

Mobile Source Certification and Compliance Fees Invoicing and Payment Process Off-Road, Evaporative Component and PFC Question and Answer Session March 24, 2022

Agenda

- Background
- How to Pay Fees
 - FI\$Cal Account
 - Invoicing overview
 - Payment process/application submittal
- After Payment Processes
 - Refunds
 - Underpayment



Mobile Source Certification Fee Regulation

- Legislative Authority: Senate Bill 854 (2018) and Senate Bill 85 (2019)
- Regulation Adopted April 2021, approved by OAL on 1/18/2022.
 - Fees at the time of application
 - 35 regulatory categories organized into six groups
 - ww2.arb.ca.gov/rulemaking/2021/mobilesourcefee2021
- Effective Date April 1, 2022



Timeline for Implementation

- March 8th: Overview workshop
- Week of March 14th: Focused Tutorials by Certification Group
- Week of March 21st: Focused Q/A Sessions
- March 21st: Begin Accepting Manufacturer Draft Invoices
- April 1st: Payment required to process applications received/completed April 1 or later



How do I pay my fee?



MS Fee Invoicing and Payment Process

- Step 1: Set up FI\$Cal Account
- Step 2: Generate Invoice
- Step 3: Complete Payment Process
- Step 4: Submit Payment Documents with Application



Mobile Source Certification and Compliance Fees Step 1: FI\$Cal Accounts



Who has Existing FI\$Cal Accounts?

- If you have paid a fee since July 2018 or have received an EO in the past 2 years, an account is established for you.
- Coordinate with your accounting department to obtain your FI\$Cal account number
- Contact your assigned CARB certification representative for existing account



How to Get a FI\$Cal Account? New Fee Payers

- E-mail <u>Fees@arb.ca.gov</u> Subject line state: "MS Fee New FI\$Cal Account Request"
- Include in body of e-mail:
 - Manufacturer's complete name (the name of the company paying the fee)
 - ✓ Mailing address, including country
 - Contact name, title, phone number and e-mail (This contact is for payment issues only)
 - ✓ Certification Group
 - ✓ Letter of Authorization (consultant)
- Check internally with the FI\$Cal contact (POC for payment) before sending e-mail



Questions?



Mobile Source Certification and Compliance Fees Step 2: Invoicing Process



Invoicing Process

- Go to Website: <u>https://ww2.arb.ca.gov\mobile-source-certification-and-compliance-fee-regulation-meetings-workshops</u>
- Choose the correct "Certification Group" draft invoice
- Complete the draft invoice (review tutorials for more details)
- Send completed draft invoice attached to e-mail to <u>MSCertFees@arb.ca.gov</u>
- E-mail Title: "MSF New Invoice Request (OFF or EVAP)"
- In 1-2 business days, CARB staff will return your e-mail with a pdf of the "<u>Final</u>" invoice that will have an invoice number, date, and payment instructions.



Choose the Correct Certification Group Form



Select the Off-Road Draft Invoice Form

Mobile Source Certification and Compliance Fee Regulation: Meetings & Workshops

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Email	helpline@arb.ca.gov
Phone	(800) 242-4450

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Step 4 - Submitting Your Application

Submit a copy of your <u>Final</u> invoice with your applicable application/s according to your current certification procedure. This document will be used to verify your payment for each of your submitted applications. Once payment confirmation is complete, staff will start the review of your product application submittal.

Focused Grouped Category Tutorials:

On-Road LD On-Road HD/GHG Off-road Evaporative Aftermarket DECS-Locomotive DECS-Non-Locomotive At-Berth

DECS

Draft Invoice Forms:

On-Road (Off-! Add) Evaporative Aftermarket

DOCUMENTS

↓ EQCD/NVPEB-009 Mobile Source Certification and Compliance Fee Payment Form for Off-Road Model Year 2023 Application Only

ECCD_NVEPB_009_MOBILE_SOURCE_CERTIFICATION_AND_COMPLIANCE_FEE_PAYMENT_FORM_FOR_OFF_ROAD_MO DEL_YEAR_2023_APPLICATION_ONLY_0.XLSX · 73 KB

At-Berth

Select the Evaporative Draft Invoice Form

Mobile Source Certification and Compliance Fee Regulation: Meetings & Workshops

CONTACT					
Email helpline@arb.ca.gov					
Phone (800) 242-4450					

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Focused Grouped Category Tutorials:

Evaporative

Aftermarket

On-Road LD On-Road HD/GHG Off-road Evaporative Aftermarket DECS-Locomotive DECS-Non-Locomotive At-Berth

At-Berth

DECS

Draft Invoice Forms: Off-Road

On-Road

DOCUMENTS



ECCD_NVEPB_014_MOBILE_SOURCE_CERTIFICATION_AND_COMPLIANCE_FEE_PAYMENT_FORM_FOR_EVAPORATIVE_ COMPONENTS CALENDAR YEAR 2022 APP 0.XLSX · 30 KB

Creating Draft Invoice Form



Off-Road Draft Invoice

				CARB USE ONLY			
	Invoice Na	ne:					
	Invoice Da	e:					
	Company M	ame:	LUI	MPANY INFORMATION (input by manufacturer)			
	Address:						
	City, State,	Zip:					
	Country:	ср.					
	Contact Na	me:					
		elephone Number:					
	Contact E-	mail:					
	FI\$Cal Acc	ount Number:					
				PRODUCT INFORMTION			
	Payment Ro w Number	Product Description or file name	Model Year	Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amoun
	1						
	2						
	3						
	4						
	5						
	7						
	8						
	9						
	10						
	11						
	12						
	13						
	15						
	16						
	17						
3	18						
	19						
	20						
						Total Due	\$0
	l,	X Responsible Party Signature Here:		, attest that any information provided is true, accurate, and c	omplete.		



Evaporative Draft Invoice

	STATE OF CALIFORMA CALIFORMA EVENDMENTIAL PROTECTION AGENOY CALIFORMA AND RESOURCES BOARD MOBILE SOURCE CERTIFICATION AND COMPLIANCE FEE PAYMENT FORM FOR EVAPORATIVE COMPONENTS CALENDAR YEAR 2022 APPLICATIONS ONLY ECCONVEPE-ON (NEW OXIDADE) VORISHET 1 OF 1										
2	CARB USE ONLY										
	Invoice Nar	ne.									
	Invoice Dat										
	Invoice Du										
5				COMPANY INFORMATION (input by manfacture)	rer)						
	Company N	lame:									
	Address:										
	City, State,	Zip:									
	Country:										
	Contact Na	me: lephone Number:									
	Contact Te										
		nan: ount Number:									
13	riacal Acc	ount Number.									
14				PRODUCT INFORMATION							
	Payment			Unique Application Identifier: Family name,							
	Row	Product Description or file	Calendar Year	model, if applicable (ID listed in payment	Category Type (drop down)	Fee Type (drop	Amount				
	Number	name		row must match the unique identifier given		down)					
15 16	1			to the certification application)							
17	2										
17	2										
19	4										
20	5										
21	6										
22	7										
23	8										
24	9										
25	10										
26	11										
27	12										
28	13										
29	14										
30	15										
31	16										
32	17										
33	18										
34	19										
35	20										
36 37						Total Due	\$0				
37		×		, attest that any information provided is true, a	courate, and complete	Total Due	\$U				
	· · · · ·	Responsedule Party Signature Here		, accortance any mormation provided is tide, a	coarate, and complete.						
39											
40											
	<	EVAP COMP (2	022)	(+)							



Company Information

Mobile Source Certification and Compliance Fee Payment Form For On-Road Model Year 2023 Applications; Calendar Year 2022 Applications Only						
Invoice Number: For CARB's Use O	nly Invoice Date: For CARB's Use Only					
Company Information						
Company Name						
Street Address	Use the company name, company address,					
City, State, Zip	and contact name and information associated					
Country						
Contact Name	with the application/s					
Contact phone number						
Contact e-mail						
FI\$Cal Account Number						



Company Information

Fo	Mobile Source Certification and Compliance Fee Payment Form or On-Road Model Year 2023 Applications; Calendar Year 2022 Applications Only
Invoice Number: For CARB's Use On	ly Invoice Date: For CARB's Use Only
Company Information	
Company Name	
Street Address	Use the FI\$Cal account number supplied to you by
City, State, Zip	CARB. The parent company name, address, and
Country	
Contact Name	payment contact may be different in the FI\$Cal
Contact phone number	account than in the application contact.
Contact e-mail	
FI\$Cal Account Number	

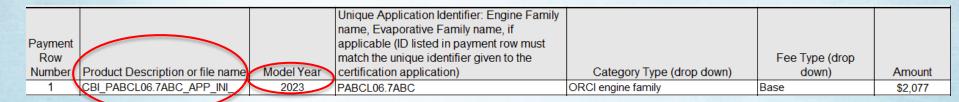


Application Information Each Row = One Application

Payment Row Number	Product Description or file name	Model Year	Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the certification application)		Fee Type (drop down)	Amount
1	Each	row repr	esents ONE "Off-Road"	or "Evaporative" (Certification	
2		applica	ien			
3	group	applica				
4						
5						
6						
7						
8						
9						

 Each row represents an application on which a payment is required within your certification category.
 CARB

Application Information Off-Road Product Description/Model Year



Product Description or File name:

For all except SIMW: Use the file name in the Document Management System (DMS) that is associated with the application that you will be submitting to CARB.

For SIMW: Use a brief description that is associated with the application that you will be submitting to CARB.

Model Year:

Provide the model year for which the application is submitted, such as 2023



Application Information Evaporative/PFC Product Description/Model Year For Evaporative Component and PFCs please use the model name...

Examples:

	Payment Row			Unique Application Identifier: Family name, model, if applicable (ID listed in payment row must match the unique identifier given to the			
14	Number	Product Description or file name	Calendar Year	certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
15	1	Fuel Hose, model LOWperm3	2022				
16	2						
17	3						

	Payment Row			Unique Application Identifier: Family name, model, if applicable (ID listed in payment row must match the unique identifier given to the			
14	Number	Product Description or file name		certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
15	1	Tank, Model no. CA4424	2022				7 thount
16	2						
17	3						



Application Information Unique Application Identifier

		/				
Payment Row Number	Product Description or file name		Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the certification application)	Category Type (drop down)	Fee Type (drop down)	Amount
1	CBI_PABCL06.7ABC_APP_INI_		PABCL06.7ABC	ORCI engine family	Base	\$2,077
2						
3						
4						
5						
6						
7						



Application Information Unique Application Identifier Off Road

Unique Application Identifier:

- LSI, SORE, OHRV, ORCI, SIME Engine Family Naming Convention
 - Refer to EPA website: <u>https://www.epa.gov/ve-certification/information-about-family-naming-conventions-vehicles-and-engines</u>
- SORE, LSI Evaporative Family Naming Convention
 - Refer to Small Off-Road Engine Evaporative Emission Control System Certification Procedure CP-902, Attachment 1: <u>https://ww2.arb.ca.gov/resources/documents/amended-cp-902-certification-andapproval-procedures-evaporative-emission</u>
- <u>SIMW Evaporative Family Naming Convention</u>
 - Refer to SIMW California Evaporative Family Naming Convention: <u>https://ww2.arb.ca.gov/sites/default/files/2020-05/Evaporative%20Family%20Naming%20Convention_R.pdf</u>
- Zero-Emission Golf Cart Family Naming Convention
 - "XXXX_EGC_#" where the first "X" is model year per EPA's engine family naming convention, 2nd through 4th "X" is the EPA-assigned manufacturer's code, and "#" is the 1st, 2nd, 3rd, etc. application (distinct individual family, not for any subsequent running changes) submitted for that model year

Application Information Unique Application Identifier Evaporative Component

Unique Application Identifier:

EVAP – X – mmddyy – MFR – model#

- Replace X with letter O for OHRV, P for portable (PFC and OMT), S for SORE or M for marine watercraft (SIMW)
- Input the current date, mmddyy
- Provide MFR is the manufacturer code*
- Model number or family model number

*Manufacturers may already have an assigned 3-letter company code from existing certifications or from U.S. EPA. If you do not have a code, please contact the appropriate category staff listed on slide 53.

Questions?



Category Type Fee Type



Application Information Off-Road Category Type

Payment Row	Descluet Description of the second		Unique Application Identifier: Engine Family name, Evaporative Family name, if applicable (ID listed in payment row must match the unique identifier given to the			Fee Type (drop	A
Number	Product Description or file name	Model Year	certification application)	Category Type (drop down)		down)	Amount
1					-		
2				OHRV engine family	^		
3				Zero-emission golf cart			
4				ORCI engine family LSI engine family			
5				LSI evaporative family			
6				SORE family SORE evaporative family	\sim		
7					Τ		

Category Type (Drop Down Menu):

The Off-Road category group has multiple category types based on engine or equipment process. The application submission must match one of these fee categories. Definitions of Category and Fee types can be found in Title 13 §2901 and §2905.



Off-Road Certification Group (OFF) Category Types

OHRV engine family

Zero-emission golf cart

ORCI engine family

LSI engine family

LSI evaporative family

SORE family

SORE evaporative family

SIME family

SIMW evaporative family



Off-Road Certification Group Fee Types

5 Fee Types

✓ Base (all except e-golfcarts)
 ✓ Low California Production Manufacturer
 ✓ Partial Carry-Over
 ✓ Carry-Over
 ✓ Zero-Emission



Fee Type Partial Carryover

- An application that is identical to the previous model year's application that resulted in the issuance of a certification except for one or more of the following:
 - ✓ model year;
 - Engine family name or Evaporative family name;
 - ✓ applicant contact information;
 - ✓ projected sales data



Fee Type Partial Carryover (continued) • An application that is identical to the previous model year's application...and in addition, one or more of the following:

- ✓ model names;
- ✓ part numbers (including new parts if they are durable to full useful life and do not impact the certification emission levels); and
- ✓ equipment types.
- Mail-Out ECARS 15-07: Streamlined Certification Process For Carryover and Partial Carryover Certification Applications

✓ <u>https://ww2.arb.ca.gov/resources/documents/ecars-15-07</u> CARB

Fee Type Carryover

- An application that is identical to the previous model year's application that resulted in the issuance of a certification except for one or more of the following:
 - ✓ Model year;
 - Engine family name or Evaporative family name;
 - Applicant contact information;
 - Projected sales data
- Mail-Out ECARS 15-07: Streamlined Certification Process For Carryover And Partial Carryover Certification Applications

✓ https://ww2.arb.ca.gov/resources/documents/ecars-15-07



Fee Type Low California Production Manufacturer

- OHRV: Produces \leq 300 OHRV for CA sale annually.
- LSI Engine: Produces ≤ 100 LSI engines for CA sale annually
- LSI Evap: Produces ≤ 100 units of equipment that use LSI engines for CA sale annually.
- SIME: Produces \leq 100 SIME for CA sale annually.
- SIMW: Produces \leq 75 SIMW for CA sale annually.



Fee Type Low California Production Manufacturer

- Annual production is averaged over 3 previous consecutive model years.
- Subject to year end validation and fee adjustment as applicable.





Application Information Evaporative Category Type

14	Payment Row Number	Product Description or file name	Unique Application Identifier: Family name, model, if applicable (ID listed in payment row must match the unique identifier given to the certification application)		Fee Type (drop down)	Amount
14	TNUTIDO	r roduct Description of file name				Anoun
15	1				*	
16	2					
17	3			evaporative emission control system component		
17	5			ole container product family		
18	4			evaporative emission control system component ignition marine watercraft evaporative emission control		
19	5		Spark		273	

Fee Category (Drop Down menu):

- The definitions of each category type and fee type can be found in Title 13 section 2901 and section 2907.
- Four category types based on component or product family
- Application submission must match one of these category types



Evaporative (EVAP) Group Category Types

OHRV evaporative emission control system component

Portable container product family

SORE evaporative emission control system component Spark ignition marine watercraft evaporative emission control system component



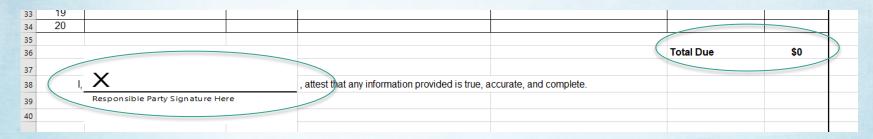
Evaporative Component/PFCs Certification Group Fee Types

2 Fee Types
 ✓ Base
 ✓ No change renewal, administrative change, or model change





Invoice Information



Total Due: If you have one or multiple applications on the form, your total to be paid is located in the lower right-hand corner of the form on the same line as "**Total Due**". This is the amount to be paid at the time of payment associated with this invoice.

Attestation: Each invoice must be signed by the <u>responsible party</u> that all information provided is true, accurate, and complete. Double click at "X" and sign with mouse or add official signature block.



Submit Draft Invoice to CARB

- Save draft Invoice for your records
- E-mail each draft invoice separately to: MSCertFees@arb.ca.gov
- E-mail Title: "MSF New Invoice Request (OFF or EVAP)"
- In 1-2 business days, CARB staff will return your e-mail with a pdf of the <u>Final</u> invoice with the invoice number and date applied.
- DO NOT pay your fees until 5 days after receipt of your <u>Final</u> invoice



Do not make payment (Step 3) until 5 business days after receipt of <u>Final</u> Invoice to allow for processing.





Mobile Source Certification and Compliance Fees Step 3: Payment Process



Go to: ww2.arb.ca.gov/payments to make a payment

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🥂 🎔 🕨 in 🖂	Calendar Help & FAQs Contact	Help & FAQs Contact Careers 🏟 English Español SEARCH CARB				
CALIFORNIA AIR RESOURCES BOARD	ABOUT OUR WOR	K RESOURCES SERVICES RULEMAKING NEWS EQU	ЛТҮ			
	Serv	vices				
	Make	a Payment				
	Payby cre	edit card, wire transfer, check, money order, or EFT.	PAYMENT OPTIONS			
	REG	BISTER				
the state of the s	Car.	and the state of the second				
Resources		ments				
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Resources, research, data & other tools		CALIFORNIA AIR RESOURCES BOARD	ABOUT OUR WOL	RK RESOURCES SERVICES RULEMAKING NEWS EQUITY		
RESOURCE DIRECTORIES		Payment Options				
CARB						

Required for Payment

- <u>Final</u> Invoice Number
- Total Payment on <u>Final</u> Invoice
- Payment method: Credit card, Check, Wire Transfer



CARB Payment Options

Website: https://ww2.arb.ca.gov/payments

- Credit Card: First Data, the processing vendor, charges a convenience fee of 2.99% of the transaction amount. CARB does not receive revenue from this service fee. (3-4 days for payment to clear)
- Check, Money Order or Cashier Check (3-7 business days for payment to clear)
- Wire Transfer or Electronic Funds Transfer (EFT/ACH) (3-5 business days for payment to clear)

Include your invoice number on all payments and payment portal communications



After Payment

 When your payment is made, e-mail your certification staff with payment date and invoice number



Mobile Source Certification and Compliance Fees Step 4: Submittal of Final Invoice with Application/s



Submission of Off-Road Final Invoice with Application/s

- For "Off-Road" Certification Group Fee Categories, please include the "<u>Final</u> invoice" on the first page of the application
- All Category Type except SIMW applications

 Submit the application to DMS
 (https://ww2.arb.ca.gov/certification-document-management-system)
- SIMW Evaporative Family applications
 ✓ Email the application to SIMW.evapcert@arb.ca.gov



Submission of EVAP Final Invoice with Application/s

Final EVAP Invoice with Application/s shall be submitted to the following assigned staff:

- Michele Dunlop (<u>michele.dunlop@arb.ca.gov</u>) for PFC, OMT, and SORE
- Scott Monday (<u>scott.monday@arb.ca.gov</u>) for OHRV and SIMW
 - ✓ Include in the email header, the appropriate group and category information. Use the first 5 characters of the Unique Application Identifier as described on slide 26.



Fees to be submitted at the time of application submittal

- Fee received = Application review begins
 - You will receive an email from staff stating that your application is "Accepted for Filing"
- Delays in processing your application will occur if your application does not qualify for the Fee Type.
 - Correct payment will be due before application reviews begin.
 - ✓ Base type fee is standard for most applications.





Mobile Source Certification and Compliance Fees Underpayment and Refunds Process



What happens if I select the wrong fee type?

- 30-day review: application does match fee type selected
 - Application processing ceases until fee invoice/underpayment payment are corrected
- End of year production reporting for low CA production discounts
 - Future application processing ceases until remainder of fee is paid



Underpayment

Invoice Modification Process

 Corrected payment – difference of paid and corrected fee type cost

- ✓ Separate invoicing process
- ✓ Work with your certification representative



Criteria for Fee Refund	Fee Refund (% of certification fee paid)
Withdrawal of application 0-15 calendar days from receipt of payment, of the date the Executive Officer notifies the applicant the submitted payment is not appropriate under subdivision (a)(4)	or 100%
Certification fee paid, no application submitted	100%
Withdrawal of application 16-45 calendar days from receipt of payment, or the date the Executive Officer notifies the applicant the submitted payment is not appropriate under subdivision (a)(4)	50%
Withdrawal of application 46 or more calendar days from receipt of payment, or the date the Executive Officer notifies the applicant the submitted payment is not appropriate under subdivision (a)(4)	No refund





For more information

- For Off-Road, contact your assigned certification staff
- For EVAP, contact assigned certification staff listed on slide 53
- Go to our website:

ww2.arb.ca.gov/mobile-source-certification-andcompliance-fee-regulation-meetings-workshops

- E-mail <u>MSCertFees@arb.ca.gov</u>
 - ✓ Include in your e-mail header the nature of your inquiry and your category type (OFF or EVAP) in parentheses



Webpage

ww2.arb.ca.gov/mobilesource-certification-andcompliance-fee-regulationmeetings-workshops

Mobile Source Certification and Compliance Fee Regulation: Meetings & Workshops

CONTACT

Email helpline@arb.ca.gov Phone (800) 242-4450 The Mobile Source Certification and Compliance Fee regulatory effort was initiated in response to new regulatory authority. 5B 854 (2018) allowed CARB to adopt a schedule of fees to cover all or a portion of the State Board's reasonable costs. AB 85 (2019) authorized CARB to adopt a schedule of fees to recover reasonable costs from on-road vehicles and engines, removing the fee cap in HS Code 43019 for existing on-road vehicle and engine fees. The Mobile Source Certification Fee Regulator was approved by the Board in April 2021 and will be implemented beginning April 1, 2022. The regulatory support documents for the Mobile Source Certification and Compliance Fee regulation are available on the Rulemaking Activity web page. This page summarizes the regulatory activity, outreach, and other activities for the implementation of the Mobile Source Certification and Compliance Fees. For past regulatory activity **page here**.

If you would like to participate in future meetings, or get future workgroup announcements, subscribe to the Mobile Source Certification and Compliance Fee Regulation Topic. For general questions on this regulation, interested parties may contact Kathieen Mead.

On April 1, 2022, new and modified mobile source certification and compliance fees (MS Fees) will be due upon submittal of the application for mobile source certification. <u>Complete</u> applications for MY 23 on-road and off-road engines/vehicles/equipment received prior to April 1, 2022, are not subject to the MS fees. Based on current practices, on-road and off-road applications will undergo initial staff review to verify they are complete. For those applications received by CARB before April 1, 2022 and deemed incomplete, MS fees will be required before further processing occurs. Determination of completeness should be done within 30 days of applications submittal.

Mobile Source Fee Implementation Resources

How Do I Pay My Fees? MS Fee Payment Process for Manufacturers

Step 1-Obtain your FI\$Cal Account Number

Before filling out an involce request, obtain a Financial Information System for California (FI)SCal) account number. For manufacturers who have paid certification fees to CARB after July 1, 2018, an account should have been created for you already in the FISCal system. In addition, CARB created accounts for the active off-road/aftermarket part/component manufacturers who are subject to the new MS Fee program. For those manufacturers that do not know their FISCAL account number, please check with your organization's internal points of contact (POC) who are responsible for payment to obtain FISCal account. To get more information about FISCal click <u>here</u>. To obtain your FISCal account, please follow the steps below:

