Annual Quantification Reporting (C.1.1.2.2).

1. All metered measurements, calibrations and parameters, monitoring equipment functionality and calibration, to support calculation of terms in B.1 (CO₂ injected for GCS Protocol). The verifier will verify:
 - i. The analysis of the CO₂ stream, including the parameters mentioned in section B.2(b)(1-7) and B.2(c); and
 - ii. All injection rate and volume data and information, required in sections B.3(e)(1-2), and B.3(e)(6-8), and determine, using their professional judgement, whether they agree with the operator's interpretation of the results.

(B) Annual Permanence Reporting (C.1.1.2.3).

- i. All injection pressure data/information identified in section C.4.3.1.1(h)(1-6), including any changes in the data measurement or averaging methods, and determine, using their professional judgement, whether they agree with the interpretation of the results;
- ii. Copies of calibration certificates for all gauges and meters used in mechanical integrity demonstrations and other required monitoring;
- iii. If a loss of containment has occurred, a quantification of the amount of CO₂ leaked;
- iv. All monitoring sensors' and gauges' calibration, installation location and effectiveness, functionality, and age relative to manufacturer's warranties and identified equipment lifetime; and
- v. Seismic monitoring data and monitoring equipment calibration, functionality, and age relative to manufacturer's warranty or estimated lifetime.

(C) Containment Monitoring will be verified by reviewing all data and information ensuring that the data accurately reflects the three-dimensional extent of the plume; and

(D) All surface and near-surface monitoring data, reports, information required under section C.4.3.2.2(c)(1-3) and any indication of a leak, and monitoring equipment calibration, functionality, and age relative to manufacturer's warranty or estimated lifetime; and

- (E) For GCS sites used for EOR or for GCS sites receiving an injection permit other than Class VI GCS sites, pursuant to subsections C.2.3.3.1 & C.2.3.3.2(a), the verifier will review the Geophysical Testing results in accordance with the schedule in the GCS operator's Permanence Certification application, and at least once every five years, to confirm that testing conforms to the schedule and methodology under Section C.2.3.3(a)(6)(A-B); and
- (F) If any new corrective action has occurred, the verifier must determine whether any of the following conditions have occurred and whether the operator updated the plan and carried out corrective actions in conformance with the GCS Protocol. This includes reviewing whether:
1. The Storage Complex Delineation model has been reevaluated during the verification period;
 2. Any updates to the surface projection of the storage complex have occurred, including the addition of wells now within the boundary;
 3. Monitoring or observational data suggests the presence of previously unidentified wells; and
 4. The GCS operator implemented corrective actions consistent with the approved Corrective Action Plan and the relevant government authority specifications when required.
- (G) Post-Injection Site Care and Site Closure Plan (subsections C.5.2(a)(3) and (4)). The verifier must confirm that, after injection ceases, the GCS operator collected all required Post-Injection Site Care monitoring data in conformance with the approved PISC Plan; and
- (H) Emergency and Remedial Response Plan (subsection C.6). The verifier must review whether any emergency event occurred that required activation of the Emergency and Remedial Response Plan. This includes determining whether:
1. The ERRP was implemented and whether the GCS operator followed the plan's procedures; and
 2. Any model re-evaluations or updates associated with emergency events were completed or appropriately justified.
- (I) Risk assessment (subsection C.2.2). If a plume extent re-evaluation has occurred, the verifier will determine if the risk assessment was updated

and will review any new or updated risk scenarios and the GCS operator's analysis.

- (e) The verifier will assess whether the data indicates a need to revise any GCS Protocol Permanence Certification plan to ensure permanence and the continued protection of public health and the environment and will provide justification for any recommended changes.

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Appendix A. Determination of the GCS Site Risk Rating for Calculating-Buffer Account Contributions

The GCS site risk rating is used by applicable market-based programs in the calculation of buffer account contributions. The specific percentage of the contribution is determined in part by the GCS site risk rating, which is based on the potential for CO₂ leakage associated with different types of risks and site-specific circumstances.

- (a) The GCS operator is required to determine the GCS site risk rating prior to submitting their application for Final GCS Site Certification, and to recalculate it every time the GCS site undergoes verification.
- (b) When the GCS site risk rating is updated, any adjustments to the GCS site risk rating will affect only the current and future year contributions to the applicable buffer account.
- (c) Factors that contribute to GCS site risk rating are classified into the categories identified in Table A.1.
- (d) The GCS site risk rating must be determined using the tables and methods in this appendix. The GCS operator must determine the risk rating contribution for each risk type in Table A.1.

Table A.1. Risk rating contribution to GCS site risk rating based on risk types

Risk type	Risk category	Risk Rating Contribution
Financial	<i>Low Financial Risk:</i> GCS operators that demonstrate their company has: • a Moody's rating of A or better; or • an equivalent rating from Standard & Poor's or Fitch	0%
	<i>Medium Financial Risk:</i> GCS operators that demonstrate their company has: • a Moody's rating of B or better meets; or • an equivalent rating from Standard & Poor's or Fitch	1%
	<i>High Financial Risk:</i> GCS operators that cannot make one of the two demonstrations above	2%

Social	<i>Low Social Risk:</i> GCS sites located in countries or regions ranked among the top 20 th percentile based on the World Justice Project Rule of Law Index	0%
	<i>Medium Social Risk:</i> GCS sites located in countries or regions ranked between the 20 th and 50 th percentile based on the World Justice Project Rule of Law Index	1%
	<i>High Social Risk:</i> GCS sites located in countries or regions that are not ranked, or are ranked below the 50 th percentile based on the World Justice Project Rule of Law Index	3%
Management	<i>Low Management Risk:</i> Demonstrated surface facility access control, e.g., injection site is fenced and well protected	1%
	<i>Higher Management Risk:</i> Poor or no surface facility access control, e.g., injection site is open, or not fenced or protected	2%
Site	<i>Low Site Risk:</i> Selected site has more than two good quality confining layers above the storage zone and a dissipation interval below the storage zone	1%
	<i>Higher Site Risk:</i> Selected site meets the minimum site selection criteria but does not meet the above site criteria	2%
Well integrity	<i>Low Well Integrity Risk:</i> All wells for the GCS site meet U.S. EPA class VI well or equivalent requirements	1%
	<i>Higher Well Integrity Risk:</i> The GCS site has wells that do not meet U.S. EPA class VI well or equivalent requirements	3%

- (e) A GCS operator must use Table A.2 to summarize and report to CARB the GCS site risk rating contribution to the applicable buffer account for each risk type.

Table A.2. Risk Rating Contribution to the **Applicable Buffer Account** for Each per Risk Type

Risk type	Risk category	Risk Rating Contribution
Financial	☐ Low Financial Risk ☐ Medium Financial Risk ☐ High Financial Risk	

Social	☐ Low Social Risk ☐ Medium Social Risk ☐ High Social Risk	
Management	☐ Low Management Risk ☐ Higher Management Risk	
Site	☐ Low Site Risk ☐ Higher Site Risk	
Well integrity	☐ Low Well integrity Risk ☐ Higher Well integrity Risk	

- (f) The GCS site overall risk rating and contribution to the applicable buffer account is calculated using Equation A.1, below:

$$\begin{aligned}
 &GCS \text{ Site Risk Rating} && (A.1) \\
 &= 105\% \\
 &- \left[(100\% - Risk_{Financial}) \times (100\% - Risk_{Social}) \right. \\
 &\quad \times (100\% - Risk_{Management}) \times (100\% - Risk_{Site}) \\
 &\quad \left. \times (100\% - Risk_{Well \text{ Integrity}}) \right]
 \end{aligned}$$