



Portable Equipment Registration Program Information Webinar

April 14, 2021

Introductions

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Agenda

- Previous Process Challenges
- Industry Suggestions
- Current PERP Application Process
- Issues Affecting Application Submittals
- Ensuring Complete Application Submittals
- Electronic Application Submittal Update
- Open Discussion

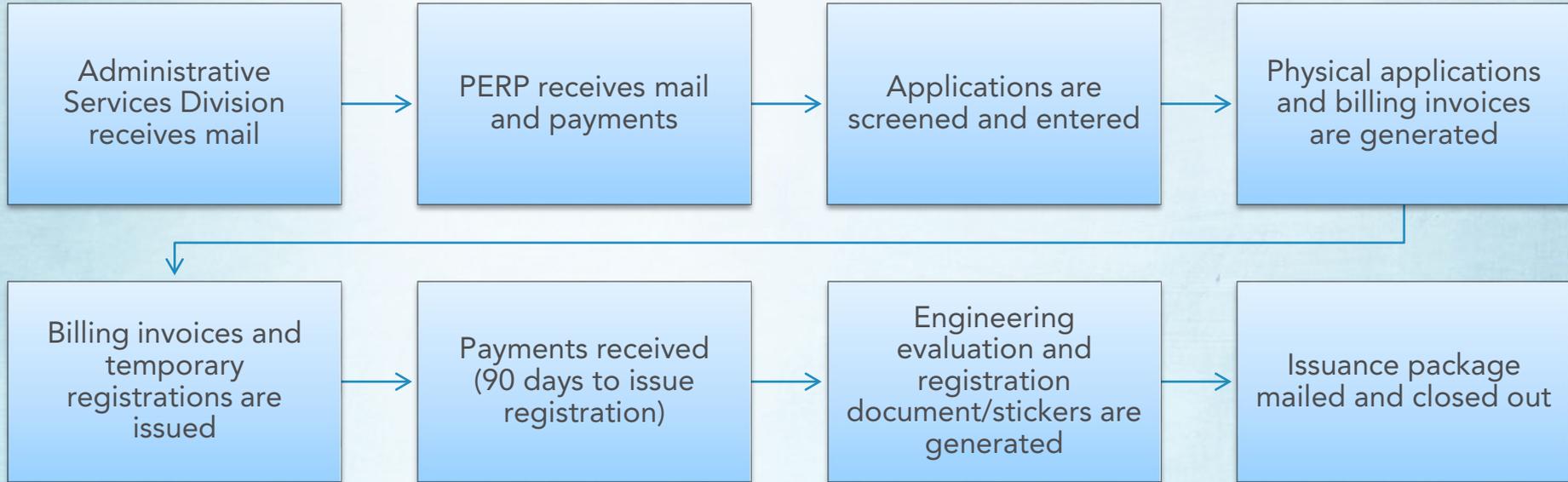
Previous Process Challenges

- Non-response/delayed response
- Refunds
 - Overpayments
 - Ineligible equipment
- Incomplete applications
 - Resource intensive
 - Ineligible equipment
 - Underpayments

Industry Suggestions

- Start the process earlier
- Consolidate renewals for large companies
- Provide compliance assistance on common application errors
- Consolidate inspections
- Mail registration documents and placards at the same time
- Email temporary registrations and invoices
- Automatic email reminders
- Regulation change

Current PERP Application Process



Issues Affecting Application Submittals

- Office closures
- Limited capacity in office buildings
- Mail issues
- Inaccurate/missing information
- Payment issues
- Collaboration with other CARB programs
- Non-response

Ensuring Complete Application Submittals

- New Registration Applications (General)
 - Form 1
 - Include Form 1
 - List the company name designated for PERP
 - Mailing and street address must match PERP records
 - Mark the acknowledgement box on Form 1
 - Sign Form 1

COMPANY INFORMATION

Registration To Be Issued To (Company Name):		
Mailing Address:		
City:	State:	Zip Code:
Street Address (if different from above):		
City:	State:	Zip Code:
Telephone Number:		
Fax Number:		
Company Email Address:		
General Nature of Business:		
Is this an agricultural source? <input type="checkbox"/> Yes <input type="checkbox"/> No		
Is this a rental business? <input type="checkbox"/> Yes <input type="checkbox"/> No		
Is this a provider of essential public service? <input type="checkbox"/> Yes <input type="checkbox"/> No		

SIGNATURE

I declare that I have examined this statement, and to the best of my knowledge and belief, it is true, correct, and complete.

Name of Responsible Party:	Title:
Signature of Responsible Party:	Date of Signature:

Ensuring Complete Application Submittals (Cont.)

- New Registration Applications (General)
 - Include the Home District
 - Provide the serial number from the engine block or equipment unit, not from paperwork
 - Provide the throughput value in the units specified on the application form (if applicable)
 - Provide legible flowcharts (if applicable)

Ensuring Complete Application Submittals (Cont.)

- Form 2 for Diesel Engines (Form 3 for Spark-Ignition Engines)
 - Provide the engine serial number directly off the engine block
 - Provide the Engine Family Name (EFN) directly off the engine block
 - 12 digit, alpha-numeric, may have period as a digit
 - Provide the highest brake horsepower (bhp) rating of the engine directly off the engine block

Ensuring Complete Application Submittals (Cont.)

- Form 2 for Diesel Engines (Form 3 for Spark-Ignition Engines) (Cont.)
 - Provide additional information of the engine if an auxiliary engine of a two-engine vehicle or vessel
 - DOORS EIN, TRUCRS ID#, Vessel Type for Harbor Craft
 - Provide photograph(s) of the engine's emission control label or documentation listing the engine serial number for flexibility engines

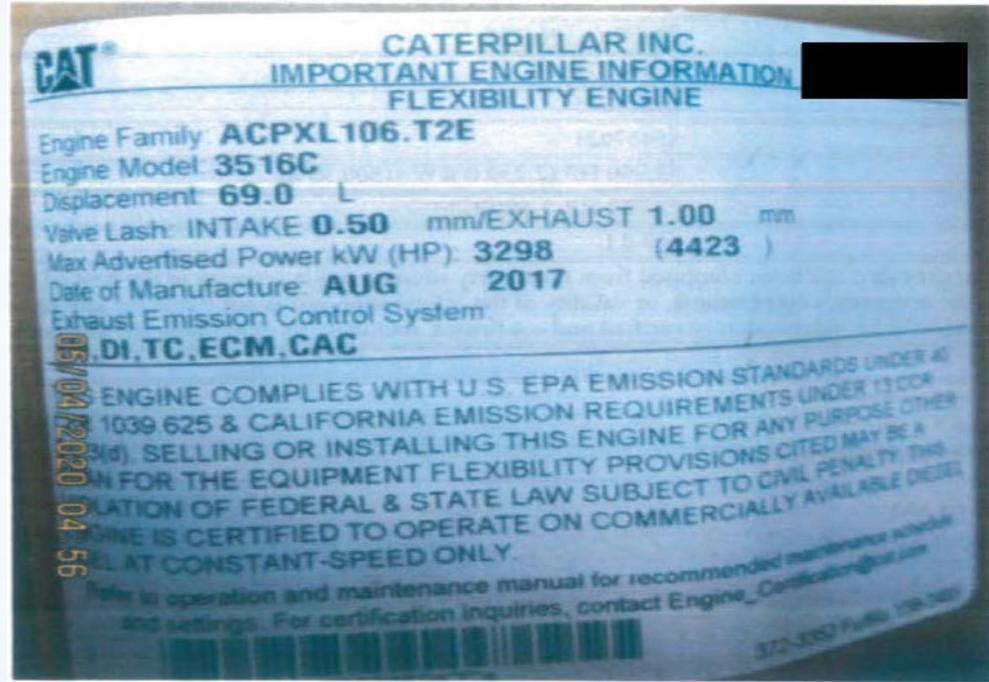
Emission Control Label (ECL) Examples

- Non-Road Tier Engine



Emission Control Label (ECL) Examples (Cont.)

- Flexibility Engine



Flexibility Engine Documentation Example

Engine Emissions Data	
For Emissions / Certification feedback and questions, please submit a ticket via our ERC Request Portal	
This emission data is Caterpillar's best estimate for this rating. If actual emissions are required then an emission test needs to be run on your engine.	
Serial Number (Machine)	
Serial Number (Engine)	
Sales Model	3516C
Regulatory Build Date	07-AUG-2017
As Shipped Data	
Engine Arrangement Number	2903313
Certification Arrangement	3611891
Test Spec Number	0K9250
Regulatory Status	EPA / ARB FLEX (Part 1039) @ Constant Speed
EPA Family Code	ACPXL106.T2E
EPA Emissions Level	EPA TIER 2
Current Flash file	5218245
Flash File Progression	5457021
CORR FL Power at RPM	2,990 HP (2,230.0 KW)1800 RPM
Advertised Power	2,722 HP 1,800RPM
Total Displacement	69.0 L
<p>Disclaimer: The information provided has been compiled from third party sources and is accurate to the best of Caterpillar's knowledge. However, Caterpillar cannot guarantee the accuracy, completeness, or validity of the information and is not liable for any errors or omissions contained therein. All information provided should be independently verified and confirmed, including by examining the emissions label located on the engine.</p> <p style="text-align: center;">Need emission replacement label? Click here! /</p>	
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Ensuring Complete Application Submittals (Cont.)

- Form 6 for Temporary Registrations
 - Provide the engine serial number directly off the engine block
 - Provide the EFN directly off the engine block
 - Include photograph(s) of the engine's emission control label displaying the engine serial number and EFN
 - Form 6 information must match Form 2 (or Form 3) information
 - Sign the Form 6

FORM 6-APPLICATION FOR AN OPTIONAL TEMPORARY PERP REGISTRATION (FOR FINAL TIER 4 DIESEL-FUELED ENGINES AND CURRENT TIER SPARK IGNITION ENGINES ONLY)

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COMPANY INFORMATION

Company Name:	
Mailing Address:	City:
State:	Zip Code:
Telephone Number:	Email Address:

ENGINE INFORMATION

NOTE: You must submit a photo(s) of the engine label(s) showing the serial number and the U.S. Environmental Protection Agency (EPA) family name.

Engine Serial Number:
Engine Family Name:

SIGNATURE

<input type="checkbox"/> I declare that I have examined this statement, and to the best of my knowledge and belief, it is true, correct, and complete. I acknowledge that in applying for this temporary registration, I agree to complete the registration process, including payment of applicable fees.	
Name of Responsible Party:	Title:
Signature of Responsible Party:	Date of Signature:

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Expiration Date:	Authorizing Signature:

Ensuring Complete Application Submittals (Cont.)

- Form 18 for Reactivation of an Expired Registration
 - Include the registration number
 - Include the serial number that corresponds to the registration number
 - Include the Home District
 - Include a recent (within 12 months of the registration's expiration date) hour meter reading
 - Include the date of the hour meter reading

FORM 18-APPLICATION FOR A REACTIVATION OF AN EXPIRED REGISTRATION

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COMPANY INFORMATION

Company Name:
Telephone Number:

ENGINE REACTIVATION

Submit a photograph of the engine label to avoid processing delays.

Registration Number:	Engine Serial Number:
Company Unit ID (optional):	Home District Designation:
Hour Meter Reading:	Date:
<input type="checkbox"/> No Discount <input type="checkbox"/> 25% Discount: 4-9 engines inspected at one time <input type="checkbox"/> 35% Discount: 10 or more engines inspected at one time	
Check a box below if the special category applies for a DIESEL auxiliary engine:	
<input type="checkbox"/> Auxiliary Crane, on a two-engine vehicle	DOORS EIN#:
<input type="checkbox"/> Auxiliary snow blower engine on a two-engine vehicle	DOORS EIN#:
<input type="checkbox"/> Other auxiliary engine on a two-engine vehicle	DOORS EIN#:
<input type="checkbox"/> Auxiliary engine on a water well drill rig (submit copy of current C-57 license)	DOORS EIN#:
<input type="checkbox"/> Sweeper (privately owned only)	TRUCRS ID#:
<input type="checkbox"/> Auxiliary engine on a harborcraft vessel	Specify harborcraft vessel type:

EQUIPMENT UNIT REACTIVATION

Registration Number:	Equipment Unit Serial Number:
Company Unit ID (Optional):	Home District Designation:

SIGNATURE

I declare that I have examined this statement, and to the best of my knowledge and belief, it is true, correct, and complete.

Name of Responsible Official:	Title:
Signature of Responsible Party Official:	Date:

Ensuring Complete Application Submittals (Cont.)

- Form 19 for Change in Ownership
 - Include the registration number
 - Include the serial number that corresponds to the registration number
 - If the registration expired:
 - Include the Home District
 - Include a recent hour meter reading (within 12 months of the registration's expiration date)
 - Include the date of the hour meter reading

FORM 19-APPLICATION FOR A CHANGE IN OWNERSHIP OF AN EXISTING REGISTRATION
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COMPANY INFORMATION

Registration To Be Released To (Company Name):	
Mailing Address:	City/State/Zip Code:
Street Address (if different from above):	City/State/Zip Code:
Telephone Number:	Email Address:
General Nature of Business:	
Is this an agricultural source? <input type="checkbox"/> Yes <input type="checkbox"/> No	Is this a rental business? <input type="checkbox"/> Yes <input type="checkbox"/> No
Is this a provider of essential public service? <input type="checkbox"/> Yes <input type="checkbox"/> No	

EQUIPMENT INFORMATION

Registration Number:	
Serial Number (Submit a photograph of the engine label to avoid processing delays):	
For engine registrations that include renewal: <input type="checkbox"/> No Discount <input type="checkbox"/> 25% Discount: 4-9 engines inspected at one time <input type="checkbox"/> 35% Discount: 10 or more engines inspected at one time	
Hour Meter Reading:	Date:
Company Unit ID (Optional):	New Home District (Only Upon Renewal):
Check the box below if this is an auxiliary engine on a two-engine vehicle, or on a harbor craft vessel, and fill in the blank:	
<input type="checkbox"/> Crane	DOORS EIN#:
<input type="checkbox"/> Snow blower	DOORS EIN#:
<input type="checkbox"/> Other auxiliary engine	DOORS EIN#:
<input type="checkbox"/> Water well drill rig (Submit copy of current C-57 license)	DOORS EIN#:
<input type="checkbox"/> Sweeper (privately-owned only)	TRUCRS ID#:
<input type="checkbox"/> Harbor Craft	Harbor Craft Vessel Type:

Ensuring Complete Application Submittals (Cont.)

- Form 22 for Company Information Updates and Cancellations
 - Company Information Updates
 - If multiple accounts, PERP staff will contact the company to identify which accounts will receive the update
 - Cancellations
 - Provide the registration number, not the serial number or company unit ID
 - Sign the Form 22

**FORM 22-APPLICATION FOR COMPANY INFORMATION UPDATES AND REGISTRATION
CANCELLATIONS**

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COMPANY INFORMATION

Company Name:

COMPANY INFORMATION UPDATE

<input type="checkbox"/> New Company Name:	
<input type="checkbox"/> New Mailing Address:	
<input type="checkbox"/> New Street Address:	
<input type="checkbox"/> New Nature of Business:	
<input type="checkbox"/> New Company Responsible Party (List Name and Title):	
<input type="checkbox"/> New Telephone Number:	<input type="checkbox"/> New Fax Number:
<input type="checkbox"/> New Email Address:	

REGISTRATION CANCELLATION

Registration Number(s):

IDENTIFY A REASON FOR THE CANCELLATION

<input type="checkbox"/> Unit(s) Sold:	<input type="checkbox"/> Unit(s) Stolen:
<input type="checkbox"/> Unit(s) no longer in service. Explain:	
<input type="checkbox"/> Other. Explain Other:	
<input type="checkbox"/> Tier 1 engine removed from service in California prior to January 1, 2019 to allow a Tier 3 engine to operate one additional year. Specify Tier 3 engine registration number:	
<input type="checkbox"/> Tier 2 engine removed from service in California prior to January 1, 2021 to allow a Tier 3 engine to operate one additional year. Specify Tier 3 engine registration number:	

SIGNATURE

<input type="checkbox"/> I declare that I have examined this statement, and to the best of my knowledge and belief, it is true, correct, and complete.	
Name of Responsible Party:	Title:
Signature of Responsible Party:	Date of Signature:

Ensuring Complete Application Submittals (Cont.)

- Renewal Invoices
 - Mark “Yes” for renewals or “No” for non-renewals
 - If equipment has been sold, include Form 22 in addition to “No” for non-renewal
 - For renewals, provide the hour meter reading and the hour meter reading date
 - Hour meter readings and date(s) must be within 12 months before the registration’s expiration date
 - Complete Section 3: Contact Information
 - Printed name, phone number, signature, and date

Ensuring Complete Application Submittals (Cont.)

- Correspondence
 - Respond to correspondence requests from PERP staff as soon as possible for the application to move forward in a timely manner
- Payments
 - Pay registration fees as soon as possible to complete the PERP application
 - Program has 90 days to issue registration once the application is complete
- Photographs
 - Ensure the information on the photograph is easily legible and displays the necessary information (engine serial number, EFN, bhp, flexibility info)
- Duplicate Applications
 - If a distributor submits the initial registration application, the registration must be approved and registered before the new owner submits a Change of Ownership application

Electronic Application Submittal Update

- Ongoing effort
- Goal: Accept applications via email and send notifications electronically

Open Discussion

- <https://ww2.arb.ca.gov/our-work/programs/portable-equipment-registration-program-perp>
- Subscribe to receive PERP email notifications

PERP Team

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Questions?