

REQUEST FOR ISSUANCE OF CARB OFFSET CREDITS

CARB STAFF USE ONLY

CARB Form Tracking Number:	Date Request Received:	Date Request Processed:
Date Request Reviewed:	Date Revisions Requested:	Date Approved:

PART I: OPO/APD CONTACT

Whom should CARB offset staff contact with any questions regarding this form?

NOTE: The person completing this form should be an OPO/APD employee or representative and may differ from the CITSS account representative signing the form.

Contact Person's Name:	Telephone Number:
Email Address:	

PART II: OFFSET PROJECT INFORMATION

Offset Project Name:		
OPR Project ID Number:	CARB Project ID Number:	
Offset Project Operator (OPO):	Authorized Project Designee (APD):	
Offset Project Registry Listing Project: <input type="checkbox"/> American Carbon Registry <input type="checkbox"/> Climate Action Reserve <input type="checkbox"/> Verified Carbon Standard	Compliance Offset Protocol: <input type="checkbox"/> Livestock Projects <input type="checkbox"/> Mine Methane Capture Projects <input type="checkbox"/> Ozone Depleting Substances Projects <input type="checkbox"/> Rice Cultivation Projects <input type="checkbox"/> U.S. Forest Projects <input type="checkbox"/> Urban Forest Projects	Version: <input type="checkbox"/> October 20, 2011 <input type="checkbox"/> April 25, 2014 <input type="checkbox"/> November 14, 2014 <input type="checkbox"/> June 25, 2015

PART III: VERIFICATION SUMMARY

NOTE: Provide a separate issuance request for each Offset Verification Statement.

Name of Offset Verification Body:	Offset Verification Body ID Number:
Number of Verification Reduction (mtCO _{2e}):	Date of Offset Verification Statement:
Reporting Period Start Date:	Reporting Period End Date:

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PART IV: TRANSFER INSTRUCTIONS

What is the total number of CARB Offset Credits for which issuance is being requested?

Total Number:

After issuance, how many credits should be transferred to each of the following?

OPO

CITSS ID Number:					Number of Credits:
C	A				

APD

CITSS ID Number:					Number of Credits:
C	A				

Other

Specify Name:					
CITSS ID Number:					Number of Credits:
C	A				

OTHER

Specify Name:					
CITSS ID Number:					Number of Credits:
C	A				

Forest Buffer Account N/A

Risk Reversal Rating:	Number of Credits:
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PART V: DIRECT ENVIRONMENTAL BENEFITS IN THE STATE

Optional: Pursuant to section 95989, what is this project's DEBS status?
1. <input type="checkbox"/> Project within California (pursuant to 95989(a))
2. <input type="checkbox"/> Project outside California, submitting DEBS documentation with OPDR (pursuant to 95989(c))
3. <input type="checkbox"/> Project outside California, CARB previously determined project provides DEBS
4. <input type="checkbox"/> Project outside California, DEBS documentation previously submitted, CARB determination pending
5. <input type="checkbox"/> Project outside California, not claiming DEBS

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PART VI: ATTESTATIONS AND OPO SIGNATURE

Initial:	I certify under penalty of perjury under the laws of the State of California the GHG reductions and/or GHG removal enhancements for		
	Project Name:	from Reporting Period State Date:	to Reporting Period End Date:
	have been measured in accordance with the Compliance Offset Protocol.		
	Check the appropriate Compliance Offset Protocol: <input type="checkbox"/> Livestock Projects, <input type="checkbox"/> Mine Methane Capture Projects, <input type="checkbox"/> Ozone Depleting Substances Projects, <input type="checkbox"/> Rice Cultivation Projects, <input type="checkbox"/> U.S. Forest Projects, <input type="checkbox"/> Urban Forest Projects,	Check the appropriate version: <input type="checkbox"/> October 20, 2011, <input type="checkbox"/> April 25, 2014, <input type="checkbox"/> November 14, 2014, <input type="checkbox"/> June 25, 2015,	
and all information required to be submitted to ARB in the Offset Project Data Report is true, accurate, and complete.			
Initial:	I understand I am voluntarily participating in the California Greenhouse Gas Cap-and-Trade Program under title 17, article 5, and by doing so, I am now subject to all regulatory requirements and enforcement mechanisms of this program and subject myself to the jurisdiction of California as the exclusive venue to resolve any and all disputes arising from the enforcement of provisions in this Article.		
Initial:	I understand that the offset project activity and implementation of the offset project must be in accordance with all applicable local, regional, and national environmental and health and safety regulations that apply based on the offset project location. I understand that offset projects are not eligible to receive ARB or registry offset credits for GHG reductions and GHG removal enhancements that are not in compliance with the requirements of this Article.		
Initial:	I certify under penalty of perjury under the laws of the State of California all information provided to ARB for issuance of ARB offset credits is true, accurate, and complete.		
Initial:	I certify under penalty of perjury under the laws of the State of California that the GHG reductions and GHG removal enhancements for which I am seeking ARB Offset Credits have not been issued any offset credits or been used for any GHG mitigation requirements in any other voluntary or mandatory program, except, if applicable, an Offset Project Registry pursuant to section 95980.1.		

In signing this form, I certify under penalty of perjury of the laws of California that the information contained in this form is true, accurate, and complete. I further certify that I am an Account Representative of the Offset Project Operator (OPO).

Signature:	Printed Name:
Title:	Date:

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BACKGROUND

California Air Resources Board (CARB, or where regulatory specified ARB) staff designed this form to help the Offset Project Operator (OPO) or Authorized Project Designee (APD) request issuance as specified in section 95981 and 95981.1. Section 95981 of the Cap-and-Trade Regulation allows an OPO or APD to request issuance of ARB Offset Credits (ARBOC) following the submission of required information. With regulatory amendments effective April 1, 2019, there are no longer any attachments for this form. The Listing, Offset Project Data Reports (OPDR), and Offset Verification Statement (OVS) previously submitted with this issuance request are now provided to CARB by the Offset Project Registries (OPR).

WHERE TO SUBMIT INFORMATION CONTAINED IN THIS FORM

Complete the information on the form using your computer. Pursuant to amendments in section 95803 effective October 1, 2017, CARB is no longer requesting a “wet” signature for issuance requests. Either add an electronic signature to the form or print, sign, and scan the form. Email the completed and signed form to CARB at: ghgoffsetverification@arb.ca.gov.

This form is also available from the CARB website at: <https://ww2.arb.ca.gov/our-work/programs/compliance-offset-program/offset-program-forms>.

INSTRUCTIONS

PART I: OPO/APD CONTACT

- List the person whom CARB offset staff should contact with any questions about this form. The person should be an employee, officer, or representative of the OPO or APD. CARB offset staff will use this person as their primary contact person as they evaluate the issuance request.

PART II: OFFSET PROJECT INFORMATION

- Provide the offset project’s name and, if available, its identification numbers. Both the approved Offset Project Registry (OPR) and CARB will issue identification numbers.
- Provide the names of both the Offset Project Operator (OPO) and Authorized Project Designee (APD). Preferably, use the most recently CITSS Registrant Report (updated quarterly) from the main Cap-and-Trade Program webpage (<https://ww2.arb.ca.gov/our-work/programs/cap-and-trade-program>) to identify how entities are registered in the Cap-and-Trade Program.
- Indicate both the Offset Project Registry with which the offset project is listed and the Compliance Offset Protocol used by the offset project. Also provide the protocol version (i.e., the date as specified in the Cap-and-Trade Regulation).

PART III: VERIFICATION SUMMARY

- Provide the date of the Offset Verification Statement (OVS), the name of the verification body, the CARB-issued identification number for the verification body, and the number of metric tons of GHG emission reductions and GHG removal enhancements receiving a Positive or Qualified Positive Offset Verification Statement. For the OVS, provide the date of the most recent OVS, which should also be the OVS upon which registry offset credit issuance was based.
- Provide the start and end dates for the Reporting Period receiving a Positive or Qualified Positive Offset Verification Statement.
- Each request for issuance of ARBOCs should cover just one reporting period and one OVS. For multiple OVS, make a separate issuance request for each.

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PART IV: TRANSFER INSTRUCTIONS

- Provide the number of ARBOCs for which issuance is being requested. This number may not exceed the number of verified GHG emission reductions and GHG removal enhancements in Part III.
- For each entity (or person) to receive ARBOCs, provide their name (unless they are the OPO or APD), their Compliance Instrument Tracking System Services (CITSS) identification number, and the number of ARBOCs to be issued to that account. The CITSS ID is six characters in length, with two letters followed by four numbers (e.g., "CA1234"). **DO NOT PROVIDE THE OPO's or APD's CONFIDENTIAL CITSS ACCOUNT NUMBER**, which begins with the CITSS ID number followed by a hyphen and more numbers.
- The number of ARBOCs over all accounts, including the Forest Buffer Account if applicable, should equal the total number of ARBOCs for which issuance is requested.
- A portion of ARBOCs issued to U.S. Forest offset projects must be placed into the Forest Buffer Account, as specified by Section 95983 of the Cap-and-Trade Regulation. Offset projects not using the U.S. Forest Compliance Offset Protocol may check the "n/a" box to indicate that this is not applicable to them. An OPO or APD submitting this form for a U.S. forest offset project should copy the risk reversal rating from the applicable verified Offset Project Data Report. The OPO or APD may then multiply the risk reversal rating times the total number in the first line of Part IV to calculate their contribution to the Forest Buffer Account. In calculating the Forest Buffer Account contribution, round up to the nearest whole number. Make sure to account for CARB offset credits to be transferred into the Forest Buffer Account when assigning ownership to other entities. CARB will make the final calculation for the Forest Buffer Account.
- For issuance to more accounts, the form may be expanded, or information for issuance to additional accounts may be provided on a separate sheet of paper.

PART V: DIRECT ENVIRONMENTAL BENEFITS

Indicate the offset project's Direct Environmental Benefits in the State (DEBS) status by checking the appropriate box:

- **Box 1:** The project is located within the State of California. Offset projects located within the State of California are considered to automatically provide DEBS.
- **Box 2:** CARB will determine if offset projects located outside California provide DEBS. If an OPO/APD is seeking DEBS status for an offset project that is outside the State of California, for which ARBOCs have not been issued, the OPO/APD must submit the DEBS application, along with all relevant material, with this issuance request (this will satisfy the requirements of section 95989(c) which requires the submittal with the first reporting period OPDR which will be submitted directly to CARB by the OPR). For offset projects that have previously been issued CARB offset credits, the OPO/APD may submit a DEBS application, along with all relevant materials, with this issuance request.
- **Boxes 3 and 4:** The offset project is outside of California and the OPO/APD has previously submitted a DEBS application, along with all relevant material. Check the appropriate box.
- **Box 5:** The OPO/APD is not seeking DEBS status for the project.

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PART VI: ATTESTATIONS AND OPO SIGNATURE

- Section 95986(d) of the Cap-and-Trade Regulation requires five attestations for ARBOC issuance. Those attestations are provided in this section. The person making the submission should initial each attestation (no typed or printed initials).
- Complete the statement in the first attestation. The Project name and dates covering the offset project's Reporting Period should match the name entered in Part II and dates in Part III. Check the boxes indicating both the Compliance Offset Protocol and its version. The version should correspond to the version under which the project is reporting, which may differ from the version under which the project was listed. If a Compliance Offset Protocol requires any additional attestations in addition to those provided in this form (see Section 95981(b)(1) of the Cap-and-Trade Regulation), provide those on a separate sheet of paper.
- Section 95981(b)(1) requires the OPO/APD to provide attestations by the verification body and lead verifier. Those attestations are included as part of the attached Offset Verification Statement and do not need to be provided separately.
- The individual signing the document must be registered in CITSS as the OPO's Primary Account Representative or Alternate Account Representative. The individual signing the document may be an APD employee and/or representative; but to sign the document, the individual must be an Account Representative on the OPO's CITSS account.
- Provide the individual's signature, printed name, title, and date signed.