

**CITSS KNOW YOUR CUSTOMER COMPLIANCE DOCUMENTATION WITH COVERED ENTITY
ATTESTATION FORM**

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COVERED ENTITY ATTESTATION

I, an authorized representative of the Covered Entity listed below, (Covered Entity), hereby attest that the individual(s) named in Table 1.0 are employed by Covered Entity and that, for the purpose of the Cap-and-Trade Regulation, set forth in title 17, California Code of Regulations, section 95801, et seq. (Regulation), each individual named in Table 1.0 will be designated by Covered Entity as an account representative or an Account Viewing Agent for Covered Entity. I further attest that each individual named in Table 1.0 has submitted all information required pursuant to title 17, California Code of Regulations, section 95834 (KYC documentation) to Covered Entity, and I have provided complete information in Table 1.0 for each named individual.

I further attest that, where applicable, the KYC documentation submitted to Covered Entity by the individuals named in Table 1.0 were notarized as required pursuant to title 17, California Code of Regulations section 95834, and Covered Entity has verified the accuracy and veracity of all KYC documentation submitted by each individual named in Table 1.0. The KYC documentation that were submitted to Covered Entity by each individual named in Table 1.0 shall be retained by Covered Entity, and the California Air Resources Board (CARB) Executive Officer or his designated representative shall be permitted, at any time, to review and audit the KYC documentation retained by Covered Entity.

I further attest that Covered Entity shall promptly submit to CARB, for each individual named in Table 1.0, that individual's Compliance Instrument Tracking System Service (CITSS) #2 User Registration Form and a signed and completed Individual attestation. Upon completing the user registration process in the CITSS, each individual's CITSS Form #2 User Registration Form is available to be printed and submitted to CARB. For the purpose of user registration in the CITSS, a business address may be used in place of a primary residence address, provided that all terms described herein have been met and this completed attestation is submitted to CARB together with the individual(s) CITSS Form #2 User Registration Form.

I further attest, on behalf of Covered Entity, that I am authorized to bind Covered Entity to the terms and to make the representations set forth in this attestation. As a duly authorized representative of Covered Entity, I attest that Covered Entity has binding policies and procedures in place that prohibit the individual(s) named in Table 1.0 from conducting any activity in the CITSS on behalf of himself or herself or any other entity, except for an entity where a corporate association exists with Covered Entity and has been disclosed to CARB pursuant to title 17, California Code of Regulations, section 95833.

Print Covered Entity Authorized Representative Name:
Title:
Print Covered Entity Name:
Date:
Covered Entity Authorized Representative Signature: